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SUPREME COURT OF ALABAMA

OCTOBER TERM, 2011-2012

1101170

Ex parte T.J.

PETITION FOR WRIT OF MANDAMUS

(In re: R.W. v. T.J. and C.W.

and

T.J. v. R.W. and C.W.)

(Montgomery Juvenile Court, JU-09-700.01 and JU-09-700.02; Court of Civil Appeals, 2100277)

BOLIN, Justice.

T.J. seeks a writ of mandamus ordering the Montgomery Juvenile Court ("the juvenile court") to adjudicate T.J. the

presumed father of S.W. (hereinafter "the child") and to vacate the juvenile court's order authorizing genetic testing to establish the child's paternity.

Facts and Procedural History

On September 3, 2009, the child's maternal grandmother, R.W., filed a petition in the juvenile court against the mother, C.W., and T.J., seeking custody of three of her grandchildren, including the child. The maternal grandmother's petition was not included in the materials attached to this mandamus petition, but T.J. contends that the maternal grandmother's petition named him as the father. On October 30, 2009, T.J. filed a petition in the juvenile court seeking custody of the child. T.J.'s custody petition also is not included in the materials submitted with this mandamus petition. T.J. contends that in his custody petition he alleged that he was the biological father of the child and that the child had lived with him since the child was born.

On November 13, 2009, the mother filed a petition seeking custody of the child. According to the briefs filed in this Court, the mother named J.H. as the father of the child. On January 22, 2010, the mother filed a motion requesting genetic

testing of T.J. and J.H. to establish paternity of the child. T.J. objected. That same day, T.J. filed a motion to reconsider and an affidavit of paternity with the juvenile court. None of those documents was attached to the materials submitted to this Court.

On December 3, 2010, the juvenile court held a hearing on the issue of the mother's request for genetic testing to determine the paternity of the child. On December 17, 2010, the juvenile court entered an order granting the mother's motion for genetic testing. Specifically, the juvenile court found that, because T.J. was incarcerated at the time the child was conceived and the mother was five months' pregnant when T.J. was released from prison, it was "unlikely" that T.J. in good faith believed he was the biological father of the child. Based on this finding, the juvenile court concluded that T.J. could not be the child's presumed father under § 26-17-204(a)(5), Ala. Code 1975, and authorized the genetic testing.

On December 29, 2010, T.J. filed a petition for a writ of mandamus with the Court of Civil Appeals, asking that court to direct the juvenile court to recognize him as, and to

adjudicate him, the presumed father of the child and to vacate the order authorizing genetic testing to determine the paternity of the child. The only material attached to the mandamus petition before the Court of Civil Appeals was the juvenile court's order. The Court of Civil Appeals denied the petition on the ground that, because there was no record of the proceedings before the juvenile court, there was no way to know whether T.J. had presented sufficient evidence from which to determine that he had held the child out to the public as his natural child as described in § 26-17-204(a) (5). <u>Ex parte</u> T.J., 74 So. 3d 447 (Ala. Civ. App. 2011).

On July 8, 2011, T.J. filed a petition for a writ of mandamus with this Court, arguing that the juvenile court erred in concluding that he must be the biological father of the child in order to be a presumed father under § 26-17-204(a)(5) and, therefore, that this Court should order the juvenile court to recognize him as the presumed father and to vacate its order requiring genetic testing.

Discussion

A writ of mandamus is an extraordinary remedy available only when the petitioner demonstrates: "'(1) a clear legal

right to the order sought; (2) an imperative duty upon the respondent to perform, accompanied by a refusal to do so; (3) the lack of another adequate remedy; and (4) the properly invoked jurisdiction of the court.'" <u>Ex parte Nall</u>, 879 So. 2d 541, 543 (Ala. 2003) (quoting <u>Ex parte BOC Group, Inc.</u>, 823 So. 2d 1270, 1272 (Ala. 2001)). A petition for a writ of mandamus is the proper vehicle for seeking review of an interlocutory order. <u>Ex parte A.M.P.</u>, 997 So. 2d 1008, 1014 (Ala. 2008) (mandamus petition proper means of seeking review of interlocutory order granting petition to adopt); <u>Ex parte D.J.B.</u>, 859 So. 2d 445 (Ala. Civ. App. 2003) (mandamus review of nonfinal order dismissing ex-husband's motion seeking a paternity determination was proper).

In Alabama, pursuant to the Alabama Uniform Parentage Act, Ala. Code 1975, § 26-17-101 et seq. ("the AUPA"), a presumption of paternity arises in favor of the mother's husband when (1) a child is born during the marriage (§ 26-17-204(a)(1)); (2) a child is conceived during the marriage (§ 26-17-204(a)(2)); (3) a child is conceived or born during an invalid marriage (§ 26-17-204(a)(3)); or (4) a child is born before a valid or invalid marriage and the husband took some

voluntary step to establish his paternity, such as acknowledging paternity, consenting to being named on the child's birth certificate, or being obligated to pay child support (§ 26-17-204(a)(4)). A presumption of paternity arises outside of marriage (1) when a man receives the child into his home and openly holds the child out as his natural child or otherwise openly holds the child out as his natural child and establishes a significant parental relationship by providing emotional and financial support (§ 26-17-204(a)(5)); or (2) when a man legitimated the child pursuant to § 26-11-1 et seq., Ala. Code 1975 (§ 26-17-204(a)(6)).

Section 26-17-204(b) provides that a presumption of paternity established under § 26-17-204 may be rebutted by an adjudication of paternity under § 26-17-601 et seq., Ala. Code 1975. Section 26-17-204(b) goes on to provide that, in the event two or more presumptions arise, the presumption founded on the weightier considerations of public policy and logic, based on the facts, shall control. Last, § 26-17-204(b) provides that a court order establishing paternity of a child by another man rebuts a presumption of paternity.

In the present case, the controlling issue is whether the juvenile court erred in concluding, based on evidence indicating that T.J. was not the biological father of the child, that § 26-17-204(a)(5) was not applicable to T.J. We conclude that it did err. T.J. did not lose his ability to present evidence that he was a presumed father under § 26-17-204(a)(5) simply because he may not be the biological father.¹ Section 26-17-204(b) provides that if two or more presumptions arise, the presumption that on the facts is founded on the weightier considerations of public policy and logic will control. If the legislature had intended that only a biological father can be a presumed father under the presumptions set out in § 26-17-204(a)(1) through (6), it would not have provided for a weighing among two competing presumptions under § 26-17-204(b), because there can be only one biological father.² Moreover, the United States Supreme

 $^{^{1}}T.J.$ persists in his contention that he is the biological father of the child because he disputes the date of conception and contends that the child was born prematurely.

²We recognize that § 26-17-607(b) of the AUPA provides for a weighing of competing presumptions in an action where a presumed father seeks to disprove parentage. Section 26-17-607(b) provides that a presumption of paternity may be rebutted by clear and convincing evidence and that, in the event two or more conflicting presumptions arise, then the one

Court and this Court have held that biological ties are not as important as parent-child relationships that give young children emotional stability. In Michael H. v. Gerald D., 491 U.S. 110 (1989), a plurality of the Supreme Court reaffirmed the presumed paternity of a nonbiological father whose presumption as the parent of the child arose out of his marriage to the mother at the time of the child's birth, consequently denying the biological father's claim of paternity. Although blood-test results proved that the presumed father was not the biological father, the Supreme Court relied upon legal history and tradition to uphold the validity of the marital presumption. See also Caban v. Mohammed, 441 U.S. 380, 397 (1979)(Stewart, J., dissenting) ("Parental rights do not spring full-blown from the biological connections between parent and child. They require relationships more enduring.").

founded on the weightier considerations of public policy and logic will prevail. Section 26-17-607(b) also provides that a presumption of paternity is rebutted by a court judgment establishing paternity of a child by another man. Section 26-17-607(b) is applicable when a man has been found to be a presumed father and subsequently seeks to rebut that presumption. In the present case, T.J. has not yet been found to be the presumed father.

In Ex parte Presse, 554 So. 2d 406 (Ala. 1989), this Court considered whether "a man claiming to be the father of a child conceived and born during the marriage of its mother to another man [has] standing under the [then existing AUPA] to initiate an action to establish that he is the father of child, where the presumed father persists in the the presumption that he is the father." 554 So. 2d at 411.³ The court answered the question in the negative, determining that the presumption of paternity accorded the man married to the mother at the time of the child's conception and birth was, as a matter of public policy and logic, weightier than any other presumption provided for in the statute, thus foreclosing another man from challenging the child's paternity. 554 So. 2d at 412. See also Ex parte C.A.P., 683 So. 2d 1010 (Ala. 1996) (holding that a man cannot challenge the paternity of a child born during the marriage of the mother to another man regardless of whether the child was conceived during the

³The former AUPA was repealed effective January 1, 2009, and the current AUPA, § 26-17-101 et seq., became effective that same day. The presumptions of paternity listed in former § 26-17-5(a) are similar to the presumptions of paternity listed in § 26-17-204(a).

marriage so long as the husband persists in the marital presumption of paternity).

The presumed father's presumption of paternity in Ex parte Presse arose from the marital presumption that a child born during a marriage or within 300 days after the termination of a marriage is the child of the husband. In Ex parte Presse we held that the provision of the AUPA that created a presumption of paternity if the man received a child into his home and openly held out the child as his natural child did not allow that man to assert paternity to the exclusion of the man who was married to the child's mother when the child was conceived and born, simply because the man had since married the mother, who was now divorced. Ex parte Presse was based specifically upon the application of the However, in <u>Ex parte</u> Presse, marital presumption. we recognized that the AUPA "espouses principles that seek to protect the sanctity of family relationships," 554 So. 2d at 412, and we now apply those principles where the marital presumption is not applicable and the only issue is whether the man claiming to be the presumed father, "while the child is under the age of majority, ... receive[d] the child into

his home and openly [held] out the child as his natural child or otherwise openly [held] out the child as his natural child and establishe[d] a significant parental relationship with the child by providing emotional and financial support for the child" under § 26-17-204(a)(5).

We also find support for our conclusion that the legislature did not intend for biology to prevent a presumption of paternity under § 26-17-204(a)(5) in Judge Thomas's dissent in <u>Ex parte T.J.</u>, in which she discusses the phrase "openly holds out the child as his natural child":

"I understand that the use of the word 'as' in the phrase 'openly holds out the child as his natural child' is perhaps not entirely clear in meaning. However, I read 'as' in this context as meaning 'in the way or manner that,' 'in accordance with what or the way in which, ' or 'in the capacity, character, condition, or role of.' Merriam-Webster's Collegiate Dictionary 71 (11th ed. 2003). Likewise, to 'hold out' is defined as 'to represent to be,' id. at 592, while 'represent' is defined as 'to describe having a specified character or as quality.' Id. at 1057. Thus, subsection (a) (5) establishes a presumption of paternity in a man who openly treats a child in the same manner he would treat his biological child, who openly treats a child in accordance with the way that a father would treat his biological child, or who openly treats the child as if the child had assumed the role of his biological child 'and establishes a significant parental relationship with the child by providing emotional and financial support for the child.' § 26-17-204(a)(5). Read in this way, § 26-17-204(a)(5)

serves to promote a significant parental relationship over a mere biological connection. Such a reading finds generous support in comments to the [AUPA]."

74 So. 3d at 454 (Thomas, J., dissenting).

The fact that T.J. may not be the child's biological father does not negate his ability to present evidence that he is the presumed father of the child under § 26-17-204(a)(5) by virtue of his relationship with the child, because the presumption established by § 26-17-204(a)(5) is based on the man's relationship with the child, not on a biological Accordingly, the juvenile court's connection. legal conclusion that § 26-17-204(a)(5) does not apply to T.J., because, according to the court's reasoning, he could not be the child's biological father, was in error. Because T.J. has demonstrated a clear legal right to proceed under § 26-17-204(a)(5), he is entitled to relief from that erroneous conclusion of law. However, we cannot grant T.J.'s request to order the juvenile court to adjudicate him the presumed father of the child and to vacate the juvenile court's order authorizing genetic testing to determine the paternity of the Based on the briefs submitted to this Court, the child. evidence in that regard appears to be disputed, and T.J. did

not provide this Court with a transcript of the hearing in the juvenile court. Therefore, we cannot conclude that there was sufficient evidence to hold that T.J. is the presumed father of the child under § 26-17-204(a)(5). Accordingly, the juvenile court must determine, based on the testimony submitted at the hearing, whether T.J. presented sufficient evidence indicating that he received the child into his home and openly held out the child as his natural child or that he otherwise openly held out the child as his natural child and established a significant parental relationship with the child by providing emotional and financial support for the child. If the juvenile court finds that the evidence that T.J. did so is sufficient and that, therefore, T.J. is the presumed father of the child under 26-17-204(a)(5), then the order requiring genetic testing must be vacated. However, if the juvenile court finds that T.J. did not present sufficient evidence for such a finding under § 26-17-204(a)(5), then the order requiring genetic testing may stand.

PETITION DENIED WITH INSTRUCTIONS.

Malone, C.J., and Woodall, Stuart, Parker, Shaw, Main, and Wise, JJ., concur.

Murdock, J., dissents.

MURDOCK, Justice (dissenting).

My understanding of the reasoning employed by the trial court is different than the understanding contemplated by the analysis in the main opinion. Specifically, the analysis offered in the main opinion appears to contemplate that the trial judge entertained evidence of who the biological father might be because she thought it necessary for a man to be a biological father in order to maintain his position as a "presumed father" under § 26-17-204, Ala. Code 1975. I do not read her order in this manner. Instead, I read her order -and her explanatory brief to this Court -- to indicate that she was operating under the incorrect impression that she was dealing with a true evidentiary presumption as to who the biological father might be and that evidence of another man being the biological father therefore could and did rebut this presumption. I write in Part B below, to explain the trial court's reasoning, as I understand it, and in turn why I think that reasoning was wrong.

At the end of Part B, I also note my disagreement with the characterization in the main opinion of the holding of Michael H. v. Gerald D., 491 U.S. 110 (1989).

I begin this writing in Part A with the discussion of the procedural issue, which was the focus of the majority opinion of the Court of Civil Appeals. <u>Ex parte T.J.</u>, 74 So. 3d 447 (Ala. Civ. App. 2011).

Finally, I believe that the order of the trial court ordering genetic testing is due to be formally vacated at this juncture. As explained in Part C below, because the main opinion does not order the vacatur of that order, my vote, as reflected above, is registered as a "dissent."

A. The Procedural Issue

A majority of the Court of Civil Appeals in this case used as a reason for denying T.J.'s requested relief a concern about a factual issue not addressed by the trial court: whether T.J. held himself out as the "natural" father of the child. Because of its focus upon this <u>factual</u> issue, that majority in turn considered itself unable to provide relief to T.J. without the benefit of a transcript of the evidentiary hearing held by the trial court:

"In this case, because we do not have a record of the proceedings before the juvenile court, we simply do not have before us the means to know whether T.J. presented sufficient evidence from which to determine that he held the child out to the public as his <u>natural</u> child as described in §

26-17-204(a)(5); we also do not know to what extent such evidence was disputed."

Ex parte T.J., 74 So. 3d at 451 (emphasis added).

If the trial court had expressly made a factual finding that T.J. had not held himself out as the "natural" father of the child, or if we could impute this finding to the trial court, the Court of Civil Appeals' concern with the lack of a transcript would be appropriate. The trial court, however, did not expressly decide this factual issue or even address it in its order. For the reason explained below, neither can we (or the Court of Civil Appeals) impute to the trial court any finding as to this issue.

The one portion of the "record" the petitioner has provided us in this mandamus proceeding is the trial court's order itself. Based on this order, we know exactly on what ground the trial court entered its order -- and it was not on the ground discussed by the majority of the Court of Civil Appeals. Instead, the trial court simply found that the "presumption" that T.J. was the father of the child had been "rebutted" by evidence that he was not the <u>genetic/biological</u> father of the child. Specifically, the trial court expressly found and reasoned as follows:

"Undisputed testimony revealed that [T.J.] had been incarcerated during the period of July through November in 2004. <u>Both the mother and [someone</u> <u>else's] testimony indicated that the parties were</u> <u>not cohabiting and did not engage in sexual</u> intercourse at the probable time of conception.

"It is clear from the testimony of the witnesses and the admissions by the mother that [T.J.] has been in a generous and loving role towards the minor child, and that he has provided for her emotionally and financially since her birth. Furthermore, it is clear from the testimony that the mother has allowed the relationship to grow and that the child calls [T.J.] 'daddy.' The evidence clearly shows that [T.J.], despite knowing that the child is not or may not be his <u>genetic</u> child, affirmatively accepted a caregiver role as the child's father and that the child, the mother, and the maternal grandmother have relied on that acceptance.

"....

"It is undisputed that [T.J.] has established a parent-child relationship with [the child]. Notwithstanding the same, it is unlikely that he believed this child to be his 'natural' child when incarcerated at the likely time he was of [T.J.] does not dispute that he was conception. incarcerated from July through November in 2004 and further that he did not have a physical relationship with the mother at the likely time of conception. This court can not ignore this fact. Further, the mother testified that she was already 5 months' preqnant when [T.J.] was released from incarceration in November 2004. This court also considered the Headstart application, which pre-dates this proceeding, wherein the mother identified another man as the father of [the child] and identified [T.J.] as the Godfather.

"<u>Based on the foregoing, this court is unable to</u> find that [T.J.] is the presumed father."

(Emphasis added.)

In addition to the trial court's order itself, we have the trial judge's letter brief to this Court, which further confirms that the basis for her holding was her misunderstanding that she was obligated to consider evidence that might tend to show that T.J. was not the <u>biological</u> father of the child. In her letter brief to this Court, the judge explains her own order, providing only the following basis for it:

"The undersigned acknowledges the [T.J.'s] love and affection for the minor child at issue in this matter and his financial and emotional support of the child. The undersigned recognizes that [T.J.'s] actions could give rise to a presumption of paternity of the child. However, <u>in making the</u> <u>determination whether to adjudicate [T.J.] as the</u> <u>presumed father of the child, the undersigned also</u> <u>weighed evidence which showed that [T.J.] was</u> <u>incarcerated at the likely time of conception and</u> <u>the testimony of the Mother that another man is the</u> <u>biological father of the child.</u>

"The undersigned determined that clear and convincing evidence was presented which <u>rebutted any</u> <u>presumption of paternity</u> on the part of [T.J.]."

(Emphasis added.)

Thus, the trial court's finding was based upon a supposed "rebuttal" of a "presumption" of fatherhood, a rebuttal based on evidence regarding who the <u>biological</u> father might be. The

Court of Civil Appeals' "affirmance" of that order, however, revolved around a different concern, namely whether the evidence did or did not establish that T.J. had held himself as the "natural" father of the child. If this were a case in which the trial court's order had failed to state any basis for its decision and the materials before us did not otherwise reveal the basis for the trial court's decision, we and the Court of Civil Appeals could impute to the trial court a ground not actually expressed by it, provided that there was substantial evidence to support any factual findings that would be necessary to such a ground. This would be true because of the principle that, in the absence of a contrary indication in the trial court's order or otherwise in the record, we will presume that the trial court knows the law and that the trial court has made those factual findings necessary to support its judgment under the applicable law. See, e.g., Lemoine Co. of Alabama, L.L.C. v. HLH Constructors, Inc., 62 So. 3d 1020, 1024 (Ala. 2010) ("'When evidence is taken ore tenus and the trial judge makes no express findings of fact, this Court will assume that the trial judge made those findings necessary to support the judgment.'" (quoting

Transamerica Commercial Fin. Corp. v. AmSouth Bank, N.A., 608 So. 2d 375, 378 (Ala. 1992) (emphasis added))).

Here, however, the trial court's order, as well as the trial judge's brief to this Court, <u>does</u> reveal the basis for that court's decision. We therefore are not at liberty to impute some different ground to the trial court as the basis for its decision. Concomitantly, we cannot be concerned that we are without a transcript by which to evaluate the extent of evidentiary support for any such different ground.

It is true that (subject to due-process limitations), even when the trial court's order or other aspects of the record do indicate the specific ground for the trial court's decision, an appellate court can uphold a trial court's judgment on an alternative valid legal ground. In that circumstance, however, the alternative ground must be a "legal" ground. That is, the appellate court must be able to seize upon this alternative ground as a matter of law based on the record or the materials before it, because it does not otherwise have at its disposal necessary factual findings, either actual or imputable, to use in support of the alternative ground. For example, as the Court of Civil

Appeals put it in <u>Gartman v. Hill</u>, 874 So. 2d 555, 559 (Ala. Civ. App. 2003):

"While it is true that this Court will affirm the judgment appealed from if supported on any valid <u>legal</u> ground, the evidence in this case falls well short of what would be required for this Court to hold, <u>as a matter of law</u>, that [the plaintiff] is entitled [to prevail on a factual theory as to which the trial court made no finding]."

(Final emphasis added.)

The Court of Civil Appeals' concern about the absence of a transcript relates to a factual theory as to which, as in Gartman, "the trial court made no factual finding." 878 So. 2d at 559. See Pavilion Dev., L.L.C. v. JBJ P'ship, 979 So. 2d 24, 36 (Ala. 2007) ("Although we have stated that an appellate court may affirm a judgment of a trial court for any valid reason, we do not consider [the appellee's] arguments about the statutory requirements because the record here indicates that the trial court did not make any findings concerning, nor did it intend to base its judgment on, those alternative grounds."); Ex parte Philon, 10 So. 3d 1022, 1026 (Ala. 2008) (Murdock, J., concurring specially) ("[T]he trial court made no factual finding as to whether Philon's back injury was caused over time by changes to his gait resulting from his leg injury. Therefore, the only basis upon which the

Court of Civil Appeals could have affirmed the order of the trial court on this alternative factual theory is if the Court of Civil Appeals could have determined, as a matter of law, that the record before it could not reasonably support any conclusion other than that Philon's back injury occurred in the stated manner."). Moreover, the materials before us are not merely silent as to the ground for the trial court's decision; the trial court's order and the trial judge's brief to this Court in this case expressly state the specific basis for that court's decision. Therefore, the only basis upon which the Court of Civil Appeals could have upheld the trial court's order on the alternative factual theory at issue is if the Court of Civil Appeals could have determined, as a matter of law from the materials that were before it, that T.J., though he held the child out as his own, did not hold the child out as his "natural" child. Obviously, it could not do so.4

⁴In addition, of course, the majority of the Court of Civil Appeals would have to be correct in their strict understanding of what it means for a man to hold a child out "as" his natural child. In her dissent, Judge Thomas explains why she believes the majority's understanding of this term is incorrect, 74 So. 3d at 454, and I am inclined to agree with her.

We have here a case in which the trial judge has expressly provided us in her order and in her letter brief to this Court the specific ground for her ruling. That ground is that there was evidence that she believed "rebutted" the presumption that T.J. was the genetic father of the child. The trial court's reliance upon this ground to order genetic testing was a <u>legal</u> error as to which the absence of an evidentiary transcript is irrelevant.

B. Merits

The long-standing recognition of "presumed fathers" in our law finds its origins in time-honored, common-law principles reflecting traditional values and concerns relating to adultery, the integrity of the family, and protection for both the father and the child in established father-child relationships. Accordingly, our law not only adheres to the general rule that a child with a "presumed father" may not be declared illegitimate by our courts, it protects both children and de facto fathers in preserving established parent-child relationships.

Section 26-17-204, Ala. Code 1975, states:

"(a) A man is presumed to be the father of a child if:

"(1) he and the mother of the child are married to each other and the child is born during the marriage;

"(2) he and the mother of the child were married to each other and the child is born within 300 days after the marriage is terminated by death, annulment, declaration of invalidity, or divorce;

"(3) before the birth of the child, he and the mother of the child married each other in apparent compliance with law, even if the attempted marriage is or could be declared invalid, and the child is born during the invalid marriage or within 300 days after its termination by death, annulment, declaration of invalidity, or divorce;

"(4) after the child's birth, he and the child's mother have married, or attempted to marry, each other by a marriage solemnized in apparent compliance with the law although the attempted marriage is or could be declared invalid, and:

> "(A) he has acknowledged his paternity of the child in writing, such writing being filed with the appropriate court or the Alabama Office of Vital Statistics; or

> "(B) with his consent, he is named as the child's father on the child's birth certificate; or

> "(C) he is otherwise obligated to support the child either under a written voluntary promise or by court order;

"(5) while the child is under the age of majority, he receives the child into his home and openly holds out the child as his natural child or otherwise openly holds out the child as his natural child and establishes a significant parental relationship with the child by providing emotional and financial support for the child; or

"(6) he legitimated the child in accordance with Chapter 11 of Title 26."

(Emphasis added.)

Section 26-17-204 continues:

"(b) A presumption of paternity established under this section <u>may be rebutted only by an</u> adjudication under Article 6 [§ 26-17-601 through § 26-17-638]."

(Emphasis added.) Section 26-17-607(a), Ala. Code 1975, limits "adjudication[s] under Article 6":

"Except as otherwise provided in subsection (b), a presumed father may bring an action to disprove paternity at any time. <u>If the presumed father</u> <u>persists in his status as the legal father of a</u> <u>child, neither the mother nor any other individual</u> <u>may maintain an action to disprove paternity.</u>"

(Emphasis added.) The presumed father in this case does indeed "persist[] in his status."

As a result, we have in this case a trial court order that is expressly based on an error of law. The trial court clearly based its order on a factual finding that there was

sufficient evidence to "rebut" the presumed-father status of T.J. In so doing, the trial court treated the presumed-father status established in Ala. Code 1975, § 26-17-204(a)(5), as no more than an evidentiary presumption to be rebutted by whatever evidence the trial court might assign sufficient credibility and weight. That is not the nature of the "presumption" with which we are dealing.

First, we are not dealing here with a "presumed" status as to the <u>genetic</u> or <u>biological</u> father of a child, but as to the <u>legal</u> father. The question is who, <u>in the contemplation</u> <u>of the law</u>, will be treated as the father. The trial court's order and letter brief clearly reveal that the judge thinks her task is to determine who is the <u>genetic</u> father.

Second, the "presumed father" status is the result of a substantive rule of law, not an evidentiary presumption. Thus, it was that in <u>P.G. v. G.H.</u>, 857 So. 2d 823, 828 (Ala. Civ. App. 2002), the court noted the distinction between the evidentiary standard for rebutting a traditional presumption of paternity under then § 26-17-5 (b), Ala. Code 1975 (the predecessor statute to § 26-17-204 (b)), and the substantive rule that actually prevents another party from challenging the presumed-father status of a man who persists in that

presumption. As this Court stated in <u>Ex parte Presse</u>, 554 So. 2d 406, 418 (Ala. 1989):

"[T]he legal question is whether a man has standing to bring an action seeking to declare a child illegitimate and to have himself declared the father of that child. <u>This is not permitted under the</u> [Alabama Uniform Parentage Act], as long as there is a presumed father, pursuant to § 26-17-5(a)(1), who has not disclaimed his status as the child's father"

(Emphasis added.) See also <u>Ex parte C.A.P.</u>, 683 So. 2d 1010, 1012 (Ala. 1996) ("A man not presumed to be the father, but alleging himself to be the father, may institute an action to have himself declared the father <u>only when the child has no</u> <u>presumed father.</u>" (emphasis added)); <u>Hooten v. Hooten</u>, 754 So. 2d 634, 635 (Ala. Civ. App. 1999) ("Our supreme court has held that no one ... has standing to challenge a presumed father's paternity as long as the presumed father persists in claiming paternity of the child.").

Despite the foregoing, the trial court treats the presumed-father status of T.J. under § 26-17-204(a)(5) as merely a rebuttable evidentiary presumption. She concluded that because the mother or grandmother put on evidence indicating that T.J. was in jail at the time of conception, the presumption created by § 26-17-204(a)(5) had been

"rebutted." The trial court even goes so far as to comment on T.J.'s subjective thoughts regarding the matter, stating that "it is unlikely that [T.J.] believed this child to be his 'natural' child when he was incarcerated at the likely time of conception," a factor clearly not specified as a criterion under the statute.

Again, the question is not whether the child is in fact the genetic or <u>biological</u> child of a presumed father. The rule embodied in § 26-17-204 and § 26-17-607(a) is a substantive rule of law as to who is to be deemed the <u>legal</u> father. It is a rule based on two time-honored ideas in our law: a child with a "presumed father" should not easily be declared illegitimate by our courts, and children with longstanding relationships with de facto parents should not be deprived of those relationships based merely on the fact that a trial court might believe testimony by the mother, for example, as to who she thinks the natural father might be. Likewise, as T.J. puts it:

"[T]o allow inquiry through genetic testing into the child's biological paternity when the Father has not waived his presumption of paternity would be against the Code of Alabama and destructive of family integrity, relationships and privacy and against the public interest and law of Alabama.

"Based on § 26-17-204(a)(5), if T.J. meets the requirement set out by the AUPA he is the presumed father and no person may challenge his status. The Circuit Court has no discretion to rule against the law as set out by the AUPA. The public policy behind the AUPA is one of long history and importance with regard to the welfare, permanency and best interest of children."

T.J.'s petition, at 17-18.

The trial court clearly erred by not recognizing these principles and, instead, entering an order for genetic testing that is at odds with them. It is this pure legal error in the trial court's approach to this case that makes the particular order it entered one that we are now obligated to vacate.⁵

Before turning to a brief discussion of the judgment line in the main opinion, I pause to comment on two other aspects of the analysis offered by the main opinion. First, the main opinion makes reference to what it calls "the marital presumption" in the course of explaining that "we now apply [<u>Presse's</u>] principles where the marital presumption is not

⁵As the main opinion notes, in order to vacate the particular order entered by the trial court, we do not have to go so far as to declare T.J. to be the legal or even the "presumed" father of the child, as the majority of the Court of Civil Appeals suggests in its opinion. We merely have to vacate the trial court's order requiring genetic testing because it was based on a legal error and then remand the case for further consideration consistent with correct legal principles.

applicable" and presumed fatherhood exists "under § 26-17-204(a)(5)." ____ So. 3d at ____. I offer two observations in regard to this reference.

First, the objective of the statute insofar as is germane to a decision in the present case is to provide a rule of decision as between all presumed fathers, on the one hand, and all other persons, on the other hand. In this context, the statute gives priority over all other persons to one who falls within any of the six categories of presumed fathers outlined in § 26-17-204(a)(1) through (6). As noted, § 26-17-204(b) states simply that "[a] presumption of paternity established under this section may be rebutted only by an adjudication under Article 6 [§ 26-17-601 through § 26-17-638]." Insofar as contests between presumed fathers and persons who are not presumed fathers, the language of the statute has never suggested any basis for some different level of protection of a presumed father whose status relates to one of the four categories that involves a marriage (or an attempted marriage).

Second, this lack of differentiation between categories of presumed fathers (or, for that matter, between the positions of those who might attempt to challenge a presumed

father's status), is now corroborated by the 2008 amendment to the AUPA. This amendment actually broadened the statutory language that was in place when <u>Presse</u>, <u>C.A.P.</u>, and other cases cited above were decided. As a result of that amendment, § 26-17-607(a) now expressly provides, without any differentiation between those categories of presumed fathers described in § 26-17-204(a): "<u>If the presumed father</u> persists in his status as the legal father of a child, <u>neither the</u> <u>mother nor any other individual</u> may maintain an action to disprove paternity." (Emphasis added.)

Finally, I am concerned as to the manner in which the main opinion discusses the United States Supreme Court's decision in <u>Michael H. v. Gerald D.</u>, 491 U.S. 110 (1989). I am particularly concerned about the following characterization of <u>Michael H.</u> and other cases: "[T]he United States Supreme Court and this Court have held that biological ties are not as important as parent-child relationships" _____ So. 3d at _____. I believe the import of <u>Michael H.</u> and our cases is merely that protection of biological parents' rights in the Due Process Clause of the United States Constitution does not prevent a state from subordinating those rights to a de facto parent-child relationship in an appropriate case. I believe

it is incorrect to state that there is some general rule that has been adopted by both the United States Supreme Court and this Court to the effect that biological ties simply are "not as important" as de facto parent-child relationships.

C. Vacatur of the Trial Court's Order

The main opinion concludes that the trial court erred by the manner in which it reached the conclusion that § 26-17-204 (a) (5) does not apply to T.J. I certainly agree with this conclusion, albeit for the reasons stated above, rather than the exact analysis offered by the main opinion. I would add, however, that given the nature of the legal error upon which the trial court's order for genetic testing was based, I believe the appropriate relief at this juncture is the vacatur of that order. I respectfully dissent from the judgment offered by the main opinion to the extent that it does not order such a vacatur. After conducting further proceedings in accordance with this Court's opinion, if the trial court determined that T.J. does not hold presumed-father status, it could at that juncture issue such new order as it deemed appropriate.