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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

BRUCE W. ALBERTSON, JR.,)	Case No. CV 12-2508-JPR
)	
Plaintiff,)	
)	MEMORANDUM OPINION AND ORDER
vs.)	AFFIRMING THE COMMISSIONER
)	
CAROLYN W. COLVIN, Acting)	
Commissioner of Social)	
Security, ¹)	
)	
Defendant.)	
)	

I. PROCEEDINGS

Plaintiff seeks review of the Commissioner’s final decision denying his application for Social Security disability insurance benefits (“DIB”). The parties consented to the jurisdiction of the undersigned U.S. Magistrate Judge pursuant to 28 U.S.C. § 636(c). This matter is before the Court on the parties’ Joint Stipulation, filed November 29, 2012, which the Court has taken under submission without oral argument. For the reasons stated

¹ On February 14, 2013, Colvin became the Acting Commissioner of Social Security. Pursuant to Federal Rule of Civil Procedure 25(d), the Court therefore substitutes Colvin for Michael J. Astrue as the proper Respondent.

1 below, the Commissioner's decision is affirmed and this action is
2 dismissed.

3 **II. BACKGROUND**

4 Plaintiff was born on February 6, 1970. (Administrative
5 Record ("AR") 134.) He has a high school education and
6 vocational training as an automobile salesperson. (AR 46-47,
7 170.) He previously worked as a salesperson and general manager
8 for car dealerships. (AR 48, 166.)

9 On November 25, 2009, Plaintiff filed an application for
10 DIB. (AR 134, 161.) Plaintiff alleged that he had been unable
11 to work since January 1, 2009, because of "Hypertensive Heart
12 Disease, Diabetes, Hypercholesterolemia, Palpitations,
13 Myocarditis, [and] Temp[or]al A[r]teritis."² (AR 165.) His
14 application was denied initially, on February 17, 2010, and upon
15 reconsideration, on April 16, 2010. (AR 78-83.)

16 On April 22, 2010, Plaintiff requested a hearing before an
17 ALJ. (AR 84-85.) A hearing was held on January 10, 2011, at
18 which Plaintiff, who was represented by counsel, appeared and
19 testified; a vocational expert ("VE") also testified. (AR 41-
20

21 ² Hypercholesterolemia is a condition characterized by
22 very high levels of cholesterol in the blood.
23 Hypercholesterolemia, Genetics Home Reference,
24 <http://ghr.nlm.nih.gov/condition/hypercholesterolemia> (last
25 updated May 6, 2013). Myocarditis is an inflammation of the
26 heart muscle and is an "uncommon disorder" usually caused by
27 viral, bacterial, or fungal infections that reach the heart.
28 Myocarditis, MedlinePlus, [http://www.nlm.nih.gov/medlineplus/
ency/article/000149.htm](http://www.nlm.nih.gov/medlineplus/ency/article/000149.htm) (last updated Mar. 22, 2013). Temporal
arteritis is a disorder causing inflammation and damage to the
blood vessels that supply the head and neck. See Temporal
arteritis, MedlinePlus, [http://www.nlm.nih.gov/medlineplus/
ency/article/000448.htm](http://www.nlm.nih.gov/medlineplus/ency/article/000448.htm) (last updated Jan. 26, 2011).

1 73.) In a written decision issued on January 28, 2011, the ALJ
2 determined that Plaintiff was not disabled. (AR 16-29.) On
3 February 9, 2011, Plaintiff requested review of the ALJ's
4 decision and submitted additional medical evidence for the
5 Appeals Council to review. (AR 9, 15.) On January 25, 2012, the
6 Appeals Council considered the additional evidence but denied
7 Plaintiff's request for review. (AR 5-9.) This action followed.

8 **III. STANDARD OF REVIEW**

9 Pursuant to 42 U.S.C. § 405(g), a district court may review
10 the Commissioner's decision to deny benefits. The ALJ's findings
11 and decision should be upheld if they are free of legal error and
12 supported by substantial evidence based on the record as a whole.
13 § 405(g); Richardson v. Perales, 402 U.S. 389, 401, 91 S. Ct.
14 1420, 1427, 28 L. Ed. 2d 842 (1971); Parra v. Astrue, 481 F.3d
15 742, 746 (9th Cir. 2007). Substantial evidence means such
16 evidence as a reasonable person might accept as adequate to
17 support a conclusion. Richardson, 402 U.S. at 401; Lingenfelter
18 v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007). It is more than
19 a scintilla but less than a preponderance. Lingenfelter, 504
20 F.3d at 1035 (citing Robbins v. Soc. Sec. Admin., 466 F.3d 880,
21 882 (9th Cir. 2006)). To determine whether substantial evidence
22 supports a finding, the reviewing court "must review the
23 administrative record as a whole, weighing both the evidence that
24 supports and the evidence that detracts from the Commissioner's
25 conclusion." Reddick v. Chater, 157 F.3d 715, 720 (9th Cir.
26 1996). "If the evidence can reasonably support either affirming
27 or reversing," the reviewing court "may not substitute its
28 judgment" for that of the Commissioner. Id. at 720-21.

1 **IV. THE EVALUATION OF DISABILITY**

2 People are "disabled" for purposes of receiving Social
3 Security benefits if they are unable to engage in any substantial
4 gainful activity owing to a physical or mental impairment that is
5 expected to result in death or which has lasted, or is expected
6 to last, for a continuous period of at least 12 months. 42
7 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257
8 (9th Cir. 1992).

9 A. The Five-Step Evaluation Process

10 The ALJ follows a five-step sequential evaluation process in
11 assessing whether a claimant is disabled. 20 C.F.R.
12 § 404.1520(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th
13 Cir. 1995) (as amended Apr. 9, 1996). In the first step, the
14 Commissioner must determine whether the claimant is currently
15 engaged in substantial gainful activity; if so, the claimant is
16 not disabled and the claim must be denied. § 404.1520(a)(4)(i).
17 If the claimant is not engaged in substantial gainful activity,
18 the second step requires the Commissioner to determine whether
19 the claimant has a "severe" impairment or combination of
20 impairments significantly limiting his ability to do basic work
21 activities; if not, a finding of not disabled is made and the
22 claim must be denied. § 404.1520(a)(4)(ii). If the claimant has
23 a "severe" impairment or combination of impairments, the third
24 step requires the Commissioner to determine whether the
25 impairment or combination of impairments meets or equals an
26 impairment in the Listing of Impairments ("Listing") set forth at
27 20 C.F.R., pt. 404, subpt. P, app. 1; if so, disability is
28 conclusively presumed and benefits are awarded.

1 § 404.1520(a)(4)(iii). If the claimant's impairment or
2 combination of impairments does not meet or equal an impairment
3 in the Listing, the fourth step requires the Commissioner to
4 determine whether the claimant has sufficient residual functional
5 capacity ("RFC")³ to perform his past work; if so, the claimant
6 is not disabled and the claim must be denied.

7 § 404.1520(a)(4)(iv). The claimant has the burden of proving
8 that he is unable to perform past relevant work. Drouin, 966
9 F.2d at 1257. If the claimant meets that burden, a prima facie
10 case of disability is established. Id. If that happens or if
11 the claimant has no past relevant work, the Commissioner then
12 bears the burden of establishing that the claimant is not
13 disabled because he can perform other substantial gainful work
14 available in the national economy. § 404.1520(a)(4)(v). That
15 determination comprises the fifth and final step in the
16 sequential analysis. § 404.1520; Lester, 81 F.3d at 828 n.5;
17 Drouin, 966 F.2d at 1257.

18 B. The ALJ's Application of the Five-Step Process

19 At step one, the ALJ found that Plaintiff had not engaged in
20 any substantial gainful activity since January 1, 2009. (AR 21.)
21 At step two, the ALJ concluded that Plaintiff had the severe
22 impairments of "chronic headaches and diabetes mellitus." (Id.)
23 At step three, the ALJ determined that Plaintiff's impairments
24 did not meet or equal any of the impairments in the Listing, but
25 he specifically focused on Listings 1.00 (musculoskeletal system)

26
27 ³ RFC is what a claimant can still do despite existing
28 exertional and nonexertional limitations. 20 C.F.R. § 404.1545;
see Cooper v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

1 and 9.08 (endocrine disorders - diabetes mellitus).⁴ (AR 23.)
2 At step four, the ALJ found that Plaintiff retained the RFC to
3 perform the full range of light work.⁵ (Id.) Based on the VE's
4 testimony, the ALJ concluded that Plaintiff was able to perform
5 his past relevant work as an automobile salesperson and manager.
6 (AR 25.) At step five, the ALJ concluded that Plaintiff was not
7 disabled. (AR 25-26.)

8 **V. DISCUSSION**

9 Plaintiff alleges that the ALJ erred in doing the following:
10 (1) failing to find that Plaintiff had additional severe
11 impairments; (2) evaluating the medical evidence of Plaintiff's
12 visit to the Mayo Clinic in January 2010; (3) assessing
13 Plaintiff's credibility; (4) determining that Plaintiff could
14 perform his past relevant work; and (5) evaluating Plaintiff's
15 RFC. (J. Stip. at 2.) None of these contentions warrant
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17 ⁴ Listing 9.08 was deleted effective June 7, 2011. See
18 Listing of Impairments - Adult Listings (Part A),
19 <http://www.ssa.gov/disability/professionals/bluebook/AdultListings.htm>
20 (last updated Apr. 10, 2013). Impairments resulting from
21 endocrine disorders such as diabetes are now evaluated under the
22 listings for other body systems. See 20 C.F.R. § 404, subpt. P,
23 app. 1, § 9.00B.

24 ⁵ "Light work" is defined as involving "lifting no more
25 than 20 pounds at a time with frequent lifting or carrying of
26 objects weighing up to 10 pounds." 20 C.F.R. § 404.1567(b). The
27 regulations further specify that "[e]ven though the weight lifted
28 may be very little, a job is in this category when it requires a
good deal of walking or standing, or when it involves sitting
most of the time with some pushing and pulling of arm or leg
controls." Id. A person capable of light work is also capable
of "sedentary work," which involves lifting "no more than 10
pounds at a time and occasionally lifting or carrying [small
articles]" and may involve occasional walking or standing.
§ 404.1567(a)-(b).

1 reversal.

2 A. The ALJ Properly Evaluated the Medical Evidence

3 Plaintiff contends that the ALJ failed to properly consider
4 Plaintiff's additional impairments of "anxiety, asthma,
5 depression, hypertension, cardiac condition, polymyalgia
6 rheumatic,⁶ [and] vascular abnormality, right temple area." (J.
7 Stip. at 3-4, 7-9.) He further contends that the ALJ failed to
8 consider evidence from the Mayo Clinic that he was diagnosed with
9 "debilitating" "New Persistent Daily Headache" syndrome, and he
10 argues that the ALJ erred in failing to consider additional
11 impairments in the Listings. (Id.) Remand is not warranted on
12 any of these bases, however, because the ALJ provided legally
13 sufficient reasons for his evaluation of the medical evidence.

14 1. Severity of additional impairments

15 Plaintiff first contends that the ALJ erred in finding that
16 his additional impairments were nonsevere or by failing to
17 address them altogether. (J. Stip. at 3-4, 7-9.)

18 At step two of the sequential evaluation process, a
19 plaintiff has the burden to present evidence of medical signs,
20 symptoms, and laboratory findings that establish a medically
21 determinable physical or mental impairment that is severe and can
22 be expected to result in death or last for a continuous period of
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24 ⁶ Polymyalgia rheumatica is a condition that causes
25 muscle pain and stiffness in the neck, shoulders, and hips. It
26 sometimes occurs along with giant cell arteritis, a condition that
27 causes swelling of the arteries in the head. It responds well to
28 treatment; without treatment it will nonetheless generally go
away within a year or more. See Polymyalgia Rheumatica,
MedlinePlus, [http://www.nlm.nih.gov/medlineplus/
polymyalgiarheumatica.html](http://www.nlm.nih.gov/medlineplus/polymyalgiarheumatica.html) (last updated Apr. 10, 2013).

1 at least 12 months. Ukolov v. Barnhart, 420 F.3d 1002, 1004-05
2 (9th Cir. 2005) (citing 42 U.S.C. §§ 423(d)(3), 1382c(a)(3)(D));⁷
3 see 20 C.F.R. §§ 404.1520, 404.1509. Substantial evidence
4 supports an ALJ's determination at step two that an impairment is
5 not severe when "there are no medical signs or laboratory
6 findings to substantiate the existence of a medically
7 determinable physical or mental impairment." Ukolov, 420 F.3d at
8 1004-05 (citing SSR 96-4p). An impairment may never be found on
9 the basis of the claimant's subjective symptoms alone. Id. at
10 1005.

11 Step two is "a de minimis screening device [used] to dispose
12 of groundless claims." Smolen v. Chater, 80 F.3d 1273, 1290 (9th
13 Cir. 1996). Applying the applicable standard of review to the
14 requirements of step two, a court must determine whether an ALJ
15 had substantial evidence to find that the medical evidence
16 clearly established that the claimant did not have a medically
17 severe impairment or combination of impairments. Webb v.
18 Barnhart, 433 F.3d 683, 687 (9th Cir. 2005); see also Yuckert v.
19 Bowen, 841 F.2d 303, 306 (9th Cir. 1988) ("Despite the deference
20 usually accorded to the Secretary's application of regulations,
21 numerous appellate courts have imposed a narrow construction upon
22 the severity regulation applied here."). An impairment or
23 combination of impairments is "not severe" if the evidence
24 established only a slight abnormality that had "no more than a
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27 ⁷ A "medical sign" is "an anatomical, physiological, or
28 psychological abnormality that can be shown by medically
acceptable clinical diagnostic techniques." Ukolov, 420 F.3d at
1005.

1 minimal effect on an individual's ability to work." Webb, 433
2 F.3d at 686 (citation omitted).

3 The ALJ found, based on substantial evidence in the record,
4 that Plaintiff's impairments of "hypertension, arthritis and a
5 chronic cough" were "nonsevere impairments that respond easily to
6 treatment." (AR 22.) He noted that a November 30, 2010 physical
7 examination "failed to show any significant problems" relating to
8 those impairments; Plaintiff's blood pressure and heart
9 examination were normal, there were no signs of hypertensive end
10 organ damage, and the examining doctor noted that Plaintiff's
11 cough was likely a side effect of medication he was taking and
12 therefore changed the medication. (AR 22, 660.) The ALJ noted
13 that evidence in the record showed that Plaintiff had normal
14 blood-pressure readings and mostly normal cardiac test results,
15 with only "slight" abnormalities in Plaintiff's temporal artery.
16 (AR 22, 567-68, 636, 639.)

17 With respect to Plaintiff's alleged arthritis, the ALJ
18 found, consistent with substantial evidence in the record, that
19 it was nonsevere, noting that a September 25, 2008 MRI of
20 Plaintiff's right hand showed only mild osteoarthritis of the
21 first carpometacarpal joint and minimal degenerative cyst
22 formation in the second metacarpal head. (AR 22, 498, 564-65.)
23 Tests and examinations performed in January and December 2009
24 showed no evidence of rheumatoid or inflammatory arthritis, and
25 treatment for Plaintiff's hand pain was limited to conservative
26 measures, such as "paraffin, analgesics, and not overusing the
27 hand." (AR 22, 498, 520.)

28 The ALJ also found, based on substantial evidence, that

1 Plaintiff's alleged depression and anxiety were nonsevere. He
2 noted that the record did not contain any credible evidence of
3 limitations in activities of daily living or social interactions,
4 showed "only mild limitations in concentration, persistence or
5 pace," and contained no evidence that Plaintiff underwent any
6 episodes of decompensation. (AR 22.) Specifically, the ALJ
7 noted that consultative examining psychologist Dr. Lou Ellen
8 Sherrill's March 26, 2010 report found no signs of cognitive
9 dysfunction, memory problems, thought disturbances, impaired
10 processing or functioning, or IQ impairments. (AR 22, 588-93.)
11 He noted that Dr. Sherrill's functional assessment limited
12 Plaintiff to performing simple to moderately complex tasks, but
13 her observation that Plaintiff would have "severe difficulty
14 tolerating ordinary work pressure and difficulty interacting with
15 others" was not supported by the record. (AR 22, 593.) The ALJ
16 noted that endocrinologist Dr. Etie Moghissi's progress notes
17 observed that Plaintiff never had problems understanding
18 instructions, and no doctor had ever reported that Plaintiff had
19 memory deficits or difficulty interacting with others. (AR 22,
20 407-587, 661-75.) He also noted that in April 2010, the state
21 agency medical consultant concluded that Plaintiff's depression
22 and anxiety were nonsevere, which was consistent with the
23 objective results of Dr. Sherrill's consultative examination and
24 the remainder of the record. (AR 22, 596-601.)

25 Plaintiff argues that the ALJ erred in failing to credit Dr.
26 Sherrill's statements that Plaintiff "has numerous symptoms of
27 depression that are totally associated with his medical
28 condition" and would have "severe difficulty tolerating ordinary

1 work pressures[,] severe difficulty interacting satisfactorily
2 with others in the workplace, including the general public," and
3 "severe difficulty observing basic work and safety standards in
4 the workplace without difficulty." (J. Stip. at 8; see AR 591,
5 593.) An ALJ is not bound by the findings and other opinions of
6 state-agency psychological consultants. 20 C.F.R.
7 § 404.1527(e)(2)(i). The ALJ properly cited substantial evidence
8 in the record showing that, despite Dr. Sherrill's comments,
9 Plaintiff's mental impairment was not severe. (See AR 350, 489-
10 90, 588-93, 661-75.) Moreover, Dr. Sherrill's opinion was
11 inconsistent with her examination results, and the ALJ therefore
12 did not err in rejecting it. See Allen v. Comm'r of Soc. Sec.,
13 498 F. App'x 696, 697 (9th Cir. 2012) (ALJ did not err in
14 rejecting consulting psychologist's opinion when "ALJ found
15 evidence in the record indicating that [claimant's] mental
16 impairment was not severe"); cf. Connett v. Barnhart, 340 F.3d
17 871, 875 (9th Cir. 2003) (treating doctor's opinion properly
18 rejected when treatment notes "provide no basis for the
19 functional restrictions he opined should be imposed on
20 [claimant]"); Valentine v. Comm'r, Soc. Sec. Admin., 574 F.3d
21 685, 692-93 (9th Cir. 2009) (contradiction between treating
22 physician's opinion and his treatment notes constitutes specific
23 and legitimate reason for rejecting treating physician's
24 opinion).

25 Plaintiff also argues that he saw treating physician Dr.
26 Roland Wallen in November 2006 for "discussion of his severe
27 anxiety syndrome and stomach pain and his recent hospitalization
28 for diagnosis and chest pain," which he cites as evidence that

1 his anxiety and depression were severe. (J. Stip. at 8; AR 260.)
2 Dr. Wallen noted at that time that Plaintiff's "[a]nxiety
3 syndrome" was "from business issues and family issues" and
4 prescribed him Klonopin⁸ "as needed for his management of
5 anxiety." (AR 260.) Dr. Wallen also noted that Plaintiff's
6 cardiac test results were "perfectly normal," he showed no signs
7 of arrhythmia or other heart conditions, and his "hypertension
8 and diabetes type II" were "under control." (Id.) But as the
9 ALJ properly found, substantial evidence in the record showed
10 that Plaintiff's mental functioning was normal and that he had no
11 difficulty interacting with others or performing various daily
12 activities. (AR 22; see AR 588-93, 661-75.) Indeed, in June
13 2008, nearly two years after the notes Plaintiff relies on, Dr.
14 Wallen found that Plaintiff's "mental status" was "[a]lert,
15 normal," Plaintiff "[a]nswers all questions appropriately," he
16 had "[n]o severe depression or suicidal ideation," and his other
17 vital signs were also normal. (AR 350.) The ALJ's finding that
18 Plaintiff's depression and anxiety were nonsevere was consistent
19 with the record. (See also, e.g., AR 489-90 (noting in August
20 2009 that Plaintiff "reports . . . that his mood has been
21 unaffected," "does appear in good spirits," was "very pleasant,"
22 and reported "[n]o feeling depressed").)

23 Although evidence in the record shows that Plaintiff likely
24 suffered from some degree of hypertension, cough, cardiac

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26 ⁸ Klonopin, also known as Clonazepam, is a medication
27 that decreases abnormal electrical activity in the brain and is
28 used to relieve panic attacks, among other uses. Clonazepam,
MedlinePlus, <http://www.nlm.nih.gov/medlineplus/druginfo/meds/a682279.html> (last updated May 2, 2013).

1 condition, arthritis, depression, and anxiety, Plaintiff has not
2 pointed to any evidence in the record that those impairments
3 significantly limited his ability to work. See 20 C.F.R.
4 § 404.1520(c) (severe impairment is one that "significantly
5 limits [claimant's] physical or mental ability to do basic work
6 activities"). Thus, Plaintiff has not met his burden to present
7 evidence of medical signs, symptoms, and laboratory findings
8 establishing that those conditions were severe. Indeed,
9 Plaintiff admitted that he did not see a mental-health
10 professional for his depression or anxiety and that he treated
11 those conditions with anti-anxiety medicine prescribed by his
12 primary-care physician, which further indicates that his
13 depression and anxiety were not severe.⁹ (AR 51.) He also
14 admitted that he did not see his cardiologist on a regular basis,
15 indicating that his "cardiac condition" was also not severe.
16 (Id.) To the extent he claims he had asthma, Plaintiff does not
17 cite to any objective evidence in the record confirming that
18 diagnosis; a CT scan of Plaintiff's lungs in April 2007 showed no
19

20 ⁹ Failure to seek mental-health treatment may not be a
21 valid reason for rejecting a claimant's mental-health claims.
22 See Nguyen v. Chater, 100 F.3d 1462, 1465 (9th Cir. 1996)
23 (holding that although a claimant "may have failed to seek
24 psychiatric treatment for his mental condition, it is a
25 questionable practice to chastise one with a mental impairment
26 for the exercise of poor judgment in seeking rehabilitation")
27 (internal quotation marks and citation omitted). To the extent
28 the ALJ relied on that as evidence that Plaintiff's mental
impairment was not severe, however, any error was harmless
because the vast weight of the evidence in the record supports
the ALJ's finding that Plaintiff's mental impairment was not
severe. See Stout v. Comm'r, Soc. Sec. Admin., 454 F.3d 1050,
1055 (9th Cir. 2006) (ALJ's error harmless when "inconsequential
to the ultimate nondisability determination").

1 evidence of lung disease. (AR 580-81.) Moreover, in November
2 2010 Plaintiff's new primary-care physician, Dr. Navid Hakimian,
3 noted that Plaintiff had "[n]o history of asthma." (AR 660.)
4 Accordingly, Plaintiff did not carry his burden at step two of
5 the analysis to show that the other impairments of which he
6 complains were severe.

7 In any event, even if the ALJ erred by finding the
8 additional impairments nonsevere, that error was harmless because
9 he considered all of Plaintiff's impairments, both severe and
10 nonsevere, when determining his RFC at step four. See Lewis v.
11 Astrue, 498 F.3d 909, 911 (9th Cir. 2007) (failure to address
12 particular impairment at step two harmless if ALJ fully evaluates
13 claimant's medical condition in later steps of sequential
14 evaluation process); see also Stout v. Comm'r, Soc. Sec. Admin.,
15 454 F.3d 1050, 1055 (9th Cir. 2006) (ALJ's error harmless when
16 "inconsequential to the ultimate nondisability determination").
17 Specifically, the ALJ analyzed Plaintiff's claims of depression
18 and anxiety, joint pain, stiffness, and problems with gait at
19 step four and concluded, consistent with the record, that they
20 did not affect his ability to perform light work. (AR 24-25.)

21 With respect to Plaintiff's alleged polymyalgia rheumatica
22 and right-temple vascular abnormality, the ALJ properly accounted
23 for those conditions in finding that Plaintiff's headaches were a
24 severe impairment. The ALJ noted, consistent with the record,
25 that Plaintiff had swelling in the right side of the forehead and
26 chronic headaches but that his neurological examinations revealed
27 only mild abnormalities. (AR 21; see AR 351-52, 393, 409-14,
28 420-22, 488-95, 579, 627-28.) Plaintiff notes that evidence in

1 the record showed he had symptoms associated with these
2 conditions (J. Stip. at 3), but he fails to explain how the ALJ's
3 evaluation of the severity of Plaintiff's symptoms was
4 inconsistent with the record as a whole. Plaintiff is thus not
5 entitled to remand on this ground. See Bayliss v. Barnhart, 427
6 F.3d 1211, 1217 (9th Cir. 2005) (quoting Meanel v. Apfel, 172
7 F.3d 1111, 1113 (9th Cir. 1999) ("The claimant bears the burden
8 of proving that she is disabled.")).

9 2. Mayo Clinic evidence

10 Plaintiff next contends that the ALJ erred in failing to
11 consider evidence from the Mayo Clinic that Plaintiff suffered
12 from New Persistent Daily Headache, a "debilitating" condition.
13 (J. Stip. at 4, 9.) As explained below, the ALJ properly
14 considered the Mayo Clinic evidence as well as other evidence in
15 the record regarding Plaintiff's chronic headaches, and reversal
16 is therefore not warranted on this basis.

17 a. Applicable law

18 Three types of physicians may offer opinions in social
19 security cases: "(1) those who treat[ed] the claimant (treating
20 physicians); (2) those who examine[d] but d[id] not treat the
21 claimant (examining physicians); and (3) those who neither
22 examine[d] nor treat[ed] the claimant (non-examining
23 physicians)." Lester, 81 F.3d at 830. A treating physician's
24 opinion is generally entitled to more weight than the opinion of
25 a doctor who examined but did not treat the claimant, and an
26 examining physician's opinion is generally entitled to more
27 weight than that of a nonexamining physician. Id.

28 The opinions of treating physicians are generally afforded

1 more weight than the opinions of nontreating physicians because
2 treating physicians are employed to cure and have a greater
3 opportunity to know and observe the claimant. Smolen, 80 F.3d at
4 1285. If a treating physician's opinion is well supported by
5 medically acceptable clinical and laboratory diagnostic
6 techniques and is not inconsistent with the other substantial
7 evidence in the record, it should be given controlling weight.
8 20 C.F.R. § 404.1527(c)(2). If a treating physician's opinion is
9 not given controlling weight, its weight is determined by length
10 of the treatment relationship, frequency of examination, nature
11 and extent of the treatment relationship, amount of evidence
12 supporting the opinion, consistency with the record as a whole,
13 the doctor's area of specialization, and other factors. 20
14 C.F.R. § 404.1527(c)(2)-(6).

15 When a treating or examining doctor's opinion is not
16 contradicted by another doctor, it may be rejected only for
17 "clear and convincing" reasons. Carmickle v. Comm'r, Soc. Sec.
18 Admin., 533 F.3d 1155, 1164 (9th Cir. 2008) (quoting Lester, 81
19 F.3d at 830-31). When a treating or examining physician's
20 opinion conflicts with another doctor's, the ALJ must provide
21 only "specific and legitimate reasons" for discounting the
22 treating doctor's opinion. Id. Further, the ALJ "need not
23 accept the opinion of any physician, including a treating
24 physician, if that opinion is brief, conclusory, and inadequately
25 supported by clinical findings." Thomas v. Barnhart, 278 F.3d
26 947, 957 (9th Cir. 2002); accord Batson v. Comm'r of Soc. Sec.
27 Admin., 359 F.3d 1190, 1195 (9th Cir. 2004). The weight given an
28 examining physician's opinion, moreover, depends on whether it is

1 consistent with the record and accompanied by adequate
2 explanation, among other things. 20 C.F.R. § 404.1527(c)(3)-(6).

3 b. *Relevant facts*

4 On October 24, 2007, Plaintiff saw Dr. Wallen after visiting
5 the emergency room two days prior for "sudden swelling in the
6 forehead the size of a golf ball with severe pain." (AR 587.)
7 Dr. Wallen noted that the right side of Plaintiff's forehead
8 showed "some elevation" and "some tenderness and soft swelling,
9 non-throbbing but it is tender," but all of Plaintiff's vital
10 signs and other examination results were normal. (*Id.*) He
11 referred Plaintiff for an MRA¹⁰ and further testing. (*Id.*)

12 On October 30, 2007, Plaintiff underwent MRI and MRA
13 examinations of his brain, head, and neck. (AR 576-79.) The
14 exams revealed no significant abnormalities. (*Id.*) On December
15 17, 2007, Plaintiff underwent another MRA of his neck, which
16 showed that his arteries were normal. (AR 575.) On March 6,
17 2008, Plaintiff had an MRI of his brain, which revealed "a minute
18 mucus retention cyst in the base of the right maxillary sinus";
19 all other results were normal. (AR 573-74.) It was noted that
20 there was "no abnormality of the right frontal scalp or cranium."
21 (AR 574.) On March 25, 2008, Plaintiff underwent a scan of a
22 "bump" on his right frontal scalp. (AR 571-72.) It showed no
23 aneurysms or vascular malformations, and Plaintiff's
24 "intracranial vascular anatomy" was noted as "essentially
25

26 ¹⁰ A Magnetic Resonance Angiogram ("MRA") is a type of MRI
27 scan that uses a magnetic field and pulses of radio wave energy
28 to provide pictures of blood vessels. Magnetic Resonance
Angiogram (MRA), WebMD, [http://www.webmd.com/heart-disease/
magnetic-resonance-angiogram-mra](http://www.webmd.com/heart-disease/magnetic-resonance-angiogram-mra) (last updated June 30, 2010).

1 normal." (AR 571.) On April 23, 2008, Plaintiff had an
2 ultrasound evaluation of his scalp in the right frontal area.
3 (AR 570.) It revealed "normal appearance of subgaleal soft
4 tissues" and "[n]o significant abnormal vascularity." (Id.)

5 On June 27, 2008, Dr. Wallen referred Plaintiff to surgery
6 at Saint John's Health Center in Santa Monica to remove a
7 "vascular abnormality" from his "right temple area which appeared
8 initially like temporal arteritis until an angiogram was
9 performed." (AR 349.) On July 9, 2008, Plaintiff had the mass
10 on his right scalp and forehead surgically removed; a biopsy was
11 also performed on the mass. (AR 351.) The surgeon noted that
12 Plaintiff felt that the mass "is significantly contributing
13 towards the pain" in his head, but the doctor was "somewhat leery
14 of this diagnosis" because he did not "feel that biopsy of this
15 will likely affect [Plaintiff's] pain symptoms in a significant
16 manner." (Id.) The biopsy showed that the veins in the mass had
17 "reactive endothelial changes and mild intimal fibrosis," but the
18 mass was not malignant. (AR 393.)

19 On December 3, 2008, Plaintiff saw Dr. Alexander Hersel for
20 an occipital nerve block to relieve his headaches. (AR 505-06.)
21 Dr. Hersel performed the procedure and noted that Plaintiff
22 tolerated it well and "stated that he had significant reduction
23 in the radiating pain above the scalp to the eye as well as the
24 hyperalgesia overlying the skin of the scalp." (AR 506.)

25 On August 4, 2009, Plaintiff was referred by Dr. Wallen to
26 Dr. Talin Evazyan at UCLA Medical Center. (AR 488.) Dr. Evazyan
27 recounted Plaintiff's medical history of headaches, beginning in
28 October 2007; he noted that Plaintiff's past neurological test

1 results were generally normal and Plaintiff's headaches continued
2 after biopsy of his temporal artery. (Id.) Dr. Evazyan
3 diagnosed Plaintiff with "a neuroma"¹¹ and recommended "local
4 infiltration of the site," "lidocaine patches" for "symptomatic
5 relief," Voltaren gel for local pain relief, and supratrochlear
6 and supraorbital nerve blocks. (AR 490.) He also noted that
7 Plaintiff "might even benefit from a visit to Mayo Clinic where a
8 specialist can offer a more definite diagnosis for him." (Id.)

9 On January 4, 2010, Plaintiff visited the Mayo Clinic, where
10 he had MRI exams of his face, head, and neck. (AR 616.) They
11 revealed "[n]o evidence of a right frontal subcutaneous vascular
12 malformation." (Id.) On January 5, 2010, Plaintiff saw Dr.
13 Jaspreet K. Dhaliwal at the Mayo Clinic. (AR 627-29.) Dr.
14 Dhaliwal recounted Plaintiff's history of headaches since October
15 2007 and his description of his subjective symptoms, noting that
16 Plaintiff rated his "constant headache" "a 4/10 in severity" but
17 "[t]hree to four times per week, he will notice enlargement of
18 the right forehead lesion, and his pain will increase to a 10/10
19 in severity." (AR 627.) Dr. Dhaliwal performed a physical
20 examination of Plaintiff and noted that Plaintiff had "some
21 slight asymmetry about the size of a quarter on the right
22 forehead just above the lateral aspect of his eyebrow" that was
23 "soft and nonpulsatile in nature," but all other signs were
24 normal. (AR 628.) He then made the following notes regarding

25
26
27 ¹¹ A neuroma is a benign tumor that arises from a nerve.
28 Neuroma definition, eMedicineHealth,
<http://www.emedicinehealth.com/script/main/art.asp?articlekey=4554>
(last visited May 10, 2013).

1 his treatment plan for Plaintiff:

2 Mr. Albertson gives a history of a pulsatile
3 forehead lesion and headaches, which is suspicious for a
4 vascular malformation. In reviewing his MRI, I do not
5 see anything obvious for that. He is experiencing
6 chronic daily headaches, which are debilitating. I would
7 appreciate input from our neurologists. Given the fact
8 that the patient has had surgery since his March 2008 MRI
9 scan, I will repeat an MRI and compare it to his previous
10 scan. I will also request his slides from his temporal
11 artery biopsy. I do not feel this is consistent with
12 temporal arteritis, and I also am having some difficulty
13 attributing to [sic] the magnitude of his symptoms to his
14 forehead lesion. I will see him back following the
15 neurology evaluation and MRI.

16 (AR 628.)

17 On January 7, 2010, Plaintiff returned to the Mayo Clinic
18 for a follow-up visit with Dr. Dhaliwal. (AR 619-24.) Dr.
19 Dhaliwal reported that Plaintiff's MRI "does not demonstrate any
20 focal acute abnormality to account for his headaches" and agreed
21 with past assessments that Plaintiff suffered from "chronic daily
22 headache and the forehead asymmetry is likely unrelated." (AR
23 619.)

24 c. *Analysis*

25 The ALJ cited the Mayo Clinic reports in his written
26 decision when noting that Plaintiff "reports a history of a mass
27 on the right side of the forehead and chronic headaches since
28 October 2007." (AR 21 (citing AR 627).) Consistent with Dr.

1 Dhaliwal's evaluation, he then recounted the MRI results and
2 physician's reports from 2008 and 2009 showing no significant
3 abnormalities, no connection between the mass on Plaintiff's
4 forehead and his headaches, and no other explanation for
5 Plaintiff's headaches. (AR 21 (citing AR 614-28).) He also
6 cited the Mayo Clinic reports in noting that Plaintiff's daily
7 activities and social interactions were not impaired by his
8 mental or physical conditions. (AR 24 (citing AR 614-28 (showing
9 that Plaintiff did not report impairment in activity or social
10 interactions)).)

11 The ALJ's opinion was consistent with the evidence from the
12 Mayo Clinic. As the ALJ noted, Plaintiff's MRI results revealed
13 no significant abnormalities (AR 616), and Plaintiff's headaches
14 appeared unrelated to the mass on his forehead (AR 619). Like
15 the other evidence that the ALJ discussed in more detail, the
16 records from the Mayo Clinic ultimately show that despite
17 extensive testing, doctors could not find an explanation for
18 Plaintiff's headaches. (See AR 619, 628.) Dr. Dhaliwal even
19 expressed some skepticism concerning Plaintiff's subjective
20 symptoms, stating that he was "having some difficulty attributing
21 to [sic] the magnitude of [Plaintiff's] symptoms to his forehead
22 lesion" and expressing doubt that Plaintiff's headaches were
23 attributable to a vascular malformation or temporal arteritis, as
24 Plaintiff appears to have claimed. (See AR 628.)

25 Plaintiff argues that the ALJ erred in failing to credit Dr.
26 Dhaliwal's characterization of his headaches as "debilitating"
27 and failing to note a diagnosis of "New Persistent Daily
28 Headache." (J. Stip. at 4.) These contentions do not warrant

1 reversal. Dr. Dhaliwal's use of the word "debilitating" appears
2 to reference Plaintiff's own description of his symptoms. (See
3 AR 628.) In any event, as discussed below, the ALJ properly
4 discounted Plaintiff's credibility, and thus he did not need to
5 credit any medical opinions based on Plaintiff's subjective
6 complaints. See Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th
7 Cir. 2001) (when ALJ properly discounted claimant's credibility,
8 he was "free to disregard" doctor's opinion that was premised on
9 claimant's subjective complaints); Morgan v. Comm'r of Soc. Sec.
10 Admin., 169 F.3d 595, 602 (9th Cir. 1999) (when physician's
11 opinion of disability premised "to a large extent" upon
12 claimant's own accounts of symptoms, limitations may be
13 disregarded if complaints have been "properly discounted").
14 Moreover, to the extent Dr. Dhaliwal's characterization of
15 Plaintiff's headaches as "debilitating" was intended to be an
16 evaluation of Plaintiff's ability to work, the ALJ was free to
17 disregard it. See 20 C.F.R. § 404.1545(e); SSR 96-5p, 1996 WL
18 374183, at *5 (Commissioner must make ultimate disability
19 determination; opinions from medical sources about whether a
20 claimant is "disabled" or "unable to work" "can never be entitled
21 to controlling weight or given special significance"); McLeod v.
22 Astrue, 640 F.3d 881, 885 (9th Cir. 2011) (noting that "a
23 treating physician ordinarily does not consult a vocational
24 expert or have the expertise of one"; treating physician's
25 evaluation of claimant's ability to work thus not entitled to
26 deference because "[t]he law reserves the disability
27 determination to the Commissioner").

28 Regarding the diagnosis of "New Persistent Daily Headache,"

1 Plaintiff fails to explain how that term carries a different
2 meaning than "chronic headaches," the term the ALJ used to
3 describe Plaintiff's condition. Indeed, although Dr. Dhaliwal
4 described Plaintiff's condition as "New Persistent Daily
5 Headache" on one page of his notes (AR 623), on several other
6 pages he refers to it as simply "headaches" or "chronic daily
7 headaches" (AR 619-20, 627, 628). Plaintiff even used the two
8 terms interchangeably in his testimony. (See AR 60.)

9 Plaintiff has failed to show how the ALJ's decision was
10 inconsistent with the record. His contentions therefore do not
11 warrant reversal.

12 3. Application of Listings

13 Plaintiff also argues that the ALJ improperly failed to
14 consider Listings 4.00 et seq. and 12.06 in determining that
15 Plaintiff's impairments did not meet or equal a listed
16 impairment. (J. Stip. at 7.) Reversal is not warranted on this
17 basis.

18 Listed impairments are those that are "so severe that they
19 are irrebuttably presumed disabling, without any specific finding
20 as to the claimant's ability to perform his past relevant work or
21 any other jobs." Lester, 81 F.3d at 828. A step-three finding
22 of disability must be based on medical evidence from acceptable
23 medical sources only, i.e., licensed psychologists or physicians
24 designated by the Commissioner. 20 C.F.R. §§ 404.1529(d)(3),
25 404.1526(c), (d). The claimant has the initial burden to prove
26 an impairment under an identified Listing. Sullivan v. Zebley,
27 493 U.S. 521, 530-33, 110 S. Ct. 885, 891-92, 107 L. Ed. 2d 967
28 (1990).

1 An ALJ's decision that a plaintiff did not meet a Listing
2 must be upheld if it was supported by "substantial evidence."
3 See Warre v. Comm'r of Soc. Sec. Admin., 439 F.3d 1001, 1006 (9th
4 Cir. 2006). Substantial evidence is "more than a mere scintilla
5 but less than a preponderance; it is such relevant evidence as a
6 reasonable mind might accept as adequate to support a
7 conclusion." Sandgathe v. Chater, 108 F.3d 978, 980 (9th Cir.
8 1997). When evidence was susceptible to more than one rational
9 interpretation, the Court must uphold the ALJ's conclusion as
10 long as substantial evidence existed to support it. Id.

11 An ALJ "must evaluate the relevant evidence before
12 concluding that a claimant's impairments do not meet or equal a
13 listed impairment." Lewis v. Apfel, 236 F.3d 503, 512 (9th Cir.
14 2001). The ALJ, however, need not "state why a claimant failed
15 to satisfy every different section of the listing of
16 impairments." Gonzalez v. Sullivan, 914 F.2d 1197, 1201 (9th
17 Cir. 1990) (finding ALJ did not err in failing to state what
18 evidence supported conclusion that, or discuss why, claimant's
19 impairments did not satisfy a Listing). Moreover, the ALJ "is
20 not required to discuss the combined effects of a claimant's
21 impairments or compare them to any listing in an equivalency
22 determination, unless the claimant presents evidence in an effort
23 to establish equivalence." Burch v. Barnhart, 400 F.3d 676, 683
24 (9th Cir. 2005) (citing Lewis, 236 F.3d at 514).

25 As an initial matter, Plaintiff has not presented any
26 evidence that he argued to the ALJ that his impairments met or
27 equaled Listings 4.00 et seq. or 12.06. "It is unnecessary to
28 require the Secretary, as a matter of law, to state why a

1 claimant failed to satisfy every different section of the listing
2 of impairments." Gonzalez, 914 F.2d at 1201. The ALJ did not
3 err in failing to consider listings that Plaintiff never
4 identified were at issue. Burch, 400 F.3d at 683 ("An ALJ is not
5 required to discuss the combined effects of a claimant's
6 impairments or compare them to any listing in an equivalency
7 determination, unless the claimant presents evidence in an effort
8 to establish equivalence."); Bowman v. Astrue, 2011 WL 3323383,
9 at *4 (C.D. Cal. Aug. 2, 2011) (rejecting plaintiff's argument
10 that ALJ erred in not considering identified Listing when
11 plaintiff "[did] not present any evidence that he argued to the
12 ALJ that the combination of his impairments met [that Listing]").

13 In any event, the evidence of record was insufficient to
14 show that Plaintiff met either Listing. Plaintiff asserts that
15 his "hypertension, mass on his head, and coronary angiography"
16 mandated a finding of disability under Listings 4.00 et seq. and
17 12.06. (J. Stip. at 7 (citing AR 616-24, 630-44).) He has
18 failed to meet his burden to show how these listings were
19 satisfied.

20 Listing 4.00 covers impairments of the cardiovascular
21 system. 20 C.F.R. § 404, subpt. P, app. 1, § 4.00 et seq. A
22 claimant can meet Listing 4.04C (Coronary artery disease) if he
23 can demonstrate "by angiography (obtained independent of Social
24 Security disability evaluation)" evidence showing:

- 25 a. 50 percent or more narrowing of a nonbypassed left
26 main coronary artery; or
27 b. 70 percent or more narrowing of another nonbypassed
28 coronary artery; or

- 1 c. 50 percent or more narrowing involving a long
2 (greater than 1 cm) segment of a nonbypassed
3 coronary artery; or
4 d. 50 percent or more narrowing of at least two
5 nonbypassed coronary arteries; or
6 e. 70 percent or more narrowing of a bypass graft
7 vessel; and
8 2. Resulting in very serious limitations in the ability
9 to independently initiate, sustain, or complete
10 activities of daily living.

11 Id. § 4.04C. To meet a Listing based on hypertension (high blood
12 pressure), a claimant must show that it has affected other body
13 systems, such as the heart, brain, kidneys, or eyes. Id.

14 § 104.00F. In the case of the heart, a claimant must present
15 evidence of heart disease resulting in one or more of the
16 following four consequences:

- 17 (i) Chronic heart failure or ventricular
18 dysfunction.
19 (ii) Discomfort or pain due to myocardial ischemia,
20 with or without necrosis of heart muscle.
21 (iii) Syncope, or near syncope, due to inadequate
22 cerebral perfusion from any cardiac cause,
23 such as obstruction of flow or disturbance in
24 rhythm or conduction resulting in inadequate
25 cardiac output.
26 (iv) Central cyanosis due to right-to-left shunt,
27 reduced oxygen concentration in the arterial
28 blood, or pulmonary vascular disease.

1 Id. § 4.00A.

2 Plaintiff has not met his burden to show that he met or
3 equaled any of the impairments in Listing 4.00 et seq. As the
4 ALJ correctly found, tests performed in 2008, 2009, and 2010
5 showed that Plaintiff's blood pressure was normal and he had no
6 cardiac dysfunction. (AR 22, 567-68, 632, 636-39.) A June 6,
7 2008 angiogram showed only slight abnormalities in Plaintiff's
8 temporal artery. (AR 22, 567-68.) A physical examination
9 performed in November 2010 revealed normal blood pressure and
10 normal heart functioning. (AR 636-39.) Plaintiff has not met
11 his burden to present evidence showing that he satisfies any of
12 the above-listed criteria.

13 Listing 12.06 covers anxiety-related disorders. Id.
14 § 12.06. To meet Listing 12.06, a claimant must present the
15 following evidence:

16 A. Medically documented findings of at least one of the
17 following:

18 1. Generalized persistent anxiety accompanied by
19 three out of four of the following signs or symptoms:

- 20 a. Motor tension; or
- 21 b. Autonomic hyperactivity; or
- 22 c. Apprehensive expectation; or
- 23 d. Vigilance and scanning;

24 or

25 2. A persistent irrational fear of a specific
26 object, activity, or situation which results in a
27 compelling desire to avoid the dreaded object, activity,
28 or situation; or

1 3. Recurrent severe panic attacks manifested by a
2 sudden unpredictable onset of intense apprehension, fear,
3 terror and sense of impending doom occurring on the
4 average of at least once a week; or

5 4. Recurrent obsessions or compulsions which are
6 a source of marked distress; or

7 5. Recurrent and intrusive recollections of a
8 traumatic experience, which are a source of marked
9 distress;

10 And

11 B. Resulting in at least two of the following:

12 1. Marked restriction of activities of daily
13 living; or

14 2. Marked difficulties in maintaining social
15 functioning; or

16 3. Marked difficulties in maintaining
17 concentration, persistence, or pace; or

18 4. Repeated episodes of decompensation, each of
19 extended duration.

20 OR

21 C. Resulting in complete inability to function
22 independently outside the area of one's home.

23 20 C.F.R. § 404, subpt. P, app. 1, § 12.06.

24 Plaintiff has not met his burden to show that he met or
25 equaled Listing 12.06. As discussed above, there is no evidence
26 that Plaintiff underwent any psychiatric treatment for his
27 anxiety or that it was debilitating in any way; indeed, most of
28 the evidence in the record suggests that Plaintiff's mental

1 functioning was normal. (See AR 350, 489-90, 588-93, 661-75.)

2 Plaintiff has therefore failed to meet his burden to show
3 how any listings were satisfied. Reversal is not warranted on
4 this basis.

5 B. The ALJ Did Not Err in Assessing Plaintiff's
6 Credibility

7 Plaintiff argues that the ALJ failed to provide clear and
8 convincing reasons for discounting his credibility. (J. Stip. at
9 10-13, 16-17.) Because the ALJ did provide clear and convincing
10 reasons supporting his evaluation of Plaintiff's testimony and
11 those reasons were supported by substantial evidence in the
12 record, reversal is not warranted on this basis.

13 1. Applicable law

14 An ALJ's assessment of pain severity and claimant
15 credibility is entitled to "great weight." See Weetman v.
16 Sullivan, 877 F.2d 20, 22 (9th Cir. 1989); Nyman v. Heckler, 779
17 F.2d 528, 531 (9th Cir. 1986). "[T]he ALJ is not required to
18 believe every allegation of disabling pain, or else disability
19 benefits would be available for the asking, a result plainly
20 contrary to 42 U.S.C. § 423(d)(5)(A)." Molina v. Astrue, 674
21 F.3d 1104, 1122 (9th Cir. 2012). In evaluating a claimant's
22 subjective symptom testimony, the ALJ engages in a two-step
23 analysis. See Lingenfelter, 504 F.3d at 1035-36. "First, the
24 ALJ must determine whether the claimant has presented objective
25 medical evidence of an underlying impairment [that] could
26 reasonably be expected to produce the pain or other symptoms
27 alleged." Id. at 1036 (internal quotation marks omitted). If
28 such objective medical evidence exists, the ALJ may not reject a

1 claimant's testimony "simply because there is no showing that the
2 impairment can reasonably produce the *degree* of symptom alleged."
3 Smolen, 80 F.3d at 1282 (emphasis in original). When the ALJ
4 finds a claimant's subjective complaints not credible, the ALJ
5 must make specific findings that support the conclusion. See
6 Berry v. Astrue, 622 F.3d 1228, 1234 (9th Cir. 2010). Absent
7 affirmative evidence of malingering, those findings must provide
8 "clear and convincing" reasons for rejecting the claimant's
9 testimony.¹² Lester, 81 F.3d at 834. If the ALJ's credibility
10 finding is supported by substantial evidence in the record, the
11 reviewing court "may not engage in second-guessing." Thomas, 278
12 F.3d at 959.

13 2. Relevant facts

14 In an undated Disability Report, Plaintiff claimed that his
15 "headaches made it impossible for him to walk around the agency
16 or to sit in an office with the lights on for long periods of
17 time or use the computer to due [sic] any paperwork"; Plaintiff
18 was "in constant pain, so he is unable to concentrate" and "is
19 always fatigued due to lack of sleep caised [sic] by the pain";
20 and Plaintiff "feels depressed because has [sic] gone through
21 many treatments and have [sic] been unable to solve his illness."
22 (AR 165.) In a later Disability Report, Plaintiff stated that

23
24 ¹² There is arguably some evidence in the record of
25 malingering. For example, in January 2010, Dr. Dhaliwal noted
26 that he was "having some difficulty attributing to [sic] the
27 magnitude of [Plaintiff's] symptoms to his forehead lesion" and
28 expressed doubt that Plaintiff's headaches were attributable to a
vascular malformation or temporal arteritis, as Plaintiff appears
to have claimed. (See AR 628.) In any event, as discussed
herein, the ALJ provided clear and convincing reasons for
rejecting Plaintiff's credibility.

1 his migraines had gotten "worse," his blood pressure had gotten
2 "higher," and he had "developed severe arthritis in my hands and
3 my back from taking high doses of Prednisone to try to control my
4 headaches." (AR 188.) He also stated that his "heart
5 palpitations have gotten worse from my high blood pressure," his
6 "eyes have become photosensitive from the new medication I was
7 prescribed," and his "arthritis has gotten worse since they took
8 me off some of my painkillers." (Id.) He further stated that he
9 had "trouble concentrating since my migraines have gotten worse"
10 and had "trouble with my heart since my pain has become worse."
11 (Id.) He stated that he was "unable to do my job anymore because
12 I can no longer concentrate like I use [sic] to working with
13 numbers and financing." (AR 195.)

14 When Dr. Sherrill examined Plaintiff in March 2010, she
15 noted that he drove himself to the clinic for his evaluation and
16 that he reported that he was "able to perform all basic household
17 chores unassisted and is capable of running errands and going
18 shopping alone, but prefers not to do so." (AR 588, 590.) He
19 reported "good relationships with family members and good
20 relationships with friends, acquaintances and neighbors." (AR
21 590.) She noted that he "stated that he is able to cook meals
22 without help, but does not like doing so," and "[h]is current
23 preferred activities include watching television." (AR 590.)
24 Plaintiff also reported that he was able to "perform all self-
25 care activities independently, including dressing and bathing
26 himself," and was able to manage his own finances and drive his
27 own car. (AR 590.)

28 Dr. Sherrill noted that Plaintiff reported the following

1 symptoms:

2 According to the claimant, his primary problems and
3 disabilities are medical. He stated that his primary
4 disability is that he has catastrophic medical problems.
5 The claimant has severe and debilitating migraine
6 headaches every day. The claimant has been placed on
7 disability because he was in such extraordinary pain that
8 he was not able to do his job. The claimant has seen
9 numerous physicians including physicians at the Mayo
10 Clinic. He received a different diagnosis from almost
11 every physician. The claimant stated that as a result,
12 he is chronically frustrated and distracted, resulting in
13 an inability to concentrate and focus.

14 Additionally, the claimant stated that he
15 experiences routine lapses in memory. The claimant
16 recognizes that he has an excellent memory but he is
17 extraordinarily distracted because of his medical
18 problems. The claimant reported that he now has chronic
19 anxiety and depression. The claimant has extraordinary
20 financial worries because of his medical condition and
21 lack of employment. He has three small children. The
22 claimant is now chronically depressed because of the
23 catastrophic medical problems and his pain along with
24 pain management problems. However, he denied any history
25 of suicidal ideation. He has no history of homicidal
26 thoughts. The claimant further reported impaired sleep
27 and appetite.

28 (AR 589.)

1 At the hearing, Plaintiff testified that he had not worked
2 since January 1, 2009. (AR 47.) He stated that Dr. Wallen
3 advised him to stop working at that time because "the stress was
4 showing too much on me; the strain because I was getting sicker."
5 (AR 48.) He testified that he saw a primary-care physician, a
6 neurologist, and a pulmonologist but did not see a mental-health
7 professional and did not see his cardiologist "on a regular
8 basis." (AR 48-51.) He stated that he stopped working because
9 of "absolutely unbearable" pain on the right side of his head
10 "that just never stops." (AR 51.) He stated that he also had
11 hypertension that was controlled "to an extent" with medication
12 but flared up when he had "severe pain," and he also had "heart
13 palpitations" caused by his headache pain. (AR 53, 66.) He
14 stated that his headache had "not gone away" since his symptoms
15 began, and he recounted that the medical tests he underwent
16 between 2008 and 2010 did not produce a conclusive diagnosis.
17 (AR 53-56.) He testified that his doctors told him there was "no
18 cure" for his headaches and that they were becoming "more severe"
19 over time. (AR 60-61.)

20 As to his daily activities, Plaintiff testified that he
21 "[didn't] really do too much of anything" during the day but was
22 able to drive to the grocery store, post office, and pharmacy.
23 (AR 56-57.) He stated that he did not do yard work or laundry
24 and took care of his children only on days when the nanny did not
25 work. (AR 57-58, 69.) He stated, however, that he drove to his
26 eldest daughter's school to meet with the vice principal once
27 every four months because his daughter had a learning disability.
28 (AR 58.) He stated that he did not socialize with friends or

1 family and stayed home while his wife participated in social
2 activities, but he saw his family "at home to have dinner with
3 them and talk to them and stuff." (AR 58-59, 69.) He claimed
4 that he lied down and slept most of the day and did not read or
5 watch TV "because that's more of a distraction and actually
6 causes more of a headache." (AR 64.) He stated that some of his
7 medications made him drowsy. (AR 65.) He testified that he
8 slept "several hours during the day," from approximately 1 to 5
9 p.m. (AR 68.)

10 Plaintiff also testified that he had difficulty standing and
11 walking because of osteoarthritis, which was diagnosed in June
12 2009 and was caused by taking Prednisone. (AR 61.) He testified
13 that he could stand and walk for "[t]wenty or thirty minutes"
14 before needing to sit, could sit "for at least an hour," and
15 could carry or lift "[l]ess than five to ten pounds." (AR 62.)
16 He testified that his diabetes was "out of control" and his blood
17 sugars were "[i]ncredibly high," which made him feel "very
18 shaky." (AR 66-67.)

19 With respect to his headaches, Plaintiff testified that on
20 his "best" day his pain was a "three" and on his "worst" day it
21 was "at a 10," and his pain reached level 10 "about twice a
22 week." (AR 64.) He stated that he was "like a five to seven
23 most of the time." (Id.) He testified that he could not work
24 because his headache pain would cause him to lose concentration,
25 "and with the medication I take that caused it just to be that
26 much worse." (AR 68.)

27 3. Analysis

28 The ALJ found that Plaintiff's "medically determinable

1 impairments could reasonably be expected to cause the alleged
2 symptoms," but his "statements concerning the intensity,
3 persistence and limiting effects of these symptoms are not
4 credible to the extent they are inconsistent with" the ALJ's RFC
5 assessment. (AR 24.) The ALJ noted that despite Plaintiff's
6 claims of severe depression and anxiety, he had never sought
7 mental-health treatment, and Dr. Sherrill's examination revealed
8 no cognitive dysfunctions, memory problems, or thought
9 impairments. (AR 22, 24.) He then made the following findings
10 regarding Plaintiff's daily activities:

11 In addition to the objective findings of no severe
12 mental impairment, neither activities of daily living nor
13 social interactions were restricted by either mental or
14 physical conditions (Testimony; [(AR 614-28, 659-75)]).
15 The claimant does basic household chores, shops, cooks,
16 and drives three times a week [(AR 590).]¹³ He spends
17 much of his time watching television, a sedentary
18 activity. He likes to play the guitar [(AR 498)].¹⁴ The
19 claimant reports good relations with family and friends
20 when he described his medical-social history to Dr.
21 Sherrill. He goes to his child's school to review the
22 child's IEP (Testimony).

24 ¹³ The ALJ cited Exhibit "9F/3" in support of this
25 assertion. That appears to have been a typographical error - the
26 evidence to which the ALJ referred in fact appears on page 3 of
Exhibit 6F (AR 590).

27 ¹⁴ This citation also contains a typographical error. The
28 ALJ cited Exhibit "11F/92," but the evidence he discusses appears
on page 92 of Exhibit 5F (AR 498).

1 (AR 24.) The ALJ then discussed the lack of support in the
2 record for Plaintiff's claims regarding his medical conditions.

3 (AR 24-25.)

4 Reversal is not warranted based on the ALJ's alleged failure
5 to make proper credibility findings or properly consider
6 Plaintiff's subjective symptoms. As discussed above, the ALJ's
7 evaluation of the medical evidence was consistent with the
8 record; his rejection of Plaintiff's testimony to the extent it
9 was inconsistent with the objective evidence was therefore
10 proper. See Carmickle, 533 F.3d at 1161 ("Contradiction with the
11 medical record is a sufficient basis for rejecting the claimant's
12 subjective testimony."); Lingenfelter, 504 F.3d at 1040 (in
13 determining credibility, ALJ may consider "whether the alleged
14 symptoms are consistent with the medical evidence"); Burch, 400
15 F.3d at 681 ("Although lack of medical evidence cannot form the
16 sole basis for discounting pain testimony, it is a factor that
17 the ALJ can consider in his credibility analysis."); Kennelly v.
18 Astrue, 313 F. App'x 977, 979 (9th Cir. 2009) (same); see also
19 Tommasetti v. Astrue, 533 F.3d 1035, 1040 (9th Cir. 2008) (ALJ
20 may infer that claimant's "response to conservative treatment
21 undermines [claimant's] reports regarding the disabling nature of
22 his pain"). Indeed, the ALJ's finding that the "intensity" of
23 Plaintiff's reported symptoms could not be reconciled with the
24 medical evidence was consistent with Dr. Dhaliwal's observation
25 that he was "having some difficulty attributing to [sic] the
26 magnitude of [Plaintiff's] symptoms to his forehead lesion" and
27 had doubts that Plaintiff's headaches were attributable to a
28 vascular malformation or temporal arteritis, as Plaintiff appears

1 to have claimed. (See AR 24. 628.)

2 Moreover, as the ALJ noted, Plaintiff admitted to Dr.
3 Sherrill that he was able to do a wide variety of daily
4 activities, including driving, doing household chores, cooking,
5 performing self-care functions, handling money, and socializing
6 with his family, but simply "prefers not to do so." (AR 24,
7 590.) Plaintiff also reported to Dr. Wallen in January 2009 that
8 he played the guitar. (AR 498.) Plaintiff does not argue that
9 Dr. Sherrill's or Dr. Wallen's descriptions of his daily
10 activities were inaccurate in any way. Plaintiff also testified
11 that he was able to drive several times a week and regularly met
12 with administrators at his daughter's school to review her
13 education plan. (AR 56-58.) That Plaintiff's allegations of
14 disabling pain were inconsistent with evidence in the record as
15 to his daily activities was a valid reason for the ALJ to
16 discount his testimony. See Bray v. Comm'r of Soc. Sec. Admin.,
17 554 F.3d 1219, 1227 (9th Cir. 2009) (ALJ properly discounted
18 claimant's testimony because "she leads an active lifestyle,
19 including cleaning, cooking, walking her dogs, and driving to
20 appointments"); Berry, 622 F.3d at 1234-35 (holding that when
21 claimant "told medical staff he engaged in daily walks of a mile
22 or more, had various social engagements, drove his car and did
23 crossword puzzles, computer work, pet care, cooking, laundry and
24 other house-keeping," ALJ properly discounted claimant's
25 credibility based on "inconsistencies in [claimant's] reported
26 symptoms and activities"); Molina, 674 F.3d at 1113 ("Even where
27 [claimant's] activities suggest some difficulty functioning, they
28 may be grounds for discrediting the claimant's testimony to the

1 extent that they contradict claims of a totally debilitating
2 impairment.”).

3 Because the ALJ gave clear and convincing reasons for his
4 credibility finding and those reasons were supported by
5 substantial evidence, the Court “may not engage in
6 second-guessing.” Thomas, 278 F.3d at 959 (citation omitted).
7 Plaintiff is not entitled to reversal on this claim.

8 C. The ALJ Did Not Err in Determining Plaintiff’s RFC

9 In issues four and five, Plaintiff contends that the ALJ
10 erred in determining that he retained the RFC to perform light
11 work and could perform his past relevant work. (J. Stip. at 17-
12 22). Reversal is not warranted on these bases.

13 A district court must uphold an ALJ’s RFC assessment when
14 the ALJ has applied the proper legal standard and substantial
15 evidence in the record as a whole supports the decision.
16 Bayliss, 427 F.3d at 1217. The ALJ must have considered all the
17 medical evidence in the record and “explain in [his or her]
18 decision the weight given to . . . [the] opinions from treating
19 sources, nontreating sources, and other nonexamining sources.”
20 20 C.F.R. § 404.1527(e)(2)(ii). In making an RFC determination,
21 the ALJ may consider those limitations for which there is support
22 in the record and need not consider properly rejected evidence or
23 subjective complaints. See Batson, 359 F.3d at 1197-98 (“ALJ was
24 not required to incorporate evidence from the opinions of
25 [plaintiff’s] treating physicians, which were permissibly
26 discounted”); Bayliss, 427 F.3d at 1217 (upholding ALJ’s RFC
27 determination because “the ALJ took into account those
28 limitations for which there was record support that did not

1 depend on [claimant's] subjective complaints"). The Court must
2 consider the ALJ's decision in the context of "the entire record
3 as a whole," and if the "evidence is susceptible to more than one
4 rational interpretation, the ALJ's decision should be upheld."
5 Ryan v. Comm'r of Soc. Sec., 528 F.3d 1194, 1198 (9th Cir. 2008)
6 (internal quotation marks omitted).

7 Plaintiff argues, without elaborating, that the ALJ's RFC
8 finding was in error because it did not account for Plaintiff's
9 alleged depression, anxiety, or "physical pain." (J. Stip. at
10 18, 20-22.) It is Plaintiff's burden at step four to prove that
11 he is unable to perform past relevant work. Drouin, 966 F.2d at
12 1257. Plaintiff's conclusory arguments without citation to the
13 record are insufficient to meet his burden. See Carmickle, 533
14 F.3d at 1161 n.2 (rejecting argument when claimant "failed to
15 argue [it] with any specificity in his briefing"); see also Rogal
16 v. Astrue, No. C12-5158-RSL-BAT, 2012 WL 7141260, at *3 (W.D.
17 Wash. Dec. 7, 2012) ("It is not enough merely to present an
18 argument in the skimpiest way, and leave the Court to do
19 counsel's work - framing the argument and putting flesh on its
20 bones through a discussion of the applicable law and facts."),
21 accepted by 2013 WL 557172 (W.D. Wash. Feb. 12, 2013). As
22 discussed in Section V.A above, the ALJ's evaluation of
23 Plaintiff's impairments was supported by substantial evidence in
24 the record. The consulting examiner also found that Plaintiff
25 was capable of doing light work. (AR 403.) Plaintiff has not
26 met his burden to show that that finding was in error.

27 Plaintiff argues that the ALJ's hypothetical to the VE was
28 improper because it "did not contain a true function-by-function


1 assessment of the plaintiff's limitations." (J. Stip. at 19.)
2 Plaintiff does not identify the specific functions that the ALJ
3 failed to include in the hypothetical, but to the extent he
4 argues that the ALJ should have included additional limitations
5 that were found to be not credible or not supported by the
6 record, the ALJ did not err. See Bayliss, 427 F.3d at 1217
7 (holding that "[p]reparing a function-by-function analysis for
8 medical conditions or impairments that the ALJ found neither
9 credible nor supported by the record is unnecessary" and that ALJ
10 may rely on VE response to hypothetical that "contained all of
11 the limitations that the ALJ found credible and supported by
12 substantial evidence in the record").

13 Plaintiff also argues that the hypothetical was "lacking"
14 because it did not take into account new medical evidence, in
15 particular the evidence from the Mayo Clinic. (J. Stip. at 19,
16 AR 72.) As detailed above, however, the Mayo Clinic evidence was
17 consistent with the other evidence of record demonstrating that
18 Plaintiff's test results did not show any significant
19 abnormalities and doctors could not find an explanation for
20 Plaintiff's headaches. (See AR 616, 619, 628.) Moreover, as
21 discussed above, to the extent Dr. Dhaliwal's statement that
22 Plaintiff's headaches were "debilitating" was meant to evaluate
23 his medical condition, the ALJ properly rejected it. Reversal is
24 not warranted on this basis.

1 VI. CONCLUSION

2 Consistent with the foregoing, and pursuant to sentence four
3 of 42 U.S.C. § 405(g),¹⁵ IT IS ORDERED that judgment be entered
4 AFFIRMING the decision of the Commissioner and dismissing this
5 action with prejudice. IT IS FURTHER ORDERED that the Clerk
6 serve copies of this Order and the Judgment on counsel for both
7 parties.

8
9 DATED: May 22, 2013



JEAN ROSENBLUTH
U.S. Magistrate Judge

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26 ¹⁵ This sentence provides: "The [district] court shall
27 have power to enter, upon the pleadings and transcript of the
28 record, a judgment affirming, modifying, or reversing the
decision of the Commissioner of Social Security, with or without
remanding the cause for a rehearing."