07-1155-cv Local 377, RWDSU, UFCW v. 1864 Tenants Association

1 2 3	UNITED STATES COURT OF APPEALS
4	FOR THE SECOND CIRCUIT
5 6 7	August Term, 2007
8 9 10	(Argued: June 17, 2008 Decided: July 8, 2008)
11	Docket No. 07-1155-cv
12 13	X
14	LOCAL 377, RWDSU, UFCW,
15	<u>Plaintiff-Appellee</u> ,
16	-v
17	1864 Tenants Association,
18	Defendant-Appellant.
19	X
20 21 22 23	Before: JACOBS, <u>Chief Judge</u> , STRAUB, <u>Circuit</u> <u>Judge</u> , and CEDARBAUM, District Judge. ¹
24	Appeal from a judgment of the United States District
25	Court for the Southern District of New York (Sand, $\underline{J}.$),
26	confirming an arbitration award against defendant under
27	§ 301 of the Labor Management Relations Act, 29 U.S.C.

¹ The Honorable Miriam Goldman Cedarbaum, United States District Judge for the Southern District of New York, sitting by designation.

1	§ 185. Affirmed on the opinion below.
2 3 6 7 8 9 10 11	Scott B. Gilly, Thompson Wigdor & Gilly LLP, New York, New York (Ariel Y. Graff, <u>on the brief</u>), <u>for Defendant-Appellant</u> . Thomas Rubertone, Jr., Law Offices of Richard M. Greenspan, P.C., Ardsley, New York, <u>for</u> <u>Plaintiff-Appellee</u> .
12 13	PER CURIAM
14 15	1864 Tenants Association ² (the "Employer") appeals from
16	a judgment of the United States District Court for the
17	Southern District of New York (Sand, \underline{J} .), granting the
18	motion of Local 377, RWDSU, UFCW ("Local 377") for summary
19	judgment confirming an arbitration award against the
20	Employer.
21	As set out at greater length by the district judge, the
22	following facts are undisputed. The Employer voluntarily
23	entered into a collective bargaining agreement ("CBA") that
24	Local 377 negotiated on behalf of the Employer's single
25	employee, a building superintendent. At the end of the
26	three-year term covered by the CBA, the parties were unable

 $^{^{\}rm 2}$ 1864 Tenants Association is no longer in existence. The complaint was answered by 1862-66 Third Avenue H.D.F.C. Inc.

to agree on provisions of a new CBA. Pursuant to the CBA's 1 interest arbitration clause, the union submitted the dispute 2 to arbitration. The Employer, despite adequate notice, did 3 not participate in the arbitration. On October 24, 2005, 4 the arbitrator awarded a new CBA covering the following 5 three-year term. The Employer did not move to vacate the 6 7 Instead, after Local 377 sought to confirm the award award. in a complaint filed on February 14, 2006, the Employer 8 opposed confirmation on several grounds. Principally, the 9 Employer argued that a CBA covering a bargaining unit 10 composed of a single employee was not a valid contract 11 enforceable under § 301 of the Labor Management Relations 12 Act, 29 U.S.C. § 185. 13

In a thorough and thoughtful opinion, Judge Sand analyzed all of the applicable authority and the reasons for granting summary judgment to the plaintiff in this case. <u>Local 377, RWDSU, UFCW v. 1864 Tenants Ass'n</u>, 181 L.R.R.M. 2817 (S.D.N.Y. 2007).

Because "[t]he federal courts are under an independent obligation to examine their own jurisdiction," <u>Lebron v.</u> <u>Nat'l R.R. Passenger Corp. (Amtrak)</u>, 69 F.3d 650, 659 (2d Cir. 1995), we consider the Employer's new argument that the

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enforcement of this arbitration award exceeds Congress's 1 power under the Commerce Clause, U.S. Const. art. I, § 8, 2 cl. 3. Having considered it, we reject it. Congress could 3 reasonably have concluded that there would be a substantial 4 5 effect on interstate commerce if arbitration awards pursuant to single-employee CBAs were unenforceable in the federal 6 courts: enforcement actions would be relegated to 7 enforcement under the varying contract law principles in the 8 several states, thereby undermining the uniform, national 9 10 approach to American labor law. See generally Local 174, Teamsters, Chauffeurs, Warehousemen & Helpers of Am. v. 11 Lucas Flour Co., 369 U.S. 95, 104 (1962) ("[W]e cannot but 12 conclude that in enacting [§] 301 Congress intended 13 doctrines of federal labor law uniformly to prevail over 14inconsistent local rules."). 15

The Employer raises additional arguments on appeal that were not raised below; those arguments have been forfeited. "[I]t is a well-established general rule that an appellate court will not consider an issue raised for the first time on appeal." <u>Greene v. United States</u>, 13 F.3d 577, 586 (2d Cir. 1994).

22 Affirmed on the opinion below.

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