

1 **WO**

2

3

4

5

6

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA

7

8

9

David Ernst,

)

No. CV 07-434-PHX-JAT

10

Plaintiff,

)

**ORDER**

11

vs.

)

12

Wheeler Construction, Inc.,

)

13

Defendant.

)

14

15

16

In this case, Plaintiff sued Defendant for allegedly violating the Americans with Disabilities Act (“ADA”). Plaintiff has two claims: 1) failure to reasonably accommodate, and 2) failure to interact. Doc. #1. Pending before the Court is Defendant’s motion for summary judgment.

20

**I. Factual Background**

21

From November 2004 to July 2005, Plaintiff worked for Defendant as a heavy equipment operator. On April 22, 2005, Plaintiff suffered a back injury. Plaintiff saw a doctor who recommended substantial limitations on Plaintiff’s ability to work based on this injury. Neither party disputes that through June 2, 2005, Defendant honored these limitations by placing Plaintiff on light duty work.

26

On June 2, 2005, Plaintiff again saw a doctor who said that Plaintiff could perform “modified duty” work. Specifically, Plaintiff’s doctor said “[Plaintiff] is released for modified work duty and limitations include: no operation of scraper and no operation of

27

28

1 machinery that results in heavy bouncing or sudden jerking movements.” Doc. #36, Ex. D.  
2 The parties dispute whether the “accommodations” provided during this modified duty period  
3 were reasonable.

4 On July 14, 2005, Plaintiff was reassigned to a new crew. On July 15, 2005, Plaintiff  
5 quit going to work based on a permanent back injury allegedly suffered at work at some point  
6 after the April 22, 2005 back injury. For purposes of the pending motion, neither party  
7 disputes that this second back injury caused Plaintiff to be permanently disabled as of July  
8 15, 2005 and that as a result of this permanent disability, Plaintiff is no longer qualified for  
9 his position. Thus, the only issue in this case is whether Defendant violated the ADA from  
10 June 2, 2005 to July 15, 2005.

## 11 II. Summary Judgment Standard

12 Summary judgment is appropriate when “the pleadings, depositions, answers to  
13 interrogatories, and admissions on file, together with affidavits, if any, show that there is no  
14 genuine issue as to any material fact and that the moving party is entitled to summary  
15 judgment as a matter of law.” Fed. R. Civ. P. 56(c). Thus, summary judgment is mandated,  
16 “...against a party who fails to make a showing sufficient to establish the existence of an  
17 element essential to that party’s case, and on which that party will bear the burden of proof  
18 at trial.” *Celotex Corp. v. Catrett*, 477 U.S. 317, 322 (1986).

19 Initially, the movant bears the burden of pointing out to the Court the basis for the  
20 motion and the elements of the causes of action upon which the non-movant will be unable  
21 to establish a genuine issue of material fact. *Id.* at 323. The burden then shifts to the non-  
22 movant to establish the existence of material fact. *Id.* The non-movant “must do more than  
23 simply show that there is some metaphysical doubt as to the material facts” by “com[ing]  
24 forward with ‘specific facts showing that there is a genuine issue for trial.’” *Matsushita Elec.*  
25 *Indus. Co. v. Zenith Radio Corp.*, 475 U.S. 574, 586-87 (1986) (quoting Fed. R. Civ. P.  
26 56(e)). A dispute about a fact is “genuine” if the evidence is such that a reasonable jury  
27 could return a verdict for the nonmoving party. *Anderson v. Liberty Lobby, Inc.*, 477 U.S.  
28 242, 248 (1986). The non-movant’s bare assertions, standing alone, are insufficient to create

1 a material issue of fact and defeat a motion for summary judgment. *Id.* at 247-48. However,  
2 in the summary judgment context, the Court construes all disputed facts in the light most  
3 favorable to the non-moving party. *Ellison v. Robertson*, 357 F.3d 1072, 1075 (9<sup>th</sup> Cir.  
4 2004).

### 5 **III. Americans with Disabilities Act**

#### 6 **A. Reasonable Accommodation**

7 As indicated above, Count One of Plaintiff’s Complaint is captioned, “Failure to  
8 Reasonably Accommodate.” Doc. #1 at 3. However, the failure to reasonably accommodate,  
9 standing alone, is not a claim under the ADA.

10 In order to qualify for relief under the ADA, the plaintiff must show that: (1)  
11 she is a disabled person within the meaning of the statute; (2) she is qualified,  
12 with or without reasonable accommodation, to perform the essential functions  
of the job she holds or seeks; and (3) that she suffered an adverse employment  
action because of her disability.

13 *Braunling v. Countrywide Home Loans, Inc.*, 220 F.3d 1154, 1156-57 (9<sup>th</sup> Cir. 2000).

14 Defendant moved for summary judgment on the following theories: (1) during the  
15 relevant time period (June 2, 2005 to July 15, 2005) Plaintiff was not “disabled” as the term  
16 is used within the ADA (Doc. #35 at 7); and (2) even if Plaintiff was “disabled,” Defendant  
17 provided reasonable accommodation (Doc. #35 at 8).<sup>1</sup>

#### 18 **1. Disability**

19 Whether Plaintiff’s back condition constituted a disability under the ADA involves  
20 three inquiries: (1) whether Plaintiff’s condition was a physical impairment, (2) whether the  
21 life activities from which he was impaired amounted to major life activities; and (3) whether  
22 Plaintiff’s impairment substantially limited him from performing the identified major life  
23 activities. *Gribben v. United Parcel Service, Inc.*, 528 F.3d 1166, 1169 (9<sup>th</sup> Cir. 2008).

24 With respect to the first inquiry, the Court finds that Plaintiff’s back injury was a  
25 physical impairment. With respect to issue two:

---

26  
27 <sup>1</sup> In its reply, Defendant argued for the first time that it is also entitled to summary  
28 judgment because Plaintiff did not suffer an adverse employment action.

1 “Major life activities” are those basic activities that the average person in the  
2 general population can perform with little or no difficulty. Major life activities  
3 include caring for oneself, performing manual tasks, walking, seeing, hearing,  
4 speaking, breathing, learning and working. This list is not exhaustive. For  
5 example, other major life activities include, but are not limited to sitting,  
6 standing, lifting, and reaching.

7 29 C.F.R. Pt. 1630 App., § 1630.2(i).

8 Plaintiff claims that from April 22, 2005 forward, the following activities were  
9 impacted by his injury: walking, bending, lifting items over 10 pounds, and sleeping. Doc.  
10 # 37-5, Ex. 3, ¶¶ 7-10. Using the C.F.R.’s definition, the Court finds that the activities  
11 identified by Plaintiff are major life activities.

12 Defendant disputes whether Plaintiff was actually “impaired” from these major life  
13 activities based on Plaintiff’s doctor’s opinions. Plaintiff counters that his testimony,  
14 standing alone, is sufficient in this circuit to establish his “disability” and that he does not  
15 need medical opinions to confirm his self-diagnosis. Generally, Plaintiff is correct. *See*  
16 *Gribben*, 528 F.3d at 1170 (“[Plaintiff’s] testimony alone regarding the significance of his  
17 impairment is sufficient to create a genuine issue of material fact at the summary judgment  
18 stage. *See Head v. Glacier Northwest Inc.*, 413 F.3d 1053, 1058 (9<sup>th</sup> Cir. 2005) (‘Our  
19 precedent supports the principle that a plaintiff’s testimony may suffice to establish a genuine  
20 issue of material fact.’)"); *see also McAlindin v. County of San Diego*, 192 F.3d 1226 (9<sup>th</sup> Cir.  
21 1999); *Fraser v. Goodale*, 342 F.3d 1032 (9<sup>th</sup> Cir. 2003).

22 Notwithstanding this law, Defendant argues that Plaintiff’s affidavit is self-serving  
23 and, in essence argues that Plaintiff’s affidavit is discredited by the actual medical evidence  
24 in this case and Plaintiff’s own testimony. There is legal support for Defendant’s position:  
25 “to survive summary judgment, an affidavit supporting the existence of a disability must not  
26 be merely self-serving and must contain sufficient detail to convey the existence of an  
27 impairment.” *Head*, 413 F.3d at 1059.

28 As discussed above, following the June 2, 2005 visit, Plaintiff’s doctor determined  
that Plaintiff could not operate a scraper and that Plaintiff could not operate machines that  
involved heavy bouncing or sudden jerking movements. Doc. #36, Ex. D. In articulating the

1 limitations, the doctor said the limitations “include,” but the doctor did not specify whether  
2 these were the only limitations. Doc. #36, Ex. D. Further, the doctor did not opine regarding  
3 Plaintiff’s ability to walk, bend or sleep, which are the major life activities Plaintiff claims  
4 were affected by his injury.<sup>2</sup> On this record, the Court cannot conclude that as a matter of  
5 law the doctor’s opinion is so inconsistent with Plaintiff’s testimony that no reasonable jury  
6 could believe Plaintiff. Specifically, it is possible that someone who cannot withstand heavy  
7 bouncing or sudden jerking movements is also impaired in his ability to walk, bend or sleep.  
8 Because it is possible that a reasonable jury could believe Plaintiff, there is sufficient  
9 evidence to create a question of fact for trial as to whether Plaintiff is impaired in these major  
10 life activities. *See Gribben*, 528 F.3d at 1170.

11         Next, Defendant argues that Plaintiff’s own testimony is so inconsistent with his  
12 affidavit that no reasonable jury could believe Plaintiff that he was disabled. Specifically,  
13 Plaintiff testified that from June 29, 2005 to July 4, 2005 he went to Wyoming to pack and  
14 move his belongings to Arizona. Doc. #36, Ex. F, Bates #000115; Doc. #36, Ex. B, at 93.  
15 The moving entailed packing and loading a truck for two days, including Plaintiff assisting  
16 with carrying and loading furniture into the truck. Doc. #36, Ex. B, at 94. Plaintiff then  
17 drove the Ryder truck from Wyoming to Phoenix for a period of two days. *Id.* at 93-93.  
18 Defendant argues that Plaintiff’s ability to perform all of these tasks so undermines his claim  
19 that he was “disabled” within the meaning of the ADA during this exact same time period  
20 that no reasonable jury could conclude he was substantially limited in the major life activities  
21 of walking, bending, sleeping, and lifting.

---

22  
23  
24         <sup>2</sup> The Court has not included Plaintiff’s claim that he is affected in the major life  
25 activity of lifting over 10 pounds in reaching this conclusion. The reason the Court excluded  
26 this activity from the analysis is that Plaintiff’s doctor specifically included a lifting  
27 limitation for items over 20 pounds in his first diagnosis. Doc. #36, Ex. A. Thus, it would  
28 seem odd that such a limitation was omitted in the second diagnosis if it was still a significant  
issue for Plaintiff. Because of this discrepancy, the Court has not relied on Plaintiff’s  
testimony regarding his lifting limitations.

1           Certainly this testimony calls into question whether Plaintiff’s affidavit that he was  
2 severely limited in these major life activities is just self-serving testimony. As the court in  
3 *Head* noted, while Plaintiff’s testimony alone can be sufficient to establish as issue of fact  
4 at the summary judgment phase, Plaintiff’s testimony must be something more than just a  
5 self-serving affidavit. *Head*, 413 F.3d at 1059. In this case, given that Plaintiff has medical  
6 testimony to corroborate that he in fact had some level of back injury, the Court cannot  
7 conclude that no reasonable jury could find Plaintiff had limitations in the major life  
8 activities of walking, bending, and sleeping. However, this conclusion immediately leads  
9 to the third inquiry: i.e. whether Plaintiff was “substantially limited” in these major life  
10 activities.

11           Substantial limitation has two components, one being physical and one being  
12 temporal. Specifically, “an impairment is substantially limiting if it significantly restricts the  
13 duration, manner or condition under which an individual can perform a particular major life  
14 activity as compared to the average person in the general population’s ability to perform that  
15 same major life activity.” 29 C.F.R. Pt. 1630, App., § 1630.2(j). This is the physical  
16 component.<sup>3</sup>

17           As to the temporal component, “temporary, non-chronic impairments of short  
18 duration, with little or no long term or permanent impact, are usually not disabilities. Such  
19 impairments may include, but are not limited to, broken limbs, sprained joints, concussions,  
20 appendicitis, and influenza.” 29 C.F.R. Pt. 1630, App., § 1630.2(j). Relying on this temporal  
21

---

22           <sup>3</sup> Although the regulations suggest that in making this determination, the Court should  
23 compare Plaintiff’s condition to the population at large, the Ninth Circuit Court of Appeals  
24 has made clear that to create an issue of fact at the summary judgment stage, Plaintiff need  
25 not present any comparative medical evidence as to how he fairs against the population at  
26 large. *See Gribben*, 528 F.3d at 1170; *Rohr v. Salt River Project*, 555 F.3d 850, 858-59 (9<sup>th</sup>  
27 Cir. February 13, 2009). Conversely, in other circuits, courts may look to whether many  
28 people in the population suffer similar injuries, such as permanent back pain and limitations  
related to such pain, to conclude that a plaintiff is not unique within the population. *See*  
*Mays v. Principi*, 301 F.3d 866, 869 (7<sup>th</sup> Cir. 2002) (“[T]he number of Americans restricted  
by back problems to light work is legion. They are not disabled.”).

1 limitation on what qualifies as a disability, courts have held that some back strains do not rise  
2 to the level of “disabilities.” *See e.g., Pollard v. High’s of Balt., Inc.*, 281 F.3d 462, 469 (4<sup>th</sup>  
3 Cir. 2002).

4 As to the physical component, Plaintiff’s doctor’s opinion that Plaintiff was restricted  
5 from activities that involved heavy bouncing or sudden jerking movements is sufficient to  
6 create an issue of fact as to whether Plaintiff was substantially limited as compared to the  
7 population at large. *See Doc. #36, Ex. D.* Obviously, the doctor’s opinion does not speak  
8 to the major life activities in which Plaintiff avows he was substantially limited. However,  
9 the narrow scope of the doctor’s opinion could be because the doctor was writing limitations  
10 specific to only Plaintiff’s work, and not regarding Plaintiff’s life in general. In sum, the  
11 doctor’s findings are not inconsistent with Plaintiff’s testimony that he was substantially  
12 limited in walking, bending, and sleeping.<sup>4</sup>

13 However, there is no medical evidence in the record that Plaintiff’s back strain was  
14 anything other than temporary. Specifically, in his first diagnosis, Plaintiff’s doctor  
15 diagnosed him with “Lumbar Strain” and “Lumbar Pain.” *Id.* at Ex. A. The doctor’s  
16 recommended course of treatment was “patient to have therapy 3 times a week for 2 weeks.”  
17 *Id.* The doctor’s limitations on Plaintiff’s ability to work were “in effect until next physician  
18 visit.” *Id.* Finally, the doctor opined that there would be medical improvement by May 3,  
19 2005. *Id.* And medical improvement in fact occurred by the June 2, 2005 visit. *Id.* at Ex.  
20 D.

21 “Doctor’s evaluations which indicate that an impairment is improving are persuasive  
22 evidence that the impairment is expected to be only temporary.” *Pollard*, 281 F.3d at 469  
23 (citing *Mellon v. Fed. Express, Corp.* 239 F.3d 954, 956-57 (8<sup>th</sup> Cir. 2001)). Considering this  
24

---

25 <sup>4</sup> The doctor’s findings are arguably inconsistent with Plaintiff’s testimony about a  
26 substantial limitation in the major life activity of lifting, given that the doctor’s first opinion  
27 had a lifting restriction and the second opinion did not mention a lifting restriction, thereby  
28 removing the prior lifting restriction which was in effect only until the next visit. *Compare*  
*Doc. #36 at Ex. A with Doc. #36 at Ex. D.*

1 persuasive evidence that Plaintiff's condition was temporary, the Court returns to Plaintiff's  
2 opinion about his injuries. In his affidavit, Plaintiff avows that on April 22, 2005 he suffered  
3 severe and "long-term" back injuries. Doc. #37-5, Ex. 3, ¶ 4. Thus, the Court must  
4 determine whether Plaintiff's testimony in the face of this medical evidence is sufficient to  
5 create a question of fact as to whether his back injury was more than temporary, which is  
6 necessary for the injury to be "substantially limiting" within the ADA's definition of  
7 disabled.

8 Examining closely the Court of Appeals language in cases like *Gribben*, this Court  
9 notes that the Court of Appeals allowed Plaintiff to testify not only about which major life  
10 activities are impacted, but whether the impact was "substantial." *Gribben*, 528 F.3d at 1171  
11 ("[Plaintiff] also testified that his heart condition substantially limits his ability to walk, run,  
12 climb, pull, push, squat, bend, lift, and breathe."). However, a notable distinction between  
13 this case and *Gribben* is that the evidence recounted in *Gribben* suggests that no party  
14 disputed that the heart condition was permanent.

15 Here, all the medical evidence suggests that following the April 22, 2005 initial injury  
16 (but before Plaintiff's permanent injury as of July 15, 2005), Plaintiff would have medical  
17 improvement. The medical improvement is persuasive evidence that Plaintiff was not  
18 permanently impaired. This conclusion is supported by Plaintiff's own actions. Specifically,  
19 after being elevated to modified duty work status, Plaintiff was able to load a truck and move  
20 all of his belongings from Wyoming to Arizona. These activities show significant  
21 improvement from Plaintiff's first diagnosis,<sup>5</sup> and are evidence of only a temporary and  
22 improving "lumbar strain." Accordingly, the Court finds that the undisputed medical  
23 evidence in this case shows that Plaintiff was not disabled within the meaning of the ADA.

24 The Court reaches this conclusion recognizing that the Court of Appeals has held that  
25 Plaintiff is not required to submit medical evidence and that Plaintiff's testimony alone can

---

26  
27 <sup>5</sup> The first diagnosis had the following restrictions: "No repetitive lifting over 20 lbs.  
28 No bending greater than 0 times per hour. No pushing and/or pulling over 20 lbs of force.  
Unable to drive company vehicle." Doc. #36, Ex. A.



1 be enough to create an issue of fact. *Head*, 413 F.3d at 1058. However, in a case like this  
2 one, where medical evidence is actually available, this Court concludes that Plaintiff cannot  
3 create an issue of fact by offering only his own affidavit with a self-diagnosis in  
4 contradiction of a doctor's contemporaneous diagnosis. In other words, the Court finds that  
5 no reasonable jury would find in Plaintiff's favor that his self-diagnosis of a "severe and  
6 long-term" back injury on April 22, 2005 should be believed over a doctor's diagnosis of a  
7 temporary lumbar strain on April 22, 2005, which had improved by June 2, 2005.

8 Based on the foregoing, the Court finds that the evidence in this case shows that  
9 Plaintiff's back injury on April 22, 2005 was temporary, and therefore not "long-term" or  
10 "permanent" as is typically required to be disabled within the meaning of the ADA. *See* 29  
11 C.F.R. Pt. 1630, App., § 1630.2(j). Accordingly, Defendant's motion for summary judgment  
12 on Plaintiff's entire Complaint will be granted because Plaintiff was not disabled.<sup>6</sup>

## 13 **2. Reasonable Accommodation**

14 Alternatively, even if Plaintiff's testimony in the face of the medical evidence was  
15 sufficient to create an issue of fact as to whether Plaintiff was disabled, Defendant is entitled  
16 to summary judgment because as a matter of law it provided Plaintiff was reasonable  
17 accommodation. Neither party disputes that Plaintiff was qualified, even after his back  
18 injury, to perform the essential job functions of a heavy equipment operator. Plaintiff  
19 contends, however, that he required an accommodation and that the accommodation provided  
20 by Defendant violated the ADA.

21 As discussed above, after June 2, 2005, Plaintiff's doctor released him to modified  
22 duty with the following limitations: "no operation of scraper and no operation of machinery  
23 that results in heavy bouncing or sudden jerking movements." Doc. #36, Ex. D. Per  
24 Plaintiff's affidavit, following June 2, 2005, he was told to move sand bags and barricades,

---

25  
26 <sup>6</sup> Neither party has argued that the September 2008 amendments to the ADA apply  
27 to Plaintiff retroactively. Accordingly, the Court has not considered whether the changes  
28 would affect the result in this case. *See generally Rohr*, 555 F.3d at 860-61 (noting but not  
deciding this issue).

1 operate a Gannon tractor, operate a front-end loader, and operate a backhoe. Doc. #37-5, Ex.  
2 3 at ¶ 34. Plaintiff claims that these tasks “exacerbated” his injuries. *Id.* at ¶ 33. Finally,  
3 Plaintiff makes clear that he wanted the accommodation of operating a blade, but that  
4 Defendant would not give him that specific accommodation. *Id.* at ¶¶ 16-17, 30-32.

5 Defendant moves for summary judgment on the basis that the jobs Plaintiff avows he  
6 was asked to perform were all within his doctor’s limitations and, therefore, were a  
7 reasonable accommodation. Further, Defendant argues that an employee can not demand the  
8 accommodation of his choice, but is only entitled to a reasonable accommodation based on  
9 the medical limitations. *See Zivkovic v. Southern Calif. Edison Co.*, 302 F.3d 1080, 1089 (9<sup>th</sup>  
10 Cir. 2002) (“An employer is not obligated to provide an employee the accommodation he  
11 requests or prefers, the employer need only provide some reasonable accommodation.”  
12 (internal quotations omitted)).

13 First, with regard to Plaintiff’s claim that on one occasion he was asked to move  
14 sandbags and barricades, the Court finds this does not violate the reasonable accommodation  
15 requirement because Plaintiff’s modified duty release did not contain any lifting restrictions.  
16 Additionally, Plaintiff’s own testimony regarding his move and the loading of boxes and  
17 furniture into a truck shows that no lifting restriction should be implied into this case.

18 Next, the Court must consider whether Defendant has established that the various  
19 machines Plaintiff was told to run as an accommodation to the fact that Plaintiff could not  
20 run a scraper or anything with heavy bouncing or sudden jerking was reasonable as a matter  
21 of law. Plaintiff avows that blades run more smoothly than other equipment. Doc. #37-5,  
22 Ex. 3, at ¶ 21. Plaintiff’s affidavit is the only evidence Plaintiff offers as to why the blade  
23 was the only reasonable accommodation. Thus, Plaintiff has not disputed superintendent  
24 Ron Anglin’s testimony that the backhoe is a smooth riding piece of equipment. Doc. #36,  
25 Ex. E, at 40. As a result, on this record, there is no dispute that the backhoe is a smooth piece  
26 of equipment that met Plaintiff’s doctor’s limitations, even if the Court accepts as true  
27 Plaintiff’s claim that the blade runs more smoothly than “other equipment” and that the  
28 backhoe was within Plaintiff’s definition of “other equipment.” This conclusion is further

1 supported by Frank Gray's affidavit wherein he states that he asked Plaintiff if he would run  
2 the backhoe and Plaintiff said yes, but that he would prefer to run a blade. Doc. #36, Ex. H.  
3 Notably, Plaintiff did not claim he could run only a blade.

4 Further, Plaintiff does not dispute Frank Gray's affidavit that a Gannon tractor is a  
5 light duty piece of equipment within the boundaries of Plaintiff's limitations. Doc. #36, Ex.  
6 H. Thus, again on this record, there is no dispute that the Gannon tractor was an acceptable  
7 accommodation even accepting as true Plaintiff's avowal that the blade runs more smoothly  
8 than "other equipment" and that Plaintiff's use of the phrase "other equipment" included the  
9 Gannon tractor.

10 Finally, the Court considers Plaintiff's claim that on two days he operated a front-end  
11 loader. Again, in explaining why he sought the accommodation of the blade, Plaintiff only  
12 says it was more smooth than other equipment. Plaintiff does not claim that the front-end  
13 loader was not smooth, only that the blade was more smooth. On this record, the Court finds  
14 Defendant reasonably accommodated Plaintiff in that the only evidence Plaintiff offers as to  
15 why the accommodation he received was insufficient is his opinion that he was not given the  
16 smoothest piece of equipment to operate, and not that he was not accommodated within his  
17 medical limitations.<sup>7</sup>

18 Additionally, the Court finds based on the undisputed evidence in this case that on  
19 some occasions when Plaintiff requested the accommodation of being able to run the blade,  
20 the request was unreasonable given the job-site limitations. Specifically, Frank Gray further  
21 avows that at the Fulton Ranch project the only blade on site was being run by the blade's  
22 owner/operator, and was not owned by Defendant. Doc. #36, Ex. H. Thus, on this  
23 undisputed record, there were at least some points during the relevant time period that  
24

---

25 <sup>7</sup> Plaintiff does avow that all of the job assignments given to him hurt his back, and  
26 that, in his opinion, only the blade would not hurt his back. Doc. #37-5, Ex. 3, ¶¶ 33, 17.  
27 However, Plaintiff has cited no case, and the Court has found none, that states that Plaintiff  
28 can establish his own physical limitations, which were more restrictive than the articulated  
medical limitations from Plaintiff's doctor, for purposes of what would be a reasonable  
accommodation.

1 Defendant could not offer the blade as an accommodation, and therefore, the alternative  
2 accommodations offered were reasonable even though they were not Plaintiff's preferred  
3 accommodation. Accordingly, for this additional alternative reason, the Court will grant  
4 Defendant's motion for summary judgment on Count One of Plaintiff's complaint.<sup>8</sup>

### 5 **3. Adverse Employment Action**

6 As indicated in footnote 1, Defendant argues that summary judgment is also  
7 appropriate because Plaintiff did not suffer an adverse employment action. Because  
8 Defendant raised this argument for the first time in its reply, resulting in Plaintiff not having  
9 an opportunity to address this argument, the Court will not entertain this theory as a potential  
10 alternative basis for granting summary judgment.

### 11 **B. Interactive Process**

12 Defendant moves for summary judgment on Count Two of Plaintiff's Complaint  
13 "failure to interact" on the following theories: (1) because Plaintiff is not disabled, Defendant  
14 had no duty to engage in the interactive process, or, (2) regardless of whether it had a duty  
15 to interact, Defendant claims that the facts of this case show Defendant interacted sufficiently  
16 to satisfy this duty.<sup>9</sup>

17 With respect to the duty to interact, the Court of Appeals has stated:

18 Once an employer becomes aware of the need for accommodation, that  
19 employer has a mandatory obligation under the ADA to engage in an  
20 interactive process with the employee to identify and implement appropriate  
21 reasonable accommodations....The interactive process requires communication  
22 and good-faith exploration of possible accommodations between employers  
23 and individual employees, and neither side can delay or obstruct the process....  
24 Employers, who fail to engage in the interactive process in good faith, face  
25 liability for the remedies imposed by the statute if a reasonable  
26 accommodation would have been possible.

---

24 <sup>8</sup> Below, the Court addresses the duty to interact as part of the reasonable  
25 accommodation request. The Court's conclusion that Defendant sufficiently engaged in the  
26 interactive process is incorporated into this conclusion that Defendant reasonably  
27 accommodated Plaintiff as a matter of law.

28 <sup>9</sup> In its reply, Defendant argues for the first time that the failure to engage in the  
interactive process is not a free-standing claim that can form the basis of liability.

1 *Humphrey v. Memorial Hospitals Assoc.*, 239 F.3d 1128, 1137-38 (9<sup>th</sup> Cir. 2001) (internal  
2 citations and quotations omitted).

3 As indicated in footnote 9, Defendant argues for the first time in its reply that the duty  
4 to interact is not a free-standing claim that can form the basis of Count II of the Complaint.  
5 Again, Plaintiff has not had an opportunity to address this argument. Above, when the Court  
6 declined to address whether Plaintiff suffered an adverse employment action because it was  
7 raised for the first time in the reply, the Court did so because Plaintiff was not put on notice  
8 that he needed to come forward with facts to show the adverse employment action.  
9 Conversely, whether a claim exists is not a fact based inquiry, but purely a legal one.

10 The Court finds that there is no free-standing claim for a breach of the duty to interact.  
11 In other words, the duty to interact arises to prevent an employer from arguing that it did not  
12 know or was not aware of what accommodation a disabled employee might need. Instead,  
13 it places a duty on the employer (and the employee) to interact about what accommodation  
14 is needed and available. However, if there is no interaction, but no accommodation would  
15 have been available, there is no independent liability. *See Humphrey*, 239 F.3d at 1138  
16 (noting that the failure to interact will result in liability if a reasonable accommodation would  
17 have been possible). Further, if there was no interaction and accommodation would have  
18 been available, Plaintiff would not be entitled to two sets of damages; one amount for the  
19 ADA violation and a second additional amount for the breach of the duty to interact.  
20 Plaintiff would be limited to the remedies in the statute for the ADA violation. *See id.*  
21 Therefore, because the duty to interact is not a free-standing claim, Defendant is entitled to  
22 summary judgment on Count II of the Complaint.

23 Alternatively, even if the duty to interact was a free-standing claim, Defendant is  
24 entitled to summary judgment because, as discussed above, Plaintiff was not disabled. As a  
25 further alternative reason, even if the duty to interact is a free-standing claim, and if Plaintiff  
26 had established a question of fact regarding whether he was disabled, Defendant is entitled  
27  
28

1 to summary judgment on Court II of the Complaint because it satisfied the duty to interact  
2 in this case.<sup>10</sup>

3 More specifically with respect to Defendant's satisfaction of the duty to interact,  
4 Plaintiff avows that he requested that he be allowed to run the blade. Doc. #37-5, Ex. 3, ¶17.  
5 Defendant does not dispute that Plaintiff made this request and that Defendant considered  
6 this request. Doc. #36, Ex. E. at 35-36.<sup>11</sup> However, Defendant denied this request because  
7 four of Defendant's supervisors determined that the blade did not fit within Plaintiff's  
8 doctor's limitations of no bouncing or sudden jerking. *Id.*; Doc. #36, Ex. H.<sup>12</sup> Defendant  
9 was not required to "accommodate" Plaintiff by giving Plaintiff a job Defendant believed  
10 was in violation of Plaintiff's doctor's limitations. To hold otherwise would put employers  
11 in a position where compliance with the ADA was impossible.

12  
13 \_\_\_\_\_  
14 <sup>10</sup> Clearly there is a duty to interact in good faith as part of the reasonable  
15 accommodation discussion. And the Court considers Defendant's compliance with this duty  
16 not only as an alternative holding if a free-standing failure to interact claim exists and if  
17 Plaintiff established an issue of fact as to whether he was disabled, but also as part of the  
18 Court's conclusion that Defendant reasonably accommodated Plaintiff as a matter of law in  
19 this case and no reasonable jury could conclude otherwise.

20 <sup>11</sup> From the deposition of Defendant's General Superintendent, Ron Anglin:

21 Q: [W]ere you made aware if [Plaintiff] asked any of the superintendents to run  
22 equipment other than a backhoe?

23 A: He asked to run a blade.

24 Q: ... Who did he ask to run a blade?

25 A: Harvey [White], Frank [Gray], and Willie [Aveena].

26 Q: Did they all tell you that?...

27 A: Yes.

28 Q: What conversation did you have with each of them regarding his request?

A: Harvey came up and said [Plaintiff] was constantly wanting to run a blade  
because he feels a blade is the only thing that wouldn't hurt his back. [I had]  
similar conversations with Frank and with Willie.

Q: ...[D]id you have a response for that?

A: I said he's on light duty, absolutely not. I said that's why he's not on one.  
They all agreed. That's why we didn't do it.

<sup>12</sup> From the affidavit of Frank Gray, "As a blade operator myself, I know that running  
a blade can and will hurt your back or further injure existing back injuries."

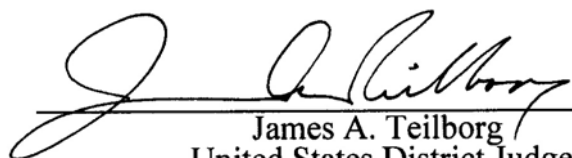
1 In this case, Plaintiff requested a particular and specific accommodation because  
2 Plaintiff “believed” it would not hurt his back based on only Plaintiff’s opinion. Doc. #37-5,  
3 Ex. 3, ¶17 (“I [Plaintiff] asked my superintendent and my foreman to allow me to operate a  
4 blade ... because I believed it would not hurt my back.”) Yet had Defendant given this  
5 accommodation and Plaintiff later injured himself, four of Defendant’s supervisors would  
6 have to admit that they gave Plaintiff a job they knew violated his doctor’s restrictions. The  
7 ADA does not permit an “accommodation” that violates medical restrictions. Thus, much  
8 like Plaintiff is not entitled to his “preferred” position, *Zivkovi*, 302 F.3d at 1089, Plaintiff  
9 is not entitled to a position that is in violation of his doctor’s limitations. Therefore, the  
10 Court finds that Defendant’s supervisors considering Plaintiff’s request, but not honoring it  
11 for legitimate reasons, met the duty to interact.

12 **IV. Conclusion**

13 Based on the foregoing,

14 **IT IS ORDERED** that Defendant’s motion for summary judgment (Doc. #35) is  
15 granted and the Clerk of the Court shall enter judgment for the Defendant and against  
16 Plaintiff accordingly.

17 DATED this 17<sup>th</sup> day of March, 2009.

18  
19  
20   
21 \_\_\_\_\_  
22 James A. Teilborg  
23 United States District Judge  
24  
25  
26  
27  
28