

0 ✓

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

JANET HERRERA,	)	Case No. EDCV 09-1567-OP
	)	
Plaintiff,	)	MEMORANDUM OPINION; ORDER
v.	)	
MICHAEL J. ASTRUE,	)	
Commissioner of Social Security,	)	
	)	
Defendant.	)	

The Court<sup>1</sup> now rules as follows with respect to the disputed issues listed in the Joint Stipulation (“JS”).<sup>2</sup>

///  
///  
///

<sup>1</sup> Pursuant to 28 U.S.C. § 636(c), the parties consented to proceed before the United States Magistrate Judge in the current action. (See Dkt. Nos. 8, 9.)

<sup>2</sup> As the Court stated in its Case Management Order, the decision in this case is made on the basis of the pleadings, the Administrative Record, and the Joint Stipulation filed by the parties. In accordance with Rule 12(c) of the Federal Rules of Civil Procedure, the Court has determined which party is entitled to judgment under the standards set forth in 42 U.S.C. § 405(g).

1 I.

2 **DISPUTED ISSUES**

3 As reflected in the Joint Stipulation, the disputed issues which Plaintiff  
4 raises as the grounds for reversal and/or remand are as follows:

- 5 1. Whether the Administrative Law Judge (“ALJ”) properly considered  
6 the lay witness statement;  
7 2. Whether the ALJ properly developed the record; and  
8 3. Whether the ALJ properly considered Plaintiff’s testimony.  
9 (JS at 2.)

10 II.

11 **STANDARD OF REVIEW**

12 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner’s decision  
13 to determine whether the Commissioner’s findings are supported by substantial  
14 evidence and whether the proper legal standards were applied. DeLorme v.  
15 Sullivan, 924 F.2d 841, 846 (9th Cir. 1991). Substantial evidence means “more  
16 than a mere scintilla” but less than a preponderance. Richardson v. Perales, 402  
17 U.S. 389, 401, 91 S. Ct. 1420, 28 L. Ed. 2d 842 (1971); Desrosiers v. Sec’y of  
18 Health & Human Servs., 846 F.2d 573, 575-76 (9th Cir. 1988). Substantial  
19 evidence is “such relevant evidence as a reasonable mind might accept as adequate  
20 to support a conclusion.” Richardson, 402 U.S. at 401 (citation omitted). The  
21 Court must review the record as a whole and consider adverse as well as  
22 supporting evidence. Green v. Heckler, 803 F.2d 528, 529-30 (9th Cir. 1986).  
23 Where evidence is susceptible of more than one rational interpretation, the  
24 Commissioner’s decision must be upheld. Gallant v. Heckler, 753 F.2d 1450,  
25 1452 (9th Cir. 1984).

26 ///

27 ///

28 ///

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**III.**  
**DISCUSSION**

**A. The ALJ's Findings.**

The ALJ found that Plaintiff had the severe impairments of asthma, back pain, and status post left knee surgery. (Administrative Record (“AR”) at 14.)

The ALJ also recognized a diagnosis of mild scoliosis, but did not find it to rise to the level of a severe impairment. (Id.) He found Plaintiff had the residual functional capacity (“RFC”) to perform medium work, with the exception that she must avoid even moderate exposure to extreme cold or pulmonary irritants such as fumes, odors, dusts, gases, and poor ventilation. (Id. at 15.) The ALJ concluded Plaintiff could perform her past relevant work as a telemarketer and could also perform alternative jobs existing in significant numbers in the national economy, such as office helper, cashier, and laundry worker. (Id. at 17, 18.) Thus, the ALJ concluded that Plaintiff was not disabled. (Id.)

**B. Lay Witness Testimony.**

Delores Cook, identified as Plaintiff’s friend, reported in a third-party function report that Plaintiff is able to wash dishes, do laundry, vacuum, cook, and care for her children. (Id. at 132, 133, 134.) Ms. Cook explained that Plaintiff does her household chores “little by little” and that she helps Plaintiff fold laundry, wash dishes, and carry the groceries. (Id. at 134.) Ms. Cook explained that Plaintiff experiences pain when bending and that her hands shake when doing tasks such as brushing her hair, writing, and cooking. (Id. at 133, 136, 138.) Ms. Cook further explained that Plaintiff has trouble record keeping and sometimes needs instructions repeated. (Id. at 135, 137.) In addition, in response to the question “Describe any changes in social activities since the illnesses, injuries, or conditions began,” Ms. Cook reported that “[w]e used to walk together more - about 3 years ago. Now, she’s more tired or in pain.” (Id. at 137.) Also, Ms. Cook reported that Plaintiff’s illness, injuries and disabling conditions affect her

1 ability to lift, squat, bend, stand, reach, walk, sit, kneel, climb stairs, use hands,  
2 and complete tasks. (Id.) Ms. Cook estimated that Plaintiff could lift about ten  
3 pounds, but that any more weight would cause her pain in her hands, arms, legs,  
4 and back. (Id.) She also estimated that Plaintiff could walk for thirty minutes at a  
5 time and then had to rest for ten minutes before walking again. (Id.)<sup>3</sup> Plaintiff  
6 contends it was error for the ALJ to ignore this statement without explanation. (JS  
7 at 3-4, 7-8.)

8 Title 20 C.F.R. §§ 404.1513(d) and 416.913(d) provides that, in addition to  
9 medical evidence, the Commissioner “may also use evidence from other sources to  
10 show the severity of [an individual’s] impairment(s) and how it affects [his]  
11 ability to work,” and the Ninth Circuit has repeatedly held that “[d]escriptions by  
12 friends and family members in a position to observe a claimant’s symptoms and  
13 daily activities have routinely been treated as competent evidence.” Sprague v.  
14 Bowen, 812 F.2d 1226, 1232 (9th Cir. 1987). This applies equally to the sworn  
15 hearing testimony of witnesses (see Nguyen v. Chater, 100 F.3d 1462, 1467 (9th  
16 Cir. 1996)), as well as to unsworn statements and letters of friends and relatives.  
17 See Schneider v. Comm’r of Soc. Sec. Admin., 223 F.3d 968, 975 (9th Cir. 2000).  
18 If the ALJ chooses to reject such evidence from “other sources,” he may not do so  
19 without comment. Nguyen, 100 F.3d at 1467. The ALJ must provide “reasons  
20 that are germane to each witness.” Dodrill v. Shalala, 12 F.3d 915, 919 (9th Cir.  
21 1993).

22 The ALJ’s failure to address lay witness testimony generally is not  
23

---

24 <sup>3</sup> Notably, despite Ms. Cook’s claims that Plaintiff is not able to walk as  
25 much as she used to, her estimation that Plaintiff can walk for thirty minute  
26 intervals with ten minute rest periods, is equivalent to the ALJ’s finding that  
27 Plaintiff can walk for up to six hours in an eight-hour work day. (AR at 15, 137.)  
28 In addition, although Ms. Cook claimed that Plaintiff’s hand shook when doing  
every day tasks, by Ms. Cook’s own account this did not prevent Plaintiff from  
engaging in rather extensive daily activities. (Id. at 132, 133, 136, 138.)

1 harmless. Curry v. Sullivan, 925 F.2d 1127, 1131 (9th Cir. 1991). In failing to  
2 address a lay witness statement, the error is harmless only if “a reviewing court . . .  
3 can confidently conclude that no reasonable ALJ, when fully crediting the  
4 testimony, could have reached a different disability determination.” Stout v.  
5 Comm’r, Soc. Sec. Admin., 454 F.3d 1050, 1056 (9th Cir. 2006); see also Robbins  
6 v. Soc. Sec. Admin., 466 F.3d 880, 885 (9th Cir. 2006).

7 The Commissioner impliedly concedes the ALJ failed to address the lay  
8 witness statements of Ms. Cook. (JS at 5-7.) However, even if the ALJ’s failure  
9 to address the testimony of Ms. Cook was error, the error is harmless because no  
10 reasonable ALJ would have reached a different disability determination having  
11 considered it. Stout, 454 F.3d at 1056; see also Robbins, 466 F.3d at 885. This is  
12 because many of Ms. Cook’s opinions are not inconsistent with the ALJ’s RFC  
13 findings, which in turn were based on substantial evidence of record. To the  
14 extent Ms. Cook’s opinions conflicted with the ALJ’s findings, they mirrored the  
15 subjective complaints of Plaintiff and the limitations reported in a February 8,  
16 2006, report (“Report”) by an unknown physician, both of which were properly  
17 rejected by the ALJ, as discussed in Parts III.C and D, below. Thus, the Court  
18 finds that even if this testimony was fully considered, no reasonable ALJ could  
19 have reached a different disability determination.

20 Based on the foregoing, even if the ALJ erred by failing to consider the  
21 testimony of Ms. Cook, the record reflects other evidence sufficient such that  
22 consideration of Ms. Cook’s opinion would not cause a reasonable ALJ to find  
23 Plaintiff disabled. Stout, 454 F.3d at 1056; see also Robbins, 466 F.3d at 885.  
24 Thus, any error was harmless.

25 **C. Whether the ALJ Properly Developed the Record.**

26 In the Report, titled “Medical Opinion Re: Ability to Do Work-Related  
27 Activities (Physical),” an unknown evaluator opined that Plaintiff could lift and  
28 carry less than ten pounds occasionally or frequently, and could only walk and sit

1 for about two hours each during an eight-hour day. (AR at 390.) The evaluator  
2 also estimated that Plaintiff could only sit and stand for fifteen minutes at a time  
3 before changing positions. (Id. at 391.) According to the assessment, Plaintiff  
4 could walk for thirty minutes at a time, every twenty minutes. (Id.)<sup>4</sup> The evaluator  
5 also determined that Plaintiff needed the opportunity to shift at will from sitting or  
6 standing/walking, and would need to lie down at unpredictable intervals during a  
7 work shift. (Id.) The evaluator stated that Plaintiff could only occasionally twist,  
8 stoop, crouch, and climb stairs and ladders. (Id.) In addition, the evaluator found  
9 that Plaintiff's physical limitations affect her ability to reach, handle, finger, feel,  
10 push, and pull. (Id. at 392.) Finally, the evaluator estimated that Plaintiff's  
11 impairments or treatment would cause her to be absent from work more than three  
12 times a month. (Id.) The report was signed by a "physician" but the physician's  
13 name is not legible. (Id.)<sup>5</sup>

14 In his decision, the ALJ discussed the Report as follows:

15 I give very little weight to the opinion dated February 8, 2006. .  
16 . First, the name is illegible. There is no indication whether the source  
17 is a treating or consulting source and whether the author is an acceptable  
18 medical source or not. Second, the progress notes from the claimant's  
19 treating sources and the evaluations by consulting sources do not  
20 substantiate the extreme functional limits endorsed by the author. I give  
21 greater weight to the well-substantiated opinions of consulting internist  
22

---

23 <sup>4</sup> It appears inconsistent to recommend that Plaintiff walk for thirty minutes  
24 in twenty minute intervals. This inconsistency is not explained in the report.

25 <sup>5</sup> In comparing the signature to other treatment records, it appears the  
26 signature is consistent with Plaintiff's treating physician, Arthur Jimenez, M.D.  
27 (AR at 187-241, 472-502.) For purposes of this analysis, the Court gives Plaintiff  
28 the benefit of the doubt and assumes the report was authored by her treating  
physician.

1 C. Enriquez, M.D. who evaluated the claimant in June 2002 and re-  
2 evaluated the claimant in May 2005.

3 (Id. at 17 (citations omitted).)

4 Plaintiff contends the ALJ should have put forth an effort to identify the  
5 source of the report or “left the record open and allowed Plaintiff the opportunity  
6 to supplement the record with the requested information.” (JS at 9-10.) The Court  
7 does not agree.

8 The ALJ has an independent duty to fully and fairly develop a record in  
9 order to make a fair determination as to disability, even where the claimant is  
10 represented by counsel. See Celaya v. Halter, 332 F.3d 1177, 1183 (9th Cir.  
11 2003); see also Tonapetyan v. Halter, 242 F.3d 1144, 1150 (9th Cir. 2001) (citing  
12 Smolen v. Chater, 80 F.3d 1273, 1288 (9th Cir. 1996)); see also Crane v. Shalala,  
13 76 F.3d 251, 255 (9th Cir. 1996) (citing Brown v. Heckler, 713 F.2d 441, 443 (9th  
14 Cir. 1983)). Ambiguous evidence, or the ALJ’s own finding that the record is  
15 inadequate to allow for proper evaluation of the evidence, triggers the ALJ’s duty  
16 to “conduct an appropriate inquiry.” See Tonapetyan, 242 F.3d at 1150 (citing  
17 Smolen, 80 F.3d at 1288). However, it is the plaintiff’s burden to prove disability.  
18 Baylis v. Barnhart, 427, F.3d 1211, 1217 (9th Cir. 2005) (“The claimant bears the  
19 burden of proving that she is disabled”) (quoting Meanel v. Apfel, 172 F.3d 1111,  
20 1113 (9th Cir. 1999)).

21 First, the ALJ did leave the record open for supplementation. At the very  
22 beginning of the hearing, the ALJ explicitly ordered counsel to “have any  
23 additional records sent here by March 5 care of Pat, and we’ll add those in the  
24 file.” (AR at 38.) Accordingly, if Plaintiff or Plaintiff’s counsel was under the  
25 impression that the record needed to be supplemented with respect to the Report,  
26 they had nearly three weeks from the date of the hearing to do so.

27 Second, although the ALJ noted that he could not identify the signature on  
28 the report, he continued with his consideration of the report as if it had come from

1 an acceptable medical source. The ALJ properly rejected the report as not being  
2 supported by any of the medical evidence, including the records from Plaintiff's  
3 treating sources.

4 It is well-established in the Ninth Circuit that a treating physician's opinions  
5 are entitled to special weight, because a treating physician is employed to cure and  
6 has a greater opportunity to know and observe the patient as an individual.

7 McAllister v. Sullivan, 888 F.2d 599, 602 (9th Cir. 1989). "The treating  
8 physician's opinion is not, however, necessarily conclusive as to either a physical  
9 condition or the ultimate issue of disability." Magallanes v. Bowen, 881 F.2d 747,  
10 751 (9th Cir. 1989). The weight given a treating physician's opinion depends on  
11 whether it is supported by sufficient medical data and is consistent with other  
12 evidence in the record. See 20 C.F.R. § 404.1527(d)(2). If the treating  
13 physician's opinion is uncontroverted by another doctor, it may be rejected only  
14 for "clear and convincing" reasons. Lester v. Chater, 81 F.3d 821, 830 (9th Cir.  
15 1995); Baxter v. Sullivan, 923 F.2d 1391, 1396 (9th Cir. 1991). If the treating  
16 physician's opinion is controverted, it may be rejected only if the ALJ makes  
17 findings setting forth specific and legitimate reasons that are based on the  
18 substantial evidence of record. Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir.  
19 2002); Magallanes, 881 F.2d at 751; Winans v. Bowen, 853 F.2d 643, 647 (9th  
20 Cir. 1987).

21 However, the Ninth Circuit also has held that "[t]he ALJ need not accept the  
22 opinion of any physician, including a treating physician, if that opinion is brief,  
23 conclusory, and inadequately supported by clinical findings." Thomas, 278 F.3d  
24 at 957; see also Matney ex rel. Matney v. Sullivan, 981 F.2d 1016, 1019 (9th Cir.  
25 1992). A treating or examining physician's opinion based on the plaintiff's own  
26 complaints may be disregarded if the plaintiff's complaints have been properly  
27 discounted. Morgan v. Comm'r of Soc. Sec. Admin., 169 F.3d 595, 602 (9th Cir.  
28 1999); see also Sandgathe v. Chater, 108 F.3d 978, 980 (9th Cir. 1997); Andrews



1 v. Shalala, 53 F.3d 1035, 1043 (9th Cir. 1995). Additionally, “[w]here the opinion  
2 of the claimant’s treating physician is contradicted, and the opinion of a  
3 nontreating source is based on independent clinical findings that differ from those  
4 of the treating physician, the opinion of the nontreating source may itself be  
5 substantial evidence; it is then solely the province of the ALJ to resolve the  
6 conflict.” Andrews, 53 F.3d at 1041; Magallanes, 881 F.2d at 751; Miller v.  
7 Heckler, 770 F.2d 845, 849 (9th Cir. 1985).

8 Here, the Report was controverted by the reports of consulting physician  
9 Concepcion A. Enriquez, M.D. As a result, the Report could be rejected only if  
10 the ALJ made findings setting forth specific and legitimate reasons that are based  
11 on the substantial evidence of record. Thomas, 278 F.3d at 957; Magallanes, 881  
12 F.2d at 751; Winans, 853 F.2d at 647.

13 Simply put, the ALJ rejected the Report because it was not supported by any  
14 evidence in the record, whether from a treating or consulting source. (AR at 17.)  
15 An April 15, 2003, x-ray revealed dextroscoliosis compatible with muscle spasm.  
16 (Id. at 241.) A September 3, 2003, an MRI of Plaintiff’s lumbar spine revealed  
17 minimal disk degeneration of the upper lumbar region and degenerative arthritic  
18 changes of the lumbar spine, but no evidence of additional significant abnormality  
19 such as disk herniation, canal stenosis, lateral recess narrowing, or foramen  
20 encroachment. (Id. at 239-40.) February 8, 2006, x-rays of Plaintiff’s lumbar  
21 spine<sup>6</sup> revealed “mild dextroangulation, which may reflex [sic] unilateral  
22 paraspinous muscle spasm or scoliosis, otherwise unremarkable.” (Id. at 489.)  
23 Plaintiff’s treating sources routinely found that Plaintiff suffered from mild back  
24 disorders, including scoliosis, which resulted in some pain. However, Plaintiff  
25

---

26  
27 <sup>6</sup> Plaintiff’s physician authored the Report on the same day that these x-rays  
28 revealed mild impairments of the lumbar spine.

1 never received more than conservative treatment for her back impairment. (Id. at  
2 194, 197, 201, 202, 283, 505, 508.) Although Plaintiff states that at one time she  
3 received physical therapy, she also states that her treating physician has refused a  
4 referral for continued therapy. (Id. at 156.)

5       The consultative medical evidence is no more supportive of the severe  
6 limitations reported in the Report. Dr. Enriquez, the consultative physician who  
7 examined Plaintiff on two separate occasions, also found that Plaintiff suffered  
8 from mild back impairments. In her June 14, 2002, report, Dr. Enriquez reported  
9 that Plaintiff's cervical spine was within normal limits. (Id. at 349.) Plaintiff  
10 exhibited tenderness in the lumbosacral spine area, but had no limitation in her  
11 range of motion and no muscle spasms. A straight-leg-raising test was negative,  
12 bilaterally. (Id.) In addition, Plaintiff did not exhibit any muscle atrophy or other  
13 loss of muscle tone and bulk, and her strength was within normal limits. (Id.) Dr.  
14 Enriquez further reported that Plaintiff's gait and balance were within normal  
15 limits and she did not need any assistance in ambulation. (Id. at 350.) Plaintiff  
16 did not exhibit any signs of radiculopathy. (Id.) Dr. Enriquez determined that  
17 Plaintiff maintained the RFC to lift and carry twenty-five pounds frequently and  
18 fifty pounds occasionally, and sit and stand/walk for six hours in an eight-hour  
19 day. Dr. Enriquez concluded, however, that Plaintiff should avoid exposure to  
20 extreme temperature, dust, chemicals, and fumes. (Id.)

21       On July 2, 2002, consulting physician, Joseph Hartman, M.D., completed a  
22 Physical Residual Functional Capacity Assessment of Plaintiff. Dr. Hartman  
23 concluded that Plaintiff could lift or carry twenty-five pounds frequently and fifty  
24 pounds occasionally, and could sit and stand/walk for six hours in an eight-hour  
25 day. (Id. at 354.) Dr. Hartman noted that Plaintiff was capable of only limited  
26 fingering. (Id. at 356.) He further concluded that Plaintiff should avoid  
27 concentrated exposure to extreme temperatures and even moderate exposure to  
28

1 fumes, odors, dusts, gases, and poor ventilation. (Id. at 357.)

2 In her May 11, 2005, report, Dr. Enriquez again found that Plaintiff's  
3 cervical spine appeared within normal limits, but that there was tenderness of the  
4 lumbar spine. (Id. at 363.) Plaintiff exhibited a slight decreased range of motion  
5 of the lumbar spine, but did not present with muscle spasms. (Id.) Straight-leg-  
6 raises were negative, bilaterally. (Id.) Dr. Enriquez noted "very mild scoliosis."  
7 (Id.) At that time, Plaintiff was able to generate twenty pounds of force with her  
8 right, dominant hand and fifteen pounds of force with her left hand. (Id. at 362.)  
9 Plaintiff's strength was within normal limits and she did not exhibit any atrophy or  
10 other loss of muscle tone and bulk. (Id. at 364.) Her gait and balance were within  
11 normal limits and she did not require an assistive device for ambulation. (Id.)  
12 Plaintiff did not show any signs of radiculopathy. (Id. at 365.) Dr. Enriquez  
13 opined that Plaintiff maintained the same RFC that Dr. Enriquez reported in her  
14 June 14, 2002, report. (Id.)

15 A June 2005 Residual Functional Capacity Assessment completed by an  
16 unidentifiable consulting physician concluded that Plaintiff could lift and carry  
17 twenty-five pounds frequently and fifty pounds occasionally. (Id. at 367.) The  
18 physician also concluded that Plaintiff could sit and stand/walk for six hours in an  
19 eight-hour day and could only occasionally climb, balance, stoop, kneel, crouch,  
20 or crawl. (Id. at 367, 368.) Finally, the physician concluded that Plaintiff should  
21 avoid even moderate exposure to extreme cold such as walk in freezers, and  
22 fumes, odors, dusts, gases, and poor ventilation such as might be found in silos,  
23 mills, and outdoor work. (Id. at 370.)

24 None of this medical evidence supports the Report's findings of extreme  
25 limitations in Plaintiff's RFC. Accordingly, the ALJ properly rejected the Report  
26 on this basis. See Thomas, 278 F.3d at 957 ("[t]he ALJ need not accept the  
27 opinion of any physician, including a treating physician, if that opinion is brief,  
28

1 conclusory, and inadequately supported by clinical findings.”); Andrews, 53 F.3d  
2 at 1041 (“[w]here the opinion of the claimant’s treating physician is contradicted,  
3 and the opinion of a nontreating source is based on independent clinical findings  
4 that differ from those of the treating physician, the opinion of the nontreating  
5 source may itself be substantial evidence; it is then solely the province of the ALJ  
6 to resolve the conflict.”).

7 Accordingly, despite having noted that the signature on the Report was  
8 illegible, the ALJ treated the report as if it had come from an acceptable medical  
9 source, properly considered it as such, and ultimately rejected it for clear and  
10 convincing reasons. It is clear from this analysis that the record was sufficient to  
11 allow for proper evaluation of the evidence. See Tonapetyan, 242 F.3d at 1150  
12 (citing Smolen, 80 F.3d at 1288). Thus, the ALJ had no duty to further develop  
13 the record.

14 **D. Whether the ALJ Properly Considered Plaintiff’s Testimony.**

15 Plaintiff contends the ALJ failed to articulate any legally sufficient reasons  
16 for rejecting Plaintiff’s testimony regarding the severity of her pain and other  
17 nonexertional limitations. (JS at 12-14, 17-18.)

18 The ALJ gave a lengthy analysis of Plaintiff’s credibility with respect to her  
19 subjective complaints of impairment. Specifically, the ALJ concluded that  
20 Plaintiff’s testimony was not supported by the weight of the objective evidence.  
21 (AR at 15-16.) In addition, the ALJ concluded that Plaintiff’s subjective  
22 complaints were not supported by any aggressive course of treatment or side  
23 effects such as muscle atrophy, weight loss or gain, or significant sleep  
24 deprivation.<sup>7</sup> (Id. at 16.) Finally, the ALJ found that Plaintiff’s subjective  
25

---

26  
27 <sup>7</sup> Plaintiff and Ms. Cook both indicated in their statements that Plaintiff  
28 experiences some sleep deprivation. (AR at 138, 150.) However, as found by the  
(continued...)

1 complaints were not consistent with her level of daily activities, such as household  
2 chores and caring for her children. (Id.)

3 An ALJ's credibility finding must be properly supported by the record and  
4 sufficiently specific to ensure a reviewing court that the ALJ did not arbitrarily  
5 reject a claimant's subjective testimony. Bunnell v. Sullivan, 947 F.2d 341,  
6 345-47 (9th Cir. 1991). An ALJ may properly consider "testimony from  
7 physicians . . . concerning the nature, severity, and effect of the symptoms of  
8 which [claimant] complains," and may properly rely on inconsistencies between  
9 claimant's testimony and claimant's conduct and daily activities. See, e.g.,  
10 Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002) (citation omitted). An  
11 ALJ also may consider "[t]he nature, location, onset, duration, frequency,  
12 radiation, and intensity" of any pain or other symptoms; "[p]recipitating and  
13 aggravating factors"; "[t]ype, dosage, effectiveness, and adverse side-effects of  
14 any medication"; "[t]reatment, other than medication"; "[f]unctional restrictions";  
15 "[t]he claimant's daily activities"; "unexplained, or inadequately explained, failure  
16 to seek treatment or follow a prescribed course of treatment"; and "ordinary  
17 techniques of credibility evaluation," in assessing the credibility of the allegedly  
18 disabling subjective symptoms. Bunnell, 947 F.2d at 346-47; see also Soc. Sec.  
19 Ruling 96-7p; 20 C.F.R. § 404.1529 (2005); Morgan v. Comm'r of Soc. Sec.  
20 Admin., 169 F.3d 595, 600 (9th Cir. 1999) (ALJ may properly rely on plaintiff's  
21 daily activities, and on conflict between claimant's testimony of subjective  
22 complaints and objective medical evidence in the record); Tidwell v. Apfel, 161  
23 F.3d 599, 602 (9th Cir. 1998) (ALJ may properly rely on weak objective support,  
24 lack of treatment, daily activities inconsistent with total disability, and helpful  
25 medication); Johnson v. Shalala, 60 F.3d 1428, 1432 (9th Cir. 1995) (ALJ may

---

27 <sup>7</sup>(...continued)

28 ALJ, "significant" sleep issues are not reflected in the medical evidence.

1 properly rely on the fact that only conservative treatment had been prescribed);  
2 Orteza v. Shalala, 50 F.3d 748, 750 (9th Cir. 1995) (ALJ may properly rely on  
3 claimant's daily activities and the lack of side effects from prescribed medication).

4 Here, the ALJ found that Plaintiff's statements concerning her subjective  
5 complaints and alleged limitations were not consistent with her medical records  
6 and daily activities. (AR at 15-16.) He noted that other than during episodes of  
7 exacerbated asthma, Plaintiff had received minimal and conservative treatment for  
8 her impairments and that prescribed medications have been relatively effective in  
9 controlling Plaintiff's symptoms. (Id.) This reason for rejecting Plaintiff's  
10 credibility is supported by the medical evidence as discussed in Part III.C, above.  
11 (Id. at 156, 194, 197, 201, 202, 283, 505, 508.) Although an ALJ may not  
12 disregard a claimant's testimony solely because it is not substantiated  
13 affirmatively by objective medical evidence, the lack of medical evidence is a  
14 factor that the ALJ can consider in his credibility assessment. Burch v. Barnhart,  
15 400 F.3d 676, 681 (9th Cir. 2005).

16 The ALJ also discussed inconsistencies in Plaintiff's testimony and her  
17 level of daily activities. (Id. at 16.) The uncontested evidence established that,  
18 despite her alleged impairments, Plaintiff maintained the ability to do laundry,  
19 cook, wash dishes, vacuum, walk for thirty minutes at a time, and care for her  
20 children. (Id. at 43, 132, 133, 134, 148, 151, 152, 137.) Plaintiff's main  
21 complaints regarding housework were that she had to do it "little by little" and that  
22 she could not move furniture or clean under beds. (Id. at 43, 159.) This level of  
23 activity is not consistent with Plaintiff's subjective complaints of total disability.  
24 Accordingly, the ALJ properly rejected Plaintiff's testimony on this basis. Burch,  
25 400 F.3d at 680 (ALJ can discredit plaintiff's subjective symptom testimony due  
26 to plaintiff's "wide range" of daily activities).

27 Based on the foregoing, the Court finds the ALJ's credibility finding was  
28

1 supported by substantial evidence and was sufficiently specific to permit the Court  
2 to conclude that the ALJ did not arbitrarily discredit Plaintiff's subjective  
3 testimony. Thus, there was no error.

4 **IV.**

5 **ORDER**

6 Based on the foregoing, IT THEREFORE IS ORDERED that Judgment be  
7 entered affirming the decision of the Commissioner, and dismissing this action  
8 with prejudice.  
9

10  
11 Dated: May 19, 2010



12 \_\_\_\_\_  
13 HONORABLE OSWALD PARADA  
14 United States Magistrate Judge  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28