

1 having considered the form of Judgment lodged by Plaintiffs, and good cause
2 appearing:

3 IT IS ORDERED, ADJUDGED, AND DECREED that final judgment shall
4 be entered in favor of Plaintiffs and against Defendant as follows:

5 1. Defendant is permanently enjoined from proceeding in the arbitration
6 pending before the Financial Industry Regulatory Authority, Inc. (“FINRA”)
7 entitled *Brickman et al. v. Credit Suisse Securities (USA) LLC and VLS Securities,*
8 *LLC* (FINRA Arbitration No. 04094) (the “FINRA Arbitration”).

9 2. Defendant is not Plaintiffs’ customer under Rule 12200 of the FINRA Code
10 of Arbitration Procedure with respect to Defendant’s allegations in the FINRA
11 Arbitration, and judgment shall be entered in Plaintiffs’ favor on the entirety of
12 Defendant’s Cross-Complaint.

13 3. The FINRA Arbitration shall be withdrawn under FINRA Code of
14 Arbitration Procedure Rule 12702(a).

15 4. Each party shall bear its own attorneys’ fees and costs incurred in
16 connection with this action, unless otherwise agreed.

17 12/16/13
18 Dated: _____



19 HONORABLE DALE S. FISCHER
20 UNITED STATES DISTRICT JUDGE
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