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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

VICTOR M. RUBINO,)	Case No. EDCV 12-0250-JPR
)	
Plaintiff,)	
)	MEMORANDUM OPINION AND ORDER
vs.)	AFFIRMING THE COMMISSIONER
)	
CAROLYN W. COLVIN,)	
Acting Commissioner of)	
Social Security, ¹)	
)	
Defendant.)	
)	

I. PROCEEDINGS

Plaintiff seeks review of the Commissioner's final decision denying his applications for Social Security disability insurance benefits ("DIB") and Supplemental Security Income benefits ("SSI"). The parties consented to the jurisdiction of the undersigned U.S. Magistrate Judge pursuant to 28 U.S.C. § 636(c). This matter is before the Court on the parties' Joint Stipulation, filed October 25, 2012, which the Court has taken

¹ On February 14, 2013, Colvin became the Acting Commissioner of Social Security. Pursuant to Federal Rule of Civil Procedure 25(d), the Court therefore substitutes Colvin for Michael J. Astrue as the proper Respondent.

1 under submission without oral argument. For the reasons stated
2 below, the Commissioner's decision is affirmed and this action is
3 dismissed.

4 **II. BACKGROUND**

5 Plaintiff was born on October 23, 1960. (AR 282.) He has a
6 high-school education and previously worked as an electrician.
7 (AR 37, 76, 312.)

8 On November 29, 2005, Plaintiff filed applications for DIB
9 and SSI. (AR 150, 282-87.) Plaintiff alleged that he had been
10 unable to work since November 15, 1999, because of hepatitis B
11 and C, psoriasis, renal problems, severe joint pain, and migraine
12 headaches, among other things. (AR 39-40, 311.)

13 After Plaintiff's applications were denied, he requested a
14 hearing before an ALJ. (AR 194.) A hearing was held before ALJ
15 Thomas J. Gaye on August 22, 2008, at which Plaintiff, who was
16 represented by counsel, appeared and testified, as did vocational
17 expert ("VE") Alan L. Ey. (AR 30-57.) In a written decision
18 issued September 22, 2008, ALJ Gaye found that Plaintiff was not
19 disabled. (AR 150-58.) On May 21, 2009, the Appeals Council
20 granted Plaintiff's request for review, vacated the hearing
21 decision, and remanded the case for further review. (AR 159-62.)

22 Another hearing was held, before ALJ David M. Ganly, on
23 August 26, 2009, at which Plaintiff, who was represented by
24 counsel, appeared and testified. (AR 58-95.) A medical expert,
25 Dr. Samuel Landau, and VE David A. Rinehart also appeared and
26 testified. (Id.) In a written decision issued October 27, 2009,
27 ALJ Ganly found that Plaintiff was not disabled. (AR 166-73.)
28 On June 22, 2010, the Appeals Council again granted Plaintiff's

1 request for review, vacated the hearing decision, and remanded
2 the case for further review. (AR 174-76.)

3 A third hearing was held, before ALJ Ganly, on November 30,
4 2010, at which Plaintiff, who was represented by counsel,
5 appeared and testified.² (AR 96-130.) Also appearing and
6 testifying were medical expert Landau; psychological expert
7 Joseph Malancharuvil, Ph.D.; and VE Sandra M. Fioretti. (Id.)
8 In a written decision issued February 4, 2011, ALJ Ganly found
9 that Plaintiff was not disabled.³ (AR 14-24.) On December 20,
10 2011, the Appeals Council denied Plaintiff's request for review.
11 (AR 1-5.) This action followed.

12 **III. STANDARD OF REVIEW**

13 Pursuant to 42 U.S.C. § 405(g), a district court may review
14 the Commissioner's decision to deny benefits. The ALJ's findings
15 and decision should be upheld if they are free of legal error and
16 supported by substantial evidence based on the record as a whole.
17 § 405(g); Richardson v. Perales, 402 U.S. 389, 401, 91 S. Ct.
18 1420, 1427, 28 L. Ed. 2d 842 (1971); Parra v. Astrue, 481 F.3d
19

20 ² The ALJ stated that Plaintiff had a "non-attorney
21 representative" (AR 14) but the record reflects that his
22 representative was in fact an attorney (AR 216).

23 ³ At the August 2008 hearing, Plaintiff, through counsel,
24 withdrew his DIB claim and amended his onset date to the date of
25 his application, November 29, 2005. (AR 35-37.) In the
26 September 2008 decision, the ALJ noted Plaintiff's stipulation
27 and considered only Plaintiff's entitlement to SSI. (AR 150-58.)
28 In the two subsequent decisions, however, the ALJ considered
Plaintiff's entitlement to both DIB and SSI and stated that
Plaintiff's onset date was November 15, 1999. (AR 14-24, 166-
73.) These discrepancies are inconsequential, however, given
that the ALJ's ultimate disability determination is entitled to
affirmance.

1 742, 746 (9th Cir. 2007). Substantial evidence means such
2 evidence as a reasonable person might accept as adequate to
3 support a conclusion. Richardson, 402 U.S. at 401; Lingenfelter
4 v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007). It is more than
5 a scintilla but less than a preponderance. Lingenfelter, 504
6 F.3d at 1035 (citing Robbins v. Soc. Sec. Admin., 466 F.3d 880,
7 882 (9th Cir. 2006)). To determine whether substantial evidence
8 supports a finding, the reviewing court "must review the
9 administrative record as a whole, weighing both the evidence that
10 supports and the evidence that detracts from the Commissioner's
11 conclusion." Reddick v. Chater, 157 F.3d 715, 720 (9th Cir.
12 1996). "If the evidence can reasonably support either affirming
13 or reversing," the reviewing court "may not substitute its
14 judgment" for that of the Commissioner. Id. at 720-21.

15 **IV. THE EVALUATION OF DISABILITY**

16 People are "disabled" for purposes of receiving Social
17 Security benefits if they are unable to engage in any substantial
18 gainful activity owing to a physical or mental impairment that is
19 expected to result in death or which has lasted, or is expected
20 to last, for a continuous period of at least 12 months. 42
21 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257
22 (9th Cir. 1992).

23 A. The Five-Step Evaluation Process

24 The ALJ follows a five-step sequential evaluation process in
25 assessing whether a claimant is disabled. 20 C.F.R.
26 §§ 404.1520(a)(4), 416.920(a)(4); Lester v. Chater, 81 F.3d 821,
27 828 n.5 (9th Cir. 1995) (as amended Apr. 9, 1996). In the first
28 step, the Commissioner must determine whether the claimant is

1 currently engaged in substantial gainful activity; if so, the
2 claimant is not disabled and the claim must be denied.

3 §§ 404.1520(a)(4)(i), 416.920(a)(4)(i). If the claimant is not
4 engaged in substantial gainful activity, the second step requires
5 the Commissioner to determine whether the claimant has a "severe"
6 impairment or combination of impairments significantly limiting
7 his ability to do basic work activities; if not, a finding of not
8 disabled is made and the claim must be denied.

9 §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii). If the claimant has a
10 "severe" impairment or combination of impairments, the third step
11 requires the Commissioner to determine whether the impairment or
12 combination of impairments meets or equals an impairment in the
13 Listing of Impairments ("Listing") set forth at 20 C.F.R., Part
14 404, Subpart P, Appendix 1; if so, disability is conclusively
15 presumed and benefits are awarded. §§ 404.1520(a)(4)(iii),
16 416.920(a)(4)(iii). If the claimant's impairment or combination
17 of impairments does not meet or equal an impairment in the
18 Listing, the fourth step requires the Commissioner to determine
19 whether the claimant has sufficient residual functional capacity
20 ("RFC")⁴ to perform his past work; if so, the claimant is not
21 disabled and the claim must be denied. §§ 404.1520(a)(4)(iv),
22 416.920(a)(4)(iv). The claimant has the burden of proving that
23 he is unable to perform past relevant work. Drouin, 966 F.2d at
24 1257. If the claimant meets that burden, a prima facie case of
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26
27 ⁴ RFC is what a claimant can still do despite existing
28 exertional and nonexertional limitations. 20 C.F.R. §§ 404.1545,
416.945; see Cooper v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th
Cir. 1989).

1 disability is established. Id. If that happens or if the
2 claimant has no past relevant work, the Commissioner then bears
3 the burden of establishing that the claimant is not disabled
4 because he can perform other substantial gainful work available
5 in the national economy. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v).
6 That determination comprises the fifth and final step in the
7 sequential analysis. §§ 404.1520, 416.920; Lester, 81 F.3d at
8 828 n.5; Drouin, 966 F.2d at 1257.

9 B. The ALJ's Application of the Five-Step Process

10 At step one, the ALJ found that Plaintiff had not engaged in
11 any substantial gainful activity since November 15, 1999. (AR
12 16.) At step two, the ALJ concluded that Plaintiff had the
13 severe impairments of "hepatitis B and a healed hepatitis C
14 infection; chronic kidney disease stage one; healed bacterial
15 endocarditis; psoriasis; depressive disorder, not otherwise
16 specified; psychophysical reactions with chronic pain;
17 personality disorder, not otherwise specified; and history of
18 substance addiction, on methadone for maintenance." (AR 16-17.)
19 At step three, the ALJ determined that Plaintiff's impairments
20 did not meet or equal any of the impairments in the Listing. (AR
21 17.) At step four, the ALJ found that Plaintiff retained the RFC
22 to perform "a range of light work." (AR 18.) Based on the VE's
23 testimony, the ALJ concluded that Plaintiff could not perform his
24 past work as an electrician but could perform jobs that existed
25 in significant numbers in the national economy. (AR 22-23.)
26 Accordingly, the ALJ determined that Plaintiff was not disabled.
27 (AR 23-24.)
28

1 **V. RELEVANT FACTS**

2 From July 31 to August 8, 2005, Plaintiff was hospitalized
3 with primary diagnoses of "febrile illness," bacteremia, and
4 "rule out" meningitis and secondary diagnoses of hepatitis C and
5 heroin dependence. (AR 605-18.) At discharge, Plaintiff's
6 disability status was noted as "no disability." (AR 605.)

7 On August 19, 2005, a Riverside County Regional Medical
8 Center ("RCRMC") doctor noted that Plaintiff's bacteremia was
9 resolved and he was "doing well except for occasional severe
10 headache." (AR 421.) The doctor noted that Plaintiff had been
11 using heroin occasionally for pain relief and was interested in
12 detox. (Id.)

13 From October 25 to November 14, 2005, Plaintiff was
14 hospitalized with primary diagnoses of acute renal failure,
15 fevers, and "rule out" endocarditis and secondary diagnoses of
16 hepatitis C and B, left-arm cellulitis, hypertension, and anemia.
17 (AR 550-604.) At discharge, Plaintiff's disability status was
18 noted to be "[n]o disability." (AR 550.)

19 From November 18 to December 5, 2005, Plaintiff was
20 hospitalized with primary diagnoses of methicillin-resistant
21 staphylococcus aureus ("MRSA") and bacteremia and secondary
22 diagnoses of infective endocarditis, chronic renal failure,
23 anemia, and hypertension.⁵ (AR 427-98, 533-49.) At discharge,
24

25 ⁵ "MRSA is a 'staph' germ that does not get better with
26 the first-line antibiotics that usually cure staph infections."
27 MRSA, PubMed Health, [http://www.ncbi.nlm.nih.gov/pubmedhealth/](http://www.ncbi.nlm.nih.gov/pubmedhealth/PMH0004520/)
28 [PMH0004520/](http://www.ncbi.nlm.nih.gov/pubmedhealth/PMH0004520/) (last updated Apr. 9, 2012). "Endocarditis is
inflammation of the inside lining of the heart chambers and heart
valves (endocardium)." Endocarditis, PubMed Health,
<http://www.ncbi.nlm.nih.gov/pubmedhealth/PMH0002088/> (last

1 Plaintiff was "stable with normal temperature and stable BUN &
2 creatinine."⁶ (AR 427.)

3 On December 8, 2005, an RCRMC doctor noted that Plaintiff
4 had infective endocarditis, MRSA, bacteremia, a skin infection,
5 gastroesophageal disease, chronic anemia, and acute renal
6 failure. (AR 419-20.) The doctor noted that Plaintiff was
7 "doing good" and had stable "BUN/creatinine." (Id.) On January
8 6, 2006, an RCRMC doctor noted that Plaintiff's infective
9 endocarditis was improved. (AR 417-18.)

10 On February 23, 2006, an RCRMC doctor assessed Plaintiff
11 with a history of MRSA, endocarditis, hepatitis B and C,
12 intravenous drug use, gastroesophageal reflux disease, and
13 tobacco abuse; the doctor also noted that Plaintiff had suffered
14 "renal failure while in hospital." (AR 415.) The doctor found
15 that Plaintiff's hepatitis C viral load was "not detectable."
16 (AR 416.)

17 On March 30, 2006, an RCRMC doctor noted that Plaintiff was
18 "feeling well" and had a history of hepatitis C, anemia, and
19 endocarditis. (AR 413.) On April 20, 2006, a lab report showed
20 that Plaintiff's hepatitis C viral load was less than 50, which
21 was within the normal reference range. (AR 422.) Plaintiff
22

23 updated July 16, 2012).

24 ⁶ BUN stands for blood urea nitrogen. BUN - blood test,
25 MedlinePlus, <http://www.nlm.nih.gov/medlineplus/ency/article/003474.htm> (last updated May 30, 2011). A BUN test is often
26 done to check kidney function. Id. Creatinine is a breakdown
27 product of creatine, which is an important part of muscle.
28 Creatinine - blood, MedlinePlus, <http://www.nlm.nih.gov/medlineplus/ency/article/003475.htm> (last updated Aug. 20, 2011). A creatinine test also checks kidney function. Id.

1 tested positive for hepatitis B. (AR 423.) On April 26, 2006,
2 an RCRMC doctor noted that Plaintiff "doesn't have hep C based on
3 viral load" but "does have" hepatitis B. (AR 413.)

4 On July 12, 2006, an RCRMC doctor noted that Plaintiff had
5 MRSA and endocarditis, hepatitis C with an "RNA" of less than
6 50,⁷ hepatitis B, normal liver function tests, and improved
7 "ARF," or acute renal failure. (AR 411-12.) Plaintiff was given
8 "disability" for one month. (AR 411.)

9 On October 6, 2006, an RCRMC doctor noted that Plaintiff had
10 a history of hepatitis B and C infection, endocarditis, chronic
11 renal insufficiency, and MRSA infection; the doctor also noted
12 that Plaintiff had recently started "using" again because the
13 Riverside methadone clinic had closed. (AR 410.)

14 On October 18, 2006, Dr. Shahram Pourrabbani, a "Board
15 Eligible Internist," examined Plaintiff at the Social Security
16 Administration's request. (AR 499-503.) Dr. Pourrabbani found
17 that Plaintiff had "hepatomegaly with the liver palpated
18 approximately 8-cm below the costal margin."⁸ (AR 501.)

19 Plaintiff had normal range of motion of the neck, back,
20 shoulders, elbows, wrists, hands, hips, and knees; a negative
21 straight-leg-raising test; and a normal gait. (AR 501-03.) He
22 had "mild tenderness on abduction of the right shoulder past
23 approximately 75 [degrees]," mild decrease in grip strength in
24

25 ⁷ A blood test for Hepatitis C RNA measures a person's
26 viral load. Hepatitis C, PubMed Health, <http://www.ncbi.nlm.nih.gov/pubmedhealth/PMH0001329/> (last updated Oct. 16, 2011).

27 ⁸ Hepatomegaly is swelling of the liver beyond its normal
28 size. Hepatomegaly, MedlinePlus, <http://www.nlm.nih.gov/medlineplus/ency/article/003275.htm> (last updated May 22, 2011).

1 both hands, and "mild edema/euthesitis" on the joints of the
2 fingers and hands. (AR 502.) Dr. Pourrabbani diagnosed
3 psoriasis with possible psoriatic arthritis and "rule out"
4 hepatitis B and C. (AR 503.) He opined that Plaintiff could
5 lift or carry 50 pounds occasionally and 25 pounds frequently,
6 stand or walk for approximately six to eight hours, and sit for
7 six to eight hours. (Id.) Dr. Pourrabbani found that Plaintiff
8 had no postural, visual, communicative, or environmental
9 limitations, but he did have "mild manipulative limitation
10 including reaching above the head as well as fine manipulations
11 with above head [sic]." (Id.)

12 On November 3, 2006, consulting physician M.A. Mazuryk
13 reviewed Plaintiff's medical records and completed a physical RFC
14 assessment. (AR 506-10.) Dr. Mazuryk stated that Plaintiff's
15 primary diagnoses were hepatitis C and chronic fatigue, and his
16 secondary diagnoses were psoriatic arthritis and chronic renal
17 insufficiency. (AR 506.) Dr. Mazuryk opined that Plaintiff
18 could lift and carry 20 pounds occasionally and 10 pounds
19 frequently, stand and walk for a total of six hours in an eight-
20 hour day, and sit for about six hours in an eight-hour day. (AR
21 507.) Plaintiff could occasionally climb ramps, stairs, ladders,
22 ropes, and scaffolds; balance; stoop; kneel; crouch; and crawl.
23 (AR 508.) He could reach overhead with his right arm on a
24 "frequent basis" and had unrestricted use of his left arm. (Id.)
25 Dr. Mazuryk noted Dr. Pourrabbani's finding that Plaintiff was
26 able to perform medium work, but Dr. Mazuryk concluded that an
27 RFC for light work was more appropriate. (AR 510.)

28 On November 5, 2006, Dr. Romaldo R. Rodriguez, a "Board

1 Eligible Psychiatrist," examined Plaintiff at the Social Security
2 Administration's request. (AR 511-16.) Dr. Rodriguez noted that
3 Plaintiff had been addicted to heroin, which he injected
4 intravenously, and had last used illegal drugs in May 2006.⁹ (AR
5 512-13.) Plaintiff had never seen a psychiatrist, never taken an
6 antidepressant, and never been psychiatrically hospitalized. (AR
7 512.) Dr. Rodriguez noted that Plaintiff complained of being
8 depressed, angry, and irritated "because he keeps getting
9 rejected for Disability funds" but had "no interest in seeing
10 psychiatrists or psychologists." (AR 516.) Plaintiff reported
11 that he drove his own car, dressed and bathed himself, ran
12 errands, went to the store, cooked and made snacks, watched
13 television, and did yard work, gardening, and household chores.
14 (AR 513.) Plaintiff denied any significant outside activities
15 but said that he had "excellent" relationships with family,
16 friends, and neighbors and "good" relationships with others.
17 (Id.)

18 Upon examination, Dr. Rodriguez noted that Plaintiff was
19 "coherent and organized" and his affect was "polite and serious,"
20 not "sad or tearful." (AR 514.) Plaintiff was alert and
21 oriented and had at least average intelligence. (Id.) He could
22 recall three items immediately and after five minutes, perform
23 mathematical problems correctly and quickly, and spell the word
24 "world" forward and backward. (AR 514-15.) Dr. Rodriguez
25 diagnosed Plaintiff with "[p]olysubstance dependence, supposedly
26

27 ⁹ This appears to be inconsistent with the October 2006
28 report by an RCRMC doctor that Plaintiff was "using again." (AR
410.)

1 in early sustained remission," with moderate psychosocial
2 stressors over the past year, and assigned a global assessment of
3 functioning score ("GAF") of 70.¹⁰ (AR 515.) Dr. Rodriguez
4 found that Plaintiff was "basically stable without any
5 psychiatric medications" and had "no functional limitations."
6 (AR 516.)

7 On November 9, 2006, consulting psychiatrist K.J. Loomis
8 reviewed Plaintiff's medical records and completed a psychiatric
9 review technique form. (AR 517-27.) Dr. Loomis noted that
10 Plaintiff had a mood disorder by history, anxiety disorder by
11 history, and polysubstance abuse/dependence. (AR 517-23.) Dr.
12 Loomis found that Plaintiff's mental condition resulted in no
13 restriction of activities of daily living; no difficulties in
14 maintaining social functioning; mild difficulties in maintaining
15 concentration, persistence, or pace; and no episodes of
16 decompensation. (AR 525.) Dr. Loomis concluded that Plaintiff's
17 mental impairments were not severe. (AR 517.)

18 On June 25, 2007, Dr. Nasa Valentine completed a multiple
19 impairment questionnaire, stating that the date of Plaintiff's
20 last exam was June 20, 2007, and that his date of first treatment
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24 ¹⁰ A GAF score represents a rating of overall
25 psychological functioning on a scale of 0 to 100. See Am.
26 Psychiatric Ass'n, Diagnostic and Statistical Manual of
27 Disorders, Text Revision 34 (4th ed. 2000). A GAF score in the
28 range of 61 to 70 indicates "[s]ome mild symptoms (e.g. depressed
mood and mild insomnia) OR some difficulty in social,
occupational, or school functioning (e.g. occasional truancy, or
theft within the household), but generally functioning pretty
well, has some meaningful interpersonal relationships." Id.

1 was "10-07."¹¹ (AR 629-36.) Dr. Valentine, whose specialty was
2 "family medicine," listed Plaintiff's diagnoses as fatigue, high
3 blood pressure, psoriasis, hepatitis B and C, and chronic low-
4 back pain. (AR 629.) Under "clinical findings" Dr. Valentine
5 wrote "negative straight leg raise" and noted that Plaintiff's
6 lumbar spine was positive for "TTP" – presumably, tenderness to
7 palpation.¹² (AR 629.) Under "laboratory and diagnostic test
8 results," Dr. Valentine wrote "positive for hepatitis B & C."
9 (AR 630.) Dr. Valentine listed Plaintiff's "primary symptom[]"
10 as "fatigue" and stated that Plaintiff had "constant[]" body and
11 joint pain in his hands, arms, legs, back, and neck. (AR 630-
12 31.) Dr. Valentine listed arthritis, psoriasis, and hepatitis B
13 and C as factors precipitating or relating to Plaintiff's pain.
14 (AR 631.) He estimated that Plaintiff's pain was a seven or
15 eight out of 10 and his fatigue was an eight or nine out of 10.
16 (Id.) Dr. Valentine opined that Plaintiff could sit for two
17 hours and stand or walk for one hour in an eight-hour day. (Id.)
18 He needed to get up and move each hour and could sit again after
19 15 minutes. (AR 631-32.) Plaintiff could lift or carry five
20 pounds frequently and 20 pounds occasionally, but he could never

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23 ¹¹ The questionnaire appears to have been filled out by
24 someone, presumably Plaintiff, and then edited by Dr. Valentine,
25 who crossed out several answers, writing "error" and his
initials, and added to other answers. (See AR 629-36.) Dr.
Valentine apparently signed the form. (AR 636.)

26 ¹² Plaintiff asserts that Dr. Valentine listed "clinical
27 findings of lumbar tenderness to palpation" (J. Stip. at 10), and
28 the Court presumes he refers to the TTP acronym because a finding
of tenderness to palpation does not appear elsewhere on the
questionnaire (see AR 629-36).

1 lift or carry more than 20 pounds. (AR 632.) Dr. Valentine
2 stated that repetitive motions caused Plaintiff "severe pain" and
3 that he was moderately limited in his ability to grasp, turn, or
4 twist objects; use his fingers or hands for fine manipulations;
5 and use his arms for reaching. (AR 632-33.)

6 Dr. Valentine believed that Plaintiff's symptoms would
7 "frequently" interfere with his attention and concentration and
8 that depression contributed to the severity of his symptoms and
9 functional limitations. (AR 634.) He said that Plaintiff would
10 be absent from work more than three times a month because of his
11 impairments or treatment; he needed to avoid fumes, gases,
12 temperature extremes, and heights; and he could not push, pull,
13 kneel, bend, or stoop. (AR 635.) When asked whether his patient
14 was a malingerer, Dr. Valentine wrote "unknown." (AR 634.)

15 On October 1, 2007, Dr. Antonio A. Tan assessed Plaintiff
16 with hypertension, hyperlipidemia, and degenerative joint disease
17 of the neck. (AR 622.) On November 1, 2007, Dr. Tan wrote a
18 note stating that in his "best medical opinion" Plaintiff was
19 "totally disabled without consideration of any past or present
20 drug and/or alcohol use" and that "[d]rug and/or alcohol use is
21 not the material cause of this individual's disability."¹³ (AR
22 618.)

24 ¹³ In the September 2008 decision, the ALJ stated that Dr.
25 Tan's report was dated November 1, 2007. (AR 154 (referring to
26 agency exhibit B9F).) In the May 2009 order granting review and
27 remanding the case to the ALJ, however, the Appeals Council
28 referred to the report as being dated April 1, 2007. (AR 160
(referring to agency exhibit B9F).) The Court, like the ALJ,
finds that the report was dated November 1, 2007, as that is the
date the handwritten note most resembles. (See AR 618.)

1 On January 8, 2008, Dr. Tan noted that Plaintiff had left-
2 foot pain and a nonhealing ulcer on his left leg. (AR 621.) On
3 March 7, 2008, Dr. Tan noted that Plaintiff was doing well and
4 that the wound on his left leg was healing. (AR 645.) On April
5 9, 2008, Dr. Tan noted that Plaintiff complained of bilateral leg
6 pain and had poor ambulation; he was still on methadone and had a
7 nonhealing ulcer on his left leg. (AR 644.) In a 2008 note with
8 an illegible month and day, Dr. Tan noted that Plaintiff was
9 having a "flare up" of psoriasis on his arms and legs, his blood
10 pressure was well controlled, and his ulcer was about the same.
11 (AR 643.) In another 2008 note with an illegible month and day,
12 Dr. Tan noted that Plaintiff was having "ongoing pain" in his
13 left leg, his blood pressure was well controlled, and he had a
14 "bad fungal infection" on his fingernails. (AR 642.)

15 On October 9, 2008, Dr. Tan noted that Plaintiff had a cyst
16 on his neck and complained of bilateral shoulder pain and back
17 pain; Dr. Tan ordered x-rays. (AR 656.) On October 27, 2008,
18 Dr. Tan noted that Plaintiff had cellulitis on the left side of
19 his face. (AR 655.) On January 5, 2009, an x-ray of Plaintiff's
20 lumbar spine showed "[s]pondylolisthesis with osteopenia greater
21 than expected for age."¹⁴ (AR 652.) X-rays of Plaintiff's
22 shoulders were normal. (AR 653-54.) On January 13, 2009, Dr.
23 Tan noted that Plaintiff had low-back pain and a nonhealing ulcer
24 on his left leg. (AR 651.) On April 2, 2009, Dr. Tan noted that

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26 ¹⁴ "Spondylolisthesis is a condition in which a bone
27 (vertebra) in the spine slips out of the proper position onto the
28 bone below it." Spondylolisthesis, PubMed Health, <http://www.ncbi.nlm.nih.gov/pubmedhealth/PMH0002240/> (last updated Aug. 11, 2012).

1 Plaintiff was "relatively stable" and that his leg ulcer was
2 healing with no sign of infection. (AR 650.) On July 7, 2009,
3 Dr. Tan noted that Plaintiff was complaining of "worsening back
4 pain" and was "getting very depressed." (AR 648.) Dr. Tan
5 reviewed an x-ray of Plaintiff's back and diagnosed backache and
6 depression. (AR 648-49.)

7 On July 31, 2009, Dr. Tan noted that Plaintiff had arrived
8 at his appointment "with the intention of having me fill out the
9 form for his disability," which was an issue that "had been
10 discussed with him in the past." (AR 667.) Dr. Tan stated that
11 he would not be able to complete the form "due to detailed
12 information needed." (Id.) He noted that Plaintiff "got very
13 belliger[en]t and very rude" and "stormed out of the office
14 talking obscenities." (Id.) Dr. Tan terminated Plaintiff from
15 his services. (Id.)

16 On August 28, 2009, Dr. Mohammed Ibrahaim noted that
17 Plaintiff had a history of hepatitis B and C, psoriasis, chronic
18 back pain, shoulder pain, and "DJD," or degenerative joint
19 disease, of the spine. (AR 676.) On September 28, 2009, Dr.
20 Ibrahaim assessed Plaintiff with hepatitis C, hyperlipidemia, and
21 DJD. (AR 675.) On November 24, 2009, Dr. Ibrahaim noted that
22 Plaintiff had a history of hepatitis and psoriasis and assessed
23 him with DJD. (AR 674.) On December 22, 2009, Dr. Ibrahaim
24 again noted DJD. (AR 673.) On September 2, 2010,¹⁵ Dr. Ibrahaim
25 noted that Plaintiff complained of "kidney stone" and psoriasis;
26 he prescribed Dovonex cream and referred Plaintiff to

27
28 ¹⁵ Plaintiff apparently did not see any doctor in the nine
months between December 2009 and September 2010.

1 dermatology. (AR 672.)

2 On October 13, 2010, Dr. Joseph Nassir, who specialized in
3 internal medicine, completed a multiple impairment questionnaire
4 and dictated a report. (AR 681-92.) Dr. Nassir, who had never
5 before seen Plaintiff (AR 681), performed a one-and-a-half-hour
6 examination and a medical-records review before diagnosing
7 Plaintiff with back pain with lumbar radioculopathy, status-post
8 motor vehicle accident in 1989 with right femur fracture and rod
9 placement, hepatitis B and C secondary to intravenous heroin
10 abuse in the past, weakness, fatigue, psoriasis with psoriatic
11 arthritis, insomnia, depression, anxiety, methadone therapy,
12 migraine headache, gastroesophageal disease, kidney stones,
13 gallstones, constipation, impotence with erectile dysfunction,
14 benign prostatic hyperplasia, neck pain with stiffness, bilateral
15 shoulder bursitis, wrist pain with carpal tunnel syndrome on the
16 right, acquired history of endocarditis, chronic renal
17 insufficiency, and anemia (AR 681, 690-61).

18 In his dictated report, Dr. Nassir stated that Plaintiff had
19 a history of psoriasis and hypertension and had been "involved in
20 a motor vehicle accident in 1989," which apparently resulted in a
21 right-femur fracture and subsequent surgical repair. (AR 690.)
22 Dr. Nassir noted that a physical exam revealed "psoriatic
23 breakouts of rashes throughout the body, more prominent on the
24 extensor surfaces of the body," that appeared to be "somewhat in
25 remission." (AR 691.) He noted that Plaintiff had decreased
26 range of motion in both shoulders secondary to bursitis, right
27 worse than left, and was showing "signs and symptoms of the
28 carpal tunnel syndrome in the upper extremities, more prominent

1 on the right than on the left side." (Id.) Dr. Nassir found
2 that Plaintiff had "stiffness of the neck with decreased neck
3 movement" and "lower back and right hip and femur movement
4 secondary to surgery." (Id.) He noted that Plaintiff had pain
5 in his neck, back, right femur, and hand and arm joints secondary
6 to psoriatic arthritis. (Id.) Dr. Nassir noted that Plaintiff
7 was "eliciting neuro symptoms" in the lower extremities, more on
8 the right than left. (Id.) He opined that Plaintiff's medical
9 conditions prevented him from performing "required daily personal
10 needs" or any daily work activities and that Plaintiff should be
11 considered disabled. (AR 692.)

12 In the questionnaire, Dr. Nassir estimated Plaintiff's pain
13 to be a seven or eight out of 10 and his fatigue to be an eight
14 out of 10. (AR 683.) Dr. Nassir opined that Plaintiff could sit
15 for one hour and stand or walk for zero to one hour in an eight-
16 hour day and must get up and move around for 10 to 15 minutes
17 every 45 to 60 minutes. (AR 683-84.) Plaintiff could lift and
18 carry five pounds frequently and 20 pounds occasionally but never
19 more than that. (AR 684.) Dr. Nassir believed that Plaintiff
20 was moderately limited in his ability to use his arms for
21 reaching, including overhead reaching, and he was markedly
22 limited in his ability to grasp, turn, or twist objects or
23 perform fine manipulations. (AR 684-85.) Dr. Nassir believed
24 that Plaintiff's symptoms would increase if he were placed in a
25 competitive work environment. (Id.) Dr. Nassir stated that
26 Plaintiff "constantly" experienced pain, fatigue, or other
27 symptoms severe enough to interfere with attention and
28 concentration. (AR 686.) He believed that depression and

1 anxiety contributed to Plaintiff's symptoms and functional
2 limitations but that Plaintiff was able to tolerate low work
3 stress. (Id.)

4 Dr. Nassir opined that Plaintiff would miss more than three
5 days of work a month because of his impairments or treatment and
6 needed to avoid wetness, fumes, gases, temperature extremes,
7 humidity, and heights. (AR 687.) He stated that Plaintiff had
8 limited vision and could not push, pull, kneel, bend, or stoop.
9 (Id.) Dr. Nassir believed that the earliest date that his
10 descriptions of Plaintiff's symptoms and limitations applied was
11 December 2003. (Id.)

12 On November 30, 2010, at Plaintiff's third hearing before an
13 ALJ, psychologist Malancharuvil noted that he had reviewed the
14 psychological evidence. (AR 103.) He then questioned Plaintiff
15 before testifying that he had a depressive disorder, not
16 otherwise specified; psychological reactions with chronic pain;
17 and personality disorder not otherwise specified. (AR 103-06.)
18 Malancharuvil opined that Plaintiff's mental impairments resulted
19 in mild limitations in performing activities of daily living;
20 mild to moderate limitations in social functioning; and moderate
21 limitations in persistence and pace. (AR 106-07.) He believed
22 that Plaintiff should be limited to "moderately complex tasks"
23 with up to five-step instructions and "routine work that does not
24 change constantly," and that Plaintiff should be precluded from
25 "safety operations," very fast-paced work, and operating
26 hazardous or fast-moving machinery. (Id.)

27 Dr. Landau, who was board certified in internal medicine and
28 cardiovascular disease (AR 63), testified that he had reviewed

1 all the medical evidence and that Plaintiff suffered from
2 hepatitis B, "healed hepatitis C infection," chronic stage-one
3 kidney disease, healed bacterial endocarditis, psoriasis, and a
4 psychiatric diagnosis (AR 114-15). Dr. Landau noted that
5 Plaintiff's psoriasis had never been "aggressively" treated with
6 a systemic medication and that there was "really no objective
7 evidence" of psoriatic arthritis. (AR 115-16.) He noted that
8 Plaintiff complained of chronic back pain and that lumbar-spine
9 x-rays showed spondylolisthesis and osteopenia but "no
10 significant arthritis." (AR 115.) He noted that Plaintiff
11 complained of shoulder pain but x-rays were normal. (Id.) Dr.
12 Landau opined that Plaintiff should be limited to two hours of
13 standing and walking in an eight-hour day but had no limitations
14 on sitting. (AR 117.) Plaintiff could lift and carry 10 pounds
15 frequently and 20 pounds occasionally, occasionally stoop and
16 bend, and occasionally operate foot pedals and controls. (Id.)
17 Plaintiff could climb stairs but could not climb ladders,
18 balance, operate heavy equipment, or work around unprotected
19 machinery. (Id.) Plaintiff's work environment should be air
20 conditioned. (Id.)

21 In his written decision dated February 4, 2011, the ALJ
22 found that Plaintiff retained the RFC to perform "a range of
23 light work." (AR 18.) Specifically, Plaintiff could
24 stand/walk 2 hours in an 8 hour workday with normal
25 breaks such as every 2 hours; sit 8 hours in an 8 hour
26 workday with normal breaks; lift/carry 10 pounds
27 frequently, 20 pounds occasionally; occasionally stoop
28 and bend; no ladders, work at heights, or balance;

1 occasional operation of foot controls or pedals; he
2 cannot operate motorized equipment or work around
3 unprotected machinery; his work environment should be air
4 conditioned; he can perform moderately complex tasks, up
5 to 4-5 steps, which should be habituated; no
6 responsibility for the safety of others; no fast paced
7 work; and no work around machinery.

8 (Id.) In so finding, the ALJ accorded little weight to Drs.
9 Tan's, Valentine's, and Nassir's assessments and instead relied
10 upon the opinions of Dr. Landau and psychologist Malancharuvil.
11 (AR 20-22.)

12 **VI. DISCUSSION**

13 Plaintiff alleges that the ALJ erred in (1) failing to give
14 "proper weight" to the functional-capacity opinions of Drs.
15 Valentine and Nassir and (2) finding that Plaintiff's subjective
16 complaints were not fully credible. (J. Stip. at 7.)

17 A. The ALJ Properly Evaluated the Medical Evidence

18 Plaintiff contends that the ALJ failed to properly consider
19 the opinions of treating physician Valentine and examining
20 physician Nassir. (J. Stip. at 8-14.) Remand is not warranted
21 on that basis, however, because the ALJ provided legally
22 sufficient reasons for according little weight to those opinions.

23 Three types of physicians may offer opinions in social
24 security cases: "(1) those who treat[ed] the claimant (treating
25 physicians); (2) those who examine[d] but d[id] not treat the
26 claimant (examining physicians); and (3) those who neither
27 examine[d] nor treat[ed] the claimant (non-examining
28 physicians)." Lester, 81 F.3d at 830. A treating physician's

1 opinion is generally entitled to more weight than the opinion of
2 a doctor who examined but did not treat the claimant, and an
3 examining physician's opinion is generally entitled to more
4 weight than that of a nonexamining physician. Id.

5 The opinions of treating physicians are generally afforded
6 more weight than the opinions of nontreating physicians because
7 treating physicians are employed to cure and have a greater
8 opportunity to know and observe the claimant. Smolen v. Chater,
9 80 F.3d 1273, 1285 (9th Cir. 1996). If a treating physician's
10 opinion is well supported by medically acceptable clinical and
11 laboratory diagnostic techniques and is not inconsistent with the
12 other substantial evidence in the record, it should be given
13 controlling weight. 20 C.F.R. §§ 404.1527(c)(2), 416.927(c)(2).
14 If a treating physician's opinion is not given controlling
15 weight, its weight is determined by length of the treatment
16 relationship, frequency of examination, nature and extent of the
17 treatment relationship, amount of evidence supporting the
18 opinion, consistency with the record as a whole, the doctor's
19 area of specialization, and other factors. 20 C.F.R.
20 §§ 404.1527(c)(2)-(6), 416.927(c)(2)-(6).

21 When a treating or examining doctor's opinion is not
22 contradicted by another doctor, it may be rejected only for
23 "clear and convincing" reasons. Carmickle v. Comm'r, Soc. Sec.
24 Admin., 533 F.3d 1155, 1164 (9th Cir. 2008) (quoting Lester, 81
25 F.3d at 830-31). When a treating or examining physician's
26 opinion conflicts with another doctor's, the ALJ must provide
27 only "specific and legitimate reasons" for discounting the
28 treating doctor's opinion. Id. Indeed, the ALJ "need not accept

1 the opinion of any physician, including a treating physician, if
2 that opinion is brief, conclusory, and inadequately supported by
3 clinical findings." Thomas v. Barnhart, 278 F.3d 947, 957 (9th
4 Cir. 2002); accord Batson v. Comm'r of Soc. Sec. Admin., 359 F.3d
5 1190, 1195 (9th Cir. 2004). The weight given an examining
6 physician's opinion, moreover, depends on whether it is
7 consistent with the record and accompanied by adequate
8 explanation, among other things. 20 C.F.R. §§ 404.1527(c)(3)-
9 (6), 416.927(c)(3)-(6).

10 1. Dr. Valentine's opinion

11 Plaintiff refers to Dr. Valentine as his "treating family
12 practitioner" (J. Stip. at 8), but the record fails to establish
13 that Dr. Valentine ever treated Plaintiff. Rather, it appears
14 that Dr. Valentine merely reviewed and signed a form that had
15 been completed by someone else, presumably Plaintiff. (See AR
16 629-36.) Even assuming that Dr. Valentine was a treating source,
17 however, the ALJ properly considered his sparse – or perhaps
18 nonexistent – treatment of Plaintiff when determining that his
19 opinion should be accorded less weight. (AR 20); see Orn v.
20 Astrue, 495 F.3d 625, 631 (9th Cir. 2007) (factors in assessing
21 treating physician's opinion include length of treatment
22 relationship, frequency of examination, and nature and extent of
23 treatment relationship); accord 20 C.F.R. §§ 404.1527(c)(2),
24 416.927(c)(2).

25 On the questionnaire, Dr. Valentine listed Plaintiff's
26 "[d]ate of first treatment" as "10-07," which, if interpreted to
27 mean October 2007, would postdate the questionnaire by four
28 months. (AR 629.) Dr. Valentine listed Plaintiff's last

1 examination date as June 20, 2007 – just five days before the
2 questionnaire was completed – but he left blank the space for
3 indicating “frequency of treatment” and wrote “unknown” as the
4 earliest date to which his description of Plaintiff’s condition
5 applied. (AR 629, 635.) Moreover, none of the notes from the
6 RCRMC, where Dr. Valentine worked, appear to have been completed
7 by him (see AR 409-98, 533-616), and at the August 2008 hearing,
8 Plaintiff testified that he thought he had “met” Dr. Valentine
9 only once.¹⁶ (AR 49.) The ALJ therefore permissibly discounted
10 Dr. Valentine’s opinion based on his apparently minimal or
11 nonexistent treatment relationship with Plaintiff.

12 The ALJ was also entitled to discount Dr. Valentine’s
13 finding that Plaintiff had “extreme limitations” because it was
14 “not supported by objective evidence or even Dr. Valentine’s own
15 treatment record.” (AR 20.) Dr. Valentine cited Plaintiff’s
16 hepatitis B and C lab results, but as the ALJ noted (AR 170),
17 those lab results actually partially undermined the doctor’s
18 assessment.¹⁷ Around the time that Dr. Valentine rendered his
19 opinion, Plaintiff’s hepatitis C viral load was undetectable, and
20 one RCRMC doctor had noted that Plaintiff “doesn’t have hep C
21 based on viral load.” (AR 416, 422-23.) The medical expert, Dr.
22 Landau, also testified that Plaintiff “seem[ed] to have cleared”
23

24 ¹⁶ Plaintiff also testified that he usually saw interns at
25 RCRMC and that “nobody could seem to get on the same page with
anything,” which is why he switched to a new doctor. (AR 49.)

26 ¹⁷ Specifically, in the October 2009 decision, the ALJ
27 noted that Dr. Valentine’s hepatitis C findings were “incorrect
28 as documented by the laboratory findings.” (AR 170.) The
October 2009 findings were incorporated into the February 2011
decision. (AR 14.)

1 the hepatitis C virus and found that Plaintiff's hepatitis C
2 virus was "healed." (AR 80, 85-86, 115.) Dr. Valentine noted
3 that Plaintiff had a negative - or normal - straight-leg-raising
4 test, which also undermined his disability opinion. (AR 629.)
5 Finally, Dr. Valentine stated that Plaintiff's lumbar spine was
6 positive for "TTP," presumably referring to tenderness to
7 palpation, but that seemingly mild finding does not support the
8 significant limitations he found - such as Plaintiff's inability
9 to sit for more than two hours or stand or walk for more than one
10 hour or his reduced ability to grasp or reach.

11 Plaintiff's other medical records also fail to support Dr.
12 Valentine's findings. Although Plaintiff was hospitalized three
13 times for infections and kidney failure, those conditions
14 apparently largely resolved with treatment. (See AR 605 (Aug.
15 2005 discharge note from hospitalization for "febrile illness"
16 listing disability status as "no disability"), AR 550 (Nov. 2005
17 discharge note from hospitalization for acute renal failure,
18 fevers, and "rule out" endocarditis listing disability status as
19 "no disability"); AR 427 (Dec. 2005 discharge note from
20 hospitalization for MRSA and bacteremia, Plaintiff "stable with
21 normal temperature and stable BUN & creatinine".) As the ALJ
22 and Dr. Landau noted (AR 21, 115), the objective evidence did not
23 establish that Plaintiff had arthritis; rather, x-rays of
24 Plaintiff's shoulders were normal, and an x-ray of Plaintiff's
25 lumbar spine showed only spondylolisthesis and osteopenia (AR
26 652-54). Dr. Pourrabbani examined Plaintiff and found only mild
27 symptoms; he concluded, as did the nonexamining doctors, that
28 Plaintiff was far less limited than Dr. Valentine had found. (AR

1 117, 501-03, 507-09.)

2 The ALJ also discounted Dr. Valentine's assessment because
3 it appeared to be premised on Plaintiff's discredited subjective
4 complaints. Indeed, Plaintiff likely filled out the form himself
5 and then gave it to Dr. Valentine to sign. The ALJ noted,
6 moreover, that Dr. Valentine found that Plaintiff had a pain
7 level of seven or eight of 10, which was "an opinion that only
8 [Plaintiff] could have given to Dr. Valentine" and was likely not
9 based on objective testing. (AR 20.) When asked whether
10 Plaintiff was a malingerer, Dr. Valentine answered "unknown,"
11 which also seems to indicate that he was relying on Plaintiff's
12 own account of his symptoms rather than objective data. (AR
13 634.) As discussed, Dr. Valentine listed little evidence in
14 support of his assessment, and some of the cited evidence failed
15 to support his assessment. Moreover, as discussed infra in
16 subsection B, the ALJ gave legally sufficient reasons for
17 discrediting Plaintiff's subjective symptom testimony to the
18 extent it was inconsistent with the RFC assessment. Dr.
19 Valentine's apparently almost exclusive reliance on Plaintiff's
20 subjective complaints was a specific and legitimate reason for
21 according his opinion less weight. See Tonapetyan v. Halter, 242
22 F.3d 1144, 1149 (9th Cir. 2001) (when ALJ properly discounted
23 claimant's credibility, he was "free to disregard" doctor's
24 opinion that was premised on claimant's subjective complaints);
25 Morgan v. Comm'r of Soc. Sec. Admin., 169 F.3d 595, 602 (9th Cir.
26 1999) (when physician's opinion of disability premised "to a
27 large extent" upon claimant's own accounts of symptoms,
28 limitations may be disregarded if complaints have been "properly

1 discounted").

2 The ALJ also noted that Dr. Valentine's assessment was a
3 "checklist style disability questionnaire" and that he may not
4 have reviewed Plaintiff's medical records before rendering his
5 assessment. (AR 20.) Dr. Valentine left blank several of the
6 spaces for explanation or further comment. (See AR 634 ("Please
7 explain the basis for your conclusions"), 635 ("Additional
8 comments").) Those are permissible reasons for according less
9 weight to Dr. Valentine's statements. See Molina v. Astrue, 674
10 F.3d 1104, 1111 (9th Cir. 2012) (ALJ may permissibly reject
11 check-off reports that do not contain explanation of basis for
12 conclusions); Batson, 359 F.3d at 1195 ("an ALJ may discredit
13 treating physicians' opinions that are conclusory, brief, and
14 unsupported by the record as a whole . . . or by objective
15 medical findings"); Crane v. Shalala, 76 F.3d 251, 253 (9th Cir.
16 1996) (ALJ permissibly rejected doctor's evaluations because they
17 were check-off reports that did not contain explanation of bases
18 for conclusions); 20 C.F.R. §§ 404.1527(c)(6) (extent to which
19 doctor is familiar with record is relevant factor in deciding
20 weight to give opinion), 416.927(c)(6) (same). The ALJ's
21 conclusion that Dr. Valentine did not review Plaintiff's medical
22 records is supported by the evidence. Dr. Valentine apparently
23 "met" with Plaintiff only once before rendering his opinion, and
24 it is unclear whether he actually treated him. When asked to
25 list Plaintiff's laboratory results and treatments, Dr. Valentine
26 stated only that Plaintiff had lumbar tenderness, was "positive
27 for hepatitis B & C," and took the medications methadone and
28

1 clonidine.¹⁸ (AR 629-30.) Dr. Valentine failed to mention any
2 other laboratory report, test, treatment, or hospitalization that
3 was reflected in Plaintiff's medical records. (AR 630.) He left
4 blank the question calling for a list of the patient's "other
5 treatment." (AR 633.) The ALJ was entitled to consider Dr.
6 Valentine's apparent unfamiliarity with the complete record when
7 determining how much weight to accord his opinion.

8 Finally, the ALJ was entitled to rely on testifying medical
9 expert Dr. Landau's opinion rather than Dr. Valentine's because
10 Dr. Landau's opinion was consistent with the objective evidence.
11 Thomas, 278 F.3d at 957 ("The opinions of non-treating or
12 non-examining physicians may also serve as substantial evidence
13 when the opinions are consistent with independent clinical
14 findings or other evidence in the record."); Morgan, 169 F.3d at
15 600 ("Opinions of a nonexamining, testifying medical advisor may
16 serve as substantial evidence when they are supported by other
17 evidence in the record and are consistent with it" (citing
18 Andrews v. Shalala, 53 F.3d 1035, 1041 (9th Cir. 1995))); see 20
19 C.F.R. §§ 404.1527(c)(4), 416.927(c)(4) (ALJ will generally give
20 more weight to opinions that are "more consistent . . . with the
21 record as a whole"). For example, Dr. Landau noted that
22 Plaintiff's hepatitis C was "healed" and that no objective
23 evidence supported Plaintiff's diagnosis of psoriatic arthritis,
24 opinions that were, as previously discussed, consistent with the
25 evidence. (AR 115-16.) Dr. Landau also noted that the evidence

27 ¹⁸ Clonidine is used to treat high blood pressure.
28 Clonidine, MedlinePlus, <http://www.nlm.nih.gov/medlineplus/druginfo/meds/a682243.html#why> (last updated Oct. 1, 2010).

1 did not support Plaintiff's claim that he had headaches two or
2 three times a week (AR 119); in fact, Drs. Tan (who treated
3 Plaintiff over a span of 20 months), Valentine, and Ibrahim (who
4 treated Plaintiff over a span of a year) all failed to note that
5 Plaintiff suffered from headaches, let alone frequent headaches
6 (see AR 618-22, 629-36, 642-46, 648-60, 667-70, 672-77), and
7 Plaintiff testified that his only medication for them was
8 naproxyn (AR 67), which is actually a nonsteroidal anti-
9 inflammatory drug.¹⁹

10 Moreover, Dr. Landau, unlike Dr. Valentine, reviewed all the
11 medical evidence up to the date of the hearing before rendering
12 his opinion. (AR 114-15); see 20 C.F.R. §§ 404.1527(c)(6)
13 (extent to which doctor is "familiar with the other information
14 in [claimant's] case record" is relevant factor in determining
15 weight given to opinion), 416.927(c)(6) (same). The ALJ could
16 also credit Dr. Landau's opinion because he testified at the
17 hearing, heard Plaintiff's testimony, and was subject to cross-
18 examination. See Andrews, 53 F.3d at 1042 (greater weight may be
19 given to nonexamining doctors who are subject to
20 cross-examination). Any conflict in the properly supported
21 medical-opinion evidence was the sole province of the ALJ to
22 resolve. See id. at 1041.

23 The ALJ erred in finding that Dr. Valentine did not state
24 his area of specialization (AR 20) because on the questionnaire
25 he listed "family medicine" as his specialty (AR 636). That
26

27 ¹⁹ Naproxen, MedlinePlus, [http://www.nlm.nih.gov/](http://www.nlm.nih.gov/medlineplus/druginfo/meds/a681029.html)
28 [medlineplus/druginfo/meds/a681029.html](http://www.nlm.nih.gov/medlineplus/druginfo/meds/a681029.html) (last updated June 15,
2012).

1 error, however, was harmless in light of the ALJ's other specific
2 and legitimate reasons for rejecting Dr. Valentine's opinion and
3 the fact that a background in family medicine did not
4 particularly qualify Dr. Valentine to assess the severity of
5 Plaintiff's impairments. See Carmickle, 533 F.3d at 1162-63.
6 Moreover, Dr. Landau was board-certified in internal medicine and
7 therefore at least as qualified as Dr. Valentine to render an
8 opinion on Plaintiff's conditions and functional limitations.
9 See 20 C.F.R. §§ 404.1527(c)(5) ("We generally give more weight
10 to the opinion of a specialist about medical issues related to
11 his or her area of specialty than to the opinion of a source who
12 is not a specialist."), 416.927(c)(5) (same); Smolen, 80 F.3d at
13 1285 (same).

14 Plaintiff is not entitled to reversal on this ground.

15 2. Dr. Nassir's opinion

16 The ALJ rejected Dr. Nassir's opinion for several reasons,
17 all of which were legally sufficient and supported by substantial
18 evidence.

19 The ALJ was entitled to discount Dr. Nassir's opinion
20 because it was not supported by his own examination findings or
21 the objective medical evidence. See Batson, 359 F.3d at 1195;
22 Thomas, 278 F.3d at 957. The ALJ noted that Dr. Nassir
23 "refer[red] to a dictated report as the basis for his extreme
24 opinions on the questionnaire, yet the report does not contain
25 objective evidence or evidence supported by [Plaintiff's] records
26 for the extreme limitations opined by Dr. Nassir." (AR 20.) Dr.
27 Nassir's report states that he conducted a physical exam and that
28 Plaintiff had "psoriatic breakouts of rashes throughout the body"

1 that were "somewhat in remission"; "[d]ecreased" range of motion
2 in the shoulders, right greater than left; "signs and symptoms"
3 of carpal tunnel, right greater than left; "stiffness of the
4 neck"; "decreased neck movement as well as the lower back and
5 right hip and femur movement secondary to surgery"; "neuro
6 symptoms" in the lower extremities, right greater than left; and
7 "[w]eakness on the right side." (AR 691.) Although Dr. Nassir
8 found that Plaintiff had reduced ranges of motion, he failed to
9 state the degree or severity of those limitations. Dr. Nassir
10 also simply referred to "symptoms" or "signs" of conditions,
11 without explaining what those symptoms and signs were. Moreover,
12 under "diagnostic examination," Dr. Nassir wrote, "[p]lease refer
13 to [Plaintiff's] extensive medical records," without citing to
14 any particular evidence, test result, or clinical finding or
15 explaining how the records supported his conclusions. (Id.) The
16 ALJ reasonably concluded that Dr. Nassir's vague findings failed
17 to adequately support his conclusion that Plaintiff suffered from
18 extensive medical impairments that were so significant as to
19 preclude all work and self-care activity. See 20 C.F.R.
20 §§ 404.1527(c)(3) (more weight accorded to opinion of medical
21 source who "presents relevant evidence to support an opinion,
22 particularly medical signs and laboratory findings," and provides
23 explanation for opinion), 416.927(c)(3) (same).

24 The ALJ also correctly noted that Plaintiff's "records do
25 not support a finding that [Plaintiff's] symptoms and extreme
26 limitations have been present since December 2003 as opined by
27 Dr. Nassir." (AR 20.) As previously discussed, the evidence did
28 not show that Plaintiff suffered from repeated migraine

1 headaches, and lab reports showed that Plaintiff's hepatitis C
2 viral load was undetectable. (AR 416, 422-23.) No objective
3 evidence established that Plaintiff had arthritis; rather, x-rays
4 of Plaintiff's shoulders were normal, and an x-ray of Plaintiff's
5 lumbar spine showed only spondylolisthesis and osteopenia. (AR
6 652-54.) Dr. Pourrabbani examined Plaintiff and found that
7 Plaintiff had, at most, mild symptoms as a result of his
8 impairments, and Drs. Pourrabbani and Valentine both noted that
9 Plaintiff had a negative – or normal – straight-leg test. (AR
10 502-03, 629.) That lack of objective evidence was a specific and
11 legitimate reason for rejecting Dr. Nassir's opinion that
12 Plaintiff had suffered from significant limitations since 2003.

13 Further, the ALJ was permitted to discount Dr. Nassir's
14 finding of extreme limitations because they were inconsistent
15 with Plaintiff's own reported activities of daily living. (AR
16 20-21.) See Rollins v. Massanari, 261 F.3d 853, 856 (9th Cir.
17 2001) (ALJ's finding that doctor's "restrictions appear to be
18 inconsistent with the level of activity that [plaintiff] engaged
19 in by maintaining a household and raising two young children,
20 with no significant assistance from her ex husband" was specific
21 and legitimate reason for discounting opinion); Morgan, 169 F.3d
22 at 601-02 (ALJ permissibly rejected treating physician's opinion
23 when it conflicted with plaintiff's activities); see also Fisher
24 v. Astrue, 429 F. App'x 649, 652 (9th Cir. 2011) (conflict
25 between doctor's opinion and claimant's daily activities was
26 specific and legitimate reason to discount opinion). Dr. Nassir,
27 who apparently saw Plaintiff only once, found that Plaintiff
28 could sit for only one hour and stand and walk for less than one

1 hour in an eight-hour day. (AR 683.) He believed Plaintiff's
2 medical problems would "constantly" interfere with his attention
3 and concentration and "not only prevent him from performing
4 required daily work activities" but also "required daily personal
5 needs." (AR 686, 692.) Dr. Nassir stated that the earliest date
6 his descriptions of Plaintiff's symptoms and limitations applied
7 was December 2003, more than seven years before his examination.
8 (AR 687.) Dr. Nassir's assessments were inconsistent with
9 Plaintiff's reported activities of daily living, including
10 driving his own car, performing his own personal care, walking
11 his pets, going to the movies, shopping for groceries for two
12 hours at a time, doing his laundry, preparing simple meals,
13 performing light housework, doing yard work like pulling weeds
14 and watering, and playing cards or board games with friends a
15 couple times a week. (AR 75-76, 341-45.) Plaintiff originally
16 filled out a function report reporting these activities in late
17 2006 (AR 341-45), three years after the effective date of Dr.
18 Nassir's assessment, and at the August 2009 hearing, Plaintiff
19 reconfirmed that he was still doing most of these things (AR 75-
20 76).

21 The ALJ also discounted Dr. Nassir's opinion because it
22 appeared to have been rendered solely for the purpose of
23 Plaintiff's Social Security claims. (AR 21.) "[I]n the absence
24 of other evidence to undermine the credibility of a medical
25 report, the purpose for which the report was obtained does not
26 provide a legitimate basis for rejecting it." Reddick, 157 F.3d
27 at 726; accord Case v. Astrue, 425 F. App'x 565, 566 (9th Cir.
28 2011). As discussed above, here the ALJ cited other evidence

1 that undermined the credibility of Dr. Nassir's report, and
2 consideration of the report's purpose was therefore appropriate.
3 Even if the ALJ's reliance on this factor was error, however, it
4 was harmless in light of the ALJ's other specific and legitimate
5 reasons for rejecting Dr. Nassir's report. See Stout v. Comm'r,
6 Soc. Sec. Admin., 454 F.3d 1050, 1055 (9th Cir. 2006)
7 (nonprejudicial or irrelevant mistakes harmless).

8 Finally, as discussed above, the ALJ was entitled to rely on
9 Dr. Landau's opinion instead of Dr. Nassir's because it was
10 consistent with the objective evidence and because he testified
11 at the hearing and was subject to cross-examination. Morgan, 169
12 F.3d at 600; Andrews, 53 F.3d at 1042. Plaintiff is not entitled
13 to reversal on this ground.

14 B. The ALJ Properly Assessed Plaintiff's Credibility

15 Plaintiff argues that the ALJ's decision should be reversed
16 because he found that Plaintiff was not fully credible but "never
17 set[] forth an analysis of [Plaintiff's] veracity" or
18 "explain[ed] specifically why [Plaintiff's] testimony of greater
19 limitations cannot be believed." (J. Stip. at 19-20.)

20 An ALJ's assessment of pain severity and claimant
21 credibility is entitled to "great weight." See Weetman v.
22 Sullivan, 877 F.2d 20, 22 (9th Cir. 1989); Nyman v. Heckler, 779
23 F.2d 528, 531 (9th Cir. 1986). "[T]he ALJ is not required to
24 believe every allegation of disabling pain, or else disability
25 benefits would be available for the asking, a result plainly
26 contrary to 42 U.S.C. § 423(d)(5)(A)." Molina, 674 F.3d at 1112
27 (internal quotation marks and citation omitted). In evaluating a
28 claimant's subjective symptom testimony, the ALJ engages in a

1 two-step analysis. See Lingenfelter, 504 F.3d at 1035-36.
2 "First, the ALJ must determine whether the claimant has presented
3 objective medical evidence of an underlying impairment [that]
4 could reasonably be expected to produce the pain or other
5 symptoms alleged." Id. at 1036 (internal quotation marks
6 omitted). If such objective medical evidence exists, the ALJ may
7 not reject a claimant's testimony "simply because there is no
8 showing that the impairment can reasonably produce the *degree* of
9 symptom alleged." Smolen, 80 F.3d at 1282 (emphasis in
10 original). When the ALJ finds a claimant's subjective complaints
11 not credible, the ALJ must make specific findings that support
12 the conclusion. See Berry v. Astrue, 622 F.3d 1228, 1234 (9th
13 Cir. 2010). Absent affirmative evidence of malingering, those
14 findings must provide "clear and convincing" reasons for
15 rejecting the claimant's testimony. Lester, 81 F.3d at 834. If
16 the ALJ's credibility finding is supported by substantial
17 evidence in the record, the reviewing court "may not engage in
18 second-guessing." Thomas, 278 F.3d at 959.

19 In a function report dated October 10, 2006, Plaintiff
20 stated that his daily activities included eating meals, watching
21 television, going to the store, going to the movies, and visiting
22 friends or family. (AR 341.) He took care of his mother by
23 cleaning the house and driving to appointments or the store. (AR
24 342.) He and his mother walked and fed their pets. (Id.) He
25 had no problems with personal care, prepared his own simple meals
26 every day, and shopped for food once a month for two hours at a
27 time. (AR 342-44.) He did laundry once a week for two hours,
28 light housekeeping for three or four hours a week, and "very

1 light" yard work for about two hours a week. (AR 343.) He went
2 outside for "short periods" almost every day and traveled by
3 walking or driving. (AR 344.) He watched television every day
4 and played cards or board games a couple times a week. (AR 345.)
5 He spent time with others one or two times a week by visiting,
6 playing games, or going to lunch or dinner. (Id.) He had no
7 problems getting along with people. (AR 346-47.)

8 Plaintiff stated that his conditions affected his ability to
9 lift, squat, bend, stand, reach, walk, sit, kneel, climb stairs,
10 complete tasks, concentrate, and use his hands. (AR 346.) He
11 could walk a half block or a block before needing to rest for
12 five or 10 minutes. (Id.) He was not able to handle stress well
13 and didn't like change. (AR 347.) Plaintiff stated that he
14 tired easily and got headaches when he was under stress. (AR
15 348.)

16 At the August 2008 hearing, Plaintiff testified that he
17 could not work because he had "severe aches" throughout his
18 joints, including his knees, hips, back, shoulders, wrists, and
19 fingers, with the worst pain in his lower back and knees. (AR
20 39, 45.) Plaintiff tired "extremely fast" and became dizzy "at
21 times," which would bring on "migraine headaches." (AR 39, 44-
22 45.) He could not lift anything above shoulder height, and
23 lifting anything of "substantial weight" caused pain. (AR 39,
24 51.) He had severe psoriasis on his scalp, elbows, arms, and
25 legs and around his fingernails. (AR 40-41.) As a result,
26 Plaintiff could not kneel or lean on his elbows and had problems
27 gripping, grasping, and fingering. (AR 41-42.) Plaintiff
28 testified that he could walk for only 10 to 15 minutes before

1 having to stop and rest for a few minutes; stand for 20 or 30
2 minutes before needing to sit down; and sit for 30 to 40 minutes
3 before needing to change positions. (AR 49-50.) He said he
4 could no longer climb ladders or scaffolding because he felt
5 unstable and fearful. (AR 52.)

6 At the August 2009 hearing, Plaintiff testified that he was
7 unable to work because of fatigue and "extreme pain" in his
8 shoulders and back. (AR 64.) He said that his joints were
9 "constantly sore" but the pain was relieved by methadone. (AR
10 64, 71.) He said that he had psoriasis over a "large percentage"
11 of his body, which was painful, prevented him from kneeling, and
12 made sitting uncomfortable. (AR 64-65.) Plaintiff testified
13 that his psoriasis also affected his fingernails, which made it
14 painful to grab something with the tips of his fingers. (AR 68-
15 69.) He said he got migraine headaches "at least three to four
16 times a month," lasting two or three hours. (AR 65, 67.) He
17 "tire[d] very quickly" and would lie down four or five times a
18 day for about a half an hour at a time. (AR 65, 71.) He had to
19 reposition on a "pretty constant basis" because if he stayed in
20 one position for long "things seem to lock in that position."
21 (AR 65.) Plaintiff testified he was unable to lift anything
22 above shoulder level and had difficulty reaching. (AR 66, 72.)
23 He said he could lift about 15 pounds at most and about 10 pounds
24 frequently, walk for about 15 minutes before needing to rest, and
25 sit for about an hour, although he needed to "constantly"
26 reposition himself. (AR 72-73, 79.) Plaintiff had a driver's
27 license and was able to drive. (AR 75.) He lived with his
28 mother and would fix meals and grocery shop with her. (AR 75-

1 76.) Plaintiff dusted and did "a little yard work," like
2 watering and pulling weeds; he could work in the yard for about
3 half an hour before needing a break. (AR 76, 79.) He had no
4 problems taking care of his own hygiene or dressing himself. (AR
5 78-79.)

6 At the December 2011 hearing, Plaintiff testified that his
7 physical and psychiatric conditions had worsened and that he was
8 "constantly" depressed, which he believed affected his attention.
9 (AR 101-02, 109.) Plaintiff was able to sleep for only two hours
10 at a time. (AR 103.) He had constant pain in his elbows, his
11 lower back and hips were painful 90% of the time, and he was
12 having two or three migraines a week. (AR 109.) He said that
13 his psoriasis had worsened and the skin on his elbows and knees
14 would crack and bleed. (AR 110.) He couldn't hold onto anything
15 with "any weight," and the dexterity in his fingers was reduced.
16 (AR 110-11.) Plaintiff testified that he could be on his feet
17 for about half an hour before needing a break, and he had pain
18 when lifting a gallon of milk. (AR 111-12.)

19 Reversal is not warranted based on the ALJ's alleged failure
20 to make proper credibility findings or properly consider
21 Plaintiff's subjective symptoms. Plaintiff argues that the ALJ
22 failed to give any reasons for his credibility determination, but
23 in the September 2008 decision, the ALJ clearly found that
24 Plaintiff had fatigue and "joint troubles" but that the degree of
25 his alleged symptoms and resulting limitations was "not
26 consistent with the objective studies and clinical findings, and
27 the range of his activities of living." (AR 156.) Those
28 findings, which Plaintiff does not challenge, were incorporated

1 into both later decisions (AR 14, 166) and supported the ALJ's
2 ultimate conclusion that Plaintiff's subjective symptom testimony
3 was not credible to the extent it was inconsistent with the
4 assigned RFC (AR 19). Thus, the ALJ explicitly assessed
5 Plaintiff's credibility and, as discussed below, gave clear and
6 convincing reasons for his credibility determination.

7 First, the ALJ properly discredited Plaintiff's subjective
8 complaints as inconsistent with his daily activities. See
9 Molina, 674 F.3d at 1113 ("Even where [claimant's] activities
10 suggest some difficulty functioning, they may be grounds for
11 discrediting the claimant's testimony to the extent that they
12 contradict claims of a totally debilitating impairment."); see
13 also Thomas, 278 F.3d at 958-59 (inconsistency between claimant's
14 testimony and conduct supported rejection of her credibility);
15 Verduzco v. Apfel, 188 F.3d 1087, 1090 (9th Cir. 1999)
16 (inconsistencies between claimant's testimony and actions clear
17 and convincing reason for rejecting claimant's testimony).
18 Plaintiff claimed he could not work because of fatigue and
19 "severe aches" throughout his joints. (AR 39, 45, 52, 64-65,
20 71.) He said he had trouble concentrating, could walk for one
21 block at most before needing to rest for five or 10 minutes,
22 could not kneel, and had problems gripping, grasping, and
23 fingering. (AR 41-42, 64-65, 346.) Nevertheless, as the ALJ
24 noted, Plaintiff engaged in a "wide range of activities of daily
25 living" (AR 19, 156): he drove his own car, performed personal
26 care, walked his pets, went to the movies, shopped for groceries
27 once a month for two hours at a time, did his own laundry,
28 prepared simple meals, performed light housework, did yard work

1 like watering and pulling weeds, and played cards or board games
2 with friends a couple times a week (AR 75-76, 341-45). Plaintiff
3 reconfirmed at the August 2009 hearing that he was still doing
4 most of these things, as he had first indicated in the October
5 2006 function report. (AR 75-76.) The ALJ reasonably concluded
6 that those activities were inconsistent with Plaintiff's claims
7 of total disability and consistent with an RFC for a limited
8 range of light work. (AR 156.)

9 Second, the ALJ's finding that Plaintiff's alleged symptoms
10 were not supported by "objective studies and clinical findings"
11 was also a clear and convincing reason for discounting
12 Plaintiff's credibility. See Carmickle, 533 F.3d at 1161
13 ("Contradiction with the medical record is a sufficient basis for
14 rejecting the claimant's subjective testimony."); Lingenfelter,
15 504 F.3d at 1040 (in determining credibility, ALJ may consider
16 "whether the alleged symptoms are consistent with the medical
17 evidence"); Burch v. Barnhart, 400 F.3d 676, 681 (9th Cir. 2005)
18 ("Although lack of medical evidence cannot form the sole basis
19 for discounting pain testimony, it is a factor that the ALJ can
20 consider in his credibility analysis."); Kennelly v. Astrue, 313
21 F. App'x 977, 979 (9th Cir. 2009) (same). Throughout the three
22 decisions, the ALJ discussed the lack of objective support for
23 Plaintiff's subjective complaints. The ALJ noted that
24 Plaintiff's condition had improved after he was hospitalized for
25 acute kidney failure in 2005. (AR 155.) The ALJ also correctly
26 noted that although Plaintiff complained of joint pains and
27 arthritis, Dr. Pourrabbani noted only "mild findings" in the
28 October 2008 exam, such as mild edema, mild shoulder tenderness

1 with abduction, mild deformities of the fingernails, and mildly
2 decreased grip strength. (Id.) The ALJ noted that x-rays of
3 Plaintiff's shoulders were normal, and an x-ray of Plaintiff's
4 lumbar spine showed only spondylolisthesis and osteopenia. (AR
5 170.) The ALJ also noted that Plaintiff's records did not
6 support his claims of constant migraines (AR 21); indeed, as
7 previously noted, neither of Plaintiff's doctors who treated him
8 for the longest periods, Drs. Tan and Ibrahim, had even noted any
9 headache symptoms (AR 618-22, 642-46, 648-60, 667-70, 672-77).
10 The ALJ therefore reasonably found that Plaintiff's complaints
11 were not supported by the objective medical evidence.

12 Because the ALJ's credibility finding was supported by
13 substantial evidence, the Court "may not engage in
14 second-guessing." Thomas, 278 F.3d at 959 (citation omitted).
15 Plaintiff is not entitled to reversal on this ground.

16 VII. CONCLUSION

17 Consistent with the foregoing, and pursuant to sentence four
18 of 42 U.S.C. § 405(g),²⁰ IT IS ORDERED that judgment be entered
19 AFFIRMING the decision of the Commissioner and dismissing this
20 action with prejudice. IT IS FURTHER ORDERED that the Clerk
21 serve copies of this Order and the Judgment on counsel for both
22 parties.

23 DATED: March 21, 2013



JEAN ROSENBLUTH
U.S. Magistrate Judge

24
25
26 ²⁰ This sentence provides: "The [district] court shall
27 have power to enter, upon the pleadings and transcript of the
28 record, a judgment affirming, modifying, or reversing the
decision of the Commissioner of Social Security, with or without
remanding the cause for a rehearing."