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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

JAIME PERDOMO,	)	NO. EDCV 08-01690 SS
	)	
Plaintiff,	)	
	)	
v.	)	<b>MEMORANDUM DECISION AND ORDER</b>
	)	
MICHAEL J. ASTRUE,	)	
Commissioner of the Social	)	
Security Administration,	)	
	)	
Defendant.	)	
_____	)	

I.  
INTRODUCTION

Jaime Perdomo ("Plaintiff") brings this action seeking to overturn the decision of the Commissioner of the Social Security Administration (hereinafter the "Commissioner" or the "Agency") denying his application for Supplemental Security Income ("SSI"). The parties consented, pursuant to 28 U.S.C. § 636(c), to the jurisdiction of the undersigned United States Magistrate Judge. This matter is before the Court on the parties' Joint Stipulation ("Jt. Stip."), filed on July 9, 2009. For the reasons stated below, the decision of the Commissioner is AFFIRMED.

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**II.**

**PROCEDURAL HISTORY**

Plaintiff filed an application for SSI on April 19, 2006. (Administrative Record ("AR") 72). He claimed that his disability onset date was July 17, 1995. (AR 94). The Commissioner denied SSI benefits on September 6, 2006. (AR 75). On September 15, 2006, Plaintiff filed a request for reconsideration. (AR 80). The Commissioner upheld the initial denial of benefits on February 2, 2007. (AR 84). On March 6, 2007, Plaintiff requested a hearing before an Administrative Law Judge ("ALJ"). (AR 90).

On February 26, 2008, ALJ Jay E. Levine held a hearing to consider Plaintiff's claims. (AR 33). On June 17, 2008, the ALJ found that Plaintiff was not disabled. (See AR 8-22). Plaintiff requested a review of the hearing decision on July 16, 2008. (AR 4). The Appeals Council denied this request on September 23, 2008. (AR 1). Plaintiff filed the instant Complaint on December 3, 2008.

**III.**

**FACTUAL HISTORY**

**A. Generally**

Plaintiff was born on August 13, 1970 and was 37 years old at the time of the hearing. (AR 94). He has limited education and did not graduate from high school. (AR 39-41). In various disability reports Plaintiff claims to have never held a job. (See, e.g., AR 98).

1 However, at the hearing Plaintiff testified that he had worked as a  
2 carpet cleaner and as a car windshield repairman. (AR 60).  
3 Additionally, Plaintiff works for his sister, watching her autistic son.  
4 (AR 37). Plaintiff claims that he is unable to work due to a brain  
5 injury in 1973 resulting in an inability to remember, concentrate, or  
6 answer questions. (AR 98).

7  
8 **B. Relevant Medical History**

9  
10 **1. Treating Physician**

11  
12 The medical records from Plaintiff's treating psychiatrist are  
13 sparse. Plaintiff met with Dennis Payne, M.D., for the first time on  
14 October 7, 2005. (See AR 208). At the time, Dr. Payne found that  
15 Plaintiff made poor eye contact and was withdrawn. (AR 209). He also  
16 described Plaintiff as having a "poverty of speech [and] thought  
17 content." (Id.). Although Dr. Payne considered Plaintiff to be  
18 depressed and found that he exhibited "thought blocking," he also found  
19 that Plaintiff's perceptual process and thought content were within  
20 normal limits. (Id.). In Dr. Payne's opinion, Plaintiff's insight and  
21 judgment were poor, and Plaintiff's memory was impaired. (Id.). Dr.  
22 Payne ultimately concluded that Plaintiff had dementia, a non-specific  
23 depressive disorder, and borderline intellectual functionality. (Id.).  
24 Dr. Payne did not diagnose Plaintiff with any specific disorders.  
25 (Id.). Dr. Payne prescribed Paxil. (Id.).

26  
27 Plaintiff returned to Dr. Payne intermittently for several months,  
28 and the treatment notes from that period are few and brief. (See AR

1 290-311). When Plaintiff complied with Dr. Payne's treatment plan, the  
2 medication was "fairly effective." (AR 306). Plaintiff's sister, who  
3 accompanied him on his visits to Dr. Payne, reported that he was doing  
4 better, with a fifty-percent improvement. (AR 297-98). There were,  
5 however, numerous times when Plaintiff failed to keep his appointments  
6 with Dr. Payne, (see AR 291, 300, 304, 308), or was not fully compliant  
7 with the treatment plan. (See AR 305, 203).

8  
9 Dr. Payne apparently was not aware of any substance abuse by  
10 Plaintiff. (AR 310). However, Plaintiff has a significant history of  
11 illegal drug use and alcoholism. He was arrested for driving under the  
12 influence of alcohol and methamphetamine. (AR 159). Plaintiff "drank  
13 heavily" until 2001 or 2002. (Id.). He began using illicit drugs at  
14 age thirteen, and was a chronic user of marijuana, cocaine, and  
15 methamphetamine until 2002. (Id.). Plaintiff's parents asked him to  
16 stop living with them, in part, because of his drug use. (AR 158).

17  
18 Although Dr. Payne did not specifically address his lack of  
19 knowledge about Plaintiff's substance abuse history, he did admit that  
20 he did not have a "good feel" for Plaintiff. (AR 227). When Dr. Payne  
21 met with Plaintiff, Plaintiff was always accompanied by his sister, who  
22 did most of the talking. (Id.). Dr. Payne stated that most of what he  
23 knows about Plaintiff comes from Plaintiff's sister. (Id.).

## 24 25 **2. Consultative Physicians**

26  
27 On September 30, 2003, Dr. Jagvinder Singh performed an internal  
28 medicine consultation on Plaintiff. (See AR 152-56). Dr. Singh is

1 board certified in internal medicine. (AR 156). Plaintiff complained  
2 to Dr. Singh that he had memory problems, depression, and that he was  
3 unable to control his anger. (AR 152). Dr. Singh opined that Plaintiff  
4 did not have dementia, but noted that Plaintiff was "[a] little slow on  
5 mathematical skills." (AR 155). Dr. Singh reported that Plaintiff had  
6 "no problem in dressing, grooming and bathing himself. [Plaintiff did]  
7 cooking, vacuuming, dishwashing, and watches television." (AR 152). In  
8 evaluating Petitioner's physical ability to work, Dr. Singh stated:

9  
10 I think that [Plaintiff] is able to stand and walk for 6  
11 hours. Sitting is no restriction. He does not require the  
12 use of assistive devices. He would be able to lift and carry  
13 occasionally and frequently is [sic] 50 & 25 pounds.  
14 Posturally and manipulatively there are no restrictions.  
15 Environmentally, [Plaintiff] should avoid working at extremes  
16 of temperature and at heights.

17  
18 (AR 156).  
19

20 On October 1, 2003, Dr. Kim Goldman, a clinical psychologist,  
21 performed a psychological evaluation of Plaintiff. (See AR 158-62).  
22 During the examination, Plaintiff "responded in a coherent and relevant  
23 fashion. The rate of his speech was normal." (AR 159). He knew the  
24 day of the week and the date, and he knew both the city of the  
25 examination and his city of residence. (Id.). Plaintiff knew his age  
26 and birthday, as well as the current and previous President. (Id.). He  
27 was able to recall two of three objects after a five minute delay.  
28 (Id.). Nonetheless, Plaintiff was low functioning in some categories.

1 He claimed not to know the alphabet and could not recite it. (AR 160).  
2 Dr. Goldman found Plaintiff's I.Q. to be sixty-four. (Id.). His  
3 overall thinking and reasoning abilities were in the first percentile.  
4 (Id.).

5  
6 Dr. Goldman specifically warned readers of the report that  
7 Plaintiff's test results "should be interpreted with caution as  
8 [Plaintiff] did not appear to make his best effort on the tasks  
9 presented to him." (Id.). Dr. Goldman specifically cited Plaintiff's  
10 inability to reproduce more than nine out of fifteen items from memory  
11 as evidence of not making his best effort on the tests. (AR 161). Dr.  
12 Goldman ultimately concluded that:

13  
14 [Plaintiff's] ability to understand, carry out and remember  
15 simple instructions is not impaired. His ability to respond  
16 appropriately to coworkers, supervisors and the public is  
17 mildly impaired due to limits in his cognitive functioning.  
18 His ability to respond appropriately to usual work situations  
19 and deal with changes in a routine work setting is mildly to  
20 moderately impaired due to limits in his cognitive  
21 functioning.

22  
23 (AR 162).

24  
25 On January 13, 2004, Dr. John Woodard performed a psychological  
26 evaluation of Plaintiff. (See AR 184-87). Dr. Woodard is a board  
27 eligible neurologist and psychiatrist. (AR 187). Dr. Woodard found  
28

1 that Plaintiff had a history of abusive alcohol consumption as well as  
2 methamphetamine abuse. (AR 184).

3  
4 In evaluating Plaintiff's intellectual functioning, Dr. Woodard  
5 found that Plaintiff could recall both the current President and three  
6 former Presidents. (AR 186). Plaintiff had knowledge of current  
7 events. (Id.). He could "subtract sevens serially from 100 to 72  
8 without error," and had an average range vocabulary. (Id.). Dr.  
9 Woodard found that:

10  
11 [Plaintiff's] [i]mpairments are slight to moderate for  
12 interacting with the public; slight for interacting with  
13 supervisors and co-workers, for maintaining concentration and  
14 attention, for withstanding normal stresses and pressures in  
15 the workplace, and for performing detailed, complex tasks; and  
16 none for performing simple repetitive tasks. Incapacity is  
17 slight for working on a continuous basis without special  
18 supervision and slight to moderate for completing a normal  
19 workweek without interruption.

20  
21 (Id.). Dr. Woodard concluded that Plaintiff's prognosis was "good for  
22 improvement in psychiatric status with abstinence from alcohol and  
23 illegal drugs and with appropriate treatment." (AR 187).

24  
25 Plaintiff's final consultative examination was on May 5, 2006, with  
26 Dr. Clifford Taylor, a licensed clinical psychologist. (See AR 190-94).  
27 During this evaluation, Plaintiff stated that he had never worked in any  
28 capacity, and his sister stated that he had never attended school. (AR

1 191). Plaintiff denied any current or past use of illegal drugs, and  
2 also denied ever being arrested. (Id.). Neither Plaintiff nor his  
3 sister provided Dr. Taylor with any medical records. (AR 191-92).  
4

5 Dr. Taylor evaluated Plaintiff's mental status and found that he  
6 had an I.Q. of forty-eight. (AR 192). Plaintiff "could not count, say  
7 any letters from the alphabet, recognize shapes, does not know his last  
8 name, age, the date or the date of his birth. He could not point to  
9 simple body parts such as his nose and ear."<sup>1</sup> (Id.). Given Plaintiff's  
10 performance on these evaluations, Dr. Taylor suspected Plaintiff of  
11 malingering. (AR 192, 194).  
12

### 13 3. Plaintiff's Testimony

14

15 Plaintiff testified at the disability hearing. (AR 36-66).  
16 Plaintiff knew his age and the place of his birth. (AR 36). He denied  
17 being able to drive a car. (AR 40). Plaintiff testified that he could  
18 read and write "[a] little bit," and that he had taught himself to read.  
19 (Id.). Plaintiff testified that he went to school, but could not  
20 remember for how long. (AR 40-41). Plaintiff admitted to drinking  
21 "once in a while" and having used marijuana, speed, and cocaine "a long  
22 time ago." (AR 42). Plaintiff testified that he sits at his sister's  
23 house during the day and takes care of his nephew, who he called "Alex."  
24 (Id.). According to Plaintiff's sister, the nephew's name is "Viviano."  
25  
26

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27 <sup>1</sup> During Plaintiff's physical examination, Dr. Singh reported that  
28 the "[f]inger-to-nose exam is precise." (AR 154).



1 (Id.). Plaintiff then testified that he does not know who Alex is. (AR  
2 43).

3  
4 In response to the ALJ's questions about physical problems,  
5 Plaintiff testified that he was tired because he could not breathe.  
6 (Id.). He denied ever being in the hospital, but then admitted that he  
7 was hospitalized after a childhood car accident. (AR 43-44). Plaintiff  
8 did not know the name of any medication he was taking. (AR 44).  
9 Plaintiff was not in any pain at the time of the hearing. (AR 53).

10  
11 The ALJ and a Vocational Expert (VE) questioned Plaintiff about his  
12 work history. Plaintiff testified that he washed cars at a car wash for  
13 one month. (AR 46). Plaintiff reported an income of \$3,672 in 2006,  
14 but claimed that he did not know what he did to make money that year.  
15 (Id.). In addition to washing cars, Plaintiff worked cleaning carpets  
16 and repairing car windshields. (AR 60).

#### 17 18 **4. Lay Witness Testimony**

19  
20 Plaintiff's sister also testified at the hearing. She told the ALJ  
21 that Plaintiff had been living with her for two years, and she paid him  
22 \$380 per month to watch her autistic son. (AR 37). According to  
23 Plaintiff's sister, Plaintiff's mental problems began after he was hit  
24 by a car when he was three years old. (AR 47-48). The sister testified  
25 that she has never seen Plaintiff have any friends. (AR 50). She  
26 acknowledged that Plaintiff's parents had problems with him drinking,  
27 but that she did not allow him to drink in her house unless under her  
28 supervision. (AR 51-52).

1           The ALJ asked Plaintiff's sister about Plaintiff's ability to "get  
2 [himself] ready for work, get dressed, . . . brush [his] teeth, comb  
3 [his] hair, . . . [and] fix some food for [himself]." (AR 52). She  
4 testified that Plaintiff "tries to do [these things] but he doesn't  
5 succeed." (Id.). Plaintiff's sister gives Plaintiff his medication and  
6 makes sure that he takes it. (AR 53).

7  
8           Plaintiff's sister testified about his medical history. According  
9 to her, Plaintiff takes Risperdal and, separately, Albuterol for "severe  
10 asthma." (AR 55). Plaintiff was hospitalized twice during his  
11 childhood: once following the car accident and once after Plaintiff was  
12 beaten with a baseball bat. (AR 56).<sup>2</sup> According to Plaintiff's sister,  
13 Plaintiff has mood swings. (AR 58).

14  
15           Plaintiff's sister testified that Plaintiff worked at a car wash in  
16 2006. (AR 61). She thought that he only worked at the car wash for one  
17 month. (Id.). When confronted with the fact that Plaintiff was  
18 unlikely to have earned \$3,600 in one month working at a car wash,  
19 Plaintiff's sister claimed she could not remember if he had worked  
20 elsewhere or for more than one month. (AR 62).

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28           <sup>2</sup> Plaintiff denied any memory of the baseball bat beating. (AR  
57).

1 IV.

2 THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS

3  
4 To qualify for disability benefits, a claimant must demonstrate a  
5 medically determinable physical or mental impairment that prevents him  
6 from engaging in substantial gainful activity<sup>3</sup> and that is expected to  
7 result in death or to last for a continuous period of at least twelve  
8 months. Reddick v. Chater, 157 F.3d 715, 721 (9th Cir. 1998) (citing 42  
9 U.S.C. § 423(d)(1)(A)). The impairment must render the claimant  
10 incapable of performing the work he previously performed and incapable  
11 of performing any other substantial gainful employment that exists in  
12 the national economy. Tackett v. Apfel, 180 F.3d 1094, 1098 (9th Cir.  
13 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

14  
15 To decide if a claimant is entitled to benefits, an ALJ conducts a  
16 five-step inquiry. 20 C.F.R. §§ 404.1520, 416.920. The steps are:

17  
18 (1) Is the claimant presently engaged in substantial gainful  
19 activity? If so, the claimant is found not disabled. If  
20 not, proceed to step two.

21  
22 (2) Is the claimant's impairment severe? If not, the  
23 claimant is found not disabled. If so, proceed to step  
24 three.

25  
26  
27 <sup>3</sup> Substantial gainful activity means work that involves doing  
28 significant and productive physical or mental duties and is done for pay  
or profit. 20 C.F.R. §§ 404.1520, 416.910.

1 (3) Does the claimant's impairment meet or equal the  
2 requirements of any impairment listed at 20 C.F.R. Part  
3 404, Subpart P, Appendix 1? If so, the claimant is found  
4 disabled. If not, proceed to step four.

5  
6 (4) Is the claimant capable of performing her past work? If  
7 so, the claimant is found not disabled. If not, proceed  
8 to step five.

9  
10 (5) Is the claimant able to do any other work? If not, the  
11 claimant is found disabled. If so, the claimant is found  
12 not disabled.

13  
14 Tackett, 180 F.3d at 1098-99; see also Bustamante v. Massanari, 262 F.3d  
15 949, 953-54 (9th Cir. 2001) (citations omitted); 20 C.F.R. §§  
16 404.1520(b)-(g)(1), 416.920(b)-(g)(1).

17  
18 The claimant has the burden of proof at steps one through four, and  
19 the Commissioner has the burden of proof at step five. Bustamante, 262  
20 F.3d at 953-54. If, at step four, the claimant meets his burden of  
21 establishing an inability to perform the past work, the Commissioner  
22 must show that the claimant can perform some other work that exists in  
23 "significant numbers" in the national economy, taking into account the  
24 claimant's residual functional capacity, age, education and work  
25 experience. Tackett, 180 F.3d at 1100; 20 C.F.R. § 416.920(g)(1). The  
26 Commissioner may do so by the testimony of a VE or by reference to the  
27 Medical-Vocational Guidelines appearing in 20 C.F.R. Part 404, Subpart  
28 P, Appendix 2 (commonly known as "the Grids"). Osenbrock v. Apfel, 240

1 F.3d 1157, 1162 (9th Cir. 2001). When a claimant has both exertional  
2 (strength-related) and nonexertional limitations, the Grids are  
3 inapplicable and the ALJ must take the testimony of a VE. Moore v.  
4 Apfel, 216 F.3d 864, 869 (9th Cir. 2000).

5  
6 **V.**

7 **THE ALJ'S DECISION**

8  
9 At step one, the ALJ rejected Plaintiff's assertion that he had not  
10 engaged in substantial gainful activity since April 19, 2006. (AR 10).  
11 The ALJ determined that Plaintiff had worked since his alleged onset  
12 date. (Id.). Plaintiff cared for his sister's autistic child and  
13 worked at a car wash and as a floor cleaner. (Id.). The ALJ found  
14 "that this work activity was performed long enough for it to be  
15 considered substantial gainful activity." (Id.).

16  
17 At step two, the ALJ found that Plaintiff's impairments were  
18 borderline intellectual functioning, alcohol dependence, and organic  
19 brain disorder. (Id.). Because the ALJ found that these impairments  
20 affected Plaintiff more than minimally, the ALJ concluded they should be  
21 considered severe. (Id.).

22  
23 At step three, the ALJ concluded that Plaintiff "does not have an  
24 impairment or combination of impairments that meets or medically equals  
25 one of the listed impairments in 20 CFR Part 404, Subpart P, Appendix  
26 1." (Id. (internal citations omitted)).

1 At step four, the ALJ found that Plaintiff had the residual  
2 functional capacity ("RFC") to perform very heavy work. (AR 11). The  
3 ALJ found that, due to Plaintiff's mental impairment, he should be  
4 limited to "routine, repetitive tasks, consisting of entry level work,  
5 and working with things rather than people." (Id.). Based on the VE's  
6 testimony that Plaintiff's past relevant work as a car wash cleaner and  
7 a floor cleaner amounted to unskilled work performed at a light or  
8 medium level of exertion, the ALJ found that Plaintiff could perform his  
9 past relevant work. (AR 20).

10  
11 Although the ALJ found that he did not need to move to step five,  
12 he did so to consider the alternative situation in which Plaintiff's  
13 past jobs were not considered past relevant work. (AR 21). The ALJ  
14 considered Plaintiff's age, education, work experience, and residual  
15 functional capacity. (Id.). He concluded that Plaintiff "has been  
16 capable of making a successful adjustment to other work that exists in  
17 significant numbers in the national economy." (Id.).

## 18 19 VI.

### 20 STANDARD OF REVIEW

21  
22 Under 42 U.S.C. § 405(g), a district court may review the  
23 Commissioner's decision to deny benefits. The court may set aside the  
24 Commissioner's decision when the ALJ's findings are based on legal error  
25 or are not supported by substantial evidence in the record as a whole.  
26 Aukland v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001); Smolen v.  
27 Chater, 80 F.3d 1273, 1279 (9th Cir. 1996).



1 provided most of the information to Dr. Payne. (AR 227). As a result,  
2 Dr. Payne later reported that he did not have a "good feel" for  
3 Plaintiff. (Id.). Despite Dr. Payne's limited relationship with  
4 Plaintiff, the treatment he recommended appears to have been effective.  
5 Plaintiff's sister reported a fifty percent improvement, with Plaintiff  
6 becoming calmer. (See, e.g., AR 205-06).

7  
8 If the treating physician's opinion is contradicted by another  
9 doctor who uses the same clinical findings as the treating physician,  
10 the ALJ may not reject this opinion without providing specific,  
11 legitimate reasons, supported by substantial evidence in the record.  
12 Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1995) (as amended). The  
13 opinions of treating physicians are entitled to special weight because  
14 the treating physician is hired to cure and has a better opportunity to  
15 know and observe the claimant as an individual. Magallanes v. Bowen,  
16 881 F.2d 747, 751 (9th Cir. 1989). If, however, the non-treating  
17 physician bases her opinion on independent clinical findings that differ  
18 from those of the treating physician, "the opinion of the nontreating  
19 source may itself be substantial evidence; it is solely the province of  
20 the ALJ to resolve the conflict." Andrews v. Shalala, 53 F.3d 1035,  
21 1041 (9th Cir. 1995).

22  
23 Although the treating physician's opinion is entitled to great  
24 deference, it is "not necessarily conclusive as to either the physical  
25 condition or the ultimate issue of disability." Morgan v. Comm'r of  
26 Soc. Sec. Admin., 169 F.3d 595, 600 (9th Cir. 1999). "When there is  
27 conflicting medical evidence, the Secretary must determine credibility  
28 and resolve the conflict." Matney v. Sullivan, 981 F.2d 1016, 1019 (9th



1 Cir. 1992). The ALJ need not accept the opinion of any physician,  
2 including a treating physician, if that opinion is brief, conclusory,  
3 and inadequately supported by clinical findings. See Matney, 981 F.2d  
4 at 1019; Batson v. Comm'r of Soc. Sec., 359 F.3d 1190, 1195 (9th Cir.  
5 2004).

6  
7 The ALJ concluded that "[g]iving [Plaintiff] a generous benefit of  
8 the doubt . . . he is of borderline intelligence and he has an organic  
9 brain disorder." (AR 18). Plaintiff argues that "there was no mention  
10 of . . . Plaintiff's poor insight and judgment, as well as . . .  
11 Plaintiff's impaired memory to immediate, recent and remote events."  
12 (Jt. Stip. at 4). The ALJ's finding that Plaintiff was of borderline  
13 intelligence and had an organic brain disorder was an acceptance of Dr.  
14 Payne's findings. Although Plaintiff faults the ALJ for not  
15 specifically mentioning Dr. Payne's opinions of Plaintiff's insight,  
16 judgment, and memory, Plaintiff fails to show why this omission was  
17 material. The check off boxes concerning Plaintiff's insight, judgment,  
18 and memory are part of the "Mental Status" section of the form Dr. Payne  
19 filled out, and these opinions presumably factored in to Dr. Payne's  
20 ultimate diagnosis of dementia, unspecified depressive disorder, and  
21 borderline intellectual functionality. (See AR 208-09).

22  
23 To the extent the ALJ rejected or discounted some of Dr. Payne's  
24 opinions, i.e., Dr. Payne's conclusions about Plaintiff's insight,  
25 judgment, and memory, he gave specific and legitimate reasons for doing  
26 so. The ALJ noted that the State Agency analyst called Dr. Payne and  
27 discussed Dr. Goldman's findings. Dr. Payne admitted that he did not  
28 "have a good feel" for Plaintiff. (AR 16). He also stated that

1 Plaintiff did not appear to be "severely retarded," (Id.), and that the  
2 concerns of the consultative psychologists, i.e., that Plaintiff was  
3 malingering, had merit. (Id.). Dr. Payne acknowledged that during  
4 Plaintiff's examinations, most of the information was provided by  
5 Plaintiff's sister. Dr. Payne acknowledged that there were "conflicting  
6 elements" underlying his diagnosis, such as a lack of a documented  
7 history of medical treatment and an absence of IQ testing. (Id.).  
8

9 All of these admissions by Dr. Payne were specific and legitimate  
10 reasons to discount his opinions regarding Plaintiff. No remand is  
11 necessary.  
12

13 **B. The ALJ Properly Considered The State Agency Psychiatrist's**  
14 **Findings**  
15

16 Plaintiff contends that the ALJ failed to consider the findings of  
17 Dr. Gregg, a State Agency psychiatrist. (Jt. Stip. at 10-12). This  
18 Court disagrees.  
19

20 On October 14, 2003, Dr. K. Gregg completed a Mental Residual  
21 Functional Capacity Assessment of Plaintiff. (AR 163-65). Dr. Gregg  
22 found that Plaintiff was "Not Significantly Limited" in fourteen of the  
23 twenty categories evaluated. (AR 163-64). Plaintiff was not "Markedly  
24 Limited" in any categories. (Id.). According to Dr. Gregg, Plaintiff  
25 was moderately limited in six categories:  
26

- 27 1. The ability to understand and remember detailed  
28 instructions;

- 1           2.    The ability to carry out detailed instructions;
- 2
- 3           3.    The ability to maintain attention and concentration for
- 4           extended periods;
- 5
- 6           4.    The ability to complete a normal workday and workweek
- 7           without interruptions from psychologically based symptoms
- 8           and to perform at a consistent pace without an
- 9           unreasonable number and length of rest periods;
- 10
- 11          5.    The ability to interact appropriately with the general
- 12          public;
- 13
- 14          6.    The ability to get along with coworkers or peers without
- 15          distracting them or exhibiting behavioral extremes.
- 16

17 (Id.). Dr. Gregg ultimately concluded that Plaintiff could "sustain  
18 simple repetitive tasks with adequate pace and persistence. [Could]  
19 adapt and relate to coworkers and [supervisors]. Cannot work with  
20 public." (AR 165).

21  
22           Plaintiff points to 20 C.F.R. § 416.927(f) and Social Security  
23 Ruling 96-6p to support his allegation that the ALJ's failure to discuss  
24 Dr. Gregg's opinion was error. The regulations state that the  
25 administrative law judges are not bound by any findings by a State  
26 Agency medical or psychological consultant. 20 C.F.R. §  
27 416.927(f)(2)(I). However, because these Agency physicians and  
28 psychological consultants are highly qualified and are also experts in

1 Social Security disability evaluations, the regulations state that the  
2 ALJs "must consider" findings from an Agency physician. Id.  
3 Furthermore, unless the treating physician's opinion is given  
4 controlling weight, the administrative law judge "must explain in the  
5 decision" the weight given to the Agency physician's opinion, as the ALJ  
6 must do for any opinion from a treating source or a nontreating source.  
7 20 C.F.R. § 416.927(f)(2)(ii).

8  
9 Social Security Ruling 96-6p also states that the findings of fact  
10 made by an Agency physician "must be treated as expert opinion evidence"  
11 by the ALJ. An ALJ "may not ignore these opinions and must explain the  
12 weight given to these opinions in their decisions." See SSR 96-6p, 1996  
13 WL 374180, \*1. Although Social Security Rulings do not have the force  
14 of law, they are binding on all components of the Social Security  
15 Administration and courts give them some deference. See 20 C.F.R. §  
16 402.35(b)(1); Holohan v. Massanari, 246 F.3d 1195, 1202 n.1 (9th Cir.  
17 2001) (holding that although Social Security Rulings that are issued by  
18 the Commissioner of Social Security to clarify implementing regulations  
19 and agency policies do not have the force of law, reviewing courts will  
20 give them some deference because they represent the Commissioner's  
21 interpretations of the agency's regulations, unless they are  
22 inconsistent with statutes or regulations).

23  
24 The ALJ considered Dr. Gregg's findings. (AR 17). Dr. Gregg found  
25 that Plaintiff could "sustain simple repetitive tasks." (AR 165). The  
26 ALJ concluded that Plaintiff was limited to "routine, repetitive tasks."  
27 (AR 11). Dr. Gregg found that Plaintiff "[c]annot work with [the]  
28 public." (AR 165). The ALJ found that Plaintiff was limited to

1 "working with things rather than people." (AR 11). Although the ALJ  
2 did not specifically address the categories in which Dr. Gregg found  
3 Plaintiff to have moderate limitations, none of those moderate  
4 limitations contradict the ALJ's conclusion about Plaintiff's RFC.  
5 Plaintiff fails to show how the ALJ erred in his consideration of Dr.  
6 Gregg's findings. No remand is required.

7  
8 **C. The ALJ Properly Determined That Plaintiff Could Perform His Past**  
9 **Relevant Work**

10  
11 Plaintiff contends that the ALJ erred in concluding that Plaintiff  
12 could perform his past relevant work. According to Plaintiff, the past  
13 jobs "require mental demands that are in excess of the Plaintiff's RFC."  
14 (Jt. Stip. at 16). This Court disagrees.

15  
16 Plaintiff was not specific about his previous work. He testified  
17 that he worked at a car wash, but did not go into detail about what  
18 exactly he did there. (See AR 46). Plaintiff later admitted that he  
19 repaired car windshields at the car wash. (AR 60). Plaintiff testified  
20 that he worked cleaning carpets, but claimed that he remembered nothing  
21 about the job. (Id.). Plaintiff has the burden of showing that he  
22 could not perform the job as actually performed or as generally  
23 performed. See Villa v. Heckler, 797 F.2d 794, 798 (9th Cir. 1986) (at  
24 step four of the sequential evaluation process, claimant has burden of  
25 proving an inability to return to his former "type of work" and not just  
26 to his former job). See also Pinto v. Massanari, 249 F.3d 840, 844 (9th  
27 Cir. 2001) (plaintiff has the burden of proving that he can no longer  
28 perform his past relevant work); SSR 82-61 (if claimant cannot perform

1 the functional demands and job duties of his former job as actually  
2 required but can perform the functional demands and job duties as  
3 generally required by employers, he should be found not disabled).  
4

5         Initially, it should be noted that if an ALJ determines that an  
6 individual can return to his past relevant work, no VE testimony is  
7 necessary. Additionally, the Court notes that the determination at step  
8 four that a claimant can perform his past relevant work need not be  
9 supported by VE testimony. See Crane v. Shalala, 76 F.3d 251, 255 (9th  
10 Cir. 1996).  
11

12         Nonetheless, the ALJ heard the testimony of a VE. The VE testified  
13 that Plaintiff's work at the car wash was "as an automatic car wash  
14 attendant, [which is] characterized as light and having a specific  
15 vocational preparation of a two and make it un-skilled." (AR 65). The  
16 VE evaluated Plaintiff's work cleaning carpets as "an occupation of  
17 floor cleaner, [which is] characterized as medium work and having a  
18 specific vocational preparation code of two would make it un-skilled."  
19 (AR 66). The ALJ found that these jobs were within the capabilities of  
20 Plaintiff, who had "the residual functional capacity to perform very  
21 heavy work activities. His mental impairment limits him to routine,  
22 repetitive tasks, consisting of entry level work, and working with  
23 things rather than people. [Plaintiff] is precluded from working at  
24 unprotected heights and work with dangerous machinery. He cannot  
25 perform work requiring production quotas such as assembly line or piece  
26 work." (AR 11).  
27  
28

1 Plaintiff argues that the job of Rug Cleaner requires a greater  
2 reasoning level than could be expected of Plaintiff. (AR 13). Although  
3 the VE testified that the Rug Cleaner reasoning level was two, it is  
4 actually three. (Directory of Occupational Titles ("DOT") at No.  
5 369.384-014). The Court notes that the ALJ need only find that  
6 Plaintiff can return to his former job as he performed it, not  
7 necessarily as it is defined in the DOT.

8  
9 Even though there was a conflict between the DOT's requirements for  
10 a Rug Cleaner and the VE's description of the position, there was no  
11 similar conflict for the car washer position. The position of Automatic  
12 Car Wash Attendant only requires a reasoning level of two. (DOT at No.  
13 915.667-010). A reasoning level of two requires a worker to "apply  
14 commonsense understanding to carry out detailed but uninvolved written  
15 or oral instructions[, and also] [d]eal with problems involving a few  
16 concrete variables in or from standardized situations." (DOT Appendix  
17 C, Section III). Plaintiff argues that because Dr. Gregg found that  
18 Plaintiff was moderately impaired in the ability to understand and  
19 remember detailed instructions, he was unable to perform this job. (AR  
20 15).

21  
22 Plaintiff has not met his burden of showing that he can no longer  
23 perform his previous job at the car wash. Plaintiff estimated that he  
24 worked at the car wash for one month in 2005. (AR 46). He worked at  
25 the car wash long enough to earn "a little over \$2,600.00." (AR 45).  
26 Plaintiff contends that "it is clear that he is incapable of performing  
27 the job[] of . . . car washer." (Jt. Stip. at 18). However, he  
28 presents no evidence that his level of disability has increased since

1 the time he was able to work as a car washer. Dr. Gregg's finding of  
2 moderate impairment in the ability to understand and remember detailed  
3 instructions therefore does not amount to a finding that Plaintiff  
4 cannot perform a job requiring a reasoning level of two.

5  
6 The ALJ and the VE correctly concluded that Plaintiff was capable  
7 of returning to his previous work as a car wash attendant. Based on  
8 this alone, the ALJ was correct in finding that Plaintiff is not  
9 disabled. Any error the ALJ and the VE may have made concerning the DOT  
10 requirements for the rug cleaner position was harmless. See Curry v.  
11 Sullivan, 925 F.2d 1127, 1129 (9th Cir. 1990) (harmless error rule  
12 applies to review of administrative decisions regarding disability);  
13 Booz v. Sec'y of Health and Human Servs., 734 F.2d 1378, 1380-81 (9th  
14 Cir. 1984). No remand is required.

15  
16 **D. The ALJ's Hypothetical Was Sufficient**

17  
18 Plaintiff argues that the hypothetical presented by the ALJ was  
19 incomplete because it did not mention the moderate impairments described  
20 by Dr. Gregg or the poor insight and judgment and the impaired memory  
21 reported by Dr. Payne. (Jt. Stip. at 19). Plaintiff contends that this  
22 requires a remand. (Jt. Stip. at 20). This Court disagrees.

23  
24 First, as discussed above in section VII.C, no VE testimony was  
25 necessary in this case because the ALJ found that Plaintiff was able to  
26 return to his past relevant work. However, even if VE testimony had  
27 been required, the hypotheticals provided by the ALJ sufficiently  
28



1 described Plaintiff's limitations. The hypotheticals were based on  
2 Plaintiff's RFC, which allowed him

3  
4 to perform very heavy work activities. His mental impairment  
5 limits him to routine, repetitive tasks, consisting of entry  
6 level work, and working with things rather than people.  
7 [Plaintiff] is precluded from working at unprotected heights  
8 and work with dangerous machinery. He cannot perform work  
9 requiring production quotas such as assembly line or piece  
10 work.

11  
12 (AR 11). In the first hypothetical, the ALJ asked the VE to

13  
14 assume [an individual Plaintiff's] age, education which would  
15 have been less than a high school education but a limited  
16 education for sure or less. . . . A person's has no  
17 exertional limitations [sic] . . . except very heavy. But  
18 assume this person should not work around dangerous machinery  
19 and no work on unprotected heights and restricted to routine,  
20 repetitive tasks, entry level work and working with things  
21 rather than with people and also no production quotas such as  
22 assembly line or piece work.

23  
24 (AR 66-67). Given this hypothetical, the VE determined that such a  
25 claimant could perform the work as a floor cleaner. (AR 67). In the  
26 second hypothetical, the ALJ asked the VE to "assume a hypothetical  
27 individual [with] the same restrictions as in [the first hypothetical].  
28 This person would be off task at least 20 percent of the time due to

1 inability to maintain concentration or pace." (Id.). The VE concluded  
2 that such an individual could not perform any work. (Id.)

3  
4 Plaintiff does not object to any of the limitations included in the  
5 hypotheticals. (See Jt. Stip. at 19). Instead, he argues that the  
6 "hypothetical questions [were] incomplete" because they did not  
7 specifically mention some of Dr. Gregg's and Dr. Payne's findings.  
8 (Id.). As part of his mental examination of Plaintiff, Dr. Payne noted  
9 that Plaintiff exhibited poor judgment and insight as well as impaired  
10 memory. (AR 209). As discussed above in section VII.A, the ALJ  
11 properly considered Dr. Payne's findings in determining Plaintiff's RFC.  
12 Also as discussed in section VII.A, to the extent that the ALJ rejected  
13 Dr. Payne's conclusions about Plaintiff's judgment, insight, and memory,  
14 he did so for specific and legitimate reasons. As discussed above in  
15 section VII.C, Dr. Gregg's conclusions about Plaintiff's moderate  
16 impairments did not contradict the ALJ's determination of Plaintiff's  
17 RFC. Because the ALJ properly determined Plaintiff's RFC he was not  
18 required to include in the hypotheticals any other limitations. See  
19 Bayliss v. Barnhart, 427 F.3d 1211, 1217-18 (9th Cir. 2005).

20  
21 Because the ALJ properly determined that Plaintiff could return to  
22 his prior relevant work, no hypotheticals or VE testimony was necessary.  
23 However, the hypotheticals presented to the VE accurately reflected the  
24 RFC determined by the ALJ. The RFC was an accurate statement of  
25 Plaintiff's clearly established limitations. Therefore, no remand is  
26 required.

27 \\

28 \\

1 **E. The ALJ Was Not Required To Obtain Records Pertaining To**  
2 **Plaintiff's Special Education Classes**

3  
4 Plaintiff argues that the ALJ failed to fully develop the record of  
5 Plaintiff's disability. (Jt. Stip. at 21-22). The ALJ noted that  
6 Plaintiff had been in Special Education classes. (AR 19). Plaintiff  
7 contends that "records regarding Plaintiff's special education classes  
8 are not included in the current record, and the ALJ should have  
9 developed the record further by obtaining these records." (Jt. Stip. at  
10 21-22). This Court disagrees.

11  
12 The ALJ has an affirmative duty to fully and fairly develop the  
13 record in a social security case. Tonapetyan v. Halter, 242 F.3d 1144,  
14 1150 (9th Cir. 2001). However, only ambiguous evidence, or the ALJ's  
15 own finding that the record is inadequate to allow for proper evaluation  
16 of the evidence, triggers the ALJ's duty to conduct an appropriate  
17 inquiry or gather additional information. Id., see also Thomas v.  
18 Barnhart, 278 F.3d 947, 958 (9th Cir. 2002) (duty not triggered where  
19 the ALJ did not make a finding that the medical report was inadequate to  
20 make a disability determination).

21  
22 The medical report in this case was more than adequate to make a  
23 disability determination. In addition to the records from Dr. Payne,  
24 Plaintiff's treating psychiatrist, the Commissioner arranged for four  
25 additional consultative examinations. Dr. Goldman found that Plaintiff  
26 had only mild to moderate limitations, (AR 162), and Dr. Woodard  
27 described Plaintiff's limitations as slight to moderate. (AR 186).  
28 Both Drs. Goldman and Taylor suspected Plaintiff of malingering on their

1 tests. (See AR 161, 192, 194). Even Dr. Payne, Plaintiff's treating  
2 physician, thought that charges of malingering had merit. (AR 227).  
3 The Commissioner will recontact medical sources only when the medical  
4 evidence "is inadequate" for the Commissioner to determine whether a  
5 claimant is disabled. 20 C.F.R. § 416.912(e). The Commissioner will  
6 either seek additional evidence or clarification from the treating  
7 physician when a medical report contains a "conflict" or an "ambiguity"  
8 that must be resolved. 20 C.F.R. § 416.912(e)(1). In this case there  
9 was no conflict or ambiguity that had to be resolved, nor did the ALJ  
10 make such a finding.

11  
12 Although Plaintiff claims that the mention of his Special Education  
13 attendance renders the record ambiguous, he fails to articulate what  
14 would be found in his school records that would be meaningful to the  
15 ALJ's evaluation. At the time of the hearing, his attorney failed to  
16 mention these records. Counsel could have submitted these records prior  
17 to the hearing, but failed to do so. There is no evidence in the record  
18 that Plaintiff or his attorney requested that the agency obtain these  
19 records. Plaintiff does not describe these records in any detail nor  
20 offer any explanation as to how they would alter the evaluation of his  
21 alleged disability.

22  
23 Four consultative doctors evaluated Plaintiff's mental limitations.  
24 This was sufficient to develop the record. Accordingly, no remand is  
25 required.

1 VIII.

2 CONCLUSION

3  
4 The ALJ properly considered the opinions of Dr. Payne, Plaintiff's  
5 treating physician and Dr. Gregg, the State Agency psychiatrist. The  
6 ALJ properly determined Plaintiff's RFC and his ability to return to his  
7 past relevant work. The hypothetical posed to the VE was complete.  
8 Finally, the ALJ met his responsibility to fully develop the record.  
9 Accordingly, Plaintiff's contentions do not warrant remand.

10  
11 Consistent with the foregoing, and pursuant to sentence four of 42  
12 U.S.C. § 405(g),<sup>4</sup> IT IS ORDERED that judgment be entered AFFIRMING the  
13 decision of the Commissioner and dismissing this action with prejudice.  
14 IT IS FURTHER ORDERED that the Clerk of the Court serve copies of this  
15 Order and the Judgment on counsel for both parties.

16  
17  
18 DATED: August 14, 2009.

19 /S/

20  
21 SUZANNE H. SEGAL  
22 UNITED STATES MAGISTRATE JUDGE

23  
24  
25  
26  
27 <sup>4</sup> This sentence provides: "The [district] court shall have power  
28 to enter, upon the pleadings and transcript of the record, a judgment  
affirming, modifying, or reversing the decision of the Commissioner of  
Social Security, with or without remanding the cause for a rehearing."