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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

NANCY ANN CALVEY,	)	Case No. CV 12-0472-JPR
	)	
Plaintiff,	)	
	)	MEMORANDUM OPINION AND ORDER
vs.	)	AFFIRMING THE COMMISSIONER
	)	
MICHAEL J. ASTRUE,	)	
Commissioner of the Social	)	
Security Administration,	)	
	)	
Defendant.	)	
	)	

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**I. PROCEEDINGS**

Plaintiff seeks review of the Commissioner's final decision denying her application for Social Security Supplemental Security Income benefits ("SSI"). The parties consented to the jurisdiction of the undersigned U.S. Magistrate Judge pursuant to 28 U.S.C. § 636(c). This matter is before the Court on the parties' Joint Stipulation, filed October 29, 2012, which the Court has taken under submission without oral argument. For the reasons stated below, the Commissioner's decision is affirmed and this action is dismissed.

1 **II. BACKGROUND**

2 Plaintiff was born on September 26, 1957. (Administrative  
3 Record ("AR") 26.) She has a 12th-grade education. (AR 28.) In  
4 1999, Plaintiff worked as a housekeeper for approximately one  
5 year. (AR 28.) She stopped working in 1999 because she was  
6 homeless; she has not worked since. (AR 28-29.) On March 4,  
7 2009, Plaintiff filed an application for SSI, alleging a  
8 disability onset date of July 1, 2008. (AR 112.) Plaintiff  
9 claimed to be disabled because of paranoid schizophrenia. (AR  
10 122.) Her SSI application was initially denied on April 24,  
11 2009. (AR 55-59) Plaintiff then requested reconsideration (AR  
12 60), and on November 10, 2009, her application was denied again  
13 (AR 61-65).

14 After Plaintiff's application was denied a second time, she  
15 requested a hearing before an Administrative Law Judge ("ALJ").  
16 (AR 66-68.) A hearing was held on December 10, 2009, at which  
17 Plaintiff, who was represented by counsel, testified on her own  
18 behalf. (AR 22-52.) Vocational Expert ("VE") Roxane Minkus also  
19 testified. (AR 45-50.) On February 18, 2011, the ALJ issued a  
20 written decision determining that Plaintiff was not disabled.  
21 (AR 8-21.) On March 21, 2011, Plaintiff requested review of the  
22 ALJ's decision denying benefits; she also submitted additional  
23 medical evidence for the Appeals Council to review. (AR 5, 7,  
24 313-422.) On November 22, 2011, the Appeals Council considered  
25 the additional evidence but denied Plaintiff's request for  
26 review. (AR 1-5.) This action followed.

27 **III. STANDARD OF REVIEW**

28 Pursuant to 42 U.S.C. § 405(g), a district court may review

1 the Commissioner's decision to deny benefits. The ALJ's findings  
2 and decision should be upheld if they are free of legal error and  
3 are supported by substantial evidence based on the record as a  
4 whole. § 405(g); Richardson v. Perales, 402 U.S. 389, 401, 91 S.  
5 Ct. 1420, 1427, 28 L. Ed. 2d 842 (1971); Parra v. Astrue, 481  
6 F.3d 742, 746 (9th Cir. 2007). Substantial evidence means such  
7 evidence as a reasonable person might accept as adequate to  
8 support a conclusion. Richardson, 402 U.S. at 401; Lingenfelter  
9 v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007). It is more than  
10 a scintilla but less than a preponderance. Lingenfelter, 504  
11 F.3d at 1035 (citing Robbins v. Soc. Sec. Admin., 466 F.3d 880,  
12 882 (9th Cir. 2006)). To determine whether substantial evidence  
13 supports a finding, the reviewing court "must review the  
14 administrative record as a whole, weighing both the evidence that  
15 supports and the evidence that detracts from the Commissioner's  
16 conclusion." Reddick v. Chater, 157 F.3d 715, 720 (9th Cir.  
17 1996). "If the evidence can reasonably support either affirming  
18 or reversing," the reviewing court "may not substitute its  
19 judgment" for that of the Commissioner. Id. at 720-21.

#### 20 **IV. THE EVALUATION OF DISABILITY**

21 People are "disabled" for purposes of receiving Social  
22 Security benefits if they are unable to engage in any substantial  
23 gainful activity owing to a physical or mental impairment that is  
24 expected to result in death or which has lasted, or is expected  
25 to last, for a continuous period of at least 12 months. 42  
26 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257  
27 (9th Cir. 1992).

1           A.    The Five-Step Evaluation Process

2           The ALJ follows a five-step sequential evaluation process in  
3 assessing whether a claimant is disabled. 20 C.F.R.  
4 § 416.920(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir.  
5 1995) (as amended Apr. 9, 1996). In the first step, the  
6 Commissioner must determine whether the claimant is currently  
7 engaged in substantial gainful activity; if so, the claimant is  
8 not disabled and the claim must be denied. § 416.920(a)(4)(i).  
9 If the claimant is not engaged in substantial gainful activity,  
10 the second step requires the Commissioner to determine whether  
11 the claimant has a "severe" impairment or combination of  
12 impairments significantly limiting her ability to do basic work  
13 activities; if not, the claimant is not disabled and the claim  
14 must be denied. § 416.920(a)(4)(ii). If the claimant has a  
15 "severe" impairment or combination of impairments, the third step  
16 requires the Commissioner to determine whether the impairment or  
17 combination of impairments meets or equals an impairment in the  
18 Listing of Impairments ("Listing") set forth at 20 C.F.R., Part  
19 404, Subpart P, Appendix 1; if so, disability is conclusively  
20 presumed and benefits are awarded. § 416.920(a)(4)(iii). If the  
21 claimant's impairment or combination of impairments does not meet  
22 or equal an impairment in the Listing, the fourth step requires  
23 the Commissioner to determine whether the claimant has sufficient  
24 residual functional capacity ("RFC")<sup>1</sup> to perform her past work;  
25 if so, the claimant is not disabled and the claim must be denied.

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27           <sup>1</sup>RFC is what a claimant can still do despite existing  
28 exertional and nonexertional limitations. 20 C.F.R. § 416.945; see  
Cooper v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

1 § 416.920(a)(4)(iv). The claimant has the burden of proving that  
2 she is unable to perform past relevant work. Drouin, 966 F.2d at  
3 1257. If the claimant meets that burden, a prima facie case of  
4 disability is established. Id. If that happens or if the  
5 claimant has no past relevant work, the Commissioner then bears  
6 the burden of establishing that the claimant is not disabled  
7 because she can perform other substantial gainful work available  
8 in the national economy. § 416.920(a)(4)(v). That determination  
9 comprises the fifth and final step in the sequential analysis.  
10 § 416.920; Lester, 81 F.3d at 828 n.5; Drouin, 966 F.2d at 1257.

11 B. The ALJ's Application of the Five-Step Process

12 At step one, the ALJ found that Plaintiff had not engaged in  
13 any substantial gainful activity since March 4, 2009, the date of  
14 her SSI application. (AR 13.) At step two, the ALJ concluded  
15 that Plaintiff had the severe impairments of "schizophrenia,  
16 alcohol abuse, and methamphetamine abuse in remission." (Id.)  
17 At step three, the ALJ determined that Plaintiff's impairments  
18 did not meet or equal any of the impairments in the Listing. (AR  
19 13-14.) At step four, the ALJ found that Plaintiff was able to  
20 perform a full range of work at all exertional levels with the  
21 following nonexertional limitations:

22 simple routine repetitive tasks, no fast-paced production  
23 or assembly line requirements such as use of a conveyor  
24 belt, capable of making simple work-related decisions,  
25 works better with objects rather than people, but is not  
26 precluded from working with people, and off task 10  
27 percent of the day due to auditory hallucinations.

28 (AR 14.) Based on the VE's testimony, the ALJ concluded that

1 Plaintiff could perform her past relevant work of housekeeper.  
2 (AR 17.) The ALJ also concluded in the alternative that "other  
3 jobs" existed in significant numbers in the national economy that  
4 Plaintiff could perform, although she did not specify what they  
5 were. (Id.) Accordingly, the ALJ determined that Plaintiff was  
6 not disabled. (AR 18.)

7 **V. DISCUSSION**

8 Plaintiff alleges that "the Appeals Council erred by failing  
9 to provide any analysis or relevant comment to" the new evidence  
10 Plaintiff submitted after the ALJ rendered her decision. (J.  
11 Stip. at 3.) She also alleges that the ALJ erred in failing to  
12 present a "detailed complete" hypothetical to the VE and in  
13 evaluating Plaintiff's subjective symptom testimony. (J. Stip.  
14 at 3-4.)<sup>2</sup> None of these contentions warrant reversal.

15 A. The Appeals Council's Denial of Review Is Not  
16 Reviewable by this Court, and the New Evidence  
17 Plaintiff Submitted Does Not Mandate Reversal

18 Plaintiff argues that the Appeals Council erred by failing  
19 to "articulate any specific analysis regarding" the new evidence  
20 she submitted after the ALJ's decision. (J. Stip. at 11-14, 17-  
21 18.) Reversal is not warranted on that basis.

22 After the ALJ denied Plaintiff's application for benefits,  
23 Plaintiff submitted to the Appeals Council on February 18, 2011,  
24 86 pages of treatment records from Oasis Rehabilitation Center  
25 and Oasis Crisis Services. (AR 339-422.) The records documented

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27 <sup>2</sup>The Court addresses the arguments raised in the Joint  
28 Stipulation in an order different from that used by the parties,  
for clarity and other reasons.

1 Plaintiff's treatment after being hospitalized pursuant to a  
2 5150<sup>3</sup> admission in July 2009 (AR 340-68) and again in April 2011  
3 (AR 369-422). The Appeals Council "found no reason under our  
4 rules to review the Administrative Law Judge's decision" and  
5 denied Plaintiff's request for review. (AR 1.) The Council  
6 noted that it had "received additional evidence which it is  
7 making part of the record," but it did not otherwise discuss the  
8 evidence. (See AR 5.)

9 The Court "[does] not have jurisdiction to review a decision  
10 of the Appeals Council denying a request for review of an ALJ's  
11 decision, because the Appeals Council decision is a non-final  
12 agency action." Brewes v. Comm'r of Soc. Sec. Admin., 682 F.3d  
13 1157, 1161 (9th Cir. 2012) (citing Taylor v. Comm'r of Soc. Sec.  
14 Admin., 659 F.3d 1228, 1231 (9th Cir. 2011)). Thus, to the  
15 extent Plaintiff asks this Court to reverse the Appeals Council's  
16 denial of review because the Council failed to provide its own  
17 independent discussion of the new evidence, the Court lacks  
18 jurisdiction to do so.

19 To the extent Plaintiff argues that the new evidence by  
20 \_\_\_\_\_

21 <sup>3</sup>California Welfare and Institutions Code § 5150 provides:

22 When any person, as a result of mental disorder, is a  
23 danger to others, or to himself or herself, or gravely  
24 disabled, a peace officer, member of the attending staff,  
25 as defined by regulation, of an evaluation facility  
26 designated by the county, designated members of a mobile  
27 crisis team provided by Section 5651.7, or other  
28 professional person designated by the county may, upon  
probable cause, take, or cause to be taken, the person  
into custody and place him or her in a facility  
designated by the county and approved by the State  
Department of Social Services as a facility for 72-hour  
treatment and evaluation.

1 itself warrants remand of the ALJ's decision (see J. Stip. at 17-  
2 18), it does not. "New and material evidence" that is "submitted  
3 to and considered by the Appeals Council is not new but rather is  
4 part of the administrative record properly before the district  
5 court." Brewes, 682 F.3d at 1164; see also Tackett v. Apfel, 180  
6 F.3d 1094, 1097-98 (9th Cir. 1999). The Court therefore "must"  
7 consider that evidence "when reviewing the Commissioner's final  
8 decision for substantial evidence." Brewes, 682 F.3d at 1163  
9 (citing Tackett, 180 F.3d at 1097-98). The additional evidence  
10 from Oasis Rehabilitation Center and Oasis Crisis Services was  
11 made part of the record by the Appeals Council, and therefore the  
12 Court must consider it in "determin[ing] whether, in light of the  
13 record as a whole, the ALJ's decision was supported by  
14 substantial evidence." Brewes, 682 F.3d at 1163.

15 The additional evidence from July 2009 documenting  
16 Plaintiff's 5150 hospitalization and treatment related to the  
17 period before the ALJ's February 18, 2011 decision, and therefore  
18 it is "material" evidence. See id. at 1162 ("The Commissioner's  
19 regulations permit claimants to submit new and material evidence  
20 to the Appeals Council and require the Council to consider that  
21 evidence in determining whether to review the ALJ's decision, so  
22 long as the evidence relates to the period on or before the ALJ's  
23 decision." (citation omitted)). Plaintiff argues that it  
24 warrants reversal because it shows that her Global Assessment of  
25 Functioning ("GAF") score was 20 upon admission to the hospital  
26 in July 2009 and 40 upon discharge, and her highest GAF score in  
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1 the "past year" was 45.<sup>4</sup> (J. Stip. at 12.) But as discussed  
2 more fully below, the July 2009 evidence was consistent with the  
3 evidence before the ALJ, which showed that Plaintiff's symptoms  
4 appeared to worsen only when she stopped taking her medications  
5 and were well-controlled when she did take her medications.  
6 (See AR 366.) Her low GAF score in July 2009, when she had not  
7 taken her medications for almost two weeks (see AR 367), was  
8 likely a reflection of that, as examinations performed at times  
9 Plaintiff was compliant with her medications revealed fewer  
10 symptoms (see AR 214-20, 367) and higher GAF scores (see AR 261).  
11 Moreover, GAF scores "[do] not have a direct correlation to the  
12 severity requirements in the Social Security Administration's  
13 mental disorders listings," and an ALJ may properly disregard a  
14 low GAF score if other substantial evidence supports a finding  
15 that the claimant was not disabled. See Doney v. Astrue, 485 F.  
16 App'x 163, 165 (9th Cir. 2012) (alterations and citations  
17 omitted). Indeed, given the evidence in the record that  
18 Plaintiff's functioning improved with treatment, the ALJ likely  
19 would have disregarded the July 2009 GAF scores, as they were  
20 assessed at a time Plaintiff was not taking her medications. The  
21 July 2009 treatment records therefore do not warrant reversal of  
22 the ALJ's decision. See Marin v. Astrue, No. CV 11-09331 AJW,

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24 <sup>4</sup>A GAF score of 20 indicates "[s]ome danger of hurting self or  
25 others," "occasionally fail[ing] to maintain minimal personal  
26 hygiene," or "gross impairment in communication." (J. Stip.  
27 Attach. 1.) A GAF score of 40 indicates "[s]ome impairment in  
28 reality testing or communication . . . OR major impairment in  
several areas, such as work or school, family relations, judgment,  
thinking or mood." (Id.) A GAF score of 45 indicates "serious  
symptoms ([e.g.] suicidal ideation . . .) OR any serious impairment  
in social, occupational or school functioning." (Id.)

1 2012 WL 5381374, at \*6 (C.D. Cal. Oct. 31, 2012) (declining to  
2 reverse when new evidence submitted to Appeals Council "does not  
3 alter the conclusion that the ALJ's decision was supported by  
4 substantial evidence in the record as a whole"); cf. Warner v.  
5 Astrue, 859 F. Supp. 2d 1107, 1117 (C.D. Cal. 2012) (remanding  
6 because "there is a substantial likelihood the ALJ's  
7 consideration of the additional evidence submitted to the Appeals  
8 Council will materially alter the ALJ's disability analysis").

9       The additional evidence from April 2011 also does not  
10 warrant reversal because it postdated the ALJ's decision.  
11 Although Plaintiff claims that it is relevant to the period on or  
12 before the hearing date because the commitment occurred "just 2  
13 months after the decision" (J. Stip. at 14), nothing in the  
14 evidence contains a retrospective assessment of Plaintiff's  
15 condition before the date of the ALJ's decision. (See AR 369-  
16 422.) Indeed, Plaintiff does not point to any portions of the  
17 April 2011 evidence that she contends retrospectively analyze her  
18 condition. (See J. Stip. at 13-14, 17-18.) Thus, it is not  
19 "material" evidence, and the Court must give it little weight in  
20 reviewing the ALJ's decision. See 20 C.F.R. § 416.1470(b) ("[I]f  
21 new and material evidence is submitted, the Appeals Council shall  
22 consider the additional evidence only where it relates to the  
23 period on or before the hearing date of the administrative law  
24 judge hearing decision."); cf. Smith v. Bowen, 849 F.2d 1222,  
25 1225 (9th Cir. 1988) (holding that "reports containing  
26 observations made after the period for disability" that  
27 retrospectively analyze the claimant's pre-expiration condition  
28 "are relevant to assess the claimant's disability").

1 B. The ALJ Did Not Present an Improper Hypothetical to the  
2 VE

3 Plaintiff contends that the ALJ erred in presenting a  
4 hypothetical to the VE that assumed that Plaintiff would be "off  
5 task" 10% of the day and in accepting the VE's testimony that  
6 Plaintiff could nonetheless perform the job of housekeeper. (J.  
7 Stip. at 4-5.) Plaintiff also argues that the ALJ erred because  
8 she failed to obtain an explanation from the VE as to why any  
9 employer would allow a schizophrenic suffering auditory  
10 hallucinations to work in his or her home. (J. Stip. at 5-6.)

11 An ALJ must ask a hypothetical question to a VE that is  
12 based on medical assumptions supported by substantial evidence in  
13 the record and that reflects all the plaintiff's limitations.  
14 Roberts v. Shalala, 66 F.3d 179, 184 (9th Cir. 1995). If the  
15 ALJ's hypothetical "contained all of the limitations that the ALJ  
16 found credible and supported by substantial evidence in the  
17 record," the ALJ may properly rely on the testimony the VE gives  
18 in response to the hypothetical in formulating an RFC assessment.  
19 Bayliss v. Barnhart, 427 F.3d 1211, 1217 (9th Cir. 2005).

20 At the hearing, the ALJ posed the following hypotheticals to  
21 the VE:

22 Q. . . . Assume the existence of an individual who  
23 is 51 years old as of the date of the Title XVI  
24 application, has at least a high school education,  
25 and a vocational background as a housekeeper in a  
26 hotel. Further assume that such an individual has  
27 no exertional limitations; however, due to a low  
28 tolerance for stress, would be limited to simple,

1 routine, repetitive tasks, and a work environment  
2 free of fast-paced production or assembly line  
3 requirements, as in use of a conveyor belt.  
4 However, such an individual would remain capable of  
5 performing simple work - making simple work-related  
6 decisions. In addition, such an individual would  
7 work better with objects or things rather than  
8 people, but such interaction would not [be]  
9 precluded. Would such an individual be able to  
10 perform the claimant's past work?

11 A. Yes.

12 Q. Hypothetical two: assume an individual of the same  
13 age, education, and vocational background as the  
14 claimant with the limitations cited in hypothetical  
15 one with the following addition[al] limitation.  
16 Assume that such an individual would be off task  
17 about 10 percent of the day due to auditory  
18 hallucinations. Would such an individual be able  
19 to perform the claimant's past work?

20 A. I think yes, with some erosion, yes.

21 Q. Okay, and what would that erosion be?

22 A. I would erode the job base approximately 20  
23 percent. I do think that this is one of the  
24 occupations that accommodates some flexibility, as  
25 long as the work is done at the end of the day. So  
26 I would simply erode about 20 percent.

27 (AR 45-47.)

28 The ALJ's hypothetical was supported by substantial evidence

1 in the record. To the extent Plaintiff claims that her RFC  
2 should have been further restricted because of her schizophrenia  
3 and auditory hallucinations, she does not cite to any evidence in  
4 the record that would warrant imposing further restrictions.  
5 (See J. Stip. at 6.) The record showed that, throughout 2009 and  
6 2010, when Plaintiff took her medications her condition remained  
7 "stable" and she exhibited appropriate mood, affect, speech,  
8 appearance, and concentration. (See AR 214, 217, 314-19.) A  
9 psychiatric evaluation performed in April 2009 by consulting  
10 psychiatrist N. Haroun showed that Plaintiff had no restriction  
11 of activities of daily living or difficulties maintaining social  
12 functioning, and moderate difficulties in maintaining  
13 concentration, persistence, or pace. (AR 302.) Dr. Haroun found  
14 that Plaintiff was capable of understanding simple instructions,  
15 performing simple tasks, and working with others. (See AR 304-  
16 07.) Plaintiff and her boyfriend both reported that she was able  
17 to follow instructions and respond to authority figures well.  
18 (AR 139-40, 147-48.) The periods of time when Plaintiff's  
19 symptoms worsened appear to be when she did not take her  
20 medications (see AR 224-44 (detailing decline in mental health  
21 status from September to December 2008 after Plaintiff "stopped  
22 meds"); AR 367 (July 2009 hospitalization notes, noting that  
23 Plaintiff "had run out of [medication] for approximately 10 to 14  
24 days prior to admission," which was "most likely responsible for  
25 [her] increased agitation")); when Plaintiff took her  
26 medications, her symptoms improved (see AR 214-20 (January to  
27 March 2009, showing improved mental health status); AR 367  
28 (noting that "[a]t the time of discharge," after taking

1 medications, Plaintiff "demonstrated adequate grooming [and] good  
2 eye contact," "was calm and less anxious and restless," "had no  
3 complaints of tremors or shakiness," and "said auditory  
4 hallucinations were significantly diminished and she felt  
5 prepared to return home"). The ALJ was entitled to consider the  
6 effectiveness of medication in controlling Plaintiff's symptoms  
7 in formulating her RFC assessment. See 20 C.F.R.  
8 § 416.929(c) (4) (iv) (ALJ may consider effectiveness of medication  
9 in evaluating severity and limiting effects of an impairment);  
10 Tommasetti v. Astrue, 533 F.3d 1035, 1040 (9th Cir. 2008) (ALJ  
11 may consider plaintiff's response to treatment in finding  
12 plaintiff not disabled). The ALJ's hypothetical, which accounted  
13 for her continuing schizophrenia symptoms by limiting her to  
14 simple, routine, repetitive tasks and recognizing that she may be  
15 distracted by auditory hallucinations a small percentage of the  
16 time, was thus supported by substantial evidence.

17 The VE's response to the hypothetical was also proper.  
18 Plaintiff argues that the VE's testimony that she could perform  
19 the job of housekeeper despite being off task 10% of the day  
20 conflicts with the Dictionary of Occupational Titles' ("DOT")  
21 description of the housekeeper job, but she does not cite any  
22 specific provisions of the DOT to support her argument. (See J.  
23 Stip. at 5-6.) The DOT does not state that a housekeeper must be  
24 on task 100% of the day. See DOT 323.687-014 (Cleaner,  
25 Housekeeping), 1991 WL 672783. To the extent such a requirement  
26 is "implicit" in the DOT, as Plaintiff seems to argue (J. Stip.  
27 at 9-10), the VE's testimony was sufficient to resolve any  
28 conflict.

1           When a VE provides evidence about the requirements of a job,  
2 the ALJ has a responsibility to ask about "any possible conflict"  
3 between that evidence and the DOT. See SSR 00-4p, 2000 WL  
4 1898704, at \*4; Massachi v. Astrue, 486 F.3d 1149, 1152-54 (9th  
5 Cir. 2007) (holding that application of SSR 00-4p is mandatory).  
6 An ALJ's failure to do so is procedural error, but the error is  
7 harmless if no actual conflict existed or the VE provided  
8 sufficient evidence to support the conclusion. Massachi, 486  
9 F.3d at 1154 n.19. The VE testified that the housekeeper  
10 position "accommodate[d] some flexibility, as long as the work is  
11 done at the end of the day."<sup>5</sup> (AR 46-47.) Thus, to the extent a  
12 conflict existed between the VE's testimony and the DOT with  
13 respect to whether Plaintiff could perform the housekeeper job if  
14 she was off task 10% of the day, the VE sufficiently resolved it.  
15 The ALJ was entitled to rely on the VE's explanation. See  
16 Bayliss, 427 F.3d at 1218 (holding that "[a] VE's recognized  
17 expertise provides the necessary foundation for his or her  
18 testimony," and "no additional foundation is required").

19           Plaintiff's contention that no employer would hire a  
20 housekeeper suffering from schizophrenia and auditory  
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23           <sup>5</sup>Plaintiff appears to misapprehend the VE's use of the phrase  
24 "at the end of the day." She appears to interpret it as meaning  
25 that Plaintiff would somehow have magically completed all her work  
26 at the conclusion of her eight-hour workday, even though she was  
27 off task 10% of the time. (See J. Stip. at 4.) Read in context,  
28 however, it is clear that the VE meant that Plaintiff could be off  
task for 10% of the day "as long as the work is done at the end of  
the day," meaning as long as the work ultimately was completed  
before Plaintiff went home for the day. (See AR 46-47.) As noted,  
she further testified that housekeeping jobs allowed for such  
"flexibility." (AR 46.)

1 hallucinations (J. Stip. at 6) is also not supported by the  
2 record. Plaintiff does not cite any evidence or case law in  
3 support of this argument. (See id.) Her own lay understanding  
4 of what an employer might look for in hiring a housekeeper is  
5 insufficient to support reversal of the ALJ's opinion. Moreover,  
6 the VE considered the existence of auditory hallucinations and  
7 found that it would erode the job base by 20% (AR 46-47), and  
8 Plaintiff does not claim that an insufficient number of positions  
9 remained (see J. Stip. at 5-6, 9-10).

10 In sum, because the ALJ's hypothetical to the VE was  
11 supported by substantial evidence, reversal is not warranted on  
12 this basis.

13 C. The ALJ Did Not Err in Evaluating Plaintiff's Testimony

14 Plaintiff asserts that the ALJ did not provide clear and  
15 convincing reasons for rejecting her testimony as to the "degree  
16 of limitations" of her impairment. (J. Stip. at 18-22, 24-25.)  
17 Because the ALJ did provide clear and convincing reasons  
18 supporting her evaluation of Plaintiff's testimony and they were  
19 supported by substantial evidence in the record, reversal is not  
20 warranted on this basis.

21 An ALJ's assessment of pain severity and claimant  
22 credibility is entitled to "great weight." See Weetman v.  
23 Sullivan, 877 F.2d 20, 22 (9th Cir. 1989); Nyman v. Heckler, 779  
24 F.2d 528, 531 (9th Cir. 1986). "[T]he ALJ is not required to  
25 believe every allegation of disabling pain, or else disability  
26 benefits would be available for the asking, a result plainly  
27 contrary to 42 U.S.C. § 423(d)(5)(A)." Molina v. Astrue, 674  
28 F.3d 1104, 1112 (9th Cir. 2012) (internal quotation marks and



1 citation omitted). In evaluating a claimant's subjective symptom  
2 testimony, the ALJ engages in a two-step analysis. See  
3 Lingenfelter, 504 F.3d at 1035-36. "First, the ALJ must  
4 determine whether the claimant has presented objective medical  
5 evidence of an underlying impairment [that] could reasonably be  
6 expected to produce the pain or other symptoms alleged." Id. at  
7 1036 (internal quotation marks omitted). If such objective  
8 medical evidence exists, the ALJ may not reject a claimant's  
9 testimony "simply because there is no showing that the impairment  
10 can reasonably produce the *degree* of symptom alleged." Smolen v.  
11 Chater, 80 F.3d 1273, 1282 (9th Cir. 1996) (emphasis in  
12 original). When the ALJ finds a claimant's subjective complaints  
13 not credible, the ALJ must make specific findings that support  
14 the conclusion. See Berry v. Astrue, 622 F.3d 1228, 1234 (9th  
15 Cir. 2010). Absent affirmative evidence of malingering, those  
16 findings must provide "clear and convincing" reasons for  
17 rejecting the claimant's testimony. Lester, 81 F.3d at 834. If  
18 the ALJ's credibility finding is supported by substantial  
19 evidence in the record, the reviewing court "may not engage in  
20 second-guessing." Thomas v. Barnhart, 278 F.3d 947, 959 (9th  
21 Cir. 2002).

22 At the hearing, Plaintiff testified that she last worked as  
23 a housekeeper in 1999 and stopped working after that because she  
24 "became homeless" and "it was kind of hard to work." (AR 28.)  
25 She testified that her typical day consisted of staying home<sup>6</sup> and  
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27 <sup>6</sup>At the time of the hearing Plaintiff was not homeless, as she  
28 lived with her boyfriend in a house and had been with him "off and  
on, for about 14 years." (AR 26, 142.)

1 watching television and that she went to the grocery store  
2 approximately once every three days; she was able to get around  
3 by riding a bicycle or using public transportation. (AR 29-30.)  
4 She testified that she had no difficulty attending to her  
5 personal needs or doing housekeeping, and she did the grocery  
6 shopping and cooking for the household. (AR 30-31.) She claimed  
7 that she was unable to work because of "a lack of concentration"  
8 and hearing voices that "confused" her. (AR 33.) She stated  
9 that she heard voices "every day" and that sometimes she had  
10 trouble going out in public because she thought people were  
11 talking about her. (AR 34, 43-44.)

12 On March 26, 2009, Plaintiff filled out an Adult Function  
13 Report stating that her daily activities included making coffee  
14 and breakfast in the morning, showering, going for a bike ride,  
15 watching TV, cleaning the house, watering plants, and cooking  
16 lunch and dinner. (AR 142.) She stated that because of hearing  
17 voices she was unable to "think straight" or do chores without  
18 interruption from the voices, but she noted that she could get  
19 around, take care of shopping and personal needs, and manage  
20 money on her own. (AR 143-45.) She stated that she did not "go  
21 out [too] much now" because "[s]ometimes I think people are  
22 talking about me." (AR 147.) She stated that her ability to  
23 follow written and spoken instructions and interact with  
24 authority figures was "good," but she did not handle stress or  
25 changes in routine well. (AR 147-48.) Her boyfriend, Henry  
26 Gonzalez, filled out a third-party report, giving substantially  
27 similar answers. (AR 134-41.)

28 In her written opinion, the ALJ found that to the extent

1 Plaintiff claimed that her ability to work was more restricted  
2 than the ALJ found, it was not credible. (AR 16-17.) The ALJ  
3 provided a detailed summary of the evidence of record, noting  
4 that substantial evidence showed that Plaintiff had no trouble  
5 caring for herself, getting around town, or performing household  
6 chores; her symptoms improved when she was compliant with  
7 prescribed medications; and the times when Plaintiff's symptoms  
8 worsened corresponded to times when she was noncompliant with her  
9 medications. (AR 15-16.)

10 Reversal is not warranted based on the ALJ's alleged failure  
11 to make proper credibility findings or properly consider  
12 Plaintiff's subjective symptoms. Contrary to Plaintiff's  
13 arguments, the ALJ provided specific reasons for rejecting  
14 Plaintiff's credibility: substantial medical evidence showed that  
15 her symptoms were well controlled with medication, and her daily  
16 activities were inconsistent with the level of disability she  
17 alleged. (Id.)

18 Substantial evidence supports the ALJ's findings. As noted  
19 above, the record showed that, throughout 2009 and 2010, when  
20 Plaintiff took her medications her condition remained "stable"  
21 and she exhibited appropriate mood, affect, speech, appearance,  
22 and concentration. (See AR 214, 217, 314-19.) The psychiatrist  
23 who examined Plaintiff concluded that she had the residual  
24 functional capacity to perform simple, repetitive tasks. (AR  
25 302.) Indeed, no doctor ever concluded that Plaintiff was unable  
26 to work. Plaintiff herself admitted that she had no trouble  
27 following instructions and interacting with authority figures,  
28 and her boyfriend said the same. (AR 139-40, 147-48.)

1 Plaintiff's symptoms appeared to worsen only when she did not  
2 take her medications (see AR 224-44, 367), and when she then took  
3 her medications, her symptoms improved (see AR 214-220, 367).  
4 The ALJ's evaluation of Plaintiff's subjective symptom testimony  
5 was therefore proper. See, e.g., 20 C.F.R. § 416.929(c)(4)(iv)  
6 (ALJ may consider effectiveness of medication in evaluating  
7 severity and limiting effects of an impairment); SSR 96-7p, 1996  
8 WL 374186, at \*6 ("medical signs and laboratory findings that . .  
9 . demonstrate worsening or improvement of the underlying medical  
10 condition . . . may also help an adjudicator to draw appropriate  
11 inferences about the credibility of an individual's statements");  
12 Johnson v. Shalala, 60 F.3d 1428, 1434 (9th Cir. 1995) (holding  
13 that "contradictions between claimant's testimony and the  
14 relevant medical evidence" provided clear and convincing reason  
15 for ALJ to reject plaintiff's subjective symptom testimony).

16 The ALJ's finding that Plaintiff's testimony conflicted with  
17 her daily activities was also proper. Although it is true that  
18 "one does not need to be 'utterly incapacitated' in order to be  
19 disabled," Vertigan v. Halter, 260 F.3d 1044, 1050 (9th Cir.  
20 2001), the extent of Plaintiff's activities here supports the  
21 ALJ's finding that Plaintiff's reports of her impairment were not  
22 fully credible. See Bray v. Comm'r of Soc. Sec. Admin., 554 F.3d  
23 1219, 1227 (9th Cir. 2009); Curry v. Sullivan, 925 F.2d 1127,  
24 1130 (9th Cir. 1990) (finding that claimant's ability to "take  
25 care of her personal needs, prepare easy meals, do light  
26 housework and shop for some groceries . . . may be seen as  
27 inconsistent with the presence of a condition which would  
28 preclude all work activity") (citing Fair v. Bowen, 885 F.2d 597,

1 604 (9th Cir. 1989)). The ALJ properly noted that Plaintiff's  
2 ability to do such daily activities as cooking, cleaning, riding  
3 a bicycle, taking public transportation, and grocery shopping for  
4 herself and her boyfriend, all of which require at least some  
5 degree of concentration, indicated that she had the ability to  
6 perform at least simple, repetitive tasks. (AR 15-17.) The ALJ  
7 thus did not materially err in assessing Plaintiff's credibility,  
8 and reversal is not warranted on this basis.

9 **VI. CONCLUSION**

10 Consistent with the foregoing, and pursuant to sentence four  
11 of 42 U.S.C. § 405(g),<sup>7</sup> IT IS ORDERED that judgment be entered  
12 AFFIRMING the decision of the Commissioner and dismissing this  
13 action with prejudice. IT IS FURTHER ORDERED that the Clerk  
14 serve copies of this Order and the Judgment on counsel for both  
15 parties.

16  
17 DATED: January 17, 2013

  
JEAN ROSENBLUTH  
U.S. Magistrate Judge

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27 <sup>7</sup>This sentence provides: "The [district] court shall have  
28 power to enter, upon the pleadings and transcript of the record, a  
judgment affirming, modifying, or reversing the decision of the  
Commissioner of Social Security, with or without remanding the  
cause for a rehearing."