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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

CONNIE D. ROSALES,	)	NO. CV 10-01771-MAN
	)	
Plaintiff,	)	MEMORANDUM OPINION
	)	
v.	)	AND ORDER
	)	
MICHAEL J. ASTRUE,	)	
Commissioner of Social Security,	)	
	)	
Defendant.	)	
_____	)	

Plaintiff filed a Complaint on March 15, 2010, seeking review of the denial by the Social Security Commissioner (the "Commissioner") of plaintiff's application for disability insurance benefits ("DIB"). On May 13, 2010, the parties consented, pursuant to 28 U.S.C. § 636(c), to proceed before the undersigned United States Magistrate Judge. The parties filed a Joint Stipulation on February 18, 2011, in which: plaintiff seeks an order reversing the Commissioner's decision and remanding this case for the payment of benefits; and defendant requests that the Commissioner's decision be affirmed or, alternatively, remanded for further administrative proceedings. The Court has taken the parties' Joint Stipulation under submission without oral argument.



1 involving the lumbar spine and secondarily, the cervical spine." (A.R.  
2 25.) The ALJ also determined that plaintiff's headaches and "medically  
3 determinable impairment of depressive disorder, NOS," are "'not  
4 severe.'"<sup>2</sup> (Id.) The ALJ further determined that plaintiff "does not  
5 have [a]n impairment or a combination of impairments that meets or  
6 equal[s] in severity an impairment listed at Appendix 1 to Subpart P of  
7 Regulations no. 4." (A.R. 31.)

8  
9 After reviewing the record, the ALJ determined that plaintiff has  
10 the residual functional capacity ("RFC") for "light work," with the  
11 exception that she is "limited to occasionally climbing ladders,  
12 stooping and crouching." (A.R. 25, 31.)

13  
14 The ALJ concluded that plaintiff is unable to perform her past  
15 relevant work. (A.R. 30-32.) However, having considered plaintiff's  
16 age, education, work experience, RFC, as well as the testimony of the  
17 vocational expert, the ALJ found that jobs exist in the national economy  
18 that plaintiff could perform, including those of office nurse or school  
19 nurse. (A.R. 31.) Accordingly, the ALJ concluded that plaintiff has  
20 not been under a disability, as defined in the Social Security Act, "at  
21 any time through the date of [her] decision." (A.R. 32.)

22  
23 **STANDARD OF REVIEW**

24  
25 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner's

26  
27 <sup>2</sup> The ALJ also noted that plaintiff has "a history of carpal  
28 tunnel syndrome, with no showing or claim of an active problem material  
to the pending application" and "mild to moderate sleep apnea, [which  
plaintiff] does not allege . . . as a limiting condition." (A.R. 25.)

1 decision to determine whether it is free from legal error and supported  
2 by substantial evidence in the record as a whole. Orn v. Astrue, 495  
3 F.3d 625, 630 (9th Cir. 2007). Substantial evidence is “such relevant  
4 evidence as a reasonable mind might accept as adequate to support a  
5 conclusion.” *Id.* (citation omitted). The “evidence must be more than  
6 a mere scintilla but not necessarily a preponderance.” Connett v.  
7 Barnhart, 340 F.3d 871, 873 (9th Cir. 2003). “While inferences from the  
8 record can constitute substantial evidence, only those ‘reasonably drawn  
9 from the record’ will suffice.” Widmark v. Barnhart, 454 F.3d 1063,  
10 1066 (9th Cir. 2006)(citation omitted).

11  
12 Although this Court cannot substitute its discretion for that of  
13 the Commissioner, the Court nonetheless must review the record as a  
14 whole, “weighing both the evidence that supports and the evidence that  
15 detracts from the [Commissioner’s] conclusion.” Desrosiers v. Sec’y of  
16 Health and Hum. Servs., 846 F.2d 573, 576 (9th Cir. 1988); *see also*  
17 Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). “The ALJ is  
18 responsible for determining credibility, resolving conflicts in medical  
19 testimony, and for resolving ambiguities.” Andrews v. Shalala, 53 F.3d  
20 1035, 1039 (9th Cir. 1995).

21  
22 The Court will uphold the Commissioner’s decision when the evidence  
23 is susceptible to more than one rational interpretation. Burch v.  
24 Barnhart, 400 F.3d 676, 679 (9th Cir. 2005). However, the Court may  
25 review only the reasons stated by the ALJ in his decision “and may not  
26 affirm the ALJ on a ground upon which he did not rely.” Orn, 495 F.3d  
27 at 630; *see also* Connett, 340 F.3d at 874. The Court will not reverse  
28 the Commissioner’s decision if it is based on harmless error, which

1 exists only when it is "clear from the record that an ALJ's error was  
2 'inconsequential to the ultimate nondisability determination.'" Robbins  
3 v. Soc. Sec. Admin., 466 F.3d 880, 885 (9th Cir. 2006)(*quoting Stout v.*  
4 Comm'r, 454 F.3d 1050, 1055 (9th Cir. 2006)); *see also Burch*, 400 F.3d  
5 at 679.

## 7 DISCUSSION

8  
9 Plaintiff claims that the ALJ improperly evaluated the opinion of  
10 plaintiff's treating physician. (Joint Stipulation ("Joint Stip.") at  
11 1-18.)

### 13 I. The ALJ Failed To Give Clear And Convincing Reasons For Rejecting 14 The March 2007 Opinion Of Plaintiff's Treating Physician.

15  
16 It is the responsibility of the ALJ to resolve conflicts in medical  
17 testimony and analyze evidence. Magallanes v. Bowen, 881 F.2d 747, 750  
18 (9th Cir. 1989). In the hierarchy of physician opinions considered in  
19 assessing a social security claim, "[g]enerally, a treating physician's  
20 opinion carries more weight than an examining physician's, and an  
21 examining physician's opinion carries more weight than a reviewing  
22 physician's." Holohan v. Massanari, 246 F.3d 1195, 1202 (9th Cir.  
23 2001); 20 C.F.R. § 404.1527(d). The opinions of treating physicians are  
24 entitled to the greatest weight, because the treating physician is hired  
25 to cure and has a better opportunity to observe the claimant. Connett,  
26 340 F.3d at 874; Thomas v. Barnhart, 278 F.3d 947, 956-57 (9th Cir.  
27 2002); Magallanes, 881 F.2d at 751. When a treating physician's opinion  
28 is not contradicted by another physician, it may be rejected only for

1 "clear and convincing" reasons. Lester v. Chater, 81 F.3d 821, 830 (9th  
2 Cir. 1995)(as amended). When contradicted by another doctor, a treating  
3 physician's opinion may only be rejected if the ALJ provides "specific  
4 and legitimate" reasons supported by substantial evidence in the record.  
5 *Id.*; see also Orn, 495 F.3d at 632; Ryan v. Comm'r of Soc. Sec., 528  
6 F.3d 1194, 1198 (9th Cir. 2008).

7  
8 On April 9, 2005, plaintiff had a consultative examination with  
9 Kambiz Hannani, M.D., an orthopedic surgeon. (A.R. 201-04.) Dr.  
10 Hannani noted that plaintiff presented with complaints of neck and low  
11 back pain stemming from a 1998 work injury. (A.R. 201.) A physical  
12 examination of plaintiff revealed, *inter alia*: (1) reduced range of  
13 motion in the cervical and thoraculombar spine; (2) tenderness to  
14 palpation in the cervicothoracic and lumbosacral junction; and (3)  
15 negative straight leg raise testing. (A.R. 202-03.) Although it  
16 appears that Dr. Hannani was not provided with plaintiff's entire  
17 medical record, he was able to review x-rays of plaintiff's cervical and  
18 lumbar spine, which showed a moderate amount of spondylosis at C4-C6 and  
19 traction osteophytes at L3-L4-L5. (A.R. 204.) After reviewing  
20 plaintiff's x-rays and physically examining plaintiff, Dr. Hannani  
21 opined that plaintiff has degenerative disk disease in both her lumbar  
22 and cervical spine. (A.R. 204.) Dr. Hannani further opined that  
23 plaintiff "is limited to lifting and carrying 20 pounds occasionally and  
24 10 pounds frequently, [and] standing and/or walking six hours out of an  
25 eight hour day." (*Id.*)

26  
27 An April 26, 2005 RFC Assessment Physical by state agency physician  
28 F. Wilson, M.D. largely mirrored Dr. Hannani's RFC assessment, although

1 Dr. Wilson additionally opined that plaintiff: (1) was limited to  
2 occasional stooping, crouching, and climbing of ladders, ropes, and  
3 scaffolds; and (2) should avoid concentrated exposure to extreme cold  
4 and heat. (A.R. 225-33.)  
5

6 After plaintiff's initial consultative examination with Dr.  
7 Hannani, plaintiff began to see Dr. Hannani regularly. On June 9, 2005,  
8 plaintiff saw Dr. Hannani for an orthopedic spinal evaluation. (A.R.  
9 666-68.) Dr. Hannani noted that plaintiff presented with: "neck pain  
10 with radiation into the left upper extremity"; "low back pain with  
11 radiation into the left lower extremity"; "pain [in] the right upper  
12 extremity"; and "very minimal [pain in] the right lower extremity."  
13 (A.R. 666.) A physical examination of plaintiff revealed, *inter alia*:  
14 "a bit of an antalgic gait on the left side"; limited lumbar and  
15 cervical spine range of motion; tenderness in the biceps and paraspinal  
16 muscles; decreased sensation in the upper and lower extremities; and  
17 positive straight leg raise testing. (A.R. 667-68.) After reviewing x-  
18 rays and July 2004 MRIs of plaintiff's cervical and lumbar spine, Dr.  
19 Hannani opined that plaintiff has: (1) "left-sided radiculopathy, most  
20 likely [at] C5 and C6, with some central stenosis at C4-5 and  
21 spondylosis at C3-4 and C5-6"; and (2) "left-sided L5 radiculopathy with  
22 disk herniation, left-sided L4-5." (A.R. 668.) However, before  
23 recommending any treatment options for plaintiff, Dr. Hannani wanted to  
24 review new MRIs of plaintiff's cervical and lumbar spine. (*Id.*)  
25

26 On June 15, 2005, plaintiff had MRIs of her cervical and lumbar  
27 spine. While the updated cervical spine MRI showed "no significant  
28 interval change[s]," Dr. Hannani noted that the MRI showed: (1) a "2 mm

1 disc/osteophyte complex bulge . . . at C3-4 with mild central canal  
2 stenosis and mild left neural foraminal narrowing"; a "2-3 mm  
3 disc/osteophyte complex bulge . . . at C4-5 with mild to moderate  
4 central canal stenosis and mild bilateral neural foraminal narrowing;  
5 and "at C5-6, [a] 2 mm disc/osteophyte complex bulge with mild to  
6 moderate central canal stenosis and mild left neural foraminal  
7 narrowing." (A.R. 697.) Unlike the cervical spine MRI, the updated  
8 lumbar spine MRI showed new changes, including: (1) a 2 mm diffuse disc  
9 bulge at L2-3 and L3-4; (2) a "worsened" 6-7 mm left paracentral  
10 protrusion at L4-5 that "resides in the left lateral recess and  
11 compresses the L5 nerve root"; and (3) a "slightly increased" 3 mm  
12 diffuse disc bulge at L5-S1. (A.R. 694-95.)

13

14 After reviewing plaintiff's updated MRIs, Dr. Hannani discussed  
15 plaintiff's options to address her leg and back pain. With respect to  
16 her leg pain, Dr. Hannani recommended that plaintiff have a small open  
17 microdiskectomy procedure which would involve "tak[ing] out the disk  
18 herniation and free[ing] up the L5 nerve root." (A.R. 665-66.) Dr.  
19 Hannani explained to plaintiff, however, that the procedure is only  
20 designed to help plaintiff's leg pain and, in fact, "may aggravate her  
21 low back pain." (A.R. 666.) To address plaintiff's back pain, Dr.  
22 Hannani stated that plaintiff "will likely require a stabilization  
23 [procedure], which again is a big surgery, [but he] would not recommend  
24 it for her at this time." (A.R. 665.)

25

26 On July 13, 2005 plaintiff underwent a microdiskectomy surgery to  
27 alleviate the pain in her left leg. (A.R. 244-45.) On July 18, 2005,  
28 Dr. Hannani wrote a letter in which he indicated that, for at least the



1 next three months, plaintiff is: (1) limited to "no lifting greater  
2 than 10 pounds occasionally and no greater than 5 pounds frequently";  
3 (2) "precluded from frequent bending and/or stooping"; and (3)  
4 "precluded from standing for greater than 4 hours a day." (A.R. 662-  
5 63.)

6  
7 In the months following surgery, plaintiff's left leg improved;  
8 however, plaintiff continued to experience pain in her back and began to  
9 experience pain in her right lower extremity.<sup>3</sup> However, although  
10 plaintiff reported significant back pain, Dr. Hannani recommended  
11 against a stabilization procedure at that time, in part, because  
12 plaintiff's daughter recently died and the resulting stress "can easily  
13 exacerbate [plaintiff's] neurological findings." (A.R. 660-61.)

14  
15 In December 2005, plaintiff was involved in a car accident and  
16 reported significant low back and neck pain. (A.R. 659-60.) A  
17 December 19, 2005 examination of plaintiff revealed lumbar and cervical  
18 range of motion was "about 50% of expected [range of motion]." (*Id.*)  
19 Plaintiff also "had tenderness to palpation in the cervicothoracic [and]  
20 thoracolumbar junction," and some "trapezial tenderness too." (*Id.*) In  
21 addition, plaintiff had positive straight leg raise testing on both  
22 sides. (*Id.*) Accordingly, Dr. Hannani opined that plaintiff had an

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24 <sup>3</sup> A.R. 663 (07/18/05 -- "leg pain is a lot better"; "[s]traight-  
25 leg[-]raise testing on the left at about 80 degrees causes some pain");  
26 A.R. 662 (08/18/05 -- "leg has improved"; "some right-sided paraspinal  
27 pain"; "continuing to have back pain"; A.R. 661 (10/20/05 --  
28 "[f]ortunately her leg pain has improved, but she continues to have a  
lot of back pain as well as some neck symptoms"); A.R. 660 (12/08/05 --  
"degenerative disk disease, status post left-sided microdiskectomy with  
significant improvement in the left lower extremity"; "continued low  
back pain with right lower extremity radiculitis").

1 "acceleration-deceleration injury with increased low back pain and now  
2 radiation into the left lower extremity, which she did not have after  
3 . . . surgery"; and "neck pain with radiation, which she had before  
4 surgery and is aggravated by the accident." (*Id.*)

5  
6 Following her car accident, plaintiff continued to report pain in  
7 her back and left leg.<sup>4</sup> While plaintiff tested inconsistently on  
8 straight leg raise testing, Dr. Hannani's treatment notes indicate that  
9 plaintiff has, *inter alia*: weakness in her left big toe (A.R. 658); an  
10 antalgic gait on the left side (A.R. 657); decreased sensation in the  
11 left lower extremity (A.R. 654); limited lumbar range of motion (*id.*);  
12 tenderness to palpation in the lumbosacral junction (*id.*); and "low back  
13 pain status post diskectomy, with degenerative disk disease" (*id.*).  
14 Dr. Hannani noted that plaintiff has "obvious degenerative disk disease  
15 for which she may end up with a stabilization procedure, but [Dr.  
16 Hannani] would wait until this becomes severe." (*Id.*) Additionally,  
17 in a March 9, 2006 form entitled "Return To Work Information," Dr.  
18 Hannani found plaintiff to be "temporarily totally disabled." (A.R.  
19 669-71.) Further, in a DMV certificate dated November 2, 2006, Dr.  
20 Hannani found plaintiff to have a significant limitation in the use of  
21 her lower extremities due to "post diskectomy with degenerative disk  
22 disease." (A.R. 700.)

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25 <sup>4</sup> See, e.g., A.R. 657-58 (02/20/06 -- plaintiff "is still  
26 complaining of pain becoming a lot worse after her motor vehicle  
27 accident"; "has some radiating pain going down the leg"; "getting more  
28 cramping in the left leg again"); A.R. 656-57 (03/09/06 -- plaintiff "is  
in a lot of pain in her back radiating into her legs"; "left leg  
definitely improved with the surgery, but then after the car accident  
she has been having more and more symptoms").

1 In a March 1, 2007 Orthopedic Follow-Up Evaluation, plaintiff  
2 presented with continued back and leg pain with radiation. (A.R. 713.)  
3 After physically examining plaintiff, Dr. Hannani noted that plaintiff  
4 "has limited range of motion of her back with no subluxation" and that  
5 her "[m]otor and sensory exams look okay." (*Id.*) Dr. Hannani opined  
6 that plaintiff has "status post decompression with significant  
7 degenerative disk disease, lumbar spine." (*Id.*) Dr. Hannani noted  
8 that, while plaintiff had microdisketomy surgery, she continues to have  
9 back and lower extremity pain, "especially after she was involved in the  
10 car accident." (*Id.*) He further noted that plaintiff's surgery did not  
11 address her degenerative disk disease at the L4-5 and L5-S1 locations  
12 and "[u]nfotunately, fixing this would involve a big surgery, front and  
13 back, which we have been trying to avoid for her." (*Id.*) Accordingly,  
14 "[g]iven the fact that [plaintiff] continues to have the internal disk  
15 disruption and postlaminectomy syndrome," Dr. Hannani "fe[lt] that she  
16 is very limited" and therefore, restricted plaintiff to "lifting and  
17 carrying 10 pounds occasionally, less than 10 pounds frequently"; and  
18 "stand[ing] and walk[ing] 2 hours out of an 8-hour day." (*Id.*)

19  
20 As plaintiff properly notes, this case is unusual, because Dr.  
21 Hannani served initially as plaintiff's consultative examiner and later  
22 as plaintiff's treating physician. In his decision, the ALJ rejects Dr.  
23 Hannani's March 2007 treating source assessment, because "the cumulative  
24 medical and lay evidence does not warrant a change from Dr. Hannani's  
25 assessment in April 2005." (A.R. 30.) Specifically the ALJ rejects Dr.  
26 Hannani's opinion because: (1) "[t]here have been no new studies since  
27 the accident"; (2) Dr. Hannani's "discussion of the lower extremities in  
28 March 2007 is somewhat peculiar in that essentially positive findings

1 continued to be [reported] in the admittedly improved left lower  
2 extremity"; and (3) "Dr. Hannani continued to recommend against another  
3 back surgery." (*Id.*)  
4

5 The ALJ's first ground for rejecting Dr. Hannani's March 2007  
6 opinion -- *to wit*, that there have been no studies since plaintiff's car  
7 accident -- is not convincing. As an initial matter, Dr. Hannani's  
8 April 2005 assessment is based upon a one-time physical examination of  
9 plaintiff, for which Dr. Hannani was provided some, but not all, of  
10 plaintiff's medical records. Dr. Hannani's March 2007 assessment is  
11 based upon a complete review of the medical records -- including, *inter*  
12 *alia*, old and new MRIs of plaintiff's cervical and lumbar spine -- and  
13 multiple physical examinations of plaintiff. Notwithstanding this fact,  
14 the ALJ rejects Dr. Hannani's March 2007 opinion, because there have  
15 been no new studies since plaintiff's car accident. While post-accident  
16 studies undoubtedly would have been helpful, the fact that there were no  
17 such studies cannot constitute a convincing reason for rejecting Dr.  
18 Hannani's March 2007 opinion -- an opinion that is uncontroverted and  
19 informed by a complete review of the medical record, updated studies,  
20 and multiple physical examinations of plaintiff. To the extent the ALJ  
21 found such studies necessary, the ALJ should have developed the record  
22 further.<sup>5</sup> Tonapetyan v. Halter, 242 F.3d 1144, 1150 (9th Cir.  
23 2001)(noting that an ALJ "has an independent duty to fully and fairly  
24 develop the record and to assure that the claimant's interests are  
25 considered")(citations and internal quotations omitted); see Widmark,  
26 454 F.3d at 1069 (ALJ has a duty to develop the record where there is a

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27  
28 <sup>5</sup> The record indicates that additional studies were submitted following the ALJ's decision. (See, e.g., A.R. 852-67.)

1 "gap" in the medical evidence).

2  
3 The ALJ's second ground for rejecting Dr. Hannani's March 2007  
4 opinion is also unpersuasive. In rejecting his March 2007 assessment,  
5 the ALJ found Dr. Hannani's discussion of plaintiff's lower extremities  
6 "somewhat peculiar in that essentially positive findings continued to be  
7 [reported] in the admittedly improved left lower extremity." (A.R. 30.)  
8 Although it is true that plaintiff reported improvement in her left  
9 lower extremity following surgery, as noted *supra*, plaintiff reported,  
10 and Dr. Hannani's physical examinations indicate, significant worsening  
11 in plaintiff's lower extremities after her car accident. (A.R. 654,  
12 656-58.) Thus, when Dr. Hannani's treatment notes are read together, it  
13 does not appear, as the ALJ contends, that plaintiff continues to have  
14 "essentially positive findings" in her lower extremities following her  
15 surgery. See Reddick v. Chater, 157 F.3d 715, 722-23 (9th Cir.  
16 1998)(reversing and remanding case, because ALJ's characterization of  
17 the record was "not entirely accurate regarding the content or tone");  
18 see also Gallant v. Heckler, 753 F.2d 1450, 1456 (9th Cir. 1984)(holding  
19 that it was error for an ALJ to ignore or misstate competent evidence in  
20 the record to justify his conclusion) Moreover, to the extent the ALJ  
21 found it "peculiar" that Dr. Hannani discussed plaintiff's lower  
22 extremities, the ALJ should have recontacted Dr. Hannani in accordance  
23 with his duty to conduct an appropriate inquiry. See 20 C.F.R. §  
24 404.1512(e) (noting that the administration "will seek additional  
25 evidence or clarification from your medical source when the report . . .  
26 from your medical source contains a conflict or ambiguity that must be  
27 resolved"). Accordingly, the ALJ's second ground cannot constitute a  
28 clear and convincing reason for rejecting Dr. Hannani's March 2007

1 opinion.

2

3       The ALJ's third ground for rejecting Dr. Hannani's March 2007  
4 opinion -- *to wit*, that Dr. Hannani continued to recommend against back  
5 surgery -- is equally unpersuasive. It is well established that a  
6 treating physician's opinion may be properly rejected when his treatment  
7 notes fail to present "the sort of descriptions and recommendations one  
8 would expect to accompany a finding that [the claimant is] totally  
9 disabled." Rollins v. Massanari, 261 F.3d 853, 856 (9th Cir. 2001). In  
10 this case, Dr. Hannani explained that, although plaintiff may need back  
11 surgery due to her degenerative disk disease, he has tried to "avoid" it  
12 because of, *inter alia*, plaintiff's emotional state (A.R. 660-61) and  
13 the invasiveness of the surgery (A.R. 713). Dr. Hannani has adequately  
14 explained why he has recommended that plaintiff not have an invasive  
15 back surgery at this time, and thus, the ALJ's reasoning does not  
16 constitute a clear and convincing reason for rejecting Dr. Hannani's  
17 March 2007 opinion.

18

19       Lastly, while defendant offers several additional reasons to  
20 explain the ALJ's rejection of Dr. Hannani's March 2007 opinion, the  
21 Court cannot entertain these post hoc rationalizations. *See, e.g.,*  
22 Connett, 340 F.3d at 874 (stating "[w]e are constrained to review the  
23 reasons the ALJ asserts" and "[i]t was error for the district court to  
24 affirm the ALJ's credibility decision based on evidence that the ALJ did  
25 not discuss").

26

27       Accordingly, for the aforementioned reasons, the ALJ failed to give  
28 clear and convincing reasons for rejecting the uncontroverted March 2007

1 opinion of plaintiff's treating physician, Dr. Hannani. This  
2 constitutes reversible error.<sup>6</sup>

3  
4 **III. Remand Is Required.**

5  
6 The decision whether to remand for further proceedings or order an  
7 immediate award of benefits is within the district court's discretion.  
8 Harman v. Apfel, 211 F.3d 1172, 1175-78 (9th Cir. 2000). Where no  
9 useful purpose would be served by further administrative proceedings, or  
10 where the record has been fully developed, it is appropriate to exercise  
11 this discretion to direct an immediate award of benefits. *Id.* at 1179

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<sup>6</sup> Even assuming *arguendo* that the ALJ did not commit error in  
14 rejecting Dr. Hannani's March 2007 opinion, it appears that the ALJ's  
15 RFC assessment is not supported by substantial evidence. In his  
16 decision, the ALJ generally adopts Dr. Hannani's April 2005 RFC  
17 assessment; however, "in light of the MRI finding and giving some weight  
18 to the state agency's assessment, the ALJ f[ound] that [plaintiff] has  
19 been limited to occasional ladder climbing, stooping and crouching since  
20 her alleged onset date." (A.R. 30.) Significantly, however, Dr.  
21 Hannani's April 2005 report and the state agency physician's assessment  
22 predate plaintiff's updated MRIs. Accordingly, the ALJ's inclusion of  
additional limitations "in light of the MRI findings" constitutes a  
medical finding that the ALJ is not qualified to make. See generally,  
Tackett v. Apfel, 180 F.3d 1094, 1102 (ALJ may not substitute his own  
interpretation of the medical evidence for the opinion of medical  
professionals); Banks v. Barnhart, 434 F. Supp. 2d 800, 805 (C.D. Cal.  
2006)(noting that an ALJ "'must not succumb to the temptation to play  
doctor and make [his] own independent medical findings'")(citing Rohan  
v. Chater, 98 F.3d 966, 970 (7th Cir. 1996)).

23 In addition, there is no indication that the side effects of  
24 plaintiff's medications -- including, *inter alia*, Vicodin and morphine  
(A.R. 884) -- were considered in the disability evaluation. See  
25 Erickson v. Shalala, 9 F.3d 813, 817-18 (9th Cir. 1993)(noting that an  
26 ALJ must consider all factors, including the side effects of  
27 medications, that might have a "'significant impact on an individual's  
28 ability to work'")(citation omitted); see also Soc. Sec. Ruling 96-7p,  
1996 WL 374186, at \*2-\*3, 1996 SSR LEXIS 4, at \*7-\*8 (noting that the  
type, dosage, effectiveness, and side effects of any medication the  
individual takes or has taken to alleviate pain or other symptoms should  
be considered in the disability evaluation); 20 C.F.R.  
§ 404.1529(c)(3)(iv).

1 ("[T]he decision of whether to remand for further proceedings turns upon  
2 the likely utility of such proceedings."). However, where there are  
3 outstanding issues that must be resolved before a determination of  
4 disability can be made, and it is not clear from the record that the ALJ  
5 would be required to find the claimant disabled if all the evidence were  
6 properly evaluated, remand is appropriate. *Id.* at 1179-81.

7  
8 Remand is the appropriate remedy to allow the ALJ the opportunity  
9 to remedy the above-mentioned deficiencies and errors. *See, e.g.,*  
10 Benecke v. Barnhart, 379 F.3d 587, 593 (9th Cir. 2004)(remand for  
11 further proceedings is appropriate if enhancement of the record would be  
12 useful); McAllister v. Sullivan, 888 F.2d 599, 603 (9th Cir. 1989)  
13 (remand appropriate to remedy defects in the record). On remand, the  
14 ALJ must correct the above-mentioned deficiencies and errors, and, if  
15 appropriate, further develop the record. After so doing, the ALJ may  
16 need to reassess plaintiff's RFC in which case additional testimony from  
17 a vocational expert likely will be needed to determine what work, if  
18 any, plaintiff can perform.

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1 **CONCLUSION**

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3 Accordingly, for the reasons stated above, IT IS ORDERED that the  
4 decision of the Commissioner is REVERSED, and this case is REMANDED for  
5 further proceedings consistent with this Memorandum Opinion and Order.  
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7 IT IS FURTHER ORDERED that the Clerk of the Court shall serve  
8 copies of this Memorandum Opinion and Order and the Judgment on counsel  
9 for plaintiff and for defendant.

10  
11 **LET JUDGMENT BE ENTERED ACCORDINGLY.**

12 DATED: June 28, 2011

13 *Margaret A. Nagle*  
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15 MARGARET A. NAGLE  
16 UNITED STATES MAGISTRATE JUDGE  
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