

1 UNITED STATES COURT OF APPEALS  
2 FOR THE SECOND CIRCUIT  
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5 August Term, 2012

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7 (Argued: February 1, 2013 Decided: February 27, 2013)

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9 Docket No. 12-1006-cv  
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11 MARK R. MARASCHIELLO,

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14 *Plaintiff-Appellant,*

15  
16 -v.-

17 CITY OF BUFFALO POLICE DEPARTMENT, H. MCCARTHY GIPSON,

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19  
20 *Defendants-Appellees,*  
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25 Before:

26 WALKER, CABRANES, AND WESLEY, *Circuit Judges*  
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30 Plaintiff-Appellant Mark Maraschiello sued the City of  
31 Buffalo Police Department and its police chief for racial  
32 discrimination after the results of a civil service  
33 examination were replaced by the results of an updated  
34 version. The United States District Court for the Western  
35 District of New York (Arcara, J.) granted defendants' motion  
36 for summary judgment, finding that *Ricci v. DeStefano*, 557  
37 U.S. 557 (2009), did not indicate that defendants' actions  
38 were prohibited. We affirm.  
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2 Law Office of Lindy Korn, Buffalo, NY, *for*  
3 *Appellant*.  
4

5 JOSHUA FEINSTEIN, Hodgson Russ LLP, Buffalo, NY,  
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7 *Department*.  
8

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10 *brief*), Connors & Vilaro, LLP, Buffalo, NY,  
11 *for Appellee H. McCarthy Gipson*.  
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WESLEY, Circuit Judge:

16 Mark Maraschiello, a white male employed as a captain  
17 in the City of Buffalo Police Department (the "Department"),  
18 sued the Department and its police chief, H. McCarthy Gipson  
19 (collectively "defendants"), claiming that their failure to  
20 promote him was impermissibly motivated by race.

21 Maraschiello's scores on a 2006 civil service examination  
22 rendered him eligible for promotion to the position of  
23 inspector. After Buffalo adopted the results of a new exam  
24 two years later, however, another officer was promoted to an  
25 open inspector position. Maraschiello contends that this  
26 amounted to racial discrimination in violation of Title VII  
27 of the Civil Rights Act of 1964, 42 U.S.C. § 2000e-2(a)(1);  
28 42 U.S.C. § 1983; and the Equal Protection Clause of the  
29 Fourteenth Amendment. The United States District Court for

1 the Western District of New York (Arcara, J.) adopted  
2 Magistrate Judge Leslie G. Foschio's report and  
3 recommendation granting summary judgment in favor of  
4 defendants. See *Maraschiello v. City of Buffalo Police*  
5 *Dep't*, No. 10-CV-00187A(F), 2011 WL 7395095 (Sept. 13,  
6 2011). We affirm.

7 **Facts**

8 Maraschiello, a white man, has at all relevant times  
9 been employed by the Department as a captain. The  
10 Department bases its promotional decisions for several  
11 positions, including that of inspector, on the results of a  
12 civil service exam. In accordance with New York law, the  
13 City of Buffalo (the "City") may promote any one of the  
14 three top scorers on a given exam. See N.Y. Civ. Serv. Law  
15 § 61[1] ("Appointment or promotion from an eligible list to  
16 a position in the competitive class shall be made by the  
17 selection of one of the three persons certified by the  
18 appropriate civil service commission as standing highest on  
19 such eligible list who are willing to accept such  
20 appointment or promotion . . ."). Maraschiello took the  
21 exam required for the inspector position on September 16,  
22 2006. He received the highest grade on the exam and ranked

1 first on a list of candidates that was certified on December  
2 13, 2006. The parties do not dispute that the exam  
3 qualified Maraschiello and the other two top scorers for  
4 promotion to inspector at any time while the 2006 list  
5 remained in effect. For most of this period, however, there  
6 were no open inspector positions.

7 During this time, the City of Buffalo ("the City") was  
8 going through the process of adopting a new police promotion  
9 exam. Defendants submitted evidence that, in October 2006,  
10 the City engaged personnel psychologist Nancy Abrams to  
11 review the civil service exams. Abrams submitted an  
12 affidavit stating that "[i]n part prompted" by "several  
13 federal civil rights actions . . . challenging the City's  
14 use of examinations prepared by the New York State  
15 Department of Civil Service," the City "requested that [she]  
16 review the Police promotional exams prepared by NYS Civil  
17 Service . . . to evaluate whether they were valid  
18 examinations that selected the candidates best suited for  
19 the job and otherwise complied with applicable legal and  
20 professional standards for employment examinations." Joint  
21 App'x 88-89. Abrams concluded that the civil service agency  
22 had not updated the job analysis in nearly thirty years and

1 that it was out of date, in part because its reliance on  
2 multiple-choice questions was not "optimal for police work  
3 and other fields requiring qualities - such as effective  
4 oral communication and the ability to assume command of  
5 situations - that are difficult to evaluate through such  
6 traditional methods." *Id.* at 90-91. Abrams discussed these  
7 conclusions with City officials, and "[a]fter receiving  
8 [her] conclusions, the City published a request for  
9 proposals [{"RFP"}] in April 2007 for an independent  
10 consultant to develop new Civil Service examinations." *Id.*  
11 at 91. Abrams "assisted the City in designing the RFP and  
12 evaluating the responses received to accomplish these goals  
13 and provide Buffalo with a better exam." *Id.* at 91-92.

14 The City issued the "Request for Proposals for  
15 Development of Police Promotional Examinations" on April 27,  
16 2007. The RFP began by reciting the "Regulations" governing  
17 the bidding. *Id.* at 55-58. Of note is that the section  
18 includes a provision entitled "Method of Tendering  
19 Proposals." *Id.* at 55. That provision contains three  
20 subsections. The first establishes that "all bidders must  
21 tender their proposal on the form furnished with these  
22 specifications"; the second states that no entity shall

1 submit more than one proposal; and the third states the  
2 following:

3 All bidders must submit with their bid a statement  
4 indicating that they will work toward a minority  
5 workforce goal of 25%, and woman workforce goal of 5%.  
6 In addition, a statement must be submitted indicating  
7 that the bidder will work toward a business utilization  
8 goal for minority business enterprise of 25% and woman  
9 business enterprise of 5%.

10  
11 *Id.* (emphasis omitted).

12 After the Regulations section, the RFP describes in  
13 detail the sort of examinations it sought. It begins with  
14 the following paragraph:

15 The City of Buffalo (the "City") has traditionally used  
16 examinations prepared by the New York State Department  
17 of Civil Service for examining candidates for  
18 promotional titles within the Buffalo Police and Fire  
19 Departments. In 1973 and 1974, civil lawsuits were  
20 brought against the City alleging discrimination in  
21 entry-level hiring in the Police and Fire Departments.  
22 In 1978, the Court found there was discrimination, and  
23 the Court has been overseeing various remedies since  
24 that time. The City remains under Court supervision  
25 with respect to entry-level hiring in both departments.  
26 Further, in 1998 and in 2002, civil lawsuits were  
27 brought against the City in which the examination for  
28 promotion to fire lieutenant was alleged to have a  
29 discriminatory impact against African-American  
30 candidates. Those lawsuits are still pending as of the  
31 date of this Request for Proposals. Although the City  
32 denies that the examinations previously used were  
33 discriminatory, it has decided to cease using the  
34 examinations prepared by the New York State Department  
35 of Civil Service for Police Officer and Firefighter  
36 promotional titles and therefore is issuing this  
37 Request for Proposals for the development of its own  
38 examinations.

1  
2 *Id.* at 60. The RFP contains further provisions detailing  
3 the scope of the work - establishing, *inter alia*, that the  
4 proposed tests must deal with job requirements and scoring  
5 procedures. *Id.* at 60-70. It also states that "testing  
6 instruments and procedures must conform to Title VII . . . ;  
7 to this end, they must be free from non-job related factors  
8 which might function as biases against any group on the  
9 basis of race, color, religion, sex, age, national origin,  
10 or any other classification protected by law." *Id.* at 61.

11 In late 2007 and early 2008, the City selected  
12 Industrial/Organization Solutions, Inc. from among various  
13 bidders, and the two entities collaborated in developing a  
14 promotional exam consisting of both a written test and an  
15 oral assessment. After the development process was  
16 complete, the City announced and administered the new exam  
17 for the inspector position in two parts: the written  
18 component in February 2008 and the oral component on March  
19 31, 2008. Maraschiello elected not to take the 2008 test;  
20 he does not allege that he was in any way prevented from  
21 doing so.

22 On March 18, 2008, Gipson issued Special Order No.  
23 2008-48, which stated: "Inspector Philip Ramunno, assigned

1 to the B District, has been granted a service pension in the  
2 New York State Retirement System effective March 18, 2008.”  
3 *Id.* at 75.

4 On April 16, 2008, after the new test was scored, the  
5 City adopted a new inspector list, and the 2006 eligibility  
6 list automatically expired. Patrick Reichmuth, who is a  
7 white male (as was every candidate on both the 2006 and 2008  
8 lists), placed first on the 2008 list. Reichmuth had been  
9 second on the 2006 list. Maraschiello did not appear on the  
10 2008 list, which is not surprising given his failure to take  
11 the test. On June 16, 2008, Reichmuth was appointed to fill  
12 the vacancy created by Ramunno’s retirement.

### 13 **District Court Proceedings**

14 After exhausting his administrative remedies,  
15 Maraschiello filed a four-count complaint in district court  
16 on March 5, 2010. He asserted claims of unlawful  
17 discrimination under Title VII, § 1983, and the Equal  
18 Protection Clause of the Fourteenth Amendment. He also  
19 asserted a state-law claim for defamation based on an  
20 alleged statement by Gipson, in the context of promotion  
21 discussions, that Maraschiello “was a racist.” Joint App’x  
22 14.



1 Defendants filed a motion to dismiss the complaint  
2 pursuant to Rule 12(b)(6), asserting that Maraschiello's  
3 claim did not involve the sort of impermissibly race-based  
4 action described in *Ricci v. DeStefano*, 557 U.S. 557 (2009).  
5 The district court denied the motion, noting that  
6 Maraschiello had alleged that after the adoption of the 2006  
7 exam results, the city solicited bids for new exams with the  
8 purpose of "increas[ing] minority representation on the  
9 force." Joint App'x 30. The court then noted:

10 Defendants have failed to distinguish *Ricci* from the  
11 facts of this case. Based upon plaintiff's  
12 allegations, it would appear that *Ricci* applies to  
13 plaintiff's discrimination claims. Plaintiff asserts  
14 that the city discarded the 2006 exam results because  
15 it wanted to increase minority representation on the  
16 police force. Defendants do not dispute this point,  
17 and, in fact, expressly acknowledge that the City had  
18 endured "numerous legal challenges to the validity of  
19 the civil service examinations" over the past few  
20 decades and that the new exams were created "to avoid  
21 further litigation with respect to those exams." In  
22 light of *Ricci* and plaintiff's allegations that the  
23 2006 exam results were discarded for the purpose of  
24 avoiding further claims of racial discrimination,  
25 defendant's motion to dismiss plaintiff's  
26 discrimination claims is denied.

27  
28 *Id.* at 31-32 (internal citation omitted).

29 In January 2011, after some discovery, Maraschiello  
30 moved for partial summary judgment on the issue of  
31 liability. Gipson cross-moved for summary judgment

1 dismissing the Title VII claims against him in his  
2 individual capacity. Magistrate Judge Foschio recommended  
3 that the district court deny Maraschiello's motion, grant  
4 summary judgment *sua sponte* for all defendants on the  
5 federal claims, and decline to exercise supplemental  
6 jurisdiction over the defamation claim.

7 Judge Foschio first found that defendants could not be  
8 held liable under Title VII based on *Ricci* because the case  
9 was factually distinguishable. *Maraschiello*, 2011 WL  
10 7395095, at \*7-8. Judge Foschio found further that the  
11 other evidentiary bases for Maraschiello's claim were  
12 insufficient: Maraschiello's contentions that the RFP  
13 statement regarding a 25% minority workforce referred to the  
14 police workforce rather than a bidding contractor's  
15 workforce were unavailing; Maraschiello never sat for the  
16 2008 exam; and the person who was eventually promoted was,  
17 like Maraschiello, a white man. *Id.* at \*8-10.

18 Judge Foschio went on to determine that Maraschiello  
19 could not maintain a claim under § 1983 because he had no  
20 cognizable property right to the inspector position and that  
21 Maraschiello's equal protection claim was moot in the  
22 absence of a viable discrimination claim under the other two

1 statutes. *Id.* at \*11-12. Finally, Judge Foschio  
2 recommended that the district court decline to exercise  
3 supplemental jurisdiction over the defamation claim because  
4 the case was at a relatively early stage and a state-court  
5 action would not be barred by the statute of limitations.  
6 *Id.* at \*14.

7 After Judge Foschio issued the recommendation and  
8 report on September 13, 2011, Maraschiello filed objections.  
9 On December 19, 2011, the district court held oral argument  
10 on whether the recommendation and report should be adopted.  
11 In order to provide additional notice to Maraschiello before  
12 acting on the recommendation to grant summary judgment to  
13 all defendants *sua sponte*, the district court permitted  
14 supplemental briefing, which the parties filed in due  
15 course. On January 24, 2012, the district court held a  
16 second hearing to afford the parties a further opportunity  
17 to present their respective positions. Finally, on February  
18 16, 2012, the district court issued a decision adopting  
19 Judge Foschio's proposed findings and dismissing the case.

#### 20 **Discussion**

21 Maraschiello's brief on appeal contains no discussion  
22 of the § 1983 or defamation claims and only three sentences

1 of unsupported argument regarding his equal protection  
2 claim. See Appellant's Br. at 16. "Merely mentioning or  
3 simply stating an issue in an appellate brief is  
4 insufficient to preserve it for our review: an appellant  
5 must advance an argument, and we generally will decline to  
6 consider issues that are not sufficiently argued." *Niagara*  
7 *Mohawk Power Corp. v. Hudson River-Black River Regulating*  
8 *Dist.*, 673 F.3d 84, 107 (2d Cir. 2012) (internal quotation  
9 marks and brackets omitted). Thus, it is only necessary for  
10 us to consider Maraschiello's arguments regarding Title  
11 VII.<sup>1</sup>

12 "We review an order granting summary judgment *de novo*,  
13 drawing all factual inferences in favor of the non-moving  
14 party." *Ment Bros. Iron Works Co., Inc. v. Interstate Fire*  
15 *& Cas. Co.*, 702 F.3d 118, 120-21 (2d Cir. 2012). "[W]e  
16 affirm only where we are able to conclude, after construing  
17 the evidence in the light most favorable to the non-moving  
18 party and drawing all reasonable inferences in its favor,  
19 that 'there is no genuine dispute as to any material fact

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<sup>1</sup> Because we conclude that Maraschiello's Title VII claim fails, and "[t]he elements of [a Title VII claim] are generally the same as the elements of [an equal protection claim] and the two must stand or fall together," *Feingold v. New York*, 366 F.3d 138, 159 (2d Cir. 2004), his equal protection claim would fail in any event.

1 and the movant is entitled to judgment as a matter of law.'"  
2 *Costello v. City of Burlington*, 632 F.3d 41, 45 (2d Cir.  
3 2011) (quoting Fed. R. Civ. P. 56(a)).

4 **I.**

5 Title VII claims are generally "analyzed under the  
6 familiar burden-shifting framework of *McDonnell Douglas*  
7 *Corp. v. Green*, 411 U.S. 792 . . . (1973), and its progeny."  
8 *Mathirampuzha v. Potter*, 548 F.3d 70, 78 (2d Cir. 2008). At  
9 the first stage of *McDonnell Douglas*, a plaintiff "bears the  
10 burden of establishing a *prima facie* case of  
11 discrimination," which includes demonstrating that "he  
12 suffered an adverse employment action . . . under  
13 circumstances giving rise to an inference of discriminatory  
14 intent." *Id.* "Once the *prima facie* case has been shown,  
15 'the burden then must shift to the employer to articulate  
16 some legitimate, nondiscriminatory reason' for the adverse  
17 employment action." *United States v. Brennan*, 650 F.3d 65,  
18 93 (2d Cir. 2011) (quoting *McDonnell Douglas*, 411 U.S. at  
19 802).

20 A plaintiff may also attempt more directly to "convince  
21 the trier of fact that an impermissible criterion in fact  
22 entered into the employment decision" by "focus[ing] his

1 proof directly at the question of discrimination and  
2 prov[ing] that an illegitimate factor had a 'motivating' or  
3 'substantial' role in the employment decision." *Tyler v.*  
4 *Bethlehem Steel Corp.*, 958 F.2d 1176, 1181 (2d Cir. 1992)  
5 (citation omitted). If the employee does so, he is  
6 "entitled to succeed subject only to the employer's  
7 opportunity to prove its affirmative defense, that is, that  
8 it would have reached the same decision as to [the  
9 employee's employment] even in the absence of the  
10 impermissible factor." *Id.* (internal quotation marks and  
11 citations omitted).

12 Maraschiello's central contention is that *Ricci*  
13 establishes that defendants' actions violated Title VII.  
14 Repeated references in his brief to a "*Ricci* theory" or  
15 "*Ricci* analysis" suggest that he is arguing that the case  
16 established a new framework for Title VII litigation. It  
17 did not. As we have explained, "*Ricci* does not impose a new  
18 . . . summary-judgment burden-shifting framework, but  
19 instead constitutes . . . a straightforward application of  
20 the first two steps of *McDonnell Douglas*." *Brennan*, 650  
21 F.3d at 93-94. Because *Ricci* involved a factual scenario  
22

1 somewhat similar to Maraschiello's, however, it is worth  
2 discussing that case in some detail.

3 In *Ricci*, a group of New Haven firefighters had taken  
4 examinations necessary to qualify for promotions. 557 U.S.  
5 at 562. "When the examination results showed that white  
6 candidates had outperformed minority candidates," New Haven  
7 agreed with other firefighters who "argued [that] the tests  
8 should be discarded [prior to certification of the results]  
9 because the results showed the tests to be discriminatory."  
10 *Id.* New Haven "threw out the examinations" based on the  
11 racial disparity reflected in the results. *Id.* The  
12 plaintiff firefighters alleged that discarding the results  
13 discriminated against them based on their race, in violation  
14 of Title VII's prohibition of disparate treatment. New  
15 Haven countered that "if they had certified the results,  
16 they could have faced liability under Title VII for adopting  
17 a practice that had a disparate impact on the minority  
18 firefighters." *Id.* at 563.

19 The Supreme Court's analysis began with the premise  
20 that, absent a valid defense, New Haven's actions would  
21 violate the disparate-treatment prohibition because "[a]ll  
22 the evidence demonstrate[d] that [New Haven] chose not to

1 certify the examination results because of the statistical  
2 disparity based on race - *i.e.*, . . . because too many  
3 whites and not enough minorities would be promoted were the  
4 lists to be certified." *Id.* at 579 (internal citation and  
5 quotation marks omitted). "Whatever [New Haven's] ultimate  
6 aim - however well intentioned or benevolent it might have  
7 seemed - [New Haven] made its employment decision because of  
8 race [and] rejected the test results solely because the  
9 higher scoring candidates were white." *Id.* at 579-80.  
10 "[T]he original, foundational prohibition of Title VII bars  
11 employers from taking adverse action 'because of . . .  
12 race.'" *Id.* at 581 (quoting 42 U.S.C. § 2000e-2(a)(1)).  
13 This prohibition was violated when "the firefighters saw  
14 their efforts invalidated by [New Haven] in sole reliance  
15 upon race-based statistics." *Id.* at 584.  
16 "In other words, because [New Haven's] decision to  
17 reject the test results was explicitly based on a  
18 statistical racial disparity, it was beyond dispute that the  
19 plaintiffs had made out a *prima facie* case, so the burden  
20 shifted to the defendants to give a legitimate justification  
21 for the adverse employment action." *Brennan*, 650 F.3d at  
22 93. The Court thus turned to the question of "whether the



1 purpose to avoid disparate-impact liability excuses what  
2 otherwise would be prohibited disparate-treatment  
3 discrimination." *Ricci*, 557 U.S. at 580. It rejected the  
4 plaintiffs' contention that an employer could never take  
5 race-based adverse employment actions in order to avoid  
6 disparate-impact liability, finding that so "broad and  
7 inflexible [a] formulation" would impermissibly nullify  
8 Congressional intent to stamp out racially disparate impact  
9 along with disparate treatment. *Id.* On the other hand, the  
10 Court also rejected New Haven's argument that city officials  
11 could "violate the disparate-treatment prohibition based on  
12 a mere good-faith fear of disparate-impact liability"  
13 because that "would encourage race-based action at the  
14 slightest hint of disparate impact," and "Title VII is  
15 express in disclaiming any interpretation of its  
16 requirements as calling for outright racial balancing." *Id.*  
17 at 581-82.

18 The Court concluded that it was appropriate to  
19 "constrain[] employers' discretion in making race-based  
20 decisions . . . to cases in which there is a strong basis in  
21 evidence of disparate-impact liability," although this does  
22 not require a "provable, actual violation." *Id.* at 583.

1 Thus, an employer may not discard a test "to achieve a more  
2 desirable racial distribution of promotion-eligible  
3 candidates - absent a strong basis in evidence that the test  
4 was deficient and that discarding the results is necessary  
5 to avoid violating the disparate-impact provision." *Id.* at  
6 584. The Court held that the scoring disparity on the New  
7 Haven test results could not provide that basis absent  
8 evidence either that "the examinations were not job related  
9 and consistent with business necessity" or that "there  
10 existed an equally valid, less-discriminatory alternative  
11 that served [New Haven's] needs but that [New Haven] refused  
12 to adopt." *Id.* at 587. "Fear of litigation alone cannot  
13 justify an employer's reliance on race to the detriment of  
14 individuals who passed the examinations and qualified for  
15 promotions." *Id.* at 592.

16 To subject the defendants to Title VII liability,  
17 Maraschiello must either provide direct evidence of  
18 discrimination or establish, as part of a *prima facie* case  
19 under *McDonnell Douglas*, that he experienced an adverse  
20 employment action "under circumstances giving rise to an  
21 inference of discrimination." *Brennan*, 650 F.3d at 93  
22 (internal quotations omitted). If he does so, the burden

1 shifts to the City to justify its conduct, perhaps by  
2 establishing a strong basis in evidence that it would  
3 otherwise have been subject to a disparate-impact claim.  
4 Because we find that Maraschiello has failed to provide  
5 evidence from which a reasonable jury could conclude that he  
6 suffered a discriminatory action under either framework, we  
7 need not consider the justification issue.

8 Maraschiello's argument regarding the adverse  
9 employment action he suffered was stated most clearly by his  
10 counsel at oral argument before the district court after  
11 Judge Foschio issued the Report and Recommendation:

12 When the vacancy came into existence, they chose not to  
13 select him. They chose to use the new test which is  
14 designed for a racial reason, and unless they can show  
15 the necessary justifications then that's a facially  
16 racial decision. . . .

17  
18 The Supreme Court starts with that premise that if you  
19 determine to change your test for fear of race -  
20 disparate impact, racial disparate impact, if you make  
21 that decision it's a race-conscious decision. And if  
22 you then harm someone by it that's the discrimination.  
23 . . . They picked the race test versus the test that  
24 could have promoted him. If they had picked the 2006  
25 test he would not have a *Ricci* claim at all. He  
26 absolutely wouldn't.

27  
28 Joint App'x 279-81. Maraschiello's claim thus appears to  
29 center on the 30-day period between Inspector Ramunno's  
30 retirement (on March 18, 2008) and the adoption of the 2008

1 eligibility list (on April 16, 2008). Construed most  
2 generously, his argument is that, immediately upon Ramunno's  
3 retirement, the City should have made its promotion decision  
4 from the 2006 list that included Maraschiello but that the  
5 City instead chose to delay the appointment decision for a  
6 month in order to use the results of the new test, which was  
7 adopted "for a racial reason." Thus, according to  
8 Maraschiello, he was denied his shot at the promotion in the  
9 same way and for the same reasons as the firefighters in  
10 *Ricci*.

11 This argument cannot succeed. In *Ricci*, the defendants  
12 threw out the results of a test based on the racial  
13 disparity reflected in those particular results, denying the  
14 firefighters who had taken it any chance of a promotion. In  
15 this case, Maraschiello's results were certified, and he was  
16 eligible for a promotion for over a year. More important,  
17 however, is the manner in which Maraschiello's eligibility  
18 expired. Unlike in *Ricci*, where the results of a specific  
19 test were simply discarded based on the racial statistics  
20 reflected in the results, here the City replaced the 2006  
21 list with the 2008 list after spending more than a year

1 preparing to revise its assessment methods.<sup>2</sup> Its problem  
2 was with the test itself, rather than with a particular set  
3 of results. The City administered the first phase of the  
4 2008 test in February, which was before the inspector  
5 position Maraschiello desired became vacant. Maraschiello  
6 chose not to take this test even before he knew that a  
7 position would be open. In short, the City was already in  
8 the process of preparing to replace the eligibility list - a  
9 process in which Maraschiello chose not to participate.  
10 This process, even though it eventually resulted in the  
11 automatic invalidation of the 2006 list, was not a rejection  
12 of that list for its own sake.

13 We do not read *Ricci* as confined to situations  
14 involving the discarding of civil service test results based  
15 on the disparity those results reflect. Rather, the case  
16 establishes more generally that "before an employer can  
17 engage in intentional discrimination for the asserted  
18 purpose of avoiding or remedying an unintentional disparate  
19 impact, the employer must have a strong basis in evidence to

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<sup>2</sup>The City's replacement of the 2006 list complied with the requirements of New York law that certified test results remain in place for at least one year. N.Y. Civ. Serv. Law § 56[1] ("The duration of an eligible list shall be fixed at not less than one nor more than four years . . .").

1 believe it will be subject to disparate-impact liability if  
2 it fails to take the race-conscious, discriminatory action."  
3 *Ricci*, 557 U.S. at 585; see *Briscoe v. City of New Haven*,  
4 654 F.3d 200, 206-07 (2d Cir. 2011). In other words, it  
5 articulates the contours of a specific affirmative defense  
6 to claims of unlawful disparate treatment based on race - it  
7 does not expressly limit what may constitute disparate  
8 treatment.

9       Nonetheless, Maraschiello's arguments are unavailing.  
10 Even if it were determined that the City's choice to adopt a  
11 new test was motivated in part by its desire to achieve more  
12 racially balanced results - and there is evidence in the  
13 record that at least suggests this - Maraschiello cannot  
14 demonstrate that the generalized overhaul of departmental  
15 promotional requirements amounted to the sort of race-based  
16 adverse action discussed in *Ricci*. Indeed, *Ricci*  
17 specifically permits an employer to "consider[], before  
18 administering a test or practice, how to design that test or  
19 practice in order to provide a fair opportunity for all  
20 individuals, regardless of race." 557 U.S. at 585.

21

22

1           Although Abrams' statements regarding the reasons for  
2 this replacement are unnecessary for our conclusion, they  
3 lend it strong support. The statements indicate that the  
4 City chose to update its testing requirements, and  
5 subsequently its eligibility list, for reasons that had much  
6 more to do with an advanced understanding of job  
7 qualifications than with racial statistics. Maraschiello  
8 has not attempted to dispute this evidence. Completing the  
9 last phase of a long-planned adoption of a new standard is a  
10 far cry from rejecting a set of results out of hand because  
11 of their racial makeup. Updating an examination, a process  
12 specifically permitted by statute, does not "create[ ] a  
13 materially significant disadvantage with respect to the  
14 terms of . . . employment." See *Williams v. R.H. Donnelley*  
15 *Corp.*, 368 F.3d 123, 128 (2d Cir. 2004) (internal quotation  
16 marks omitted).

17           Maraschiello's only other suggestion that the exam  
18 update was discriminatory comes from the 25% language in the  
19 RFP. This language does not support his claim. The City  
20 submitted evidence, in the form of an affidavit by the  
21 Director of Civil Services in the City's Human Resources  
22 department, that the 25% language is mandated by the city

1 code whenever the City solicits bids for work. Joint App'x  
2 79. The affidavit included the relevant section of the  
3 code, § 96-13F, which states:

4 The advertisement inviting bids for the doing of a work  
5 or improvement or for the furnishings of materials,  
6 supplies, or equipment shall among other things state  
7 that the bidder must submit prior to the awarding of a  
8 contract, a statement indicating that the bidder will  
9 work toward a minority workforce goal of 25%, and women  
10 workforce goal of 5%. In addition, a statement must be  
11 submitted prior to the awarding of a contract  
12 indicating that the bidder will work toward a business  
13 utilization goal for minority business enterprise of  
14 25% and women business enterprise of 5%. These goals  
15 shall be utilized for all purchasing, professional  
16 services and construction contracts. In addition, all  
17 departments and City of Buffalo agencies must include  
18 in all bid specifications the minority workforce and  
19 business utilization goals as stated in this section.

20 Joint App'x 83. Although portions of this language  
21 considered in isolation might theoretically allow for  
22 multiple interpretations regarding which workforce a bidder  
23 must work to affect, the context makes crystal clear that it  
24 refers to the bidder's workforce rather than the City's.  
25 The language refers to all bids, including those to furnish  
26 materials or improve physical facilities - jobs which have  
27 no effect on city employment. The requirement that this  
28 language be included in all bid advertisements - not just  
29 those, like the promotion-examination advertisement, that  
30



1 might end up having an effect on the City's workforce -  
2 belies Maraschiello's contentions.

3 Maraschiello has provided neither direct evidence of  
4 discrimination nor evidence from which a reasonable jury  
5 could infer that discrimination occurred during the City's  
6 process of updating and administering its promotion exam.  
7 His Title VII claim thus cannot succeed to the extent that  
8 it concerns this process.

9 Maraschiello's only remaining evidence is Gipson's  
10 supposed comment that Maraschiello was a "racist." This  
11 alleged remark similarly cannot support a claim that the  
12 failure to promote him was on the basis of his race, despite  
13 Maraschiello's conclusory and unsupported argument that it  
14 "constitutes a clearly race-based bias." Appellant's Br. at  
15 15. As defendants point out, the person eventually  
16 appointed instead of Maraschiello was also a white man.  
17 Even if this was not the case, a statement that someone is a  
18 "racist," while potentially indicating unfair dislike, does  
19 not indicate that the object of the statement is being  
20 rejected *because of his race*. See *Holcomb v. Iona College*,  
21 521 F.3d 130, 139 (2d Cir. 2008) (noting that Title VII will  
22 support a claim by an "employee [who] suffers discrimination

1 because of the employee's own race" (emphasis in original)).  
2 "Racism" is not a race, and discrimination on the basis of  
3 alleged racism is not the same as discrimination on the  
4 basis of race.

5 Maraschiello provides no other evidence of unlawful  
6 discrimination, and his Title VII claim therefore fails in  
7 its entirety.

8 **II.**

9 Finally, Maraschiello argues that the district court's  
10 denial of defendants' motion to dismiss created binding law  
11 of the case regarding the viability of his Title VII claim  
12 and that the district court inappropriately granted summary  
13 judgment *sua sponte*. Neither of these claims can succeed.

14 The doctrine of law of the case is "discretionary and  
15 does not limit a court's power to reconsider its own  
16 decisions prior to final judgment." *Virgin Atl. Airways,*  
17 *Ltd. v. Nat'l Mediation Bd.*, 956 F.2d 1245, 1255 (2d Cir.  
18 1992). And in any event, the doctrine would not preclude a  
19 district court from granting summary judgment based on  
20 evidence after denying a motion to dismiss based only on the  
21 plaintiff's allegations. *See id.* The district court's  
22 decision on the motion to dismiss depended on Maraschiello's

1 allegation that "the city discarded the 2006 exam results  
2 because it wanted to increase minority representation on the  
3 police force." Joint App'x 31. The evidence reflects that  
4 the situation was a good deal more complicated. It was not  
5 error for the court to revisit a conclusion based on factual  
6 allegations taken as true at the motion to dismiss stage,  
7 and determine, based on undisputed evidence at the summary  
8 judgment stage, that no reasonable jury could find that the  
9 type of action discussed in *Ricci* occurred. See *Brown v.*  
10 *City of Syracuse*, 673 F.3d 141, 148 (2d Cir. 2012).

11 As explained in his brief, Maraschiello's second  
12 argument amounts to a contention that the district court  
13 failed to view the evidence in his favor, rather than a  
14 claim that he was denied procedural protections. See  
15 Appellant's Br. at 12-15. He does not dispute that after  
16 Judge Foschio recommended *sua sponte* summary judgment, he  
17 was afforded the opportunity to file objections, engage in  
18 oral argument, file additional briefing, and engage in  
19 additional argument. This constituted adequate procedural  
20 protection. See Fed. R. Civ. P. 56(f)(3) (governing the  
21 granting of summary judgment *sua sponte*); *Priestley v.*  
22 *Headminder, Inc.*, 647 F.3d 497, 504 (2d Cir. 2011). The

1 District Court fully complied with the mandates of Rule  
2 56(f) and did not err in granting summary judgment *sua*  
3 *sponte*.

4 **Conclusion**

5 We have examined all of Maraschiello's arguments on  
6 appeal and find them to be without merit. For the foregoing  
7 reasons, the judgment of the district court granting summary  
8 judgment for defendants is **AFFIRMED**.