

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
EASTERN DIVISION

ELIZABETH CLEVINGER,) No. EDCV 09-1279 CW
)
Plaintiff,) DECISION AND ORDER
)
v.)
)
MICHAEL J. ASTRUE,)
)
Commissioner, Social)
Security Administration,)
)
Defendant.)
_____)

The parties have consented, under 28 U.S.C. § 636(c), to the jurisdiction of the undersigned magistrate judge. Plaintiff seeks review of the denial of disability benefits. The court finds that judgment should be granted in favor of defendant, affirming the Commissioner's decision.

I. BACKGROUND

Plaintiff Elizabeth Clevenger was born on November 22, 1974, and was thirty-three years old at the time of her administrative hearing. [AR 11.] She has an eleventh grade education and past relevant work experience as a nurse's assistant. [AR 11, 75-79.] Plaintiff alleges

1 disability on the basis of mood and mental disorders, chronic
2 bronchitis, depression, and pain in her right hand. [AR 111.]

3 **II. PROCEEDINGS IN THIS COURT**

4 Plaintiff's complaint was lodged on July 2, 2009, and filed on
5 July 20, 2009. On January 13, 2010, Defendant filed an Answer and
6 Plaintiff's Administrative Record ("AR"). On March 19, 2010, the
7 parties filed their Joint Stipulation ("JS") identifying matters not
8 in dispute, issues in dispute, the positions of the parties, and the
9 relief sought by each party. This matter has been taken under
10 submission without oral argument.

11 **III. PRIOR ADMINISTRATIVE PROCEEDINGS**

12 Plaintiff applied for supplemental security income ("SSI") under
13 Title XVI on November 27, 2006, alleging disability since March 1,
14 1992. [AR 96.] After the claim was denied initially and upon
15 reconsideration, Plaintiff requested an administrative hearing. Two
16 hearings were held on August 18, 2008 and November 25, 2008, before
17 Administrative Law Judge Lowell Fortune. [Id.] Plaintiff was
18 represented by Attorney Daniel Keenan at both hearings. [Id.]
19 Testimony was taken from Plaintiff, medical expert Joseph
20 Malancharuvil and vocational expert Sandra M. Fioretti. [Id.] The ALJ
21 denied benefits in a decision issued on February 3, 2009. When the
22 Appeals Council denied review on May 20, 2009, the ALJ's decision
23 became the Commissioner's final decision.

24 **IV. STANDARD OF REVIEW**

25 Under 42 U.S.C. § 405(g), a district court may review the
26 Commissioner's decision to deny benefits. The Commissioner's (or
27 ALJ's) findings and decision should be upheld if they are free of
28 legal error and supported by substantial evidence. However, if the

1 court determines that a finding is based on legal error or is not
2 supported by substantial evidence in the record, the court may reject
3 the finding and set aside the decision to deny benefits. See Aukland
4 v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001); Tonapetyan v.
5 Halter, 242 F.3d 1144, 1147 (9th Cir. 2001); Osenbrock v. Apfel, 240
6 F.3d 1157, 1162 (9th Cir. 2001); Tackett v. Apfel, 180 F.3d 1094,
7 1097 (9th Cir. 1999); Reddick v. Chater, 157 F.3d 715, 720 (9th Cir.
8 1998); Smolen v. Chater, 80 F.3d 1273, 1279 (9th Cir. 1996); Moncada
9 v. Chater, 60 F.3d 521, 523 (9th Cir. 1995)(per curiam).

10 "Substantial evidence is more than a scintilla, but less than a
11 preponderance." Reddick, 157 F.3d at 720. It is "relevant evidence
12 which a reasonable person might accept as adequate to support a
13 conclusion." Id. To determine whether substantial evidence supports
14 a finding, a court must review the administrative record as a whole,
15 "weighing both the evidence that supports and the evidence that
16 detracts from the Commissioner's conclusion." Id. "If the evidence
17 can reasonably support either affirming or reversing," the reviewing
18 court "may not substitute its judgment" for that of the Commissioner.
19 Reddick, 157 F.3d at 720-721; see also Osenbrock, 240 F.3d at 1162.

20 **V. DISCUSSION**

21 **A. THE FIVE-STEP EVALUATION**

22 To be eligible for disability benefits a claimant must
23 demonstrate a medically determinable impairment which prevents the
24 claimant from engaging in substantial gainful activity and which is
25 expected to result in death or to last for a continuous period of at
26 least twelve months. Tackett, 180 F.3d at 1098; Reddick, 157 F.3d at
27 721; 42 U.S.C. § 423(d)(1)(A).

28 Disability claims are evaluated using a five-step test:

1 Step one: Is the claimant engaging in substantial
2 gainful activity? If so, the claimant is found not
3 disabled. If not, proceed to step two.

4 Step two: Does the claimant have a "severe" impairment?
5 If so, proceed to step three. If not, then a finding of not
6 disabled is appropriate.

7 Step three: Does the claimant's impairment or
8 combination of impairments meet or equal an impairment
9 listed in 20 C.F.R., Part 404, Subpart P, Appendix 1? If
10 so, the claimant is automatically determined disabled. If
11 not, proceed to step four.

12 Step four: Is the claimant capable of performing his
13 past work? If so, the claimant is not disabled. If not,
14 proceed to step five.

15 Step five: Does the claimant have the residual
16 functional capacity to perform any other work? If so, the
17 claimant is not disabled. If not, the claimant is disabled.

18 Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995, as amended
19 April 9, 1996); see also Bowen v. Yuckert, 482 U.S. 137, 140-142, 107
20 S. Ct. 2287, 96 L. Ed. 2d 119 (1987); Tackett, 180 F.3d at 1098-99; 20
21 C.F.R. § 404.1520, § 416.920. If a claimant is found "disabled" or
22 "not disabled" at any step, there is no need to complete further
23 steps. Tackett, 180 F.3d 1098; 20 C.F.R. § 404.1520.

24 Claimants have the burden of proof at steps one through four,
25 subject to the presumption that Social Security hearings are non-
26 adversarial, and to the Commissioner's affirmative duty to assist
27 claimants in fully developing the record even if they are represented
28 by counsel. Tackett, 180 F.3d at 1098 and n.3; Smolen, 80 F.3d at
1288. If this burden is met, a prima facie case of disability is
made, and the burden shifts to the Commissioner (at step five) to
prove that, considering residual functional capacity ("RFC")¹, age,

¹ Residual functional capacity measures what a claimant can still do despite existing "exertional" (strength-related) and "nonexertional" limitations. Cooper v. Sullivan, 880 F.2d 1152, 1155 n.s. 5-6 (9th Cir. 1989). Nonexertional limitations limit ability to work without directly limiting strength, and include mental, sensory, postural, manipulative, and environmental limitations. Penny v.

1 education, and work experience, a claimant can perform other work
2 which is available in significant numbers. Tackett, 180 F.3d at 1098,
3 1100; Reddick, 157 F.3d at 721; 20 C.F.R. § 404.1520, § 416.920.

4 **B. THE ALJ'S EVALUATION IN PLAINTIFF'S CASE**

5 Here, the ALJ found that the Plaintiff had not engaged in
6 substantial gainful activity since her disability application date
7 (step one); that Plaintiff had the following "severe" impairment: non-
8 specified mood disorder (step two); and that Plaintiff did not have an
9 impairment or combination of impairments that met or equaled a listing
10 (step three). [AR 100.] The ALJ determined that Plaintiff has the RFC
11 for a full range of work at all exertional levels, with the provision
12 that Plaintiff cannot perform jobs requiring hyper vigilance, a high
13 production quota, and rapid assembly work or work involving the
14 public. Additionally, Plaintiff cannot perform work requiring safety
15 operations or in which she is responsible for the safety of others.
16 Finally, the Plaintiff can engage in only occasional non-intensive
17 interaction with supervisors and/or coworkers. [AR 101.] Plaintiff
18 was unable to perform past relevant work (step four). [Id.] The
19 vocational expert testified that a person with Plaintiff's RFC could
20 perform work existing in significant numbers, such as an industrial
21 cleaner, kitchen helper and/or hand packer (step five). [AR 102.]
22 Accordingly, Plaintiff was not found "disabled" as defined by the
23 Social Security Act. [Id.]

24 **C. ISSUES IN DISPUTE**

25 The parties' Joint Stipulation sets out five disputed issues:

26 _____
27 Sullivan, 2 F.3d 953, 958 (9th Cir. 1993); Cooper, 800 F.2d at 1155
28 n.7; 20 C.F.R. § 404.1569a(c). Pain may be either an exertional or a
nonexertional limitation. Penny, 2 F.3d at 959; Perminter v. Heckler,
765 F.2d 870, 872 (9th Cir. 1985); 20 C.F.R. § 404.1569a(c).

- 1 1. Whether the ALJ erred in failing to consider the lay
2 witness' statements;
- 3 2. Whether the ALJ failed to properly consider Dr. Stone's
4 Mental Residual Functional Capacity Assessment;
- 5 3. Whether the ALJ properly considered the treating
6 psychiatrist's opinion regarding the Plaintiff's 5150
7 status;
- 8 4. Whether the ALJ properly considered the consultative
9 examiner's opinion and properly developed the record; and
- 10 5. Whether the ALJ posed a complete hypothetical question to
11 the Vocational Expert.

12 [JS 2-3.]

13 **D. ISSUE ONE: LAY TESTIMONY AND STATEMENTS**

14 In the first claim, Plaintiff asserts that the ALJ failed to
15 consider the written statements of Shirley Abbey, Plaintiff's mother.
16 Plaintiff asserts that the ALJ ignored these statements and failed to
17 provide germane reasons for doing so. On February 3, 2007, Ms. Abbey
18 completed a "Function Report - Adult - Third Party" form describing
19 Plaintiff's daily activities and other functions. Ms. Abbey wrote
20 that Plaintiff tends to get dizzy when bending over, tires easily, and
21 either sleeps a lot or not at all. [AR 227, 228, 231.] She also wrote,
22 among other things, that Plaintiff is short tempered and unable to get
23 along with others. [AR 231]. She indicated that Plaintiff cannot
24 handle stress or changes in her routine, and she "sees and hears
25 things." [AR 232.]

26 The testimony of lay witnesses about their own observations
27 regarding the claimant's impairments constitutes competent evidence
28 that must be taken into account and evaluated by the Commissioner in

1 the disability evaluation. Bruce v. Astrue, 557 F.3d 1113, 1115 (9th
2 Cir. 2009); Robbins v. Soc. Sec. Admin., 466 F.3d 880, 885 (9th Cir.
3 2006); Stout v. Commissioner, Social Sec. Admin., 454 F.3d 1050, 1053
4 (9th Cir. 2006). Such testimony cannot be discounted unless the ALJ
5 gives reasons that are germane to that witness. Carmickle v.
6 Commissioner, Social Sec. Admin., 533 F.3d 1155, 1164 (9th Cir. 2008);
7 Stout v. Commissioner, 454 F.3d at 1053 (citing Dodrill v. Shalala, 12
8 F.3d 915, 919 (9th Cir. 1993)); Bayliss v. Barnhart, 427 F.3d 1211,
9 1218 (9th Cir. 2005); Lewis v. Apfel, 236 F.3d 503, 511 (9th Cir.
10 2001). "[W]here the ALJ's error lies in a failure to properly discuss
11 competent lay testimony favorable to the claimant, a reviewing court
12 cannot consider the error harmless unless it can confidently conclude
13 that no reasonable ALJ, when fully crediting the testimony, could have
14 reached a different disability determination." Stout, 454 F.3d at
15 1056.

16 The ALJ's failure to address Ms. Abbey's testimony was harmless
17 error under Stout. Ms. Abbey's testimony as to Plaintiff's symptoms
18 was substantially similar to statements made by Plaintiff. In a
19 document entitled "Function Report - Adult," Plaintiff wrote that she
20 cannot lift things very well, spends most of her time in bed, and
21 sleeps either all day or not at all. [AR 234, 235, 239.] Plaintiff
22 also wrote that she is unable to handle stress or changes in routine,
23 is unable to get along with others, and that she sees and hears
24 things. [AR 239, 240.] The ALJ fully addressed Plaintiff's testimony
25 and discounted it for clear and convincing reasons, which Plaintiff
26 does not challenge on appeal. Accordingly, Ms. Abbey's testimony did
27 not add substantial weight to Plaintiff's claim. Cf. Robbins, 466
28 F.3d at 885 (finding reversible error in failure to consider testimony

1 of claimant's son, noting that "[b]ecause the ALJ did not make a
2 legally sufficient adverse credibility finding with regard to [the
3 claimant's] own testimony, we cannot say with respect to [the son's]
4 testimony that no reasonable ALJ, when fully crediting the testimony,
5 could have reached a different disability determination" (citations
6 and internal quotation marks omitted). Under these circumstances, the
7 failure to address fully this evidence was inconsequential to the
8 ultimate determination of non-disability. Stout, 454 F.3d at 1055.

9 Moreover, while the ALJ did not specifically mention Ms. Abbey's
10 testimony, it appears from the record that the ALJ accounted for
11 Plaintiff's limitations as described by both Plaintiff and Ms. Abbey
12 and incorporated them in the RFC determination. Testimony regarding
13 Plaintiff's tendency to tire easily and get dizzy are reasonably
14 reflected in the ALJ's finding that she "cannot be expected to perform
15 jobs that require hyper vigilance, that require a high production
16 quota, [or] that require rapid assembly work." [AR 101.] Also,
17 testimony that Plaintiff has a short temper and inability to get along
18 with others is reflected in the finding that Plaintiff cannot perform
19 "work that involves the public . . . [or] work that requires safety
20 operations or in which she is responsible for the safety of others.
21 Lastly, the claimant can engage in only occasional non-intensive
22 interaction with supervisors and/or coworkers." [Id.] Accordingly,
23 Plaintiff's claim is without merit.

24 **E. ISSUES TWO AND FIVE: DR. STONE**

25 **Background**

26 Plaintiff testified that most recently, she has been seen by
27 psychiatrist Dr. Steve Salinger in October 2008, and Dr. Duonne in
28 July 2008. [AR 38, 80.] Prior to that, Plaintiff testified that she

1 was seen by psychiatrist Dr. Chip Stone at Yucaipa Guidance Center
2 from November 2006 to June 2008. [AR 13, 39.] The record reflects
3 various documents indicating that Plaintiff visited Dr. Stone for
4 medication visits from November 2006 to May 2008. [AR 345-348, 360-
5 366.] However, of these visits, only one evaluation was completed by
6 Dr. Stone, on November 21, 2006.² [AR 346.] All other clinical
7 assessments were done by Keisha Downey, M.A. [AR 349-352, 362.] Dr.
8 Stone filled out a "Work Capacity Evaluation (Mental)" check-box
9 assessment form, and although it wasn't dated, Plaintiff testified
10 that it was filled out and submitted to Social Security in May or June
11 2008. [AR 83, 357-58.] She testifies that this took place while Dr.
12 Stone was still her treating physician, and prior to his leaving.
13 [Id.] This form indicated that Plaintiff is markedly limited in her
14 ability to maintain attention and concentration for extended periods;
15 perform activities within a schedule, maintain regular attendance, and
16 be punctual within customary tolerances; sustain an ordinary routine
17 without special supervision; make simple work-related decisions;
18 interact appropriately with the general public; maintain socially
19 acceptable behavior and adhere to basic standards of neatness and
20 cleanliness; and set realistic goals or make plans independently of
21 others. [AR 357-58.] Additionally, Dr. Stone opined that Plaintiff is
22 extremely limited in her ability to work in coordination with or in
23 proximity to others without being distracted by them; accept

24
25 ² The evaluation occurred shortly after Plaintiff attempted
26 suicide in November 2006 by cutting her wrists. [AR 98.] Dr. Stone
27 diagnosed Plaintiff with bipolar disorder-mixed and adjusted her
28 medication. [AR 346-47.] The ALJ noted, consistent with the record,
that Plaintiff subsequently had regular medication visits and by
January 2007, was found capable of managing her own medication. [AR
98; see AR 348.]

1 instructions and respond appropriately to criticism from supervisors;
2 get along with co-workers or peers without distracting them or
3 exhibiting behavioral extremes; and respond appropriately to changes
4 in the work setting. [Id.]

5 **The Commissioner's Finding**

6 In the administrative decision, the ALJ stated that he gave Dr.
7 Stone's report "little to no evidentiary weight." He noted that there
8 were only a few documents regarding Dr. Stone's treating relationship
9 with Plaintiff, and none of these indicated that he actually counseled
10 or observed Plaintiff to any degree. [AR 99.] The ALJ added that
11 "[the] report does not appear to be an objective assessment of the
12 claimant's abilities but rather an attempt to accommodate the
13 claimant's request to assist in seeking disability benefits." [Id.]
14 The ALJ noted that there was nothing in any of Dr. Stone's mental
15 health records to support the various contentions made on the check
16 box assessment, notably the opinion that Plaintiff has marked
17 impairment in her ability to function. [Id.] Plaintiff alleges that
18 the ALJ failed to provide specific and legitimate reasons for
19 rejecting Dr. Stone's opinion. However, Defendant contends that the
20 ALJ properly noted that there was little evidence of a treating
21 relationship between Dr. Stone and Plaintiff; and even if there was a
22 treating relationship, the ALJ's evaluation was supported by
23 substantial evidence.

24 **Discussion**

25 Ninth Circuit cases distinguish among the opinions of three types
26 of physicians: those who treat the claimant (treating physicians),
27 those who examine but do not treat the claimant (examining or
28 consultative physicians), and those who neither examine nor treat the

1 claimant (non-examining physicians). Lester v. Chater, 81 F.3d 821,
2 830 (9th Cir. 1995); see also Orn v. Astrue, 495 F.3d 625, 631 (9th
3 Cir. 2007). The opinion of a treating physician is given deference
4 because he is employed to cure and has a greater opportunity to know
5 and observe the patient as an individual. Orn v. Astrue, 495 F.3d at
6 633; Sprague v. Bowen, 812 F.2d 1226, 1230 (9th Cir. 1987). The
7 opinion of an examining physician is, in turn, entitled to greater
8 weight than the opinion of a non-examining physician. Orn v. Astrue,
9 495 F.3d at 631; Lester v. Chater, 81 F.3d at 830. Where the opinion
10 of a treating or examining physician is uncontroverted, the ALJ must
11 provide clear and convincing reasons, supported by substantial
12 evidence in the record, for rejecting it. If contradicted by that of
13 another doctor, a treating or examining source opinion may be rejected
14 for specific and legitimate reasons that are based on substantial
15 evidence in the record. Valentine v. Commissioner of Social Sec., 475
16 F.3d 684, 692 (9th Cir. 2009); Ryan v. Commissioner of Social Sec.,
17 528 F.3d 1194, 1198 (9th Cir. 2008); Bayliss v. Barnhart, 427 F.3d
18 1211, 1216 (9th Cir. 2005); Lester v. Chater, 81 F.3d at 830-831.
19 However, if the treating physician's opinion is not well-supported, or
20 is inconsistent with other evidence in the record, various factors can
21 be considered in determining how much weight the testimony should be
22 given. These factors include the "length of the treatment
23 relationship and the frequency of examination by the treating
24 physician; and the nature and extent of the treatment relationship
25 between the patient and the treating physician." Orn v. Astrue, 495
26 F.3d at 631 (internal citations and quotation marks omitted).
27 Additional factors include "the amount of relevant evidence that
28 supports the opinion and the quality of the explanation provided; the

1 consistency of the medical opinion with the record as a whole; the
2 specialty of the physician providing the opinion; and other
3 factors..." Id.

4 First, the record includes sufficient evidence of a treating
5 relationship between Dr. Stone and Plaintiff. The record indicates
6 that Dr. Stone prescribed medication to Plaintiff from November 2006
7 to May 2008, consistent with Plaintiff's testimony that Dr. Stone
8 treated her from November 2006 to June 2008. [AR 13, 38-39, 345-58,
9 360-66.]

10 However, the ALJ provided sufficient reasons for rejecting the
11 opinion of Dr. Stone. The ALJ found that Dr. Stone's opinion was
12 conclusory and inadequately supported by his own mental health clinic
13 records. See Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir. 2002);
14 Holohan v. Massanari, 246 F.3d 1195, 1202 n. 2 (9th Cir. 2001)(holding
15 that medical opinion is "entitled to little if any weight" where the
16 physician "presents no support for her or his opinion"). Other than
17 the initial psychological evaluation performed by Dr. Stone in 2006,
18 the remainder of his records over the two year treating relationship
19 do not provide any support for his opinion expressed in the check-box
20 assessment form. Moreover, Dr. Stone's opinion was in conflict with
21 substantial evidence in the record, such as the opinion of both
22 consultative psychiatrists Dr. Adam Cash and Dr. Malancharuvil that
23 Plaintiff had no marked limitations in her ability to function and was
24 malingering. Accordingly, this claim is without merit.

25 With regard to Issue Five, Plaintiff alleges that the ALJ did not
26 consider all of Plaintiff's limitations when posing a hypothetical to
27 the Vocational Expert. Specifically, Plaintiff alleges that the ALJ
28 did not give specific and legitimate reasons for rejecting Dr. Stone's

1 opinion, and that the ALJ should have considered all limitations
2 included in Dr. Stone's opinion. The ALJ is not required to include
3 limitations for which there is no evidence. Osenbrock v. Apfel, 240
4 F.3d 1157, 1164-65 (9th Cir. 2001). The ALJ's hypothetical questions
5 accounted for a person who could not perform work requiring
6 hypervigilance, high quota production rate, rapid assembly line work,
7 and work involving the public. [AR 85-86.] He also accounted for a
8 person who could not be responsible for safety operations or the
9 safety of others, and could only engage in occasional, non-intense
10 interaction with supervisors and coworkers. [Id.] As mentioned above
11 with regard to Issue Two, the ALJ gave specific and legitimate reasons
12 for discounting Dr. Stone's opinion. The hypothetical questions asked
13 by the ALJ included all limitations for which there was sufficient
14 supporting evidence in the record. Accordingly, this claim is also
15 without merit.

16 **F. ISSUE THREE: GAF SCORE**

17 On November 9, 2006, Plaintiff was admitted to Moreno Valley
18 Community Hospital after cutting her wrists. [AR 307.] Upon
19 admittance, Plaintiff was diagnosed with Bipolar Disorder I, Mixed,
20 and a global assessment of functioning ("GAF")³ score of 28. [AR
21 310.] When Plaintiff was discharged on November 13, 2006, she was
22
23
24

25 ³ A GAF score reflects a clinician's subjective rating, on a
26 scale of 0 to 100, of the more severe of two components: the severity
27 of a patient's psychological symptoms, or the psychological, social,
28 and occupational functioning of a patient. A GAF score of 21-30 is
indicative of behavior that is considerably influenced by delusions or
hallucinations OR serious impairment in communications or judgment OR
inability to function in all areas.

1 assessed with a GAF score of 40⁴. [AR 307.]

2 Plaintiff asserts that these GAF scores were consistent with
3 findings of the treating psychiatrist, Dr. Stone. Plaintiff further
4 asserts that the ALJ failed to indicate if he accepted or rejected the
5 findings regarding both the GAF scores and Dr. Stone's findings.
6 However, the ALJ properly rejected the findings of Dr. Stone, as
7 discussed above in Issue Two. With regard to the GAF scores, an ALJ's
8 acceptance or rejection of certain findings can be inferred. See
9 Magallanes v. Bowen, 881 F.2d 747, 755 (9th Cir. 1989) (A reviewing
10 court may draw specific and legitimate inferences from the ALJ's
11 opinion "if those inferences are there to be drawn."). The ALJ in
12 this case stated the following in the administrative decision: "[Dr.
13 Malancharuvil] noted that while the claimant had a GAF of 31 when she
14 slit her wrists, shortly thereafter her GAF was assessed to be 65 and
15 she was found capable of managing her own medications." [AR 99.]
16 Additionally he noted that Dr. Cash did not provide a GAF on his
17 report, "presumably due to the invalidation of the test results."
18 [Id.] It is reasonable to infer from the ALJ's discussion of the GAF
19 scores that he rejected the findings regarding the GAF scores.

20 Furthermore, this decision was entirely consistent with the
21 record. The record shows that these scores were administered
22 immediately after Plaintiff attempted suicide by slitting her wrists.
23 Since this incident, Plaintiff has not attempted suicide.
24 Additionally, there is no evidence, including the assessment by Dr.
25 Stone, that the scores are relevant to her current mental state and
26

27 ⁴ A GAF of 31-40 indicates "some impairment in reality testing
28 or communication OR major impairment in several areas, such as work or
school, family relations, judgment, thinking, or mood.

1 residual functional capacity. Moreover, a GAF score is not
2 necessarily indicative of a plaintiff's functional capacity to work
3 for Social Security purposes, and in this case, represented only an
4 initial assessment. See Howard v. Commissioner, 276 F.3d 235, 241
5 (6th Cir. 2002). Accordingly, these claims are without merit.

6 **G. ISSUE FOUR: DR. CASH**

7 **Background**

8 On September 24, 2008, Plaintiff underwent a consultative
9 psychological examination by Dr. Adam Cash. [AR 367-371.] The
10 examination included general observations, relevant history, as well
11 as the administration of mental status and intelligence tests. Based
12 on the results of these tests, Dr. Cash noted that Plaintiff's level
13 of intellectual functioning was in the "borderline to low average
14 range based on observation alone and her ability to articulate
15 herself." [AR 369.] Her results on the Wechsler Adult Intelligence
16 Scale-III (WAIS-III) IQ test, however, placed her within the
17 "Extremely Low" range. Dr. Cash opined that this result was
18 "marginally valid at best and should be interpreted with caution. It
19 was within the mental retardation range and this result is completely
20 inconsistent with her presentation and her history." [AR 370.]
21 Additionally, he noted that her Minnesota Multiphasic Personality
22 Inventory - 2nd Edition (MMPI-2) scores were "marginally valid at best
23 and consistent with some overreporting," and her Rey 15 II scores were
24 "indicative of dissimulation." [AR 368.] Dr. Cash further noted that
25 the "[t]est results should be interpreted with caution." [Id.]
26 Overall, Dr. Cash opined that Plaintiff's tests were "essentially
27 invalid." [AR 371.] Plaintiff alleges that Dr. Cash's statements that
28 the tests are both "marginally valid" and "essentially invalid" are

1 inconsistent. Plaintiff alleges that this discrepancy is important
2 because if there is any validity to Plaintiff's IQ scores, then it is
3 more likely that Plaintiff meets the criteria in Listing 12.05.

4 **The Commissioner's Finding**

5 In the administrative decision, that ALJ discussed the evaluation
6 of Dr. Cash. The ALJ also made a finding that Plaintiff does not have
7 an impairment or combination of impairments that meets or medically
8 equals one of the listed impairments. However, Plaintiff asserts that
9 the ALJ did not make it clear whether he accepted or rejected the
10 findings of Dr. Cash. Plaintiff asserts that the ALJ should have
11 inquired further into the matter, and therefore that the matter should
12 be reversed or remanded for further proceedings.

13 **Discussion**

14 The opinion of the examining physician, if supported by clinical
15 tests and observations upon examination, constitutes substantial
16 medical evidence and may be relied upon by the ALJ in order to
17 determine a claimant's RFC. Where the opinion of the claimant's
18 treating physician is contradicted, and the opinion of a nontreating
19 source is based on independent clinical findings that differ from
20 those of the treating physician, the opinion of the nontreating source
21 may itself be substantial evidence. Andrews v. Shalala, 53 F.3d 1035,
22 1041 (9th Cir. 1995); Magallanes v. Bowen, 881 F.2d at 751; Miller v.
23 Heckler, 770 F.2d 845, 849 (9th Cir. 1985). As with a treating
24 physician, the Commissioner must present "clear and convincing"
25 reasons for rejecting the uncontroverted opinion of an examining
26 physician and may reject the controverted opinion of an examining
27 physician only for "specific and legitimate reasons that are supported
28 by substantial evidence." Carmickle v. Commissioner of SSA, 533 F.3d

1 1155, 1164 (9th Cir. 2008) (quoting Lester v. Chater, 81 F.3d at 830).

2 Here, the ALJ's finding that the Plaintiff does not have an
3 impairment that meets or medically equals a listed impairment was
4 consistent with the findings in Dr. Cash's report. As the ALJ noted,
5 Dr. Cash found that while Plaintiff did show evidence of some learning
6 and mood difficulties, other test scores which placed Plaintiff in the
7 mildly retarded range were inconsistent with her history and
8 presentation. It should also be noted that Dr. Cash's statement that
9 Plaintiff's scores were "marginally valid at best" referred
10 specifically to her MMPI-2 scores. [AR 370.] On the other hand the
11 statement that her tests were "essentially invalid" referred to the
12 analysis of the tests as a whole. [AR 371.] Thus, these two
13 statements are not necessarily inconsistent. Dr. Cash's findings, as
14 well as the record as a whole, do not suggest that Plaintiff's
15 condition meets or equals a listed impairment. By holding the same,
16 the ALJ clearly accepted Dr. Cash's findings, and took them into
17 consideration in the disability determination.

18 **V. ORDERS**

19 Accordingly, **IT IS ORDERED** that:

- 20 1. The decision of the Commissioner is **AFFIRMED**.
21 2. This action is **DISMISSED WITH PREJUDICE**.
22 3. The Clerk of the Court shall serve this Decision and Order
23 and the Judgment herein on all parties or counsel.

24
25 DATED: October 13, 2010

26 
27 CARLA M. WOEHRLE
28 United States Magistrate Judge