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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

LECK SIGNAVONG, ) NO. EDCV 10-917 MAN  
Plaintiff, )  
v. ) MEMORANDUM OPINION  
MICHAEL J. ASTRUE, ) AND ORDER  
Commissioner of Social Security, )  
Defendant. )

Plaintiff filed a Complaint on June 28, 2010, seeking review of the denial by the Social Security Commissioner (the "Commissioner") of plaintiff's application for a period of disability, disability insurance benefits ("DIB"), and supplemental security income ("SSI"). On July 22, 2010, the parties consented, pursuant to 28 U.S.C. § 636(c), to proceed before the undersigned United States Magistrate Judge. The parties filed a Joint Stipulation on March 30, 2011 in which: plaintiff seeks an order reversing the Commissioner's decision and remanding this case for the payment of benefits or, alternatively, remanding the matter for further administrative proceedings; and defendant requests that the Commissioner's decision be affirmed or, alternatively, remanded for

1 further administrative proceedings. The Court has taken the parties'  
2 Joint Stipulation under submission without oral argument.

3  
4 **SUMMARY OF ADMINISTRATIVE PROCEEDINGS**  
5

6 Plaintiff filed an application for a period of disability, DIB, and  
7 SSI on February 5, 2008. (Administrative Record ("A.R.") 9, 151, 128.)  
8 Plaintiff, who was born on June 12, 1953 (A.R. 140, 147, 151),<sup>1</sup> claims  
9 to have been disabled since December 20, 2004, due to paralysis of the  
10 left side of his body, left leg pain, left arm numbness, "the spinal  
11 cord," memory loss, hypertension, weakness, dizziness, depression (A.R.  
12 13, 156), as well as difficulties "lifting, squatting, bending,  
13 standing, walking, sitting, kneeling, climbing stairs, seeing, following  
14 instructions, concentrating, completing tasks, and getting along with  
15 others" (A.R. 13, 175). Plaintiff has past relevant work ("PRW")  
16 experience as a security guard. (A.R. 17.)  
17

18 After the Commissioner denied plaintiff's claim initially and upon  
19 reconsideration (A.R. 55-66), plaintiff requested a hearing (A.R. 68).  
20 On September 15, 2009, and November 18, 2009, plaintiff, who was  
21 represented by counsel, appeared and testified at a hearing before  
22 Administrative Law Judge Mason D. Harrell, Jr. (the "ALJ"). (A.R. 19-  
23 50.) At the November administrative hearing, testimony was given by  
24 medical expert Dr. Michael Kania, M.D. and vocational expert Corinne J.  
25 Porter. On December 28, 2009, the ALJ denied plaintiff's claim (A.R.  
26

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27 <sup>1</sup> On the alleged disability onset date, plaintiff was 51 years  
28 old, which is defined as a person closely approaching advanced age. 20  
C.F.R. §§ 404.1563, 416.963.

1 9-18), and the Appeals Council subsequently denied plaintiff's request  
2 for review of the ALJ's decision (A.R. 1-3). That decision is now at  
3 issue in this action.

4  
5 **SUMMARY OF ADMINISTRATIVE DECISION**  
6

7 The ALJ found that plaintiff has not engaged in substantial gainful  
8 activity since December 20, 2004, the alleged onset date of plaintiff's  
9 disability. (A.R. 11.) The ALJ also determined that plaintiff meets  
10 the insured status requirements of the Social Security Act through  
11 December 31, 2008. (*Id.*) The ALJ concluded that plaintiff has the  
12 following severe impairments: degenerative joint disease of the left  
13 hip, depression, and post-traumatic stress disorder.<sup>2</sup> (*Id.*) The ALJ  
14 further concluded, however, that plaintiff does not have an impairment  
15 or combination of impairments that meets or medically equals one of the  
16 impairments listed in 20 C.F.R. Part 404, Subpart P, Appendix 1 (20  
17 C.F.R. §§ 404.1520(d), 404.1525, 404.1526, 416.920(d), 416.925,  
18 416.926). (A.R. 12.)  
19

20 After reviewing the record, the ALJ determined that plaintiff has  
21 the residual functional capacity ("RFC") to perform less than a full  
22 range of light work as defined in 20 C.F.R. §§ 404.1567(b) and  
23 416.967(b). Specifically, the ALJ found that:

24  
25 [plaintiff] can stand and/or walk for 4 to 6 hours in an 8-  
26 hour workday, for one hour at a time without the use of a

27  
28 <sup>2</sup> The ALJ determined that plaintiff's alleged impairment  
involving his left shoulder is not severe. (A.R. 11.)

1 cane; he can occasionally stoop or bend; he has no sitting  
2 limitations; he can not climb, balance, or work at heights; he  
3 can occasionally to frequently push and pull with his left  
4 arm; he would have slight difficulty operating hand controls  
5 and using tools with his left hand; he can do frequent simple  
6 gripping and fine coordinated movements with his left hand and  
7 fingers; he has unrestricted use of the right upper extremity;  
8 he can lift and/or carry 15 pounds frequently and 30 pounds  
9 occasionally; he can do simple tasks in a non-public work  
10 setting, with occasional contact with supervisors and  
11 coworkers; and he may miss work 1-2 times per month.

12  
13 (A.R. 13.)  
14

15 The ALJ concluded that plaintiff was capable of performing his PRW  
16 as a security guard. (A.R. 17.) The ALJ determined that plaintiff's  
17 PRW, as generally performed, does not require the performance of work-  
18 related activities precluded by plaintiff's RFC. (*Id.*) In making this  
19 finding, the ALJ relied on the testimony of the vocational expert.  
20 (*Id.*) The ALJ also noted that his finding was supported by the  
21 testimony of medical expert Dr. Kania. (*Id.*) Accordingly, the ALJ  
22 concluded that plaintiff has not been under a disability within the  
23 meaning of the Social Security Act from December 20, 2004, the alleged  
24 disability onset date, through the date of his decision. (A.R. 9, 17-  
25 18.)

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## STANDARD OF REVIEW

Under 42 U.S.C. § 405(g), this Court reviews the Commissioner's decision to determine whether it is free from legal error and supported by substantial evidence in the record as a whole. Orn v. Astrue, 495 F.3d 625, 630 (9th Cir. 2007). Substantial evidence is "'such relevant evidence as a reasonable mind might accept as adequate to support a conclusion.'" *Id.* (citation omitted). The "evidence must be more than a mere scintilla but not necessarily a preponderance." Connett v. Barnhart, 340 F.3d 871, 873 (9th Cir. 2003). "While inferences from the record can constitute substantial evidence, only those 'reasonably drawn from the record' will suffice." Widmark v. Barnhart, 454 F.3d 1063, 1066 (9th Cir. 2006)(citation omitted).

Although this Court cannot substitute its discretion for that of the Commissioner, the Court nonetheless must review the record as a whole, "weighing both the evidence that supports and the evidence that detracts from the [Commissioner's] conclusion." Desrosiers v. Sec'y of Health and Hum. Servs., 846 F.2d 573, 576 (9th Cir. 1988); see also Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). "The ALJ is responsible for determining credibility, resolving conflicts in medical testimony, and for resolving ambiguities." Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995).

The Court will uphold the Commissioner's decision when the evidence is susceptible to more than one rational interpretation. Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005). However, the Court may review only the reasons stated by the ALJ in his decision "and may not

1 affirm the ALJ on a ground upon which he did not rely." Orn, 495 F.3d  
2 at 630; see also Connett, 340 F.3d at 874. The Court will not reverse  
3 the Commissioner's decision if it is based on harmless error, which  
4 exists only when it is "clear from the record that an ALJ's error was  
5 'inconsequential to the ultimate nondisability determination.'" Robbins  
6 v. Soc. Sec. Admin., 466 F.3d 880, 885 (9th Cir. 2006)(quoting Stout v.  
7 Comm'r, 454 F.3d 1050, 1055 (9th Cir. 2006)); see also Burch, 400 F.3d  
8 at 679.

## 10 DISCUSSION

11  
12 Plaintiff claims that the ALJ improperly found that plaintiff could  
13 perform his PRW as a security guard. (Joint Stipulation ("Joint Stip.")  
14 at 4-10, 14-17.)

### 16 I. The ALJ Committed No Reversible Error In Determining That Plaintiff 17 Could Perform His PRW As A Security Guard.

18  
19 At step four of the sequential evaluation process, a claimant bears  
20 the burden of proving that he or she can no longer perform his or her  
21 PRW. Pinto v. Massanari, 249 F.3d 840, 844 (9th Cir. 2001).  
22 Notwithstanding claimant's burden, the ALJ still has a duty to make the  
23 requisite factual findings to support his or her conclusion regarding  
24 plaintiff's ability to perform his or her PRW. *Id.* A claimant must be  
25 able to perform: (1) "[t]he actual functional demands and job duties of  
26 a particular past relevant job"; or (2) "[t]he functional demands and  
27 job duties of the occupation as generally required by employers  
28 throughout the national economy." *Id.* at 845 (citations omitted).

1 Accordingly, the ALJ must make "specific findings as to the claimant's  
2 [RFC], the physical and mental demands of the [PRW], and the relation of  
3 the [RFC] to the past work." *Id.*

4  
5 In general, an ALJ should consider first whether claimant can  
6 perform his or her PRW as *actually* performed and then as *generally*  
7 performed. Pinto, 249 F.3d at 845. Typically, the best source for how  
8 a job is generally performed is the Dictionary of Occupational Titles  
9 (the "DOT"). *Id.*; Social Security Ruling ("SSR") 00-4p, 2000 WL  
10 1898704, at \*2 (noting that "we rely primarily on the DOT . . . for  
11 information about the requirements of work in the national economy").<sup>3</sup>  
12 Although occupational evidence provided by the vocational expert is  
13 generally expected to be consistent with the DOT, "[n]either the DOT nor  
14 the [vocational expert's] evidence automatically 'trumps' when there is  
15 a conflict." SSR 00-4p, 2000 WL 1898704, at \*2.

16  
17 The ALJ has an affirmative responsibility to ask whether a conflict  
18 exists between the testimony of a vocational expert and the DOT. SSR  
19 00-4p, 2000 WL 1898704, at \*4; Massachi v. Astrue, 486 F.3d 1149, 1152  
20 (9th Cir. 2007). If there is a conflict between the DOT and testimony  
21 from the vocational expert, an ALJ may accept testimony from a  
22 vocational expert that contradicts the DOT, but "the record must contain  
23 'persuasive evidence to support the deviation.'" Pinto, 249 F.3d at 846  
24 (quoting Johnson v. Shalala, 60 F.3d 1428, 1435 (9th Cir. 1995)). The

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25  
26 <sup>3</sup> Social Security Rulings do not have the force of law.  
27 Nevertheless, they "constitute Social Security Administration  
28 interpretations of the statute it administers and of its own  
regulations." Han v. Bowen, 882 F.2d 1453, 1457 (9th Cir. 1989).  
Accordingly, they are given deference, "unless they are plainly  
erroneous or inconsistent with the Act or regulations." *Id.*

1 ALJ must resolve any conflict by determining whether the vocational  
2 expert's explanation is reasonable and provides sufficient support to  
3 justify deviating from the DOT. SSR 00-4p, 2000 WL 1898704, at \*4;  
4 Massachi, 486 F.3d at 1153. An ALJ's failure to do so, however, can be  
5 harmless error when there is no conflict or the vocational expert  
6 provides a basis for relying on the his or her testimony rather than on  
7 the DOT. *Id.* at 1154 n.19.

8  
9 At the November 18, 2009 administrative hearing, the ALJ asked the  
10 vocational expert whether a hypothetical individual who was limited, as  
11 is plaintiff, to, *inter alia*, standing/walking for four to six hours out  
12 of an eight-hour workday, but not more than one hour at a time, and  
13 simple tasks that are performed in a nonpublic setting, could perform  
14 plaintiff's PRW. (A.R. 47.) The vocational expert testified that a  
15 hypothetical individual with the limitations described by the ALJ would  
16 be able to perform plaintiff's PRW as generally performed but not as  
17 actually performed. (A.R. 47-48.) When asked by the ALJ if her  
18 testimony was "per the DOT," the vocational expert answered "Yes."  
19 (A.R. 48.) In response to the ALJ's question of how many such positions  
20 there are in the region and nation, the vocational expert testified  
21 that, after eroding the numbers by 90 percent, there are "3,000  
22 positions in the region, and 20,000 nationally." (*Id.*) The vocational  
23 expert further testified that "the kind of security guard jobs [she is]  
24 referring to, would be guarding a construction site, which is -- seems  
25 to be a very popular position right now. One that is typically sitting  
26 in their car. And -- or an industrial site, as well." (*Id.*)

27  
28 After the ALJ's examination, plaintiff's counsel elicited the



1 following testimony from the vocational expert:  
2

3 Q Does the limitation to simple [tasks] impact the ability  
4 of the security guard jobs?

5 A No, I don't think it does.  
6

7 Q I did notice that you had indicated it as a semiskilled  
8 job.  
9

10 A It is a semiskilled job with [a Specific Vocational  
11 Preparedness ("SVP") score of] three, which is the lowest  
12 range of, of semiskilled work. And the way that was  
13 posed as simple wasn't posed as simple unskilled work or  
14 simple repetitive work; it was posed as simple work. And  
15 I believe that such as the job is performed, there's no  
16 reports required in that type of watch guard or security  
17 guard.  
18

19 Q And the person who's sitting in his car, isn't it his job  
20 to actually approach and confront or address people from  
21 the public that may look like they shouldn't be there?  
22

23 A No, that's not actually the way that is performed. It  
24 would be making a call to the police.  
25

26 Q So there's no interaction at all with the guard and the,  
27 and the member of the public? It's just a reporting type  
28 function and calling the police?

1       A     If the public would come up and approach the guard, but  
2             that is not a requirement of a job where one is, is  
3             earning minimum wage or \$8 an hour, is to chase someone  
4             or approach somebody about -- when they're on property  
5             such as that. It would be to notify.

6  
7     (A.R. 48-49.)  
8

9             Upon re-examination, the ALJ elicited the following testimony from  
10  the vocational expert:  
11

12       Q     Your, your testimony's consistent with the DOT, right?  
13

14       A     As I explained, yes.  
15

16       ALJ: As you explained. Okay. . . .  
17

18     (A.R. 48-49.) The ALJ relied on the vocational expert's testimony in  
19     finding that plaintiff is able to perform his PRW as generally  
20     performed, because plaintiff's PRW does not require the performance of  
21     work-related activities precluded by plaintiff's RFC. (A.R. 17.)  
22

23             Contrary to plaintiff's contention, the ALJ did not err in relying  
24     on the vocational expert's testimony that plaintiff could perform his  
25     PRW as a security guard.<sup>4</sup> As an initial matter, the ALJ complied with  
26

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27       <sup>4</sup>     Plaintiff claims that the neither the ALJ nor the vocational  
28     expert identified the DOT number for the job of security guard. (Joint  
      Stip. at 5.) However, as defendant properly notes, plaintiff's

1 his affirmative duty to confirm that the vocational expert's testimony  
2 was consistent with the information provided in the DOT. SSR 00-4p,  
3 2000 WL 1898704, at \*4 ("When a [vocational expert] provides evidence  
4 about the requirements of a job or occupation, the [ALJ] has an  
5 affirmative responsibility to ask about any possible conflict between  
6 that [vocational expert's] testimony and information provided in the  
7 DOT. In these situations, the [ALJ] will: Ask the [vocational expert]  
8 if the evidence he or she has provided conflicts with information  
9 provided in the DOT"). Here, after the vocational expert testified that  
10 a hypothetical individual with plaintiff's limitations could perform  
11 plaintiff's PRW as generally performed but not as actually performed,  
12 the ALJ asked the vocational expert whether her testimony was consistent  
13 with the DOT. The vocational expert replied, "Yes."

14  
15 In addition, after plaintiff's counsel examined the vocational  
16 expert, and the vocational expert provided additional information  
17 regarding the requirements of the security guard position at an  
18 industrial or construction site, the ALJ again asked the vocational  
19 expert whether her testimony was consistent with the DOT. Again, the  
20 vocational expert responded in the affirmative. The ALJ, therefore,  
21 satisfied his duty to verify that the vocational expert's testimony  
22 regarding plaintiff's capacity to perform his PRW was consistent with  
23 the DOT. As there was no apparent inconsistency between the DOT and the  
24 vocational expert's testimony, the ALJ was under no duty to make

25 \_\_\_\_\_  
26 assertion is incorrect, because the vocational expert submitted a Past  
27 Relevant Work Summary ("Summary") that identified the correct DOT number  
28 for a security guard position and referred to this Summary when she  
testified at the administrative hearing. (Joint Stip. at 12 n.3, see  
A.R. 48, 226.)

1 additional inquiries. See Michelson-Wurm v. Comm'r SSA, 285 Fed. Appx.  
2 482, 486 (9th Cir. 2008)(stating that an ALJ "must clarify the  
3 discrepancy . . . only where there is an apparent unresolved conflict  
4 that arises between the vocational expert's testimony and the  
5 DOT")(emphasis in original); see also SSR 00-4p, at \*4 (referring to an  
6 apparent conflict). Accordingly, based on the vocational expert's  
7 testimony, the ALJ concluded that plaintiff's PRW does not require the  
8 performance of work-related activities precluded by plaintiff's RFC.

9  
10 However, even assuming *arguendo*, that there was an apparent  
11 unresolved conflict between the vocational expert's testimony and the  
12 DOT, as plaintiff contends, an ALJ "may rely on expert testimony which  
13 contradicts the DOT [so long as] the record contains persuasive evidence  
14 to support the deviation." Tommasetti v. Astrue, 533 F.3d 1035, 1042  
15 (9th Cir. 2008)(citations omitted); see Massachi, 486 F.3d at 1154 n.19  
16 (noting that there is no reversible error if there was no conflict or  
17 the vocational expert "provided sufficient support for her conclusion so  
18 as to justify any potential conflicts"); Johnson, 60 F.3d at 1435-36  
19 (noting that DOT classifications are rebuttable and are not the sole  
20 source of admissible information concerning jobs). Evidence sufficient  
21 to permit such a deviation may be either specific findings of fact  
22 regarding plaintiff's RFC, or inferences drawn from the context of the  
23 expert's testimony. Light v. SSA, 119 F.3d 789, 793 (9th Cir. 1997).

24  
25 The vocational expert's testimony provides sufficient support for  
26 any alleged conflict with the DOT. As noted above, the vocational  
27 expert testified that a hypothetical individual with plaintiff's  
28 limitations, including, *inter alia*, standing/walking for not more than

1 one hour at a time and simple tasks in a non-public work setting, could  
2 perform plaintiff's PRW, as generally performed, as a security guard.  
3 Specifically, after reducing the occupational base by 90 percent based  
4 on plaintiff's limitations,<sup>5</sup> the vocational expert testified that  
5 plaintiff could perform the job of security guard at an industrial or  
6 construction site, which she noted was typically performed while seated  
7 in a car. The vocational expert's testimony shows that she considered  
8 plaintiff's RFC limitations and the specific requirements of the job of  
9 security guard at an industrial or construction site in determining that  
10 plaintiff could perform his PRW. See Johnson, 60 F.3d at 1435 (finding  
11 persuasive evidence to support alleged deviation when there was  
12 "testimony matching the specific requirements of a designated occupation  
13 with the specific abilities and limitations of [plaintiff]").

14  
15 Furthermore, contrary to plaintiff's contention, the vocational  
16 expert sufficiently addressed any alleged inconsistency and/or deviation  
17 between the DOT requirements for a security guard and plaintiff's RFC  
18 limitations. As recognized by SSR 00-4p, "[t]he DOT lists maximum  
19 requirements of a particular job as it is performed in specific  
20 settings." SSR 00-4p, 2000 WL 1898704, at \*3. Accordingly, a  
21 vocational expert "may be able to provide more specific information  
22 about a job or occupation than the DOT." *Id.* In this case, the

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23  
24 <sup>5</sup> Although the vocational expert did not specifically state  
25 that the 90 percent erosion in the occupational base was due to  
26 plaintiff's various limitations, such a conclusion is reasonably  
27 inferred. See Light, 119 F.3d at 793 (noting that "[e]vidence  
28 sufficient to permit . . . a deviation [between the vocational expert's  
testimony and the DOT] may be either specific findings of fact regarding  
the claimant's residual functionality, or inferences drawn from the  
context of the expert's testimony")(citations omitted); see also Sample  
v. Schweiker, 694 F.2d 639, 642 (9th Cir. 1982)(noting that an ALJ is  
entitled to draw inferences logically flowing from the evidence).

1 vocational expert did just that. With respect to plaintiff's  
2 walking/standing limitations and any alleged deviation with the DOT, the  
3 vocational expert testified that the particular security guard positions  
4 that plaintiff could perform -- to wit, a security guard position at an  
5 industrial or commercial site with a 90 percent erosion -- typically  
6 would involve sitting in a car. Because plaintiff's RFC includes no  
7 limitations with respect to sitting, there is no conflict. Similarly,  
8 with respect to plaintiff's RFC limitation to "non-public work" and the  
9 DOT requirement of warning violators of rule infractions and  
10 apprehending or expelling miscreants, the vocational expert testified  
11 that the security guard position would not require plaintiff to chase or  
12 approach members of the public. Rather, plaintiff only would be  
13 required to notify the police.<sup>6</sup>

14  
15 Lastly, contrary to plaintiff's contention, there is no  
16 inconsistency between the level three reasoning required for the  
17 position of security guard and plaintiff's RFC limitation to simple

18  
19 <sup>6</sup> Plaintiff claims that, in explaining the requirements of the  
20 job, the vocational expert's explanation "appeared to go to the  
21 underlying nature of the job -- it is not well paid -- rather than  
22 address the distinction between sitting in a car and walking the  
23 premises or working out of a guard shack." (Joint Stip. at 7.)  
24 However, when asked whether the security guard position identified by  
25 the vocational expert required plaintiff to "approach and confront or  
26 address people from the public that may look like they shouldn't be  
27 there," the vocational expert responded that "that's not actually the  
28 way [the job] is performed. It would be making a call to the police."  
(A.R. 49.) When further questioned whether there was any interaction  
between the security guard and the public, the vocational expert  
testified "that is not a requirement of a job where one is, is earning  
minimum wage . . . . It would be to notify." (*Id.*) Thus, while the  
vocational expert does make reference to the fact that the industrial or  
construction site security guard job earns minimum wage, the vocational  
expert also clearly testified that the job, as actually performed, only  
involves notifying the police, not approaching, confronting, and/or  
addressing the public. Accordingly, plaintiff's claim is unpersuasive.

1 tasks. According to the DOT, the job of security guard requires level  
2 three reasoning skills. The DOT defines level 3 reasoning skills as the  
3 ability to "[a]pply commonsense understanding to carry out instructions  
4 furnished in written, oral, or diagrammatic form. Deal with problems  
5 involving several concrete variables in or from standardized  
6 situations." DOT Appendix C, Section III, 1991 WL 688702.

7  
8 Currently, there is a split among the circuit courts on whether a  
9 limitation to simple, repetitive tasks is compatible with the  
10 performance of jobs with a level three reasoning as defined in the DOT.  
11 Adams v. Astrue, 2011 WL 1833015 (N.D. Cal. May 13, 2011)(*comparing*  
12 Hackett v. Barnhart, 395 F.3d 1168, 1176 (10th Cir. 2005)(a surveillance  
13 systems monitor job with a DOT reasoning level of three was not suitable  
14 for a claimant whose RFC limited her to "simple and routine work tasks")  
15 with Terry v. Astrue, 580 F.3d 471, 478 (7th Cir. 2009)(a claimant  
16 limited to "simple" work could perform the job of surveillance systems  
17 monitor, which had a reasoning level of three) and Renfrow v. Astrue,  
18 496 F.3d 918, 920-21 (8th Cir. 2007)(a claimant with an inability to do  
19 "complex technical work" was not precluded from jobs with a reasoning  
20 level of three)). Although the Ninth Circuit has yet to address this  
21 question directly, the weight of authority in this Circuit holds that a  
22 limitation to simple, repetitive tasks is incompatible with a reasoning  
23 level of three.<sup>7</sup>

---

24  
25 <sup>7</sup> As noted by the court in Torrez v. Astrue, 2010 WL 2555847  
(E.D. Cal. June 21, 2010):

26 Several district court cases in this circuit question whether  
27 a claimant limited to simple, repetitive tasks, is capable of  
28 performing jobs requiring level three reasoning under the DOT.  
In McGensy v. Astrue, 2010 WL 1875810 (C.D. Cal. May 11,  
2010), the Court noted that while case law has held that "a

1 Critically, however, there is no such similar weight of authority  
2 in this Circuit when plaintiff, as in this case, is limited to *only*  
3 simple tasks, as opposed to simple *and* repetitive tasks. In Funches v.  
4 Astrue, for example, the district court was faced with this very issue.  
5 2011 U.S. Dist. LEXIS 44789 (E.D. Cal. April 19, 2011). Although the  
6 court did not cite any authority directly on point, it reasoned that  
7 "[g]iven the significant case law within [the Ninth] Circuit that  
8 questions whether a claimant limited to simple, repetitive work is  
9 capable of performing jobs with a Reasoning Level of 3, the [c]ourt  
10 [would] not reach a different conclusion simply because Plaintiff's RFC  
11 does not include a limitation to repetitive work." *Id.* at \*14.

12  
13 The court noted that, under these circumstances, the ALJ is  
14 required to determine whether a conflict exists and, if so, "whether

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15  
16 limitation to 'simple, repetitive tasks' is consistent with  
17 level two reasoning," this restriction is "inconsistent" with  
18 the requirements for level three reasoning, in particular the  
19 job of *mail clerk*. *Id.* at \*3 (citing Pak v. Astrue, 2009 WL  
20 2151361 at \*7 (C.D. Cal. July 14, 2009)("The Court finds that  
21 the DOT's Reasoning Level three requirement conflicts with the  
22 ALJ's prescribed limitation that Plaintiff could perform only  
23 simple, repetitive work"); Tudino v. Barnhart, 2008 WL 4161443  
24 at \*11 (S.D. Cal. Sept. 5, 2008)("[l]evel-two reasoning  
25 appears to be the breaking point for those individuals limited  
26 to performing only simple repetitive tasks"; remand to ALJ to  
27 "address the conflict between Plaintiff's limitation to  
28 'simple, repetitive tasks' and the level-three reasoning");  
Squier v. Astrue, 2008 WL 2537129 at \*5 (C.D. Cal. June 24,  
2008)(reasoning level three is "inconsistent with a limitation  
to simple repetitive work")). In addition, in Bagshaw v.  
Astrue, 2010 WL 256544 at \*5 (C.D. Cal. January 20, 2010), the  
court expressly cited Hackett [v. Barnhart], 395 F.3d 1168,  
1176 (10th Cir. 2005)] in concluding that a *mail clerk* job,  
which requires level three reasoning under the DOT, was  
"inconsistent with [plaintiff's] intellectual functional  
capacity limitation to simple, routine work."

2010 WL 2555847, at \*8-\*9 (finding that the "DOT precludes a person  
restricted to simple, repetitive tasks, from performing work . . . that  
requires level three reasoning).



1 the vocational expert's explanation for the conflict is reasonable and  
2 whether a basis exists for relying on the expert rather than the  
3 [DOT].'" Funches, 2011 U.S. Dist. LEXIS 44789, at \*15 (citations  
4 omitted). However, while the ALJ stated that the testimony of the  
5 vocational expert was consistent with the DOT and concluded that  
6 plaintiff could perform other work, the court found that there was "no  
7 indication in either the testimony or the interrogatories . . . that the  
8 ALJ asked the [vocational expert] whether a conflict existed." *Id.* at  
9 \*16. Because the ALJ did not pose this question to the vocational  
10 expert, the court found that it could not determine whether substantial  
11 evidence supported the ALJ's determination that plaintiff could perform  
12 other work. *Id.* Therefore, the court remanded the case and  
13 specifically directed the ALJ to "obtain a reasonable explanation from  
14 the [vocational expert] for the conflict between her testimony and the  
15 DOT." *Id.* at \*17.

16  
17 Here, unlike in Funches, the ALJ twice confirmed that the  
18 vocational expert's testimony was consistent with the DOT. Further, the  
19 vocational expert specifically testified that plaintiff's limitation to  
20 simple tasks did not preclude his performance of the industrial or  
21 construction site security guard job -- a job which requires level three  
22 reasoning skills. In explaining plaintiff's capacity to do such work,  
23 the vocational expert noted that the ALJ had not restricted plaintiff  
24 to "repetitive work," and the specific requirements of the job did not  
25 involve writing reports.<sup>8</sup> As such, in this case, there was no apparent

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26  
27 <sup>8</sup> To the extent plaintiff relies on Stroda v. Astrue, 2010 WL  
28 129814 (W.D. Wash. Jan. 11, 2010) and claims that the vocational expert  
improperly focused on the SVP score of the security guard job when  
concluding that plaintiff's limitation to simple tasks was not

1 conflict between plaintiff's limitation to simple tasks and his ability  
2 to perform jobs requiring level three reasoning.<sup>9</sup> However, even if  
3 there were such a conflict, the vocational expert provided persuasive  
4 testimony to support her conclusion that plaintiff could perform the job  
5 of security guard at an industrial or construction site notwithstanding  
6 his limitations.

7  
8 Thus, the vocational expert's testimony regarding the specific  
9 requirements of the security job at an industrial or construction site,  
10 after a 90 percent erosion, provides a sufficient rationale to support  
11 any purported deviation/inconsistency between the vocational expert's  
12 testimony and the DOT. As such, any error committed by the ALJ in  
13 failing to address any apparent conflict was harmless. Accordingly, the  
14 ALJ did not commit reversible error in relying on the vocational  
15 expert's testimony concerning plaintiff's ability to perform his PRW,  
16 and remand is not warranted.

17 ///

18 ///

19 ///

20  
21 inconsistent with a security guard job, plaintiff's claim is  
22 unpersuasive. First, prior to testifying about the SVP score of a  
23 security guard, the vocational expert testified that a limitation to  
24 simple tasks does not impact the ability to perform the job of an  
25 industrial or construction site security guard. Second, there is no  
26 indication that the vocational expert relied on the SVP for the job of  
27 security guard to justify her finding. Rather, it appears that the  
28 vocational expert was attempting to further explain her finding that  
plaintiff's limitation to simple tasks alone does not impact his ability  
to perform the job of security guard.

29  
30 <sup>9</sup> Moreover, and perhaps telling, plaintiff does not identify any  
level three reasoning skill that he is unable to perform as a result of  
his limitation to simple tasks. Rather, plaintiff generally contends  
that his limitation to simple tasks is incompatible with the performance  
of a job requiring level three reasoning.

1 **CONCLUSION**

2

3 For the foregoing reasons, the Court finds that the Commissioner's

4 decision is supported by substantial evidence and is free from material

5 legal error. Neither reversal of the Commissioner's decision nor remand

6 is warranted.

7

8 Accordingly, IT IS ORDERED that Judgment shall be entered affirming

9 the decision of the Commissioner of the Social Security Administration.

10 IT IS FURTHER ORDERED that the Clerk of the Court shall serve copies of

11 this Memorandum Opinion and Order and the Judgment on counsel for

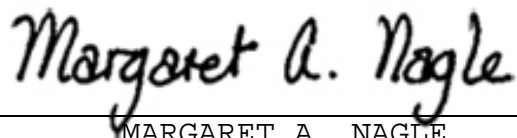
12 plaintiff and for defendant.

13

14 **LET JUDGMENT BE ENTERED ACCORDINGLY.**

15

16 DATED: October 25, 2011

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19 MARGARET A. NAGLE

20 UNITED STATES MAGISTRATE JUDGE

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