

1 AMENDED OPINION

2 UNITED STATES COURT OF APPEALS
3 FOR THE SECOND CIRCUIT

4 August Term 2007

5 Argued: May 12, 2008

Decided: June 5, 2008

6 Amended: July 1, 2008

7 Docket Nos. 05-5255-cr(L); 05-5258-cr(con); 05-5260-cr(con); 05-7023-cr(con);
8 06-1210-cr(con); 06-1219-cr(con); 07-2966-cr(con)
9

10 UNITED STATES OF AMERICA,

11 Appellee,

12 v.

13 PAUL THOMPSON, TYRONE KINDRED, DAMION HENRY, STEPHEN REID,

14 Defendants,

15 TAI TODD, OTIS FISHER, JUNIOR ROBINSON, JASON ROSE, RICARDO RODRIGUEZ,

16 Defendants-Appellants.
17

18 Before: FEINBERG and MINER, Circuit Judges.¹

19 Appeals from judgments of conviction and sentence entered on September 27, 2005,
20 December 27, 2005, and July 2, 2007 in the United States District Court for the Southern District
21 of New York (Scheindlin, J.) convicting defendants-appellants for various offenses related to a
22 narcotics trafficking conspiracy and (except as to Todd) the use and possession of firearms in
23 connection therewith. Before us on appeal are challenges to the seating of the jury, including a
24 reverse-Batson challenge; sufficiency of the evidence; the introduction of a tape recording of an

¹ Judge Peter W. Hall, originally a member of the panel, recused himself subsequent to oral argument. Because the remaining members of the Panel are in agreement, we have decided this case in accordance with § 0.14(b) of the rules of this Court.

1 attempted sale of crack; certain evidentiary rulings; the alleged withholding of Brady material; a
2 finding of competency to stand trial; and sentencing.

3
4 The judgment of the District Court is affirmed with respect to the convictions and
5 remanded in accordance with United States v. Regalado, 518 F.3d 143 (2d Cir. 2008) (per
6 curiam), with respect to the sentence imposed on defendant-appellant Fisher.

7 ELLYN I. BANK, New York, New York, for
8 Defendant-Appellant Tai Todd.

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11 Appellant Jason Rose.

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14 Appellant Otis Fisher.

15 STEVEN A. FELDMAN, Feldman and Feldman,
16 Uniondale, New York, for Defendant-Appellant
17 Junior Robinson.

18 MARTIN J. SIEGEL, Law Office of Joshua L. Dratel,
19 New York, New York, for Defendant-Appellant
20 Ricardo Rodriguez.

21 LAURIE A. KORENBAUM, Assistant United States
22 Attorney (Celeste L. Koeleveld, Assistant United
23 States Attorney, on the brief), for Michael J. Garcia,
24 United States Attorney, Southern District of New
25 York, New York, New York, for Appellee.

26 PER CURIAM:

27 Defendants-appellants, Tai Todd, Jason Rose, Otis Fisher, Junior Robinson, and Ricardo
28 Rodriguez (collectively, the “Defendants”), appeal from judgments of conviction and sentence
29 entered, following a jury trial, in the United States District Court for the Southern District of
30 New York (Scheidlin, J.) on September 27, 2005 (Todd, Fisher, and Robinson), December 27,
31 2005 (Rose), and July 2, 2007 (Rodriguez). Todd was convicted of one count of conspiracy to

1 possess with intent to distribute between 5 and 50 grams of cocaine base and an unspecified
2 amount of marijuana, in violation of 21 U.S.C. §§ 812, 841(a)(1), 841(b)(1)(A) and
3 841(b)(1)(D). The remaining Defendants were convicted of conspiracy to possess with intent to
4 distribute 50 grams or more of cocaine base as well as an unspecified amount of marijuana and of
5 using and possessing firearms in furtherance of the conspiracy, in violation of 18 U.S.C.
6 §§ 924(c)(1)(A) and 924 (c)(2). The District Court sentenced Todd to a term of imprisonment of
7 60 months and Fisher to consecutive terms of imprisonment totaling 211 months. The remaining
8 Defendants each received consecutive sentences totaling 300 months. Before us on appeal are
9 challenges to the seating of the jury, including a reverse-Batson challenge; sufficiency of the
10 evidence; the introduction of a tape recording of an attempted sale of crack; certain evidentiary
11 rulings; the alleged withholding of Brady material; a finding of competency to stand trial; and
12 sentencing. For the reasons that follow, we affirm the Defendants' convictions and remand to the
13 District Court for reconsideration of the sentence imposed on defendant Fisher in accordance
14 with United States v. Regalado, 518 F.3d 143 (2d Cir. 2008) (per curiam).

15 **BACKGROUND**

16 The evidence at trial established that between 1998 and 2003, Rodriguez and Thompson
17 ran a crack cocaine and marijuana distribution organization that operated in the Bronx and
18 Vermont. Rodriguez and Thompson were the organizers and leaders of the organization, which
19 sold its crack and marijuana on the streets and from inside various stash houses. Rose, Fisher,
20 Robinson, and Todd helped manage the operation and sold its crack cocaine and marijuana.
21 Members of the conspiracy used, carried, and possessed firearms in furtherance of the crack
22 cocaine and marijuana conspiracy.

1 (1992). An accusation by the Government that defense counsel has engaged in such
2 discriminatory conduct has come to be known as a “reverse-Batson” challenge.

3 Here, the District Court granted the government’s reverse-Batson challenge and re-seated
4 Juror Two. In assessing a party’s claim that its opponent has exercised its peremptory challenges
5 in a discriminatory manner, a trial court engages in a three-step analysis, under which the court
6 must: (1) determine whether the moving party has made a prima facie showing that the other
7 party has exercised a peremptory strike on the basis of race; (2) if so, decide whether the party
8 exercising the challenged strike has satisfied the burden of offering a race-neutral explanation for
9 the strike; and (3) if so, make a determination whether the challenging party has carried his
10 burden of proving purposeful discrimination. See Batson, 476 U.S. at 96–98. We have held that
11 the third step of the Batson inquiry requires a trial judge to make “an ultimate determination on
12 the issue of discriminatory intent based on all the facts and circumstances.” United States v.
13 Alvarado, 923 F.2d 253, 256 (2d Cir. 1991). We have also held that “the ultimate question of
14 discriminatory intent represents a finding of fact that will be set aside only if clearly erroneous.”
15 United States v. Taylor, 92 F.3d 1313, 1326 (2d Cir. 1996).

16 During jury selection, the defense exercised fourteen peremptory challenges, twelve of
17 which were against white jurors and two of which were against Latino jurors. Sixty-five percent
18 of the original members of the panel were white. In response to the Government’s reverse-
19 Batson challenge, the District Court determined that there was a prima facie case of
20 discrimination because over 85% of the challenges exercised by defense counsel were against
21 white jurors while no challenges were exercised against African American jurors. After a
22 hearing at which the defense was given an opportunity to offer race-neutral reasons for its

1 peremptory challenges, the District Court re-seated Juror Two. Defense counsel offered the
2 following race-neutral reasons for exercising the challenge against Juror Two: (1) the brother of
3 Juror Two’s fiance was a police officer; (2) she was a long-term resident of Westchester County
4 (Yonkers); (3) she lives with her parents and presumably was “sheltered”; and (4) she taught
5 second grade at a school in the Bronx. The District Court doubted the defense’s theory that Juror
6 Two would be influenced by the fact that her future brother-in-law was a police officer in a small
7 town. Moreover, the District Court doubted the relevance of Juror Two’s position as a teacher in
8 the Bronx because an African American juror who also had been a teacher in the Bronx, Juror
9 Thirty, was seated with no challenge from the defense. The District Court stated: “Other than
10 race, I really don’t see a legitimate difference between [Juror Thirty], who spent a career teaching
11 kids in the Bronx, who[m] you chose to keep, and [Juror Two]. . . . That is why I think [Juror
12 Two] is the weakest of the challenges.”

13 The Defendants argue that their proffered reasons for the strike were legitimate and
14 sufficient to allow the peremptory challenge of Juror Two to stand. While it is true that the
15 District Court generally considered certain of the proffered reasons as applied to other defense
16 strikes to be sufficiently race-neutral, the court rejected those reasons as pretext for actual
17 discrimination in the challenge of Juror Two. This Court gives deference to a district court’s
18 determination as to whether proffered reasons for a peremptory strike are pretextual, and in this
19 case nothing in the record suggests that the District Court’s findings were clearly erroneous.

20 With regard to the fact that Juror Two’s fiance’s brother is a police officer, the court
21 noted that Juror Two herself did not emphasize that fact — she had only mentioned it in response
22 to the court’s question about contacts with law enforcement. The District Court called Juror Two

1 back into the box to question her further, and Juror Two explained that she did not think her
2 future brother-in-law's line of work would affect her, in part because she never talked about his
3 work with him. Moreover, the District Court observed that the defense had seated a Latino juror
4 whose brother was a retired undercover police officer. The court noted: "[D]efense has to be
5 cautious. If they start saying somebody has a lot of friends in the NYPD, well, if seated jurors
6 have a lot of friends in the NYPD, it kind of drops away as a reason for the strike."

7 With regard to Juror Two's county of residence, the District Court found that, although it
8 had accepted residence in Westchester County as a legitimate basis for challenges, Juror Two's
9 residence in Yonkers was not equally legitimate because, as defense counsel had conceded,
10 Yonkers is more like the Bronx than Westchester. Moreover, the defense had seated two Latino
11 jurors from Westchester. The court also did not find, based on its observation of her, that Juror
12 Two seemed "sheltered." Finally, the District Court did not find credible the defense's concerns
13 with Juror Two's occupation as a school teacher in the Bronx, primarily because the defense
14 seated an African American juror who also had been a school teacher in the Bronx for many
15 years before retiring.

16 The District Court made "an ultimate determination on the issue of discriminatory intent
17 based on all the facts and circumstances," Alvarado, 923 F.2d at 256, and we find no error in the
18 District Court's determination. See Snyder v. Louisiana, 128 S. Ct. 1203, 1210–11 (2008)
19 (finding that prosecutor's facially implausible explanation for challenging an African American
20 juror based on juror's conflicting obligations was "reinforced by the prosecutor's acceptance of
21 white jurors who disclosed conflicting obligations that appear to have been at least as serious as"
22 [that of the challenged juror]).

1 The Defendants also claim that the District Court failed to make an explicit determination
2 that the defense had exercised the peremptory challenge in an intentionally discriminatory
3 manner. The purpose of this Court’s requirement that a district court make explicit findings with
4 respect to each of the three steps prescribed in Batson is to avoid an incomplete record that will
5 prevent “a meaningful determination on the question whether the challenges demonstrated
6 discriminatory intent.” Jordan v. Lefevre, 206 F.3d 196, 200 (2d Cir. 2000). In this case, the
7 District Court elicited the Defendants’ explanations for the peremptory challenge of Juror Two,
8 examined those explanations through questioning and colloquy, and stated on the record that it
9 found the explanations not credible and “weak.” Accordingly, the District Court’s finding of
10 purposeful discrimination and the record supporting that finding are sufficient to allow this
11 Court’s review of the issue.

12 The Defendants further claim that because they exercised their peremptory challenges as a
13 group, the District Court could not properly discern whether an individual Defendant had acted
14 with discriminatory intent. In raising this claim, however, the Defendants overlook the fact that
15 after the Government raised its reverse-Batson challenge, each defense counsel was permitted to
16 offer race-neutral reasons for the strike. The record demonstrates that more than one defense
17 counsel offered an explanation for the strike, and there is no evidence that any attorney was
18 prohibited from participating in the District Court’s examination of the strike. Batson “was
19 designed to serve multiple ends, only one of which was to protect individual defendants from
20 discrimination in the selection of jurors. . . . Batson . . . is designed to remedy the harm done to
21 the dignity of persons and to the integrity of the courts.” McCullum, 505 U.S. at 48 (internal
22 quotation marks omitted). The Supreme Court has recognized that “denying a person

1 participation in jury service on account of his race unconstitutionally discriminates against the
2 excluded juror.” Id. In this case, the District Court properly evaluated the reasons given by each
3 Defendant who argued in support of the peremptory challenge before arriving at its finding of
4 discriminatory intent.

5 Finally, the Defendants argue that “a black criminal defendant should not be subject to a
6 Batson challenge for exercising his peremptory strikes with respect to white jurors” because “the
7 potential social harms identified in ‘race-related’ cases involving racial minorities . . . are not
8 implicated” where an African American defendant seeks to strike white jurors from the jury
9 panel. This argument must be rejected in light of McCullum, which held that a defendant’s
10 discriminatory use of a peremptory challenge violates the equal protection right of the challenged
11 juror. See 505 U.S. at 49 (“Regardless of who invokes the discriminatory challenge, there can be
12 no doubt that the harm is the same — in all cases, the juror is subjected to open and public racial
13 discrimination.”); see also Powers v. Ohio, 499 U.S. 400, 407 (1991) (“[A] member of the
14 community may not be excluded from jury service on account of his or her race.”). Accordingly,
15 the Defendants’ argument that Batson does not apply where an African American defendant
16 seeks to eliminate white jurors is entirely without merit.

17 II.

18 Each of the Defendants challenges the sufficiency of the evidence in support of his
19 conviction. This Court has noted that “[a] defendant who seeks reversal of his conviction on the
20 ground of insufficiency of the evidence bears a heavy burden.” United States v. Concepcion, 983
21 F.2d 369, 382 (2d Cir. 1992). In considering a defendant’s challenge to the sufficiency of the
22 evidence in support of his conviction, this Court reviews the evidence in the light most favorable

1 to the Government and draws every inference in the Government’s favor. See United States v.
2 Salameh, 152 F.3d 88, 151 (2d Cir. 1998) (per curiam). The jury’s verdict “must be sustained if
3 any rational trier of fact could have found the essential elements of the crime beyond a
4 reasonable doubt.” Id. (internal quotation marks omitted). In this case, the evidence presented at
5 trial when viewed in the light most favorable to the Government was sufficient to support the
6 convictions of each of the Defendants.

7 Todd, Rose, and Fisher argue that the Government presented insufficient evidence that
8 they participated in the conspiracy alleged in the indictment. The analysis of this issue is two-
9 fold. First, this Court asks whether the Government proved the defendant’s intent to engage in
10 the charged scheme. See United States v. Reyes, 302 F.3d 48, 53 (2d Cir. 2002). Second, we ask
11 whether the Government proved that the defendant had some knowledge of the unlawful aims of
12 the conspiracy. Id. In this case, the record is replete with evidence that would have permitted the
13 jury to determine that Todd, Rose, and Fisher each intended to engage in the conspiracy and were
14 also fully aware of its unlawful aims. With respect to Todd, two cooperating witnesses testified
15 that he frequently sold crack cocaine in the vicinity of East 228th Street in the Bronx. One
16 witness testified that Todd performed “hand-to-hand” sales after receiving packages of crack
17 cocaine from Thompson and Rodriguez. Another witness testified that he had observed Todd
18 selling crack cocaine with Thompson and Rodriguez. Law enforcement officers testified that
19 Todd functioned as a “look out” during at least one narcotics sale by members of the conspiracy,
20 that in January 2003 he was arrested with Rose and Rodriguez after selling crack cocaine to an
21 undercover officer, and that Todd’s cell phone directory contained the telephone numbers of the
22 other members of the conspiracy.

1 Rose argues that the Government failed to demonstrate that his conduct amounted to
2 anything more than isolated sales of narcotics unrelated to the conspiracy alleged in the
3 indictment. The evidence at trial, however, viewed in the light most favorable to the
4 Government, permitted the jury to conclude that Rose sold narcotics with the other members of
5 the conspiracy. Police officers testified that in September 2001 Rose and Robinson sold crack to
6 an undercover officer and that in January 2003 Rose was arrested with Todd and Rodriguez after
7 selling crack to an NYPD detective. Officers also testified that Rose was observed with
8 Rodriguez and Robinson in front of one of the conspirators' stash houses on East 228th Street in
9 the Bronx and that Rose was seen exchanging small objects for money on East 228th Street
10 shortly after speaking with Rodriguez.

11 The evidence at trial was also sufficient to demonstrate that Fisher participated in the
12 conspiracy. A police witness testified that Fisher was arrested in April 2001 for selling crack to
13 an undercover officer on East 228th Street. A cooperating witnesses testified that Fisher gave
14 money to and received drugs from Rodriguez and Thompson on a daily basis. Another
15 cooperating witness testified that Fisher, along with other members of the conspiracy, moved the
16 operation from the Bronx to her home in Vermont. On appeal, Fisher challenges the credibility
17 of the cooperating witnesses. However, in assessing whether there was sufficient evidence to
18 support a defendant's conviction, this Court "will not attempt to second-guess a jury's credibility
19 determination." United States v. Florez, 447 F.3d 145, 156 (2d Cir. 2006). Accordingly,
20 Fisher's challenge to the credibility of the prosecution's witnesses presents no basis to disturb the
21 District Court's judgment in this case.

22 Rose and Fisher also argue that there was insufficient evidence to support the jury's

1 determination that they were responsible for distributing more than 50 grams of crack cocaine.
2 This Court has determined that, where an indictment alleges a conspiracy involving the weight-
3 related provisions of 21 U.S.C. § 841, the Government’s burden of proof “includes the
4 requirement that a co-conspirator defendant at least could have reasonably foreseen the type and
5 quantity of the substance about which [he] conspired.” United States v. Adams, 448 F.3d 492,
6 500 (2d Cir. 2006). Here, there was sufficient evidence for the jury to conclude that Rose and
7 Fisher could have reasonably foreseen that the conspiracy would involve distribution of more
8 than 50 grams of crack cocaine. There was ample testimony describing frequent narcotics sales
9 by members of the conspiracy, and witnesses testified that Rose and Fisher participated in daily
10 sales of numerous small packets of crack cocaine.

11 Rose, Rodriguez, and Fisher also challenge the sufficiency of the evidence supporting
12 their convictions under 18 U.S.C. § 924 for use of firearms in furtherance of the narcotics
13 conspiracy. In order to sustain a conviction under 18 U.S.C. § 924, the Government must
14 demonstrate “some nexus between the firearm and the drug selling operation.” United States v.
15 Finley, 245 F.3d 199, 203 (2d Cir. 2001). We have noted that “[u]ltimately, the test is whether a
16 reasonable jury could, on the evidence presented at trial, find beyond a reasonable doubt that
17 possession of the firearm facilitated the drug trafficking crime . . . ; ‘in furtherance’ means that
18 the gun afforded some advantage (actual or potential, real or contingent) relevant to the
19 vicissitudes of drug trafficking.” United States v. Lewter, 402 F.3d 319, 322 (2d Cir. 2005). In
20 Lewter, we concluded that “[p]ossession of a firearm to defend a drug stash clearly furthers the
21 crime of possession with intent to distribute the contents of that stash.” Id.

22 In this case, cooperating witnesses testified that there were weapons in at least two of the

1 locations where the members of the conspiracy stored and prepared the narcotics. There was
2 evidence in the record that the Defendants carried weapons during narcotics sales on East 228th
3 Street and that they hid numerous firearms in the vicinity of the locations where they sold crack
4 cocaine. One witness testified that he had observed Rose retrieve hidden weapons on two
5 occasions when the conspirators expected violence from rival drug dealers. Police testimony
6 described Fisher stashing a handgun in a trash can near where he sold crack cocaine. Witness
7 testimony connected Rodriguez to several weapons and described him firing a weapon into the
8 air in response to a competitor's sale of crack cocaine on East 228th Street. The evidence created
9 the permissible inference that the members of the conspiracy possessed and used firearms in
10 furtherance of the drug distribution alleged in the indictment.

11 III.

12 Rose alleges that the Government violated his Fifth Amendment rights by introducing
13 into evidence the tape recording of an attempted crack cocaine sale between Rose and an
14 undercover police officer during which the officer allegedly gave Rose alcohol and Rose became
15 intoxicated. We review a district court's evidentiary decisions for abuse of discretion. See
16 United States v. Schultz, 333 F.3d 393, 415 (2d Cir. 2003). Although this Court has not
17 addressed the issue of whether a defendant's intoxication renders his statement inadmissible, one
18 court in this Circuit has determined, in the context of a habeas petition, that where a defendant's
19 intoxication is police-induced, his statement is "automatically excluded." Davis v. Bara, 542 F.
20 Supp. 743, 747 (E.D.N.Y. 1982). However, the District Court found no conclusive evidence that
21 the undercover officer either provided Rose with the alcohol or induced his intoxication, and we
22 find no error in the District Court's factual determination or abuse of discretion in its decision to

1 admit the tape of the conversation.

2 Rose also alleges that reversal is warranted by the cumulative effect of: evidentiary errors,
3 prosecutorial misconduct during summation, the Government’s use of witnesses who lacked
4 credibility, and the Government’s failure to timely disclose Brady material. According to Rose,
5 the District Court erred in failing to grant his motion for a mistrial on the basis of these errors.

6 With respect to the claimed evidentiary errors — witness testimony referring to his prior
7 incarceration, the admission of “excessive” evidence of narcotics transactions not related to the
8 charged conspiracy, and the display of his arrest photographs to the jury — the trial transcript
9 demonstrates that the District Court provided appropriate limiting instructions to the jury.

10 Further, the District Court offered a strong curative instruction addressing the Government’s use
11 of Rose’s nickname “Murda” in its closing argument.

12 The record does not support Rose’s claim that, during trial, a cooperating witness
13 “blurted out” that Rose had participated in a shooting not charged in the indictment. While the
14 witness did refer to a shooting that had occurred in August 2001, that witness did not name Rose
15 or any other Defendant as the individual who had been involved in the shooting. Further, there is
16 no merit to Rose’s claim that the Government improperly relied on the testimony of witnesses
17 who were not credible. It is well established that “[b]ecause the courts generally must defer to
18 the jury’s resolution of conflicting evidence and assessment of witness credibility, it is only
19 where exceptional circumstances can be demonstrated that the trial judge may intrude upon the
20 jury function of credibility assessment.” United States v. Ferguson, 246 F.3d 129, 133–34 (2d
21 Cir. 2001) (internal quotation marks omitted). Here, Rose has offered no evidence that the
22 witnesses’ testimony either was perjurious or “patently incredible or defie[d] physical realities”

1 to warrant a mistrial. United States v. Sanchez, 969 F.2d 1409, 1414 (2d Cir. 1992).

2 As to the alleged Brady violation, Rose fails to demonstrate that the information allegedly
3 withheld by the Government was material to his defense as either exculpatory or impeachment
4 evidence. See United States v. Avellino, 136 F.3d 249, 255 (2d Cir. 1998) (“Information coming
5 within the scope of [Brady] includes not only evidence that is exculpatory . . . but also evidence
6 that is useful for impeachment . . .”). The purported Brady material included a detective’s notes
7 from interviews with two witnesses who both omitted Rose’s name when discussing membership
8 in a gang that members of the conspiracy belonged to. As the Government argues, membership
9 in that gang was not a prerequisite for membership in the conspiracy. The other purported Brady
10 material consisted of alleged statements by a cooperating witness that Rose had given crack
11 cocaine to another individual in exchange for a gun. Rose fails to demonstrate how this alleged
12 statement — which was neither written nor recorded and therefore not even considered a
13 “statement” required to be disclosed pursuant to 18 U.S.C. § 3500 — was exculpatory. We find
14 no basis to disturb the District Court’s ruling that the information that was allegedly improperly
15 withheld had no exculpatory or impeachment value.

16 IV.

17 Robinson argues that the District Court erred in dismissing a seated juror and replacing
18 her with an alternate without further inquiry into the juror’s explanation that she could not
19 continue to serve at trial. Rule 24(c) of the Federal Rules of Criminal Procedure provides that a
20 district court may “impanel up to 6 alternate jurors to replace any jurors who are unable to
21 perform or who are disqualified from performing their duties.” This Court has held that district
22 courts have “broad discretion under [Rule 24(c)] to replace a juror at any time before the jury

1 retires if there is reasonable cause to do so, and a reviewing court will only find abuse of that
2 discretion where there is bias or prejudice to the defendant.” United States v. Purdy, 144 F.3d
3 241, 247 (2d Cir. 1998) (internal quotation marks omitted). Here, Robinson offers no evidence
4 demonstrating that the District Court abused its discretion in substituting the juror with the
5 alternate juror. The court explained that the juror had claimed that her “nerves [were] shot” and
6 that she planned to seek medical attention. The substitution occurred at the beginning of the
7 second day of a multi-week trial. Robinson points to no evidence that the substitution created
8 bias or prejudiced his defense. Accordingly, this claim presents no basis for reversal in this case.

9 V.

10 Rodriguez argues that the District Court violated his Sixth Amendment rights by
11 proceeding to trial where there was reasonable cause to believe that he was not competent to do
12 so. It is well established that due process “prohibits the criminal prosecution of a defendant who
13 is not competent to stand trial.” Medina v. California, 505 U.S. 437, 439 (1992). The
14 “[d]etermination of whether there is ‘reasonable cause’ to believe a defendant may be
15 incompetent rests in the discretion of the district court.” United States v. Vamos, 797 F.2d 1146,
16 1150 (2d Cir. 1986). Here, Rodriguez points to reports of evaluations performed by Dr. Andrew
17 Capruso, a neuropsychologist, as evidence that he was not competent to stand trial and that the
18 District Court abused its discretion by failing to conduct a competency hearing prior to trial.
19 Although Capruso concluded that at the time of trial Rodriguez lacked the capacity to rationally
20 consult with his attorney and assist in the preparation of his defense, Capruso made that
21 conclusion well after the trial had ended and based only on his review of Rodriguez’s medical
22 records and the descriptions of Rodriguez’s behavior by his trial counsel. Prior to trial, two

1 psychologists, including one retained by the defense, concluded in reports provided to the
2 District Court that Rodriguez was competent to stand trial. Each of these psychologists had
3 examined Rodriguez at least twice before the trial commenced. We are not persuaded that the
4 District Court had any reason to doubt the conclusions of these psychologists. Moreover, other
5 than his own conclusory assertion, Rodriguez offers no evidence that the District Court should
6 have realized at some point during trial that Rodriguez had become incompetent to proceed.
7 Accordingly, this claim presents no basis to direct a new trial in this case.

8 VI.

9 After all of the parties had filed their briefs in this Court, the Supreme Court issued
10 Kimbrough v. United States, — U.S. —, 128 S. Ct. 558, 169 L. Ed. 2d 481 (2007), where it held
11 that district courts “may consider the disparity between the Guidelines’ treatment of crack and
12 powder cocaine offenses” in deciding if a sentence is greater than necessary to achieve the goals
13 of sentencing. Id. at 564. All of the defendants, with the exception of Fisher, were sentenced to
14 statutorily mandated minimum sentences. Fisher’s sentence exceeded the statutory minimum by
15 31 months. Accordingly, pursuant to Regalado, we must remand defendant Fisher’s sentence so
16 that the District Court can determine whether it would have imposed a non-trivially different
17 sentence had it understood the full scope of its authority.

18 VII.

19 For the foregoing reasons, we affirm the judgment of the District Court as to the
20 convictions of Defendants, and we remand defendant Fisher’s sentence for further proceedings
21 consistent with Regalado.