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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

TYRONE BROWN,
Plaintiff,

v.

MICHAEL J. ASTRUE,
Commissioner of Social
Security,
Defendant.

) Case No. CV 08-6821 JC

) MEMORANDUM OPINION

I. SUMMARY

On October 20, 2008, plaintiff Tyrone Brown (“plaintiff”) filed a Complaint seeking review of the Commissioner of Social Security’s denial of plaintiff’s application for benefits. The parties have filed a consent to proceed before a United States Magistrate Judge.

This matter is before the Court on the parties’ cross motions for summary judgment, respectively (“Plaintiff’s Motion”) and (“Defendant’s Motion”). The Court has taken both motions under submission without oral argument. See Fed. R. Civ. P. 78; L.R. 7-15; October 23, 2008 Case Management Order ¶ 5.

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1 Based on the record as a whole and the applicable law, the decision of the
2 Commissioner is AFFIRMED. The findings of the Administrative Law Judge
3 (“ALJ”) regarding plaintiff’s ability to do work are supported by substantial
4 evidence and are free from material error.¹

5 **II. BACKGROUND AND SUMMARY OF ADMINISTRATIVE**
6 **DECISION**

7 On February 14, 2006, plaintiff, a former dishwasher/bus boy, filed an
8 application for Supplemental Security Income Benefits. (Administrative Record
9 (“AR”) 82-84, 107). Plaintiff asserted that he became disabled on March 16,
10 2004, due to “mental illness, depression, pain in back, leg, arm, seizures, former
11 alcoholic, can remember events that took place years ago, but not present events,
12 hear voices, claustrophobia, lack of concentration.” (AR 82, 106). The Social
13 Security Administration denied plaintiff’s application initially and on
14 reconsideration. (AR 49-54). Plaintiff requested a hearing, which an ALJ
15 conducted on October 2, 2007. (AR 8, 24-48). The ALJ examined the medical
16 record and heard testimony from plaintiff (who was represented by counsel), and a
17 vocational expert. (AR 24-48).

18 On October 16, 2007, the ALJ determined that plaintiff was not disabled
19 through the date of the decision. (AR 12-22). Specifically, the ALJ found:
20 (1) plaintiff suffered from the following severe combination of impairments:
21 “depressive disorder; history of alcoholism with history of alcohol related
22 seizures; lumbar strain and bilateral bunions” (AR 14); (2) plaintiff’s impairments,
23 including his substance use disorder, met the listed impairments for 12.04 and
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26 ¹The harmless error rule applies to the review of administrative decisions regarding
27 disability. See Batson v. Commissioner of Social Security Administration, 359 F.3d 1190, 1196
28 (9th Cir. 2004) (applying harmless error standard); see also Stout v. Commissioner, Social Security Administration, 454 F.3d 1050, 1054-56 (9th Cir. 2006) (discussing contours of application of harmless error standard in social security cases).

1 12.09 of 20 C.F.R. Part 404, Subpart P, Appendix 1 (AR 15); (3) if plaintiff
2 stopped the substance use, plaintiff would continue to have a severe impairment or
3 combination of impairments, but those impairments would not meet or medically
4 equal any of the listed impairments (AR 17); (4) if plaintiff stopped the substance
5 use, he would have the residual functional capacity to perform a limited range of
6 medium work² (AR 18); (5) plaintiff had no past relevant work (AR 21); and (6) if
7 plaintiff stopped the substance use, there would be a significant number of jobs in
8 the national economy that he could perform, specifically a hand packager and
9 assembler (AR 21-22).

10 The Appeals Council denied plaintiff's application for review. (AR 4-5).

11 **III. APPLICABLE LEGAL STANDARDS**

12 **A. Sequential Evaluation Process**

13 To qualify for disability benefits, a claimant must show that he is unable to
14 engage in any substantial gainful activity by reason of a medically determinable
15 physical or mental impairment which can be expected to result in death or which
16 has lasted or can be expected to last for a continuous period of at least twelve
17 months. Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) (citing 42 U.S.C.

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19 ²“Medium work involves lifting no more than 50 pounds at a time with frequent lifting or
20 carrying of objects weighing up to 25 pounds. If someone can do medium work, [the
21 Administration] determine[s] that he or she can also do sedentary and light work.” See 20 C.F.R.
22 § 416.967(c). SSR 83-10 provides in pertinent part:

23 The regulations define medium work as lifting no more than 50 pounds at a time
24 with frequent lifting or carrying of objects weighing up to 25 pounds. A full range
25 of medium work requires standing or walking, off and on, for a total of
26 approximately 6 hours in an 8-hour workday in order to meet the requirements of
27 frequent lifting or carrying objects weighing up to 25 pounds. As in light work,
28 sitting may occur intermittently during the remaining time. Use of the arms and
hands is necessary to grasp, hold, and turn objects, as opposed to the finer
activities in much sedentary work, which require precision use of the fingers as
well as use of the hands and arms.

28 See SSR 83-10.

1 § 423(d)(1)(A)). The impairment must render the claimant incapable of
2 performing the work he previously performed and incapable of performing any
3 other substantial gainful employment that exists in the national economy. Tackett
4 v. Apfel, 180 F.3d 1094, 1098 (9th Cir. 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

5 In assessing whether a claimant is disabled, an ALJ is to follow a five-step
6 sequential evaluation process:

- 7 (1) Is the claimant presently engaged in substantial gainful activity? If
8 so, the claimant is not disabled. If not, proceed to step two.
- 9 (2) Is the claimant’s alleged impairment sufficiently severe to limit
10 his ability to work? If not, the claimant is not disabled. If so,
11 proceed to step three.
- 12 (3) Does the claimant’s impairment, or combination of
13 impairments, meet or equal an impairment listed in 20 C.F.R.
14 Part 404, Subpart P, Appendix 1? If so, the claimant is
15 disabled. If not, proceed to step four.
- 16 (4) Does the claimant possess the residual functional capacity to
17 perform his past relevant work?³ If so, the claimant is not
18 disabled. If not, proceed to step five.
- 19 (5) Does the claimant’s residual functional capacity, when
20 considered with the claimant’s age, education, and work
21 experience, allow him to adjust to other work that exists in
22 significant numbers in the national economy? If so, the
23 claimant is not disabled. If not, the claimant is disabled.

24 Stout v. Commissioner, Social Security Administration, 454 F.3d 1050, 1052 (9th
25 Cir. 2006) (citing 20 C.F.R. §§ 404.1520, 416.920). The claimant has the burden
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27 ³Residual functional capacity is “what [one] can still do despite [ones] limitations” and
28 represents an “assessment based upon all of the relevant evidence.” 20 C.F.R. § 416.945(a).

1 of proof at steps one through four, and the Commissioner has the burden of proof
2 at step five. Bustamante v. Massanari, 262 F.3d 949, 953-54 (9th Cir. 2001)
3 (citing Tackett); see also Burch, 400 F.3d at 679 (claimant carries initial burden of
4 proving disability).

5 If the ALJ determines that a claimant is disabled and there is medical
6 evidence that the claimant suffers from drug addiction or alcoholism, the
7 regulations dictate that the ALJ conduct a drug and alcohol analysis (a “DAA”) to
8 determine which of a claimant’s identified limitations would remain if the
9 claimant stopped using drugs or alcohol. See 20 C.F.R. § 416.935(b). If the
10 remaining limitations would be disabling, the claimant’s substance abuse is not a
11 contributing factor material to his disability. If the remaining limitations would
12 not be disabling, then the claimant’s substance abuse is material and benefits must
13 be denied. See 20 C.F.R. § 416.935(b); 42 U.S.C. § 423(d)(2)(C) (“An individual
14 shall not be considered to be disabled. . . if alcoholism or drug addiction would. . .
15 be a contributing factor material to the Commissioner’s determination that the
16 individual is disabled.”); see also Parra v. Astrue, 481 F.3d 742, 746-47 (9th Cir.
17 2007) (discussing same), cert. denied, 128 S. Ct. 1068 (2008); Bustamante, 262
18 F.3d at 955 (DAA must be conducted after a finding of disability under the
19 sequential evaluation process).

20 **B. Standard of Review**

21 Pursuant to 42 U.S.C. section 405(g), a court may set aside a denial of
22 benefits only if it is not supported by substantial evidence or if it is based on legal
23 error. Robbins v. Social Security Administration, 466 F.3d 880, 882 (9th Cir.
24 2006) (citing Flaten v. Secretary of Health & Human Services, 44 F.3d 1453, 1457
25 (9th Cir. 1995)). Substantial evidence is “such relevant evidence as a reasonable
26 mind might accept as adequate to support a conclusion.” Richardson v. Perales,
27 402 U.S. 389, 401 (1971) (citations and quotations omitted). It is more than a

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1 mere scintilla but less than a preponderance. Robbins, 466 F.3d at 882 (citing
2 Young v. Sullivan, 911 F.2d 180, 183 (9th Cir. 1990)).

3 To determine whether substantial evidence supports a finding, a court must
4 “consider the record as a whole, weighing both evidence that supports and
5 evidence that detracts from the [Commissioner’s] conclusion.” Aukland v.
6 Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001) (quoting Penny v. Sullivan, 2 F.3d
7 953, 956 (9th Cir. 1993)). If the evidence can reasonably support either affirming
8 or reversing the ALJ’s conclusion, a court may not substitute its judgment for that
9 of the ALJ. Robbins, 466 F.3d at 882 (citing Flaten, 44 F.3d at 1457).

10 **IV. DISCUSSION**

11 Plaintiff contends that the ALJ erred in considering the opinions of
12 nonexamining state agency physician, Dr. Y.C. McDowell, who completed a
13 Mental Residual Functional Capacity Assessment Form for plaintiff. Plaintiff
14 alleges that Dr. McDowell found greater, more detailed limitations than the ALJ
15 found to exist in determining plaintiff’s residual functional capacity, and the ALJ
16 should have incorporated such limitations into the residual functional capacity
17 determination. Without Dr. McDowell’s specified limitations, plaintiff alleges the
18 ALJ’s hypothetical question to the vocational expert was incomplete and the
19 ALJ’s opinion that plaintiff can perform work at step five based on the vocational
20 expert’s testimony was in error. (Plaintiff’s Motion at 4-6). As explained below,
21 this Court disagrees. Substantial evidence supports the ALJ’s conclusion that
22 plaintiff can work.

23 **A. The Medical Record**

24 The medical record includes mental health treatment records from the Los
25 Angeles County Department of Mental Health Downtown Mental Health Center
26 from August 2005 through August 2007. (AR 165-177, 218-237). At the outset,
27 plaintiff complained of depression, poor sleep, poor appetite, feelings of
28 hopelessness, loneliness, withdrawal, and problems with anger and concentration/

1 memory. (AR 172). Plaintiff reported hearing voices since 2003, and seeing
2 shadows since 1995. (AR 172). Plaintiff also reportedly believed that others were
3 out to get him. (AR 172).

4 Plaintiff claimed to have suffered seven seizures, and reported a history of
5 being hit in the head with a hammer and lower back pain from falling down a
6 ladder. (AR 173).⁴ Plaintiff acknowledged a history of alcohol and marijuana use
7 and about twenty alcohol-related arrests. (AR 174-75). Plaintiff had been
8 homeless on and off for the past thirty years. (AR 175). Plaintiff's intake
9 examiner initially diagnosed plaintiff with major depressive disorder with
10 psychotic features and polysubstance dependence. (AR 177).

11 Thereafter, on August 15, 2005, Dr. Ed Cavanagh, M.D., evaluated and
12 diagnosed plaintiff with depression, not otherwise specified, and "ETOH"
13 dependence, and prescribed Lexapro. (AR 170). Plaintiff reported drinking
14 "every day" at one point and having his last drink two weeks earlier. (AR 169).
15 Dr. Cavanagh assigned plaintiff a Global Assessment of Functioning ("GAF")
16 score of 52.⁵ Treatment notes indicate that plaintiff continued to take Lexapro
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19 ⁴The record reflects that on November 25, 2004, plaintiff visited the emergency room at
20 the Los Angeles County/USC Medical Center and complained of lower back pain. (AR 153-54).
21 Plaintiff reported a history of substance abuse. (AR 153 (noting "EHOH Abuse")). Plaintiff was
22 prescribed Motrin and Vicodin for his pain. (AR 153). The record also reflects that in 2005,
23 plaintiff visited the emergency room at the Martin Luther King Drew Medical Center on three
24 occasions. (AR 156-63). Plaintiff complained of having chronic back pain for two years. (AR
25 159). On examination, plaintiff had a full range of motion in his spine and a straight leg raising
26 test was negative. (AR 160).

27 ⁵A GAF score is the clinician's judgment of the individual's overall level of functioning.
28 It is rated with respect only to psychological, social, and occupational functioning, without regard
to impairments in functioning due to physical or environmental limitations. See American
Psychiatric Association, Diagnostic and Statistical Manual of Mental Disorders, 32 (4th ed.
2000) (hereinafter "DSM IV"). A GAF of 51-60 indicates "[m]oderate symptoms (e.g., flat
affect and circumstantial speech, occasional panic attacks or moderate difficulty in social,
occupational, or school functioning (e.g., few friends, conflicts with peers or co-workers)."
DSM IV at 34.

1 with positive results. (AR 165-68). Notes from a January 2006 visit reflect that
2 plaintiff had been clean and sober for eight to nine months. (AR 226). However,
3 notes from a September 2006 visit reflect that plaintiff reportedly became clean
4 and sober only two months earlier. (AR 223).

5 On September 11, 2007, one of plaintiff's treating physicians, Dr. James R.
6 Jones from the Downtown Mental Health Center, completed a "Medical Statement
7 Concerning Depression for Social Security Claim." (AR 239-41). Dr. Jones
8 diagnosed plaintiff with bipolar disorder, type I, and assigned a current GAF of
9 48.⁶ (AR 239). Dr. Jones circled that plaintiff has "marked" restrictions of
10 activities of daily living and difficulty maintaining social functioning, and circled
11 that deficiencies in concentration would result in "frequent failure to complete
12 tasks in a timely manner," with "[r]epeated [e]pisodes of deterioration or
13 decompensation in work or work-like settings[.]" (AR 239). Dr. Jones checked
14 boxes indicating that plaintiff had "marked" impairments in all of his work-related
15 abilities except the ability to carry out short and simple instructions. (AR 240-41).
16 Dr. Jones commented:

17 The severity and frequency of Mr. Brown's symptoms significantly
18 impair his ability to be successfully gainfully employed. He is
19 manifesting memory impairment, concentration difficulty, thoughts
20 about suicide and is extremely lethargic. Another significant
21 impediment to gainful employment is the fact that he is functionally
22 illiterate.

23 (AR 241).⁷ Dr. James made no mention of plaintiff's alcohol use.⁸

25 ⁶A GAF score between 41 and 50 indicates "[s]erious symptoms (e.g., suicidal ideation,
26 severe obsessional rituals, frequent shoplifting) or any serious impairment in social,
27 occupational, or school functioning (e.g., no friends, unable to keep a job)." DSM-IV at 32.

28 ⁷In his initial assessment at the Downtown Mental Health Center, plaintiff reported that
he had completed high school, was in regular school, and had average grades. (AR 175).

(continued...)

1 Plaintiff underwent a Complete Internal Medical Evaluation by Dr. James
2 Paule on or about May 10, 2006. (AR 179-84). Dr. Paule interviewed plaintiff
3 but reviewed no medical records. (AR 179). Although plaintiff complained of
4 suffering from “seizures” for three years while drinking alcohol heavily, upon
5 questioning, plaintiff explained that by “seizures” he meant lost consciousness and
6 admitted that he never had a “seizure” when he had been off alcohol completely.
7 (AR 179). Two MRIs of plaintiff’s brain were negative. (AR 180). Plaintiff
8 reported that he began drinking daily in 1977, but decreased his alcohol intake
9 over the past two years and claimed to only drink a six pack of beer on weekends.
10 (AR 180). Plaintiff also reported suffering for two years from lumbar pain that did
11 not radiate. (AR 180).

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13 ⁷(...continued)

14 Elsewhere, plaintiff reported that he likes to read. (AR 119, 123).

15 ⁸The ALJ rejected Dr. Jones’s check-the-box evaluation, reasoning:

16 This report is so extreme and so inconsistent with the evidence of record,
17 especially [Dr. Jones’] own treatment notes, as to be implausible. Not only do his
18 notes show that the claimant is doing well and is asymptomatic on his medication,
19 but the claimant has never expressed thought of suicide to Dr. Jones or to any
20 other examiner at this clinic. In addition, there is no evidence that the claimant is
21 functionally illiterate. . . . There always exists a possibility that a doctor may
22 express an opinion in an effort to assist a patient with whom he sympathizes or
23 maybe the patient is quite insistent in seeking supportive notes. While it is
24 difficult to confirm the presence of such motives, they are more likely in
25 situations where the opinion in question departs substantially from the rest of the
26 evidence of record as in this case. I cannot give controlling weight to this opinion
27 which is completely unsupported by the evidence.

28 (AR 20). Plaintiff does not challenge the ALJ’s rejection of Dr. Jones’ opinion. The Court notes that the ALJ could properly reject Dr. Jones’ treating physician opinion, which conflicts with other examining physician opinions, by making findings setting forth specific, legitimate reasons for doing so that are based on substantial evidence in the record, as the ALJ did here. Orn v. Astrue, 495 F.3d 625, 632 (9th Cir. 2007); Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir. 2002) (ALJ can meet burden by setting out detailed and thorough summary of facts and conflicting clinical evidence, stating his interpretation thereof, and making findings) (citations and quotations omitted).

1 Dr. Paule's noted no abnormalities in his examination of plaintiff. (AR 181-
2 83). Dr. Paule diagnosed plaintiff with seizure disorder, depression by history,
3 history of alcoholism, bilateral bunions, and lumbar strain. (AR 184). Dr. Paule
4 opined that plaintiff could perform medium work with no postural, manipulative,
5 visual or communicative limitations. (AR 184). Dr. Paule noted that plaintiff
6 should avoid machinery and heights and should not drive. (AR 184).⁹

7 Plaintiff underwent a Complete Psychiatric Examination on or about August
8 7, 2006, by Dr. Christopher Ho. (AR 185-89). Plaintiff reported a ten or fifteen
9 year history of back problems due to a job related injury while working
10 construction, suffering from depression for about thirty years, and drinking
11 alcohol heavily when depressed. (AR 185-86). Plaintiff reportedly stopped
12 drinking six weeks before his exam. (AR 185).

13 Plaintiff said he sometimes became violent and angry, talked to himself,
14 could not concentrate or remember things, had difficulty sleeping and had a poor
15 appetite. (AR 186). Plaintiff claimed he had trouble maintaining a regular work
16 schedule due to his alcohol use. (AR 186). Dr. Ho diagnosed plaintiff with a
17 history of alcohol abuse and dependence and depressive disorder, not otherwise
18 specified, and gave plaintiff a current GAF of 45, with a GAF of 60 for the past
19 year. (AR 188). Dr. Ho noted that it was possible that plaintiff's alcohol use had
20 contributed significantly to his history of depression. (AR 188).

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25 ⁹State agency physician, Dr. V.A. Casillas, reviewed plaintiff's treating/examining source
26 statements and completed a Physical Residual Functional Capacity Assessment Form for plaintiff
27 dated September 7, 2006. (AR 206-10). Like Dr. Paule, Dr. Casillas found plaintiff capable of
28 performing medium work, avoiding hazards (machinery, heights, etc.), given a "seizure
precaution." (AR 209). Dr. Casillas noted that plaintiff did have a lumbar spine strain that
limited his lifting and carrying abilities and that plaintiff appeared undernourished. (AR 210).

1 Dr. Ho concluded that plaintiff:

2 can follow simple instructions but would have difficulties with more
3 complex tasks. He would have difficulties working over long-term
4 periods, primarily due to his alcohol use. The patient was able to do
5 most tasks on the mental status exam. He interacted appropriately
6 today. He can arrange transportation. His history of substance abuse
7 has limited his ability to function.

8 (AR 188). Dr. Ho gave plaintiff a “guarded” prognosis, but noted that plaintiff
9 was able to make simple social, occupational and personal adjustments. (AR 188).

10 Nonexamining state agency physician, Dr. Y.C. McDowell, completed
11 Psychiatric Review Technique and Mental Residual Functional Capacity
12 Assessment forms for plaintiff. (AR 190-205). Dr. McDowell noted that plaintiff
13 suffered from a depressive disorder and alcohol abuse and dependence, and
14 checked boxes indicating that plaintiff would have mild restrictions of daily living,
15 moderate difficulties maintaining social functioning, and maintaining
16 concentration, persistence, or pace. (AR 193, 198, 200). More specifically, Dr.
17 McDowell checked boxes indicating that plaintiff would have moderate
18 limitations in the ability to understand and remember and carry out detailed
19 instructions, in the ability to maintain attention and concentration for extended
20 periods, and in the ability complete a work week and to perform activities within a
21 schedule and maintain a regular attendance and be punctual. (AR 203-04). Dr.
22 McDowell also checked boxes indicating that plaintiff would have moderate
23 limitations in his ability to interact appropriately with the general public, to accept
24 instructions and respond appropriately to criticism, to get along with coworkers or
25 peers, to maintain socially appropriate behavior, and to set realistic goals or make
26 plans independently of others. (AR 204). In a narrative, Dr. McDowell explained:

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1 The claimant is able to remember, understand and perform simple and
2 repetitive tasks. Although the claimant has a problem with alcohol
3 dependence/abuse he is still able to maintain concentration,
4 persistence and pace to do unskilled work over a forty hour work
5 week. The claimant is isolative/withdrawn as well as having
6 aggressiveness and anger towards others. Therefore he should work
7 in a job setting with limited contact. However, he is able to adapt to
8 changes in the work place.

9 (AR 205).

10 **B. The ALJ's Residual Functional Capacity Determination**

11 As summarized above, the ALJ found that plaintiff could perform medium
12 work with some limitations. Specifically, the ALJ determined that plaintiff:
13 would be able to perform simple work involving simple judgments
14 and decisions, but would be unable to perform detailed or complex
15 work involving detailed or complex judgments or decisions. He
16 would be unable to have more than occasional contact with
17 supervisors, co-workers or the public, and would be unable to work at
18 jobs requiring a production rate pace with production quota measured
19 periodically throughout the work day, but quotas can be met at the
20 end of the work day or work week. He should work in a job
21 environment with less than occasional changes in the environment
22 where these changes are only simple in character. He should avoid
23 concentrated exposure to hazards including unprotected heights and
24 dangerous machinery.

25 (AR 18). In making this determination, the ALJ summarized the findings from
26 Dr. Ho's examination and noted that the ALJ's residual functional capacity
27 assessment was consistent with Dr. Ho's opinion. (AR 20). The ALJ also noted
28 that the residual functional capacity conclusions reached by the state agency

1 physicians (including Dr. McDowell), supported a finding of not disabled and
2 concurred with such assessments. (AR 21, citing the state agency physician
3 opinions at AR 190-205, 211-16)).

4 Plaintiff does not dispute the ALJ's determination that he could perform
5 medium work. Rather, plaintiff asserts that the ALJ's residual functional capacity
6 assessment does not adequately incorporate Dr. McDowell's check-the-box
7 assessment. (Plaintiff's Motion at 5-6).¹⁰

8 **C. The ALJ Properly Evaluated Medical Opinion Evidence**

9 In Social Security cases, courts employ a hierarchy of deference to medical
10 opinions depending on the nature of the services provided. Courts distinguish
11 among the opinions of three types of physicians: those who treat the claimant
12 ("treating physicians") and two categories of "nontreating physicians," namely
13 those who examine but do not treat the claimant ("examining physicians") and
14 those who neither examine nor treat the claimant ("nonexamining physicians").
15 Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1996) (footnote reference omitted). A
16 treating physician's opinion is entitled to more weight than an examining
17 physician's opinion, and an examining physician's opinion is entitled to more
18 weight than a nonexamining physician's opinion.¹¹ See id.

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21 ¹⁰Plaintiff also appears to argue that the ALJ's single statement regarding the state agency
22 physicians' opinions is indicative of the fact that the ALJ actually adopted all of Dr. McDowell's
23 opinions, but nonetheless neglected to include such limitations in his residual functional capacity
24 determination or in the hypothetical question posed to the vocational expert. Plaintiff's premise
25 is flawed. The ALJ's statement regarding the state agency physicians (including Dr. McDowell),
26 can reasonably be interpreted to mean that the ALJ adopted such physicians' broader assessments
that plaintiff was not disabled, as opposed to adopting each individual opinion contained in their
reports. As noted above, if the evidence can reasonably support either affirming or reversing the
ALJ's conclusion, a court may not substitute its judgment for that of the ALJ.

27 ¹¹Cf. Le v. Astrue, 529 F.3d 1200, 1201-02 (9th Cir. 2008) (not necessary or practical to
28 draw bright line distinguishing treating physicians from non-treating physicians; relationship is
better viewed as series of points on a continuum reflecting the duration of the treatment
relationship and frequency and nature of the contact) (citation omitted).

1 Here, the ALJ adopted a residual functional capacity assessment consistent
2 with Dr. Ho's assessment based on Dr. Ho's independent examination of plaintiff,
3 and consistent with Dr. McDowell's assessment as explained by Dr. McDowell's
4 narrative (finding that plaintiff can "maintain concentration, persistence and pace
5 to do unskilled work over a forty hour work week"), and containing many of the
6 limitations that Dr. McDowell checked on plaintiff's forms. The ALJ need not
7 have adopted any greater limitations than he did.

8 First, opinions of consultative examiners, like Dr. Ho, are substantial
9 evidence and may be relied upon by an ALJ in determining a claimant's residual
10 functional capacity when those opinions are supported by independent clinical
11 findings. Orn, 495 F.3d at 633. The ALJ was entitled to rely on Dr. Ho's
12 evaluation.

13 Second, contrary to plaintiff's assertion, the ALJ did consider Dr.
14 McDowell's opinion and incorporated the opinion to the extent the ALJ deemed
15 appropriate. The ALJ was required to do no more. To the extent plaintiff may
16 assert that the ALJ was required to adopt all of Dr. McDowell's individual
17 opinions, the court notes that a nonexamining physician's opinion "with nothing
18 more" cannot constitute substantial evidence. Andrews v. Shalala, 53 F.3d 1035,
19 1042 (9th Cir. 1995). Reports of a nonexamining advisor may only serve as
20 substantial evidence when those reports are supported by other evidence in the
21 record and are consistent with such evidence. Id. at 1042. The boxes that Dr.
22 McDowell checked on plaintiff's forms, without more, are not substantial
23 evidence and need not have been accepted. See Thomas v. Barnhart, 278 F.3d
24 947, 957 (9th Cir. 2002) (finding that ALJ "need not accept the opinion of any
25 physician, including a treating physician, if that opinion is brief, conclusory, and
26 inadequately supported by clinical findings") (citing Matney v. Sullivan, 981 F.2d
27 1016, 1019 (9th Cir. 1992)); Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir.
28 1989) (same); see also Crane v. Shalala, 76 F.3d 251, 253 (9th Cir. 1996) (ALJ

1 properly rejected doctors' opinions because they were check-off reports that did
2 not contain any explanation for the bases of their conclusions).

3 To the extent plaintiff claims that the hypothetical question posed to the
4 vocational expert was incomplete because it did not contain all of the limitations
5 in the boxes checked by Dr. McDowell, plaintiff is not entitled to relief. While a
6 hypothetical question posed by an ALJ to a vocational expert must set out all the
7 limitations and restrictions of the particular claimant, Light v. Social Security
8 Administration, 119 F.3d 789, 793 (9th Cir.), as amended (1997) (citing Andrews
9 v. Shalala, 53 F.3d 1035, 1044 (9th Cir. 1995)), an ALJ's hypothetical question
10 need not include limitations not supported by substantial evidence in the record.
11 Osenbrock v. Apfel, 240 F.3d 1157, 1163-64 (9th Cir. 2001) (citation omitted).
12 Because the hypothetical question the ALJ posed included all the limitations the
13 ALJ properly found to exist, the ALJ committed no error.

14 **V. CONCLUSION**

15 For the foregoing reasons, the decision of the Commissioner of Social
16 Security is affirmed.

17 LET JUDGMENT BE ENTERED ACCORDINGLY.

18 DATED: October 27, 2009

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/s/

20 Honorable Jacqueline Chooljian
21 UNITED STATES MAGISTRATE JUDGE
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