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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

VICTOR M. HERRERA,)	No. EDCV 08-0586-RC
)	
Plaintiff,)	
)	OPINION AND ORDER
v.)	
)	
MICHAEL J. ASTRUE,)	
Commissioner of Social Security,)	
)	
Defendant.)	
_____)	

Plaintiff Victor M. Herrera filed a complaint on May 8, 2008, seeking review of the Commissioner’s decision denying his application for disability benefits. On October 20, 2008, the Commissioner answered the complaint, and the parties filed a joint stipulation on December 15, 2008.

BACKGROUND

I

On September 11, 2002, plaintiff applied for disability insurance benefits under Title II of the Social Security Act (“Act”), 42 U.S.C. § 423, claiming an inability to work since April 1, 1997, due to neck,

1 back, and waist problems. Certified Administrative Record ("A.R.")
2 57-59, 78. The plaintiff's application was initially denied on
3 October 25, 2002, and was again denied on December 3, 2002, following
4 reconsideration. A.R. 33-42. The plaintiff then requested an
5 administrative hearing, which was held on July 17, 2003, before
6 Administrative Law Judge Norman Buls ("ALJ Buls"). A.R. 43, 249-68.
7 On October 24, 2003, ALJ Buls issued a decision finding plaintiff is
8 not disabled, A.R. 14-25, and the Appeals Council denied review of the
9 decision on April 19, 2004. A.R. 3-7.

10
11 On June 18, 2004, plaintiff filed his first complaint seeking
12 review of the Commissioner's decision denying his application for
13 disability benefits, Herrera v. Barnhart, EDCV 04-0732-RC ("Herrera
14 I"),¹ and on July 20, 2005, this Court remanded the matter to the
15 Social Security Administration ("S.S.A.") pursuant to 42 U.S.C.
16 § 405(g), sentence four. A.R. 427-38. In so doing, this Court found
17 that "the evidence is ambiguous as to when plaintiff's condition
18 became disabling; however, it is clear that plaintiff's degenerative
19 spinal condition predates his last insured date." A.R. 434.
20 Accordingly, the case was remanded "so 'the ALJ [can] create a record
21 which forms a basis for th[e] onset date. The ALJ can fulfill this
22 responsibility by calling a medical expert or where medical testimony
23 is unhelpful, explor[e] lay evidence[,] including the testimony of
24 family, friends, or former employers to determine the onset date.'"
25 A.R. 438 (citation and footnote omitted).

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27 _____
28 ¹ Pursuant to Fed. R. Evid. 201, this Court takes judicial
notice of relevant documents in Herrera I.

1 Following remand, the Appeals Council remanded the matter for
 2 further administrative proceedings and ordered the administrative law
 3 judge to consider whether plaintiff's Title II application should be
 4 consolidated with his subsequent application for Supplemental Security
 5 Income ("SSI") disability benefits. A.R. 440, 630-32. On
 6 September 7, 2006, ALJ John W. Belcher ("the ALJ") held a new
 7 administrative hearing. A.R. 307-51. On December 22, 2006, the ALJ
 8 issued a decision finding plaintiff is not disabled. A.R. 291-301.
 9 The plaintiff sought review from the Appeals Council, which on
 10 March 28, 2008, granted review of the denial of plaintiff's SSI
 11 application,² as well as a later favorable determination, A.R. 269-73,
 12 279-84, and on March 31, 2008, denied review of the denial of
 13 plaintiff's Title II application. A.R. 274-78. The plaintiff now
 14 requests this Court review the Commissioner's denial of his
 15 application for Title II disability benefits.

16
 17 **II**

18 This Court set forth the following relevant factual findings in
 19 Herrera I:

20
 21 ² Since plaintiff's claim for SSI benefits remains before
 22 the Appeals Council, plaintiff has not exhausted his
 23 administrative remedies regarding that claim and the ALJ's
 24 denial of SSI benefits to plaintiff. See Califano v. Sanders,
 25 430 U.S. 99, 108, 97 S. Ct. 980, 986, 51 L. Ed. 2d 192 (1977) (42
 26 U.S.C. § 405(g) "clearly limits judicial review to a particular
 27 type of agency action, a 'final decision of the [Commissioner]
 28 made after a hearing.'"). Although the Commissioner may waive
 the requirement that administrative remedies be exhausted, Bass
 v. Soc. Sec. Admin., 872 F.2d 832, 833 (9th Cir. 1989) (per
 curiam), he has not done so here.

1
2 The plaintiff, who was born on March 10, 1954, is currently
3 [55] years old. He has a ninth-grade education, and has
4 previously worked as a painter, upholsterer and carpenter.
5 [¶] The plaintiff has a long history of back pain,
6 initially injuring his back in the 1980s. Between June 20,
7 1997, and September 20, 2002, plaintiff received treatment
8 from Feliciano Reyes, M.D., who diagnosed him with a
9 cervical sprain, among other conditions. A lumbar spine CT
10 scan taken June 25, 1997, revealed broadbased
11 circumferential disc protrusion at L3-L4, without focal
12 protrusion, and degenerative changes in the apophyseal
13 joints leading to mild foraminal encroachment at L5-S1. A
14 lumbar spine CT scan taken September 5, 2002, revealed a
15 bulging disc at L4-5 and a disc protrusion centrally at L5-
16 S1. [¶] Cervical and lumbosacral spine x-rays taken at
17 Victor Valley Community Hospital on March 20, 1998, revealed
18 degenerative disc disease at C3-4, C4-5, and C5-6, with a
19 small posterior osteophyte encroaching upon the central
20 canal at C5-6, and mild anterior spurring of L3-L5.
21 Cervical spine x-rays taken at the same facility a year
22 later, on February 26, 1999, showed mild disc space
23 narrowing at C4-5, C5-6, and C6-7, with facet spurring. [¶]
24 On July 16, 1998, Rajiv Puri, M.D., an orthopedic surgeon,
25 examined plaintiff and diagnosed him with a herniated lumbar
26 disc, degenerative lumbosacral spine arthritis, and cervical
27 spine arthritis. Cervical and lumbosacral spine x-rays
28 taken July 22, 1998, demonstrated mild disc space narrowing

1 at C5-6, with associated anterolateral and posterolateral
2 spurring and the suggestion of early arthritic changes
3 involving the facet joints at L5-S1 bilaterally. A lumbar
4 spine MRI obtained August 13, 1998, showed mild degenerative
5 changes of the lumbar spine, with straightening of the
6 lumbar lordosis, which could be caused by muscle spasm. On
7 August 17, 1998, Dr. Puri referred plaintiff for physical
8 therapy. On February 15, 1999, Dr. Puri revised his
9 diagnosis to include degenerative arthritis in the cervical
10 and lumbosacral spine. [¶] . . . [¶] Starting on or about
11 November 8, 2001, Arthur E. Jimenez, M.D., began treating
12 plaintiff for herniated cervical and lumbar discs. On
13 October 22, 2002, Dr. Jimenez opined that plaintiff: is
14 limited to lifting or carry less than 10 pounds occasionally
15 or frequently; can stand and/or walk for less than 2 hours
16 in an 8-hour day; can sit for less than 6 hours in an 8-hour
17 day; can occasionally climb, balance, stoop, kneel, crouch,
18 or crawl; and is limited in his ability to work at heights
19 or around moving machinery as well as to work at temperature
20 extremes. Dr. Jimenez further opined plaintiff needs to
21 alternate standing and sitting, and he needs a cane to walk
22 if he walks over 2 miles. On June 5, 2003, Dr. Jimenez
23 opined plaintiff: can lift less than 10 pounds; can stand
24 and/or walk for approximately 5-10 minutes in an 8-hour day;
25 can occasionally twist, stoop, crouch and climb; has
26 problems handling, fingering, feeling, and pushing or
27 pulling; needs the opportunity to change positions at will,
28 and can only sit or stand for 10 minutes before having to

1 walk for 10 minutes. Dr. Jimenez further opined plaintiff
2 must lie down for 20-30 minute intervals at unpredictable
3 times during the day. Finally, Dr. Jimenez found plaintiff
4 should avoid all exposure to hazards, even moderate exposure
5 to extreme cold or fumes, and concentrated exposure to
6 extreme heat, humidity and noise. Dr. Jimenez concluded
7 that plaintiff is "unable to perform any duties at this
8 time."

9
10 A.R. 428-31 (Herrera I at 2:17-5:16 (footnotes and citations
11 omitted)).

12
13 After this Court's remand, further medical evidence was obtained,
14 showing: On February 10 and 11, 2004, plaintiff was treated in the
15 emergency room at Loma Linda University Medical Center ("Loma Linda")
16 for an acute exacerbation of his chronic low back pain, and he was
17 provided Toradol³ and recommended to attend a pain clinic. A.R. 536-
18 49. A lumbar spine MRI showed multilevel degenerative disc disease
19 and spondylosis with disc dessication from L2-L3 through L4-L5, a tiny
20 left paracentral disc protrusion, without significant impingement, and
21 fluid in the facet joints at L5-S1, suggesting inflammatory changes.
22 A.R. 538. Lumbar spine x-rays showed no evidence of acute fracture or
23 dislocation in the lumbar spine, minimal degenerative disc disease and
24 facet arthritis, minimal degenerative listhesis and minimal sacroiliac
25 arthritis. A.R. 547.

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³ "Toradol, a nonsteroidal anti-inflammatory drug, is used
28 to relieve moderately severe, acute pain." The PDR Family Guide
to Prescription Drugs, 687 (8th ed. 2000).

1 On March 28, 2004, Warren David Yu, M.D., an orthopedic surgeon,
2 examined plaintiff and diagnosed him as having cervical neck pain with
3 underlying spondylosis, low back pain with underlying degeneration,
4 and bilateral carpal tunnel syndrome. A.R. 550-53. Cervical spine x-
5 rays showed moderate multilevel spondylosis from C3 to C7, with
6 straightening and reversal of the cervical lordosis and degenerative
7 anterior spurring. A.R. 552-53. Dr. Yu opined plaintiff:

8
9 should be able to walk without an assistance device. He
10 should be able to sit, stand or walk for up to six hours in
11 an eight-hour day with appropriate breaks. He should
12 occasionally be allowed to pick up 20 pounds, and less than
13 10 pounds frequently. He should have only frequent use of
14 the upper extremities for pushing, pulling, fine finger
15 motor movements and handling. He should be limited to only
16 occasional squatting, stooping, kneeling, crawling, climbing
17 or bending.

18
19 A.R. 553.

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21 On May 1, 2005, Dr. Yu reexamined plaintiff and diagnosed
22 plaintiff as having myofascial neck and back pain with underlying
23 degenerative changes and mild bilateral carpal tunnel syndrome. A.R.
24 574-77. Lumbosacral spine x-rays were unremarkable, showing only
25 "mild degenerative spurring of the lumbar spine." A.R. 577. Dr. Yu
26 opined as he did in 2004 regarding plaintiff's RFC, but offered no
27 opinion regarding plaintiff's ability to squat, stoop, kneel, crawl,
28 climb or bend. Id.

1 On July 19, 2004, Emmanuel P. Katsaros, D.O., examined plaintiff
2 at Loma Linda and diagnosed him as having: lumbosacral spine
3 degenerative disc disease, without cord compression or radiculopathy
4 and with secondary lumbar strain with loss of spinal curvature and
5 weakness of the lumbar paraspinal muscles; neck degenerative disc
6 disease and degenerative joint disease; generalized osteoarthritis;
7 and carpal tunnel syndrome with documented neuropathy. A.R. 616-20.
8 Dr. Katsaros recommended plaintiff try conservative treatment, such as
9 hot packs and physical and water aerobic therapy, and recommended a
10 pain clinic. A.R. 619-20.

11
12 On May 24, 2006, Dr. Jimenez opined that because of plaintiff's
13 cervical and lumbar degenerative disc disease and carpal tunnel
14 syndrome, plaintiff: can frequently lift less than 10 pounds; can
15 stand and/or walk less than 2 hours in an 8-hour day; can sit less
16 than 2 hours in an 8-hour day; can never twist, stoop, crouch and
17 climb stairs or ladders; has problems reaching, handling, fingering,
18 feeling, and pushing or pulling; needs to change positions from
19 sitting or standing at will; can only sit for 10 minutes and stand for
20 15 minutes before changing positions; must walk every 10 minutes for
21 at least 30 minutes; needs to lie down twice a day; and should avoid
22 all exposure to hazards and extreme cold, wetness, humidity, noise and
23 fumes. A.R. 622-24. Finally, Dr. Jimenez concluded plaintiff's
24 condition would cause him to be absent from work more than three times
25 a month. A.R. 624.

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1 On August 28, 2006, Edward T. Gallegos, M.D.,⁴ opined plaintiff:
2 can frequently lift 10 pounds; can stand and/or walk about 2 hours in
3 an 8-hour day; can sit less than 2 hours in an 8-hour day; can
4 occasionally twist, stoop, crouch and climb stairs, but never climb
5 ladders; has no problems reaching, handling, fingering or pushing/
6 pulling, but does have a problem feeling, due to arthralgia in both
7 wrists and the left elbow; must avoid all exposure to hazards and even
8 moderate exposure to extreme cold and heat, wetness, humidity, noise
9 and fumes; needs the opportunity to change positions at will; can only
10 sit for 20 minutes and stand for 15 minutes at a time before having to
11 walk for 15 minutes; and must lie down about 7 times per day at
12 unpredictable intervals. A.R. 627-29. Dr. Gallegos further opined
13 that plaintiff's impairments would never cause him to be absent from
14 work. A.R. 629.

15
16 Medical expert Dr. Joseph Jensen testified at the 2006
17 administrative hearing, opining plaintiff has moderate cervical and
18 lumbar degenerative disc disease with multiple level spondylosis and
19 degenerative arthritis, hyperlipidemia and hyperthyroidism. A.R. 314-

20
21 ⁴ At the administrative hearing, plaintiff produced Dr.
22 Gallegos's business card and testified Dr. Gallegos has treated
23 him about four times over the past year for his bad back and
24 neck. A.R. 323-26. Additionally, plaintiff identified Dr.
25 Gallegos as a physician who treated him in 2006, and who
26 prescribes Gabapentin to him. See A.R. 498-99. Thus, Dr.
27 Gallegos should be considered one of plaintiff's treating
28 physicians. See Le v. Astrue, 529 F.3d 1200, 1201-02 (9th Cir.
2008) (physician who saw claimant five times in three years for
treatment was treating physician); Ghokassian v. Shalala,
41 F.3d 1300, 1303 (9th Cir. 1994) (physician who saw claimant
twice within a 14-month period and prescribed medication to him
was treating physician).

1 35. These conditions, according to Dr. Jensen, would cause plaintiff
2 to "have a significant limitation in the workplace. . . ." A.R. 321.
3 Based on plaintiff's conditions, Dr. Jensen opined plaintiff:

4
5 [could] lift[] and carry[] . . . a maximum of 20 pounds on
6 [an] occasional[] basis or 10 pounds frequently[;] [could]
7 stand[] and walk[] . . . up to four hours in an eight hour
8 day[;] [could sit] six hours in an eight hour day with the
9 usual breaks[;] . . . could manage occasionally, stairs,
10 ramps, but no ladders, ropes or scaffolding[;] could
11 occasionally balance, bend, stoop, kneel [and] crawl[;] . .
12 . would be precluded from constant gross and fine
13 manipulation, but could perform this bilaterally on a
14 frequent basis[;] . . . could occasionally reach above
15 shoulder level with both shoulders [and occasionally
16 perform] pedal operation bilaterally[;] . . . would be
17 probably . . . preclu[ded] from constant exposure to the
18 extremes of dampness and coldness . . . [;] [and] . . .
19 should avoid hazardous heights or dangerous machinery. . . .

20
21 A.R. 322-23. Finally, Dr. Jensen opined plaintiff's limitations have
22 "in all likelihood" existed for the entire period of 1997 to the
23 present. A.R. 326.

24
25 **DISCUSSION**

26 **III**

27 The Court, pursuant to 42 U.S.C. § 405(g), has the authority to
28 review the Commissioner's decision denying plaintiff disability

1 benefits to determine if his findings are supported by substantial
2 evidence and whether the Commissioner used the proper legal standards
3 in reaching his decision. Vasquez v. Astrue, 572 F.3d 586, 591 (9th
4 Cir. 2009); Vernoff v. Astrue, 568 F.3d 1102, 1105 (9th Cir. 2009).

5
6 As this Court found in *Herrera I*, "plaintiff was last insured
7 under Title II on December 31, 1999," and plaintiff has the "burden to
8 prove he was either permanently disabled or subject to a condition
9 that became so severe as to disable him **prior** to that date." A.R. 433
10 (citing Armstrong v. Comm'r of the Soc. Sec. Admin., 160 F.3d 587, 589
11 (9th Cir. 1998); Macri v. Chater, 93 F.3d 540, 543 (9th Cir. 1996)).
12 The claimant is "disabled" for the purpose of receiving benefits under
13 the Act if he is unable to engage in any substantial gainful activity
14 due to an impairment which has lasted, or is expected to last, for a
15 continuous period of at least twelve months. 42 U.S.C.
16 § 423(d)(1)(A); 20 C.F.R. § 404.1505(a).

17
18 Regulations promulgated by the Commissioner establish a five-step
19 sequential evaluation process to be followed by the ALJ in a
20 disability case. 20 C.F.R. § 404.1520. In the **First Step**, the ALJ
21 must determine whether the claimant is currently engaged in
22 substantial gainful activity. 20 C.F.R. § 404.1520(b). If not, in
23 the **Second Step**, the ALJ must determine whether the claimant has a
24 severe impairment or combination of impairments significantly limiting
25 him from performing basic work activities. 20 C.F.R. § 404.1520(c).
26 If so, in the **Third Step**, the ALJ must determine whether the claimant
27 has an impairment or combination of impairments that meets or equals
28 the requirements of the Listing of Impairments, 20 C.F.R. § 404,

1 Subpart P, App. 1. 20 C.F.R. § 404.1520(d). If not, in the **Fourth**
2 **Step**, the ALJ must determine whether the claimant has sufficient
3 residual functional capacity despite the impairment or various
4 limitations to perform his past work. 20 C.F.R. § 404.1520(f). If
5 not, in **Step Five**, the burden shifts to the Commissioner to show the
6 claimant can perform other work that exists in significant numbers in
7 the national economy. 20 C.F.R. § 404.1520(g).

8
9 Applying the five-step sequential evaluation process, the ALJ
10 found plaintiff has not engaged in substantial gainful activity since
11 April 1, 1997, the alleged onset date. (Step One). The ALJ then
12 found plaintiff has the severe impairments of "degenerative disc
13 disease of the lumbar and cervical spine and bilateral carpal tunnel
14 syndrome[,]"⁵ (Step Two); but plaintiff does not have an impairment or
15 combination of impairments that meets or equals a Listing. (Step
16 Three). The ALJ next determined plaintiff cannot perform his past
17 relevant work. (Step Four). Finally, the ALJ concluded that
18 plaintiff can perform a significant number of jobs in the national
19 economy; therefore, he is not disabled. (Step Five).

20
21 ⁵ The ALJ also found plaintiff does not have a severe
22 mental impairment, noting:

23 There is no evidence of a longitudinal history of a
24 psychiatric impairment, of repeated hospitalizations,
25 or of prolonged outpatient treatment that has lasted or
26 is expected to last for 12 continuous months. The
27 [plaintiff] has neither required nor received extensive
28 psychiatric treatment other than the use of mild anti-
depressant medication, which he stated he first began
taking approximately 10 days prior to the [2006]
hearing.

A.R. 296.

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IV

A claimant's residual functional capacity ("RFC") is what he can still do despite his physical, mental, nonexertional, and other limitations. Mayes v. Massanari, 276 F.3d 453, 460 (9th Cir. 2001); see also Valentine v. Comm'r Soc. Sec. Admin., 574 F.3d 685, 689 (9th Cir. 2009) (The RFC is "a summary of what the claimant is capable of doing (for example, how much weight he can lift)."). Here, the ALJ found plaintiff has the RFC to perform a limited range of light work,⁶ as follows:

[plaintiff can] lift and/or carry 10 pounds frequently and 20 pounds occasionally. Out of an 8-hour period, the [plaintiff] can stand and/or walk for 4 hours and sit for 6 hours with the ability to change positions during the normal workday breaks. Nonexertional limitations include occasional climbing stairs, bending, stooping, crouching, kneeling, crawling, and reaching above shoulder level with the bilateral upper extremities. The [plaintiff] cannot: balance; climb ladders, ropes, or scaffolds; or walk on extremely uneven ground (i.e., plowed fields). He must avoid constant exposure to extremely hot or cold environments and cannot work at unprotected heights or around dangerous, moving machinery. With the bilateral hands, the

⁶ Under Social Security regulations, "[l]ight work involves lifting no more than 20 pounds at a time with frequent lifting or carrying of objects weighing up to 10 pounds. Even though the weight lifted may be very little, a job is in this category when it requires a good deal of walking or standing, or when it involves sitting most of the time with some pushing and pulling of arm or leg controls. To be considered capable of performing a full or wide range of light work, you must have the ability to do substantially all of these activities." 20 C.F.R. § 404.1567(b).

1 [plaintiff] can frequently fine finger/manipulate as well as
2 engage in power, forceful gripping, torquing, and twisting. He
3 can occasionally power grip and twist with the bilateral hands
4 and occasionally use foot controls with the bilateral lower
5 extremities.
6

7 A.R. 297. However, plaintiff contends the ALJ's RFC finding, as well
8 as the Step Five determination, are not supported by substantial
9 evidence because, among other reasons, the ALJ did not properly
10 consider the opinions of his treating physicians, Drs. Jimenez and
11 Gallegos.⁷ Jt. Stip. at 5:20-27, 10:13-12:7, 14:13-17. The plaintiff
12 is correct.
13

14 The medical opinions of treating physicians are entitled to
15 special weight because the treating physician "is employed to cure and
16 has a greater opportunity to know and observe the patient as an
17 individual." Sprague v. Bowen, 812 F.2d 1226, 1230 (9th Cir. 1987);
18 Morgan v. Comm'r of the Soc. Sec. Admin., 169 F.3d 595, 600 (9th Cir.
19 1999). Therefore, the ALJ must provide clear and convincing reasons
20 for rejecting the uncontroverted opinion of a treating physician, Ryan
21 v. Comm'r of Soc. Sec., 528 F.3d 1194, 1198 (9th Cir. 2008), and
22 "[e]ven if [a] treating doctor's opinion is contradicted by another
23 doctor, the ALJ may not reject this opinion without providing
24 'specific and legitimate reasons' supported by substantial evidence in
25 the record." Reddick v. Chater, 157 F.3d 715, 725 (9th Cir. 1998);
26

27 ⁷ Although Dr. Jimenez offered opinions regarding both
28 plaintiff's physical and mental limitations, this discussion
addresses only plaintiff's physical limitations.

1 Tommasetti v. Astrue, 533 F.3d 1035, 1041 (9th Cir. 2008).

2
3 On October 22, 2002, and again on June 5, 2003, Dr. Jimenez
4 opined plaintiff was severely limited in his ability to perform work-
5 related activities. A.R. 206-09, 233-35. Indeed, on June 5, 2003,
6 Dr. Jimenez opined plaintiff is unable to perform any duties at all.
7 A.R. 235. The ALJ found Dr. Jimenez's 2002 and 2003 opinions do not
8 support a finding plaintiff was not disabled prior to his date last
9 insured, stating:

10
11 Dr. Jimenez's opinion that the claimant was disabled cannot
12 be [used to] infer[] that the [plaintiff's] impairments were
13 disabling some time prior to the date last insured of
14 December 31, 1999, and the totality of the record does not
15 support a finding of disabled on or before the date last
16 insured.

17
18 A.R. 297. Apart from the fact that the first clause of this statement
19 makes no sense without editing, the statement, as a whole, is not a
20 "specific and legitimate" reason for rejecting Dr. Jimenez's opinions
21 since it is conclusory and does not identify the parts of the record
22 supposedly supporting the conclusion.⁸ See Regennitter v. Comm'r of

23
24 ⁸ This Court in Herrera I held the ALJ erred in not finding
25 Dr. Jimenez's opinions relevant to determine when plaintiff's
26 disability commenced, noting:

27 "medical evaluations made after the expiration of a
28 claimant's insured status are relevant to an evaluation
of the preexpiration condition." Lester v. Chater,
81 F.3d 821, 832 (9th Cir. 1995) (quoting Smith v.

1 the Soc. Sec. Admin., 166 F.3d 1294, 1299 (9th Cir. 1999)
2 (“[C]onclusory reasons will not justify an ALJ’s rejection of a
3 medical opinion.”); Burger v. Astrue, 536 F. Supp. 2d 1182, 1187 (C.D.
4 Cal. 2008) (same). Moreover, Dr. Jimenez’s opinions provide
5 significant insight into plaintiff’s condition prior to his date last
6 insured since medical expert Dr. Jensen opined plaintiff’s condition
7 has “in all likelihood” remained static since 1997.⁹ A.R. 326.

8
9 The ALJ also erred in failing to address the 2006 opinions of
10 Drs. Jimenez and Gallegos, both of whom opined plaintiff cannot work
11 an 8-hour day. A.R. 622-24, 627-29. Indeed, without explaining why
12 he disagreed with these opinions, the ALJ, in determining plaintiff
13 has the RFC to perform a limited range of light work, implicitly
14 rejected Dr. Jimenez’s and Dr. Gallegos’s 2006 opinions. This was

15
16 Bowen, 849 F.2d 1222, 1225 (9th Cir. 1988)); see also
17 Flaten v. Sec. of Health & Human Servs., 44 F.3d 1453,
18 1461 & n.5 (9th cir. 1995) (“Retrospective diagnoses by
19 treating physicians and medical experts . . . are . . .
20 relevant to the determination of a continuously
21 existing disability with onset prior to expiration of
22 insured status.”); Kemp v. Weinberger, 522 F.2d 967,
969 (9th Cir. 1975) (When a disease is known to
progress in a slow, degenerative process, evidence of
medical deterioration can be probative of a patient's
condition at an earlier time).

23 Thus, the ALJ could not again reject Dr. Jimenez’s opinions on
24 the ground Dr. Jimenez’s opinions could not be applied
retroactively.

25 ⁹ This Court in Herrera I noted ALJ Buls’s statement that
26 he would clearly find plaintiff disabled as of July 17, 2003.
27 Based on Dr. Jensen’s testimony that plaintiff’s condition has
28 “in all likelihood” remained the same from 1997, it is reasonable
to infer that ALJ Buls would have found plaintiff was disabled
prior to his date last insured.

1 clear legal error. Lingenfelter v. Astrue, 504 F.3d 1028, 1038 n.10
2 (9th Cir. 2007); Smolen v. Chater, 80 F.3d 1273, 1286 (9th Cir. 1996).
3 For all these reasons, the ALJ's RFC assessment is not supported by
4 substantial evidence.

5
6 Hypothetical questions posed to a vocational expert must consider
7 all of the claimant's limitations, Bray v. Astrue, 554 F.3d 1219, 1228
8 (9th Cir. 2009); Thomas v. Barnhart, 278 F.3d 947, 956 (9th Cir.
9 2002), and "[t]he ALJ's depiction of the claimant's disability must be
10 accurate, detailed, and supported by the medical record." Tackett v.
11 Apfel, 180 F.3d 1094, 1101 (9th Cir. 1999). Here, the ALJ failed to
12 include plaintiff's limitations, as found by Drs. Jimenez and
13 Gallegos, in the hypothetical question to vocational expert Corinne
14 Porter, whose response to the hypothetical question was the basis for
15 the ALJ's Step Five determination that plaintiff can perform other
16 jobs in the national economy. A.R. 344-51. "[B]ecause the ALJ erred
17 in excluding some of [plaintiff's] limitations from the RFC
18 assessment, and thus from the [vocational expert's] hypothetical, the
19 [vocational expert's] testimony 'has no evidentiary value.'" Carmickle v. Comm'r, Soc. Sec. Admin., 533 F.3d 1155, 1166 (9th Cir.
20 2008) (citation omitted); see also Edlund v. Massanari, 253 F.3d 1152,
21 1160 (9th Cir. 2001) (ALJ erred in not including limitations from
22 claimant's mental impairment in hypothetical question posed to
23 vocational expert). For this reason, the ALJ's Step Five
24 determination that plaintiff can perform other jobs in the economy
25 also is not supported by substantial evidence. Lingenfelter,
26 504 F.3d at 1041; Robbins v. Soc. Sec. Admin., 466 F.3d 880, 886 (9th
27 Cir. 2006).

1
2 This Court has the discretion to award disability benefits to a
3 plaintiff when there is no need to remand the case for additional
4 factual findings. McCartey v. Massanari, 298 F.3d 1072, 1076 (9th
5 Cir. 2002); Holohan v. Massanari, 246 F.3d 1195, 1210 (9th Cir. 2001).
6 Generally, the Court will direct the award of benefits in cases where
7 the record has been fully developed and where further administrative
8 proceedings would serve no useful purpose. McCartey, 298 F.3d at
9 1076-77; Vertigan v. Halter, 260 F.3d 1044, 1053 (9th Cir. 2001).
10

11 Where the ALJ "fails to provide adequate reasons for rejecting
12 the opinion of a treating or examining physician, [this Court]
13 credit[s] that opinion 'as a matter of law.'" Lester v. Chater,
14 81 F.3d 821, 834 (9th Cir. 1995) (quoting Hammock v. Bowen,
15 879 F.2d 498, 502 (9th Cir. 1989)). Here, properly crediting the
16 opinions of Drs. Jimenez and Gallegos, it is clear that, prior to his
17 date last insured, plaintiff could not perform substantial gainful
18 activity for 8 hours a day or 40 hours a week; therefore, plaintiff is
19 disabled. See Benecke v. Barnhart, 379 F.3d 587, 595 (9th Cir. 2004)
20 ("[I]n the unusual case in which it is clear from the record that the
21 claimant is unable to perform gainful employment in the national
22 economy, even though the vocational expert did not address the precise
23 work limitations established by the improperly discredited [evidence],
24 remand for an immediate award of benefits is appropriate."); Kornock
25 v. Harris, 648 F.2d 525, 527 (9th Cir. 1980) (per curiam) ("The
26 ability to work only a few hours a day . . . is not the ability to
27 engage in 'substantial gainful activity.'").
28 //

1 Further, plaintiff initially filed his application for Title II
2 disability benefits in 2002 -- seven years ago. "[A] remand for
3 benefits is indicated particularly where a claimant has already
4 experienced lengthy, burdensome litigation."¹⁰ Vertigan, 260 F.3d at
5 1053; see also Benecke, 379 F.3d at 595 ("Remanding a disability claim
6 for further proceedings can delay much needed income for claimants who
7 are unable to work and are entitled to benefits, often subjecting them
8 to 'tremendous financial difficulties while awaiting the outcome of
9 their appeals and proceedings on remand.'" (quoting Varney v.
10 Secretary of Health & Human Servs., 859 F.2d 1396, 1398 (9th Cir.
11 1988))).

12
13 **ORDER**

14 IT IS ORDERED that plaintiff's request for relief be granted, and
15 the Commissioner shall award Title II disability benefits to plaintiff
16 under 42 U.S.C. § 423.

17
18 DATE: October 6, 2009

/S/ ROSALYN M. CHAPMAN
ROSALYN M. CHAPMAN
UNITED STATES MAGISTRATE JUDGE

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26 _____
27 ¹⁰ Having reached this conclusion, it is unnecessary to
28 address the other issues plaintiff raises.