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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

VICKY L. RODRIGUEZ,	)	1:08-cv-01444-JLT
	)	
Plaintiff,	)	ORDER DENYING PLAINTIFF’S SOCIAL SECURITY COMPLAINT (DOC. 1)
	)	
v.	)	
	)	ORDER DIRECTING THE ENTRY OF JUDGMENT FOR DEFENDANT MICHAEL J. ASTRUE, COMMISSIONER OF SOCIAL SECURITY, AND AGAINST PLAINTIFF VICKY L. RODRIGUEZ
MICHAEL J. ASTRUE, COMMISSIONER OF SOCIAL SECURITY,	)	
	)	
Defendant.	)	
	)	

In this action, Plaintiff seeks judicial review of a final decision of the Commissioner of Social Security (Commissioner) denying her application for Supplemental Security Income (SSI) benefits and Disability Insurance Benefits (DIB). In her of September 29, 2005 application for benefits, she claimed to have been disabled since June 30, 2005, due to a depressive disorder. (A.R. 111-14, 338-42, 15.) The parties have consented to the jurisdiction of the United States Magistrate Judge pursuant to 28 U.S.C. § 636(c)(1).

The decision under review stems from that issued by Social Security Administration (SSA) Administrative Law Judge (ALJ) Bert C. Hoffman, Jr., dated March 28, 2008. (A.R. 13-20). The ALJ issued his decision after a hearing held on January 7, 2008, at which Plaintiff appeared and testified. (A.R. 13, 24-53). The Appeals Council denied Plaintiff’s request for review on September 3, 2008 (A.R. 3-5), and thereafter Plaintiff filed her complaint in this Court

1 on September 25, 2008. Briefing commenced on June 1, 2009, with the filing of Plaintiff's  
2 opening brief. Defendant filed opposition on June 22, 2009, and Plaintiff's reply was filed on  
3 July 7, 2009. The matter has been submitted without oral argument.

4 I. Jurisdiction

5 Plaintiff timely filed her complaint on September 29, 2008, less than sixty days after the  
6 mailing of the notice of decision on or about September 3, 2008. (42 U.S.C. §§ 1383(c)(3) and  
7 405(g))

8 II. Standard and Scope of Review

9 Congress has provided a limited scope of judicial review of the Commissioner's decision  
10 to deny benefits under the Act. When conducting its review, the Court must determine whether  
11 the decision of the Commissioner is supported by substantial evidence. 42 U.S.C. § 405(g).  
12 Substantial evidence is "more than a mere scintilla," Richardson v. Perales, 402 U.S. 389, 402  
13 (1971), but less than a preponderance. Sorenson v. Weinberger, 514 F.2d 1112, 1119, n. 10 (9th  
14 Cir. 1975). It is "such relevant evidence as a reasonable mind might accept as adequate to  
15 support a conclusion." Richardson, 402 U.S. at 401.

16 The Court must consider the record as a whole, weighing both the evidence that supports  
17 and the evidence that detracts from the Commissioner's conclusion. Robbins v. Soc. Sec.  
18 Admin., 466 F.3d 880, 882 (9<sup>th</sup> Cir. 2006); Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985).  
19 It may not simply isolate a portion of evidence that supports the decision. It is immaterial that the  
20 evidence would support a finding contrary to that reached by the Commissioner. Instead, the  
21 determination of the Commissioner as to a factual matter will stand if supported by substantial  
22 evidence because it is the Commissioner's obligation to resolve any conflicts in the evidence.  
23 Sorenson v. Weinberger, 514 F.2d 1112, 1119 (9<sup>th</sup> Cir. 1975).

24 In weighing the evidence and making findings, the Commissioner must apply the proper  
25 legal standards. Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th Cir. 1988). This Court must  
26 review the whole record and uphold the Commissioner's determination that the claimant is not  
27 disabled if the Commissioner applied the proper legal standards, and if the Commissioner's  
28 findings are supported by substantial evidence. See, Sanchez v. Secretary of Health and Human

1 Services, 812 F.2d 509, 510 (9th Cir. 1987); Jones v. Heckler, 760 F.2d at 995. If the Court  
2 concludes that the ALJ did not use the proper legal standard, the matter will be remanded to  
3 permit application of the appropriate standard. Cooper v. Bowen, 885 F.2d 557, 561 (9<sup>th</sup> Cir.  
4 1987).

5 III. Disability

6 A. Disability Analysis

7 In order to qualify for benefits, a claimant must establish that she is unable to engage in  
8 substantial gainful activity due to a medically determinable physical or mental impairment which  
9 has lasted or can be expected to last for a continuous period of not less than twelve months. 42  
10 U.S.C. § 1382c(a)(3)(A). A claimant must demonstrate a physical or mental impairment of such  
11 severity that the claimant is not only unable to do the claimant's previous work, but cannot,  
12 considering age, education, and work experience, engage in any other kind of substantial gainful  
13 work which exists in the national economy. 42 U.S.C. 1382c(a)(3)(B); Quang Van Han v.  
14 Bowen, 882 F.2d 1453, 1456 (9<sup>th</sup> Cir. 1989). The burden of establishing a disability initially is on  
15 the claimant, who must prove that the claimant is unable to return to his or her former type of  
16 work. If met, then the burden shifts to the Commissioner to identify other jobs that the claimant  
17 is capable of performing considering the claimant's residual functional capacity ("RFC"), as well  
18 as her age, education and last fifteen years of work experience. Terry v. Sullivan, 903 F.2d 1273,  
19 1275 (9<sup>th</sup> Cir. 1990).

20 The regulations provide that the ALJ must make specific sequential determinations in the  
21 process of evaluating a disability: 1) whether the applicant engaged in substantial gainful activity  
22 since the alleged date of the onset of the impairment, 2) whether, solely on the basis of the  
23 medical evidence, the claimed impairment is severe, that is, of a magnitude sufficient to  
24 significantly limit the individual's physical or mental ability to do basic work activities; 3)  
25 whether, solely on the basis of medical evidence, the impairment equals or exceeds in severity  
26 certain impairments described in Appendix I of the regulations; 4) whether the applicant has  
27 sufficient RFC, defined as what an individual can still do despite limitations, to perform the  
28 applicant's past work; and 5) whether on the basis of the applicant's age, education, work

1 experience and RFC, the applicant can perform any other gainful and substantial work within the  
2 economy. See 20 C.F.R. § 416.920.

3 B. The ALJ's Findings

4 The ALJ found that Plaintiff's depressive disorder was severe but that it did not meet or  
5 medically equal a listed impairment. Likewise, the ALJ found that Plaintiff retained the RFC to  
6 perform a full range of work at all exertional levels as long as it involved only limited public  
7 contact. Although the ALJ found that Plaintiff could not perform her past relevant work as a fast  
8 food restaurant worker and child care provider, because they involved frequent public contact  
9 and interaction with others, he found that she was a younger individual on the alleged disability  
10 date, had a high school education, was able to communicate in English, and had the RFC to  
11 perform work at all exertional levels. The ALJ determined that because her non-exertional  
12 limitations had little or no effect on the occupational base of unskilled work at all exertional  
13 levels, there were jobs in significant numbers that she could perform that did not involve public  
14 contact or frequent interaction with others. Therefore, under the framework of § 204.00 of the  
15 Medical-Vocational Guidelines, the ALJ determined that Plaintiff was not disabled. (A.R. 15-  
16 20.)

17 IV. Plaintiff's Contention

18 Plaintiff's sole argument is that the ALJ erroneously evaluated the opinions of treating  
19 psychiatrist Gabriela Obrocea, M.D., Ph.D. Plaintiff contends that, once the ALJ determined that  
20 Dr. Obrocea's opinions were not entitled to controlling weight, he was required to consider the  
21 length of the treatment relationship, the frequency of the examinations and the nature and extent  
22 of the treatment relationship to determine the proper weight to give to the opinions. (Op. Brf. Pp.  
23 8-9.) Plaintiff argues further that, contrary to the ALJ's conclusion, Dr. Obrocea's opinions were  
24 consistent with the record as a whole, which reflected sustained periods of significant limitations  
25 from depression and only brief periods of improvement. (Id. pp. 9-10.) Plaintiff seeks to have this  
26 Court credit the opinions of Dr. Obrocea and direct an immediate award of benefits. (Brf. p. 11.)  
27 In the reply, Plaintiff argues that the ALJ's reasons were not sufficiently specific.

28 V. Administrative Record

1           A.     Medical Evidence

2           In May 2004, progress notes from Shafter Community Health Center indicated that  
3 Plaintiff felt better and her symptoms of panic attacks had improved over the past two weeks.  
4 The notes indicate that her memory and cognition were intact, her affect was stable, and she had  
5 no suicidal ideations or hallucinations. She reported that she was babysitting for her sister.  
6 Plaintiff reported that she did not want to take her medication. The clinician's impression was  
7 panic disorder with agoraphobia. The strategy was to limit and schedule worry time, with follow-  
8 up in two weeks. (A.R. 336-337.)

9           On August 5, 2005, Ken W. Pratt, MFT Intern with Kern County Mental Health  
10 (KCMH), completed an assessment of Plaintiff, who reported panic attacks lasting for five to ten  
11 minutes about once a week, decreasing in frequency over the past year. Plaintiff reported that she  
12 had been doing better since she began taking Paxil in January 2005, but she was presently out of  
13 medication. Pratt noted that Plaintiff was properly groomed, behaved well and she exhibited  
14 normal speech. Although Pratt noted that Plaintiff was "somewhat depressed," she made good  
15 eye contact and responded timely to questions. Pratt determined that Plaintiff's intellectual  
16 functioning was average but that she appeared to have "fair to poor" insight and judgment. Pratt  
17 diagnosed major depressive disorder, recurrent, severe, without psychotic features, panic disorder  
18 without agoraphobia, with a global assessment of functioning (GAF) score of 55. Pratt  
19 recommended Plaintiff to undergo an evaluation to determine her need for medication and to  
20 engage in therapy to help her with the depression and panic attacks. (A.R. 272-74.)

21           On September 16, 2005, Gabriela Obrocea, M.D., staff psychiatrist at KCMH, signed a  
22 psychiatric and medication evaluation of Plaintiff that was based on an examination that occurred  
23 on August 15, 2005. (A.R. 299-301, 271, 268-70.) At that time, Plaintiff reported to Dr.  
24 Obrocea's that her father and several cousins suffered from depression. She complained of  
25 having been depressed for two weeks which was characterized as causing her to cry "all the  
26 time." She reported also that she had anxiety and worried her health, her parents' health and  
27 about dying from a heart attack. She said that she suffered panic attacks that lasted about a  
28 minute and involved her having palpitations, racing heartbeat, sweaty palms and difficulty

1 breathing. She reported that she had “somewhat pessimistic thoughts” and hypersomnia, sleeping  
2 12 hours out of 24. (A.R. 299.) Plaintiff related a history of having been depressed about a year  
3 previously. She stated that Paxil, her prescribed medication, made her feel tense and restless and  
4 that she “discontinued the Paxil on her own” in 2005. She said that she suffered withdrawal  
5 symptoms with myalgias, arthralgias, and numbness and tingling in her hands and feet. (A.R.  
6 299.) Plaintiff denied any hospitalization for psychiatric complaints or ever having any suicidal  
7 thoughts in her life. She reported that she had graduated from high school as an average student  
8 and planned a college program involving computers. Although she was shy and withdrawn in  
9 school, she reported that after leaving school she had more friends. (A.R. 299-300.)

10 Dr. Obrocea conducted a mental status examination of Plaintiff that revealed that she was  
11 mildly overweight, was alert and oriented in all spheres and was cooperative. She exhibited  
12 normal psychomotor activity and speech with increased latency of response and a somewhat  
13 monotonous, slowed rate. Dr. Obrocea found Plaintiff’s affect was “mood and thought  
14 congruent” and somewhat constricted but that she had no lability of affect. Dr. Obrocea’s felt that  
15 Plaintiff had no perception abnormalities except depersonalization and derealization phenomena  
16 that occurred as hypnaogic phenomena when she fell asleep. (A.R. 299-300.) Plaintiff’s thought  
17 process was coherent and goal directed, her insight was good, and she had the ability to abstract  
18 and handle hypothetical situations well. (A.R. 300.)

19 Dr. Obrocea noted that Plaintiff’s depression was atypical and was characterized by  
20 “hyperphagia and hypersomnia and anergic, but with preservation of hedonic symptoms.” (A.R.  
21 301.) Dr. Obrocea’s diagnosis was “Dysthymia. Rule out Major Depressive Disorder, Severe,  
22 with Atypical Features. Rule out Bipolar Affective Disorder, Type II, Depressed, Severe, without  
23 Psychotic Features; Rule out Double Depression. Panic Disorder without Agoraphobia.” Dr.  
24 Obrocea determined that Plaintiff’s GAF score was 35 to 45. (A.R. 301.) Dr. Obrocea  
25 recommended a full laboratory work-up to address reversible causes for depression, for Plaintiff  
26 to begin taking Wellbutrin SR, with the dosage to be increased within two weeks and for Plaintiff  
27 to return for follow-up in two weeks. (A.R. 301.)

28 On October 18, 2005, after an examination on October 17, 2005, Dr. Obrocea completed

1 a short-form evaluation of Plaintiff for mental disorders. (A.R. 296-98.) She noted that Plaintiff  
2 was disheveled, with retarded motor activity, slow speech, and, although she was cooperative,  
3 she demonstrated apathetic behavior. She was oriented in all spheres, her concentration was  
4 slightly distracted, her memory was normal and her intelligence was average but her mood was  
5 anxious, depressed, fearful, labile, and dysphoric. Dr. Obrocea noted that Plaintiff had no  
6 hallucinations or illusions. Her thought process involved blocking, nihilistic delusions, and  
7 obsessions but her judgment was intact. Dr. Obrocea's opined that Plaintiff's ability to  
8 understand, remember and carry out complex instructions and to complete a normal workday and  
9 workweek without interruptions from psychologically based symptoms were poor. On the other  
10 hand, Dr. Obrocea believed that Plaintiff's ability to understand, remember, and carry out simple  
11 instructions, to maintain concentration, attention, and persistence, to perform activities within a  
12 schedule and maintain regular attendance, and to respond appropriately to changes in the work  
13 setting were all fair. Dr. Obrocea stated that Plaintiff's prognosis was guarded. She believed that  
14 Plaintiff's predisposing factors included genetic vulnerability, precipitating life stressors, and  
15 perpetuating side-effects from medication. Dr. Obrocea diagnosed Plaintiff with double  
16 depression and BPAD II, severe without psychotic features.

17 In later 2005, Dr. Obrocea's psychiatric progress notes (A.R. 258-67) reflect that she  
18 adjusted Plaintiff's medications. She increased the dosage of Wellbutrin (A.R. 267, 265),  
19 recommended that Plaintiff begin taking a mood stabilizer (A.R. 266-67), prescribed Klonopin  
20 and Synthroid (A.R. 265) and noted other adjustments. (A.R. 263). Plaintiff reported that she felt  
21 a little better with a mood that brightened when she went out, although she was easily irritated  
22 and had poor concentration and low energy. (A.R. 266 [August 29, 2005].) In late October 2005,  
23 Plaintiff denied any experience of anxiety, fearfulness, or sadness, and reported that the  
24 depression was lifting. (A.R. 262.). By December Plaintiff reported that her energy had increased  
25 and she went walking for thirty minutes at night. (A.R. 260 [December 9, 2005]) She reported  
26 also that her concentration had been "ok." Id. On December 27, 2005, Plaintiff reported feeling  
27 a little better and less depressed. (A.R. 258 [December 27, 2005]). Plaintiff's reported difficulties  
28 of falling asleep (A.R. 264, 260) were followed by improvement to sleep that was "ok." (A.R.

1 258).

2 A survey of the objective signs observed during Dr. Obrocea's evaluations of Plaintiff in  
3 late 2005, reflects that Plaintiff exhibited neat or mildly disheveled grooming, normal or slow  
4 speech, good or intermittent eye contact, unremarkable or decreased psychomotor response,  
5 cooperative behavior, sad or depressed, and then, euthymic mood, appropriate affect,  
6 unremarkable or slowed thought process, unremarkable thought content, average intelligence,  
7 good or fair insight, memory, and judgment, and intact concentration. (A.R. 266, 264, 262, 260,  
8 258.) The response to treatment ranged from mildly improved or minimally better (A.R. 267  
9 [August 2005], 265 [October 2005], 259 [December 27, 2005]) to much improved (A.R. 263  
10 [October 25, 2005], 261 [December 9, 2005]).

11 In February 2006, a case worker completed a periodic plan of care progress note and  
12 stated that at a meeting with Plaintiff, Plaintiff indicated that she was doing well, was very active,  
13 went out with cousins and sometimes her friends, and continued to be interested in returning to  
14 school. Plaintiff reported that the medication was helping her deal with her mental state.  
15 Although Plaintiff reported that she did not want to work in fast food restaurants all her life, that  
16 these were places for her to start since she needed a source of income. The plan was to link  
17 Plaintiff to the career services center and other services that would provide information and  
18 training opportunities. Also, the case worker agreed to obtain information for Plaintiff regarding  
19 attending Bakersfield College. (A.R. 257.)

20 In March 2006, non-examining state agency physician, Charles Lawrence, Ph.D., a  
21 consultant in psychology, completed a psychiatric review technique covering June 30, 2005,  
22 through March 9, 2006, and a mental RFC assessment. (A.R. 302-14, 317-19.) Dr. Lawrence  
23 determined that Plaintiff had affective and anxiety-related disorders (§§ 12.04 and 12.06),  
24 including depressive disorder, not otherwise specified, and panic disorder without agoraphobia.  
25 Dr. Lawrence noted that there was no documentation in the longitudinal record of signs or  
26 symptoms of bipolar disorder, which was listed as a disorder to be ruled out in the psychiatric  
27 evaluation of August 15, 2005. (A.R. 305, 307.) Dr. Lawrence concluded that Plaintiff was  
28 mildly limited in the activities of daily living, that she had difficulties in maintaining social



1 functioning, and that she was moderately limited in maintaining concentration, persistence, and  
2 pace (A.R. 312.) The evidence did not establish “C” criteria. (A.R. 313.) Lawrence concluded  
3 that Plaintiff’s only limitations were moderate limitations of the ability to complete a normal  
4 workday and workweek without interruptions from psychologically based symptoms, to perform  
5 at a consistent pace without an unreasonable number and length of rest periods, and to respond  
6 appropriately to changes in the work setting. Dr. Lawrence believed that Plaintiff would be able  
7 to follow instructions and persist on tasks to completion. She might have difficulty coping with a  
8 pressured pace, but she would be able to maintain an adequate, if not rapid, pace. Dr, Lawrence  
9 opined that Plaintiff could have difficulty coping with frequent changes in routines, but she could  
10 tolerate occasional changes. Dr. Lawrence believed that Plaintiff would be able to maintain a  
11 regular schedule, she could interact effectively with others and she would be sensitive to  
12 environmental hazards. Dr. Lawrence concluded that there were no substantial mental  
13 limitations. (A.R. 318-19.)

14 Dr. Lawrence’s consulting notes stated that there were important inconsistencies in the  
15 records of evaluation and treatment. (A.R. 314.) The medical history reflected panic disorder  
16 successfully treated with Paxil in 2004, followed by returning symptoms in 2005 after Plaintiff  
17 stopped taking the Paxil early that year. At the time, Plaintiff had explained that she stopped  
18 Paxil because of side-effects but she had reported that she suffered no side-effects from the drug  
19 in December 2004. (Id.) Dr. Lawrence noted that Plaintiff’s complaints in 2005 related to panic  
20 attacks and depressive symptoms and that the examining psychiatrist had diagnosed dysthymic  
21 disorder and panic disorder without agoraphobia. Also, Lawrence noted that Plaintiff was treated  
22 with Wellbutrin. (A.R. 314.)

23 Dr. Lawrence was concerned that the psychiatrist had submitted an evaluation with  
24 different diagnoses but with no information or explanation given for why the diagnoses had  
25 changed. (A.R. 314.) The medication used to treat Plaintiff was not identified. Likewise, Dr.  
26 Lawrence noticed that some symptoms mentioned in the evaluation, such as obsessions, thought  
27 blocking, and nihilistic delusions, were not reflected in the records of the evaluation or in any  
28 previous records. Although, the examining psychiatrist concluded that Plaintiff would have great

1 difficulty with complex instructions and completing a work day and work week, Dr. Lawrence  
2 found no information to support this conclusion. Further, the psychiatrist's evaluation did not  
3 describe or provide evidence of ongoing treatment and it did not describe the response to  
4 treatment. In view of Plaintiff's very favorable response to treatment in the past, and considering  
5 the absence of any reason to suggest that Plaintiff could not be treated effectively, Dr. Lawrence  
6 concluded that Dr. Obrocea's proposed limitations could not be adopted. (A.R. 314.)

7 Dr. Lawrence identified problems with the credibility of the information concerning  
8 Plaintiff's activities of daily living (ADL). (A.R. 314.) Dr. Lawrence noted that all of the ADL  
9 forms were completed by Plaintiff's mother and all of the comments in the three forms in the  
10 record were virtually identical. He noted also that on one ADL (the report of October 28, 2005),  
11 the author initially referred to the Plaintiff as "I" but soon began referring to her as "She." Also,  
12 the back of the form was signed by the mother where the person completing the form was  
13 instructed to sign. Id.

14 Further, some of the reported symptoms were inconsistent with Plaintiff's diagnosis and  
15 were not reflected in the treatment notes. For example, Plaintiff's mother reported that Plaintiff  
16 was so forgetful that she forgot to take her medication and forgot whether she had taken it or not.  
17 However, Plaintiff's diagnosis would not produce major deficits of memory. Plaintiff's mother  
18 reported that Plaintiff would not bathe in her own bathroom or go anywhere unaccompanied, but  
19 such intense, agoraphobic characteristics were not reflected in treatment or evaluation records.  
20 Instead, the diagnosis consistently had been panic disorder without agoraphobia. (A.R. 314.)  
21 Also, Plaintiff's mother reported anger and other problems that were not reflected in the  
22 treatment notes. (Id.) Dr. Lawrence concluded that the ADL forms greatly exaggerated Plaintiff's  
23 functional limitations, and he gave them minimal weight in assessing Plaintiff's mental  
24 impairment. (Id.)

25 Psychiatric progress notes from 2006 reflect that Plaintiff made initial improvement.  
26 Then in the middle of the year she had greater difficulty followed by further improvement  
27 towards the end of 2006. (A.R. 223-55.)

28 During 2006, generally Plaintiff presented with mild or normal objective signs, including

1 mildly disheveled or disheveled grooming, normal speech, good eye contact, unremarkable  
2 psychomotor response, cooperative behavior, sad and depressed mood, appropriate affect,  
3 unremarkable thought process and content, good insight, judgment, and memory, and intact  
4 concentration. (A.R. 255, 248, 243, 241, 235, 232, 230, 228, 226, 224.)<sup>1</sup> In March 2006, Dr.  
5 Obrocea noted a mild setback due to Plaintiff's break-up with her boyfriend, accompanied by  
6 distractibility. (A.R. 256.)<sup>2</sup> By the end of March 2006, Plaintiff reported that she felt mentally  
7 stable with decreased depression, although she was anxious about having been notified that her  
8 medical benefits were expiring soon. (A.R. 251.) Plaintiff stated in a periodic review that she  
9 wanted to go to school but her priority was to get a job. Psychiatrist Laura Diaz-Winterset noted  
10 Plaintiff's need for additional guidance and support to encourage change and reduction of  
11 symptoms. Likewise, Dr. Diaz-Winterset noted that Plaintiff needed assistance with gaining job  
12 skills and that the progress she was making in developing coping skills should be monitored.  
13 (A.R. 254.) Plaintiff was to be given help in job hunting and would be enrolled in training  
14 programs or college to increase her education and skills. (A.R. 250-51.)

15 In April 2006, Plaintiff experienced mood swings, racing thoughts, frustration, and  
16 anxiety regarding her parents' health and her inability to find a job. She reported that she  
17 anticipated being discontinued from "medical" due to "the age out process," and she worried  
18 what would happen to her if she had to lose mental health services. She reported that she was in  
19 the process of appealing the denial of her SSI application. (A.R. 247-8.) When evaluated in early  
20 May 2006, Plaintiff had not been taking her medications for a month because she had been  
21 denied eligibility for the medication program. She reported that this had prompted severe  
22 depression and thoughts of death and wanting to die. (A.R. 243.)

23 By June 2006, without medication, Plaintiff reported that she was minimally improved,  
24

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25 <sup>1</sup> Speech was slow, and psychomotor was slowed or decreased in April, May, June, August, September,  
26 October, and November 2006. Thought process was slowed in May and October 2006. (A.R. 248, 243, 288, 290,  
27 241, 286, 232, 230, 228, 224.) Mood was also anxious in May and October 2006, and there was mild dysphoria in  
November 2006. (A.R. 243, 228, 226.) Insight and memory were fair in May and November 2006. (A.R. 243, 226.)

28 <sup>2</sup> Plaintiff reported that despite having broken up with her boyfriend, her energy was good (A.R. 255), but  
Dr. Obrocea reported that Plaintiff complained of low energy and fatigue (A.R. 256).

1 with improved sense of joy and pleasure and varying energy; Dr. Obrocea noted minimal  
2 improvement with treatment. (A.R. 241-42, 235-36.)

3 On June 6, 2006, Dr. Obrocea completed a short-form evaluation for mental disorders.  
4 (A.R. 279-81.) Plaintiff was disheveled, with retarded motor activity, slow speech, and guarded  
5 and apathetic behavior. Dr. Obrocea noted that Plaintiff was oriented in all spheres, concentration  
6 was mildly impaired, memory was moderately impaired, intelligence was above average, mood  
7 depressed, sad, anxious, and angry. Dr. Obrocea found that Plaintiff's affect was appropriate and  
8 congruent with her mood and thought content. Also, her thought process was slowed, with  
9 obsessions and suicidal fears. Nevertheless, Dr. Obrocea found Plaintiff's judgment to be intact  
10 judgment. She noted that Plaintiff had no history of alcohol or drug abuse. Dr. Obrocea believed  
11 that Plaintiff had made progress with treatment but currently had suicidal ideation and increased  
12 depression. Therefore, Plaintiff's prognosis was guarded. (A.R. 281.)

13 Dr. Obrocea concluded that Plaintiff had poor ability (could not usefully perform or  
14 sustain the activity) to understand remember and carry out complex instructions, to complete a  
15 normal workday and workweek without interruptions from psychologically based symptoms, and  
16 respond appropriately to changes in a work setting. Dr. Obrocea found that Plaintiff had a fair  
17 ability to understand, remember, and carry out simple instructions and to maintain concentration,  
18 attention and persistence. (A.R. 281.) Plaintiff was able to manage her own funds in her own best  
19 interest. (Id.)

20 In July, Dr. Diaz-Winterset noted that, due either to side-effects or problems with access  
21 to medications, Plaintiff was having several medication issues and increased symptoms. (A.R.  
22 234.) In August 2006, Dr. Obrocea opined that Plaintiff was "maybe minimally better in terms of  
23 suicidality" and noted that Plaintiff reported that she felt "a little better." (A.R. 233.) Plaintiff  
24 reported that she was only taking one Wellbutrin and had discontinued the Paxil because of side-  
25 effects. At the time, her focus and concentration were low. (A.R. 232.)

26 In September 2006, Plaintiff's compliance with medication was again good, and she  
27 reported good energy. Although she reported that she had broken up with her boyfriend, she was  
28 not fearful and she socialized in Los Angeles. (A.R. 230.) In October, Plaintiff reported feeling

1 sad and depressed for two days in the past week but that her mood was “ok for 1 week.” She  
2 expressed thoughts of hopelessness and low self-esteem. (A.R. 228.) However, she reported that  
3 she started playing softball, practicing three times a week and playing two games a week. In  
4 addition, she reported that she had stayed in the hospital with a cousin who had attempted suicide  
5 by an overdose of pills. (A.R. 229.)

6 In early November 2006, Plaintiff reported fair compliance with medication and that she  
7 was feeling better and that her anxiety was subsiding. She reported that she drinking once per  
8 month and that drinking had made her depression and anxiety worse. Dr. Xiaoyin Zhou  
9 recommended education and programming regarding potential alcohol abuse problem. (A.R.  
10 226-27.) He noted that Plaintiff’s response to the new medication regimen was fast, good, and  
11 involved noticeable improvement. (A.R. 227.) At the end of November, with good medication  
12 compliance, Plaintiff’s energy was much improved, her sense of joy, pleasure, and enjoyment  
13 were good, and her grooming was neat. (A.R. 224.) Dr. Obrocea found that her response to  
14 treatment was very much improved. (A.R. 225.) The December 5, 2006 periodic plan of care  
15 reflected that Plaintiff’s goals were to get out more and receive SSI benefits. (A.R. 223.)

16 In 2006, Dr. Obrocea consistently assessed Plaintiff as severely disabled and unable to  
17 work. (A.R. 256, 249, 244, 242, 236, 233, 231.) Dr. Obrocea’s diagnosis changed in May 2006  
18 from BPAD II, depressed, major depressive disorder (A.R. 256, 249) to double depression, major  
19 depressive disorder, recurrent, severe (A.R. 244.) In June 2006, Dr. Obrocea diagnosed double  
20 depression, major depressive disorder, severe, with psychotic features. (A.R. 236.) In September  
21 2006, in addition to double depression, the doctor diagnosed panic attacks, but the depression  
22 was noted to be “currently mild.” (A.R. 231.) In October 2005, when Plaintiff reported playing  
23 softball five times a week and staying with her cousin in the hospital, Dr. Obrocea added panic  
24 disorder with agoraphobia to the diagnosis. (A.R. 229.)

25 On November 30, 2006, non-examining state agency psychiatrist Dr. Marina C. Vea  
26 opined that Plaintiff was capable of performing simple, repetitive tasks. (A.R. 320-21.) Her  
27 consulting notes (A.R. 315-16) indicated that the examination by Dr. Obrocea on August 15,  
28 2005, produced unremarkable findings except that Plaintiff was depressed. (A.R. 315.) Dr. Vea

1 noted that a mental status exam that appeared to have been performed on October 17, 2005 (two  
2 months later) reflected Plaintiff's fair status in four areas and poor ability only with respect to  
3 complex tasks and completing a work day or work week. At this time, the diagnosis given  
4 Plaintiff was double depression, BPAD without psychotic features. Dr. Vea noted that Dr.  
5 Obrocea started Plaintiff on Wellbutrin and by the time of the evaluation of Plaintiff on October  
6 17, 2005, had seen Plaintiff for only two months. (Id.)

7 Also, Dr. Vea noted the inconsistencies that Dr. Lawrence had discussed and said she  
8 would not repeat them. (A.R. 316.) She agreed that Plaintiff could perform simple, repetitive  
9 tasks and agreed with Dr. Lawrence's psychiatric review technique form and mental RFC  
10 assessment.

11 Psychiatric progress notes from KCMH treatment in 2007 reflect objective signs and  
12 disability assessments that were generally consistent with those from in 2006. (A.R. 220  
13 [February 2007, tearful affect, fair insight, memory, and judgment, mildly impaired attention or  
14 concentration]; 211-12 [improved objective signs]; 203-04; 192-93, 184-85, 176-77, 170-71.)

15 Initially in 2007 the improvement with treatment that began in 2006 continued. (A.R.  
16 220-21 [In February 2007, Plaintiff reported being fifty per cent better, tolerating medications  
17 well, and feeling good playing basketball or babysitting her niece; Dr. Padhy or Padry assessed  
18 that Plaintiff was improving well]; 218 [Plaintiff reported doing fine, visiting and going out with  
19 family but staying home a lot].)

20 Collateral progress notes from late February through mid-March 2007, revealed that  
21 Plaintiff's mother had found an attorney to work on Plaintiff's SSI case. Further, the service  
22 provider had spoken with a registered nurse in the authorization department and Plaintiff's case  
23 did not demonstrate the severity expected in a "short doyle" case. The note instructed that if the  
24 client was severely mentally ill, the documentation must provide evidence of it. (A.R. 214, 216.)  
25 At Plaintiff's next evaluation, which occurred one week later on March 23, 2001, she reported  
26 doing "worse," with increased anxiety, excessive sleep, changing moods and racing thoughts.  
27 However, the objective signs were milder than they had been in February; her speech was no  
28 longer slow and her attention and concentration were then intact. Although she remained tearful,

1 her affect was constricted. (A.R. 211.) Dr. Zhou’s plan was to target the Plaintiff’s anxiety by  
2 adjusting her medications. (A.R. 212.)

3 On April 16, 2007, the clinician reviewed Plaintiff’s case and noted concern that it would  
4 be closed due to the lack of documentation of the severity of her illness. The note indicated that  
5 this fact “would need to be informed to client” and that the client might be feeling very ill, but  
6 she might not be expressing all she truly felt. (A.R. 202.) The matter was subsequently submitted  
7 to the authorization department for review and assistance with mental health services. (A.R.  
8 199.) On April 30, 2007, a family counseling session was held with Plaintiff and her family, who  
9 were informed of the proceedings of the case, and the mother was told “the additional  
10 information that is requested from them.” (A.R. 198.) On May 2, 2007, Plaintiff and her family  
11 met to complete a treatment plan. (A.R. 197.)

12 A periodic assessment of April 23, 2007, by P. Pelayo-Arredondo, MSW, RS III, was  
13 based on information from both Plaintiff and her mother although Plaintiff’s level of  
14 participation was minimal with much of the information being derived from Plaintiff’s mother.  
15 The social worker noted that it was difficult to assess Plaintiff because she was reserved, quiet,  
16 and tended to “minimalize” her information. (A.R. 205-09, 208.) The report indicates that  
17 Plaintiff could not babysit her niece alone, could not go out alone, had become isolated, was  
18 unable to work because of very poor memory and concentration. The report noted further that  
19 Plaintiff had failed to control her anxiety and needed to participate in the community and in  
20 activities of daily living, such as cooking, cleaning, and attending to medical appointments  
21 without prompting. (A.R. 205-07.)

22 Plaintiff reported panic attacks in May 2007 during a time when her brother and his  
23 children had moved into the home of Plaintiff’s parents, where Plaintiff resided. (A.R. 203-04.)  
24 Dr. Obrocea assessed poor response to treatment despite improvement of objective signs since  
25 the February 2007 exam (insight, memory, and judgment had improved to good, and attention  
26 and concentration were intact). In late May, Plaintiff was asked to begin attending group  
27 counseling to develop coping skills and reduce stressful situations. She failed to appear at the  
28 first session and there is no record that she attended any. (A.R. 194, 189) Plaintiff’s mother

1 reported that Plaintiff was nervous about medications and Plaintiff's mother expressed concern  
2 about continuing and then discontinuing the medications because Plaintiff was very depressed.  
3 (A.R. 189, 186.) The mother reported using "home remedies" to control Plaintiff's anxiety.  
4 (A.R. 187)

5 In early June 2007, Plaintiff's mother contacted KCMH and acted in an "uncooperative  
6 and slightly hostile" manner. She wanted Dr. Obrocea to change Plaintiff's medications because  
7 Plaintiff was having anxiety attacks. (A.R. 188.) Plaintiff reported that she was anxious, suffered  
8 memory problems, was frustrated with her depression, that she had low energy, and negative  
9 thoughts. She reported that she could drive. Her objective signs remained generally improved  
10 from those in February, with appropriate affect and good insight and judgment, intact  
11 concentration and attention, but intermittent eye contact and only fair memory. Response to  
12 treatment was poor. (A.R. 192-93.) At the end of June, Plaintiff reported "better" compliance  
13 with medication, but continuing symptoms of anxiety and difficulty falling asleep. Plaintiff stated  
14 that she was afraid of becoming addicted to Klonopin. However, the doctor noted Plaintiff to be  
15 neatly groomed with good insight, memory and judgment and intact concentration. Dr. Obrocea  
16 felt that Plaintiff's response to treatment was minimally better and adjusted her medications.  
17 (A.R. 184-85.)

18 Plaintiff began individual counseling sessions in July 2007 to address negative thoughts.  
19 (A.R. 179.) Plaintiff failed to appear on July 19, 2007. In August 2007, Plaintiff reported less  
20 depression and that she was better. She reported that she was playing softball twice a week and  
21 babysitting for her niece. She stated that her sleep and appetite were ok. Her memory, judgment,  
22 and insight were good and her attention and concentration were intact. Dr. Obrocea noted that  
23 this was "minimally better" response to treatment. Dr. Obrocea noted that Plaintiff was not  
24 crying at home but was still troubled by negative thoughts. (A.R. 176-77.)

25 On September 24, 2007, Plaintiff reported that "staying on" the medications had helped  
26 her, but she was afraid that if she stopped the medications, she would be suicidal. (A.R. 178,  
27 174.) In early October, she had run out of medications and felt anxious. (A.R. 172.) She admitted  
28 that she was afraid to leave home because of fear of rejection, criticism, and failure, and that she



1 thought about death. (A.R. 169, 174.)

2 In October, Plaintiff met with a counselor to discuss options for obtaining medications if  
3 her case was transferred to her primary care physician. (A.R. 173.) On October 4, 2007, Plaintiff  
4 reported to Dr. Obrocea that she was playing softball and had some increased anxiety because  
5 she had run out of medications for a week and felt fearful. Dr. Obrocea still marked “fair”  
6 medication compliance. Also, Dr. Obrocea noted that Plaintiff’s sleep was ok and that the  
7 objective signs were basically consistent. Dr. Obrocea noted that Plaintiff was worried because  
8 she had no income. (A.R. 170-71.) Plaintiff reported to her therapist the day before that she had  
9 been babysitting for her brother’s children and was being paid by DHS. (A.R. 172.) However,  
10 she reported that she was recently told that she could no longer be paid for babysitting because  
11 she did not pass her background check. Id.

12 On October 4, 2007, Dr. Obrocea completed a medical statement concerning bipolar  
13 disorder and related conditions for Social Security Disability claim. (A.R. 276-78.) The diagnosis  
14 was double depression, with a GAF score of 40. Plaintiff suffered intermittently or persistently  
15 with anhedonia, pervasive loss of interest in almost all activities, appetite disturbance with  
16 change in weight, sleep disturbance, psychomotor agitation or retardation, decreased energy,  
17 feelings of guilt or worthlessness, difficulty concentrating or thinking, thoughts of suicide,  
18 hallucinations, delusions, paranoid thinking, and panic attacks. Dr. Obrocea opined that Plaintiff  
19 was mildly restricted in the activities of daily living but that her difficulty in maintaining social  
20 functioning was marked. Dr. Obrocea found that Plaintiff suffered deficiencies of concentration,  
21 persistence or pace resulting in frequent failure to complete tasks in a timely manner in work  
22 settings or elsewhere. She found also that Plaintiff experienced repeated episodes of deterioration  
23 or decompensation in a work or work-like setting which caused Plaintiff to withdraw from the  
24 situation or experience increasing symptoms, which might include deterioration of adaptive  
25 functioning. Dr. Obrocea opined that work limitations related to Plaintiff’s psychiatric state  
26 included marked impairment of the ability to complete a normal workday and workweek without  
27 psychologically based symptoms, to perform at a consistent pace without an unreasonable  
28 number and length of rest periods, to interact appropriately with the general public, and to travel

1 in unfamiliar places or use public transportation. (A.R. 277-78.) Plaintiff was moderately limited  
2 in the ability to remember locations and work-like procedures; to understand, remember, and  
3 carry out detailed instructions (but not limited with respect to short and simple instructions); to  
4 maintain attention and concentration for extended periods; to perform activities within a  
5 schedule, maintain regular attendance, and be punctual within customary tolerances; to work in  
6 coordination with and proximity with others without being distracted by them; to ask simple  
7 questions or request assistance; to accept instructions and respond appropriately to criticism from  
8 supervisors; to get along with coworkers or peers without distracting them or exhibiting  
9 behavioral extremes; to respond appropriately to changes in the work setting; to be aware of  
10 normal hazards and take appropriate precautions; and to set realistic goals or make plans  
11 independently of others. (A.R. 277-78.)

12 Medications listed in 2007 included Clonazepam, Bupropion, Temazepam,  
13 Levothyroxin, Lamictal and, Klonopin (A.R. 218, 212, 193; 294 [August 2005 through June  
14 2006].)

15 B. Plaintiff's Testimony

16 Plaintiff testified that she last worked in the middle of 2005 as a cooker and cleaner at  
17 Burger King. (A.R. 29.) She reported that she quit after six months because of her problems. Id.  
18 She testified also that with her handicapped mother's help, she had babysat her infant niece from  
19 the beginning of 2006 until the middle of 2007 for her brother, working eight hours a day, five  
20 days a week, diapering and feeding the child, being paid by the state, and making \$8,000 in 2006.  
21 (A.R. 30, 34-35.) Plaintiff's psychiatrist encouraged her to babysit, but Plaintiff stopped the job  
22 because of a problem with fingerprinting. (A.R. 42.) Nevertheless, Plaintiff testified that she  
23 could not handle the babysitting anymore. Id.

24 Plaintiff testified that she did housecleaning to help her mother, who had arthritic knees,  
25 diabetes and was overweight. (A.R. 33-34.) She stated that she had a valid driver's license and  
26  
27  
28

1 last drove in mid-2007. (A.R. 34.) Plaintiff was still in Dr. Obrocea’s care<sup>3</sup>, and Plaintiff claimed  
2 that her depression had not improved at all and that she had told that to the doctor. (A.R. 37.)  
3 She testified that there had been no change detected with any adjustment of medicine and that she  
4 suffered no side-effects from her medication. (A.R. 37, 40.) Plaintiff denied having been  
5 involved in any activity in which she left home to go somewhere to do something. (A.R. 37.) She  
6 did nothing for recreation and had no hobbies. (A.R. 41.) She had tried softball and played on her  
7 brother’s co-ed team for about a month in six games, but she could not handle being around a lot  
8 of people or a big crowd. (A.R. 44-45.) She testified that she stopped before the season ended.  
9 (A.R. 46.)

10 Plaintiff testified that her father or mother took her to her doctor’s appointments. (A.R.  
11 46.) She testified that she could not perform a job that required interaction with others because  
12 she had trouble concentrating and focusing. (A.R. 39.) The very last time she drank alcohol was  
13 in 2005. (Id.) She spent her days sleeping and watching television. (A.R. 39-40.) In October  
14 2007, Plaintiff testified that she had an interview for a job but failed to keep it because she felt  
15 overwhelmed. (A.R. 43.) She reported that she could not remember the job. Id.

16 Plaintiff testified that she felt concerned about people being critical of her. (A.R. 43) She  
17 testified that she had gained about forty pounds in the past two years. (A.R. 43-44.) However,  
18 she did not know how much she weighed at the time of the hearing because she hadn’t weighed  
19 herself. (A.R. 48) Plaintiff produced her drivers license which noted that in March 2005,  
20 Plaintiff was 5 feet tall and weighed 208 pounds. Id.

21 Plaintiff testified that she was concerned because she had already stopped receiving  
22 medication benefits. (A.R. 47.) She reported that her brother helped her buy the medication  
23 “but it’s kind of hard to get it.” Id. She did not leave the house except for doctor’s appointments.  
24 (A.R. 49-50.)

## 25 VI. The ALJ’s Treatment of the Treating Psychiatrist’s Opinion

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27  
28 <sup>3</sup> Although the transcript refers to a “Dr. Brayen [phonetic],” Plaintiff’s testimony that she had been seeing  
the doctor for about three years and the phonetic renderings warrant an inference that the reference was to Dr.  
Obrocea. (A.R. 36.)

1           A.     Legal Standards

2           The standards for evaluating treating source’s opinions are as follows:

3           By rule, the Social Security Administration favors the opinion of a treating  
4           physician over non-treating physicians. See 20 C.F.R. § 404.1527. If a treating  
5           physician's opinion is “well-supported by medically acceptable clinical and  
6           laboratory diagnostic techniques and is not inconsistent with the other substantial  
7           evidence in [the] case record, [it will be given] controlling weight.” Id. §  
8           404.1527(d)(2). If a treating physician's opinion is not given “controlling weight”  
9           because it is not “well-supported” or because it is inconsistent with other  
10          substantial evidence in the record, the Administration considers specified factors  
11          in determining the weight it will be given. Those factors include the “[l]ength of  
12          the treatment relationship and the frequency of examination” by the treating  
13          physician; and the “nature and extent of the treatment relationship” between the  
14          patient and the treating physician. Id. § 404.1527(d)(2)(i)-(ii). Generally, the  
15          opinions of examining physicians are afforded more weight than those of  
16          non-examining physicians, and the opinions of examining non-treating physicians  
17          are afforded less weight than those of treating physicians. Id. §  
18          404.1527(d)(1)-(2). Additional factors relevant to evaluating any medical opinion,  
19          not limited to the opinion of the treating physician, include the amount of relevant  
20          evidence that supports the opinion and the quality of the explanation provided; the  
21          consistency of the medical opinion with the record as a whole; the specialty of the  
22          physician providing the opinion; and “[o]ther factors” such as the degree of  
23          understanding a physician has of the Administration's “disability programs and  
24          their evidentiary requirements” and the degree of his or her familiarity with other  
25          information in the case record. Id. § 404.1527(d)(3)-(6).

15     Orn v. Astrue, 495 F.3d 625, 631 (9<sup>th</sup> Cir. 2007). With respect to proceedings under Title XVI,  
16     the Court notes that an identical regulation has been promulgated. See, 20 C.F.R. § 416.927.

17           The principles governing consideration of the legal sufficiency of an ALJ’s reasoning  
18     were set forth in Orn v. Astrue, 495 F.3d 625, 632 (9<sup>th</sup> Cir. 2007):

19           The opinions of treating doctors should be given more weight than the opinions of  
20           doctors who do not treat the claimant. Lester [v. Chater, 81 F.3d 821, 830 (9<sup>th</sup>  
21           Cir.1995) (as amended).] Where the treating doctor's opinion is not contradicted  
22           by another doctor, it may be rejected only for “clear and convincing” reasons  
23           supported by substantial evidence in the record. Id. (internal quotation marks  
24           omitted). Even if the treating doctor's opinion is contradicted by another doctor,  
25           the ALJ may not reject this opinion without providing “specific and legitimate  
26           reasons” supported by substantial evidence in the record. Id. at 830, quoting  
27           Murray v. Heckler, 722 F.2d 499, 502 (9<sup>th</sup> Cir.1983). This can be done by setting  
28           out a detailed and thorough summary of the facts and conflicting clinical  
          evidence, stating his interpretation thereof, and making findings. Magallanes [v.  
          Bowen, 881 F.2d 747, 751 (9<sup>th</sup> Cir.1989).] The ALJ must do more than offer his  
          conclusions. He must set forth his own interpretations and explain why they,  
          rather than the doctors', are correct. Embrey v. Bowen, 849 F.2d 418, 421-22 (9<sup>th</sup>  
          Cir.1988). Reddick v. Chater, 157 F.3d 715, 725 (9<sup>th</sup> Cir.1998); accord Thomas,  
          278 F.3d at 957; Lester, 81 F.3d at 830-31.

Orn v. Astrue, 495 F.3d 625, 632 (9<sup>th</sup> Cir. 2007).

1 In Orn, the court sets forth a path of analysis that conforms to the regulations, wherein the  
2 ALJ is first required to determine whether or not the opinion of the treating physician will be  
3 given controlling weight, which in turn requires consideration of whether or not the treating  
4 physician's opinion is well-supported by medically acceptable clinical and laboratory diagnostic  
5 techniques and is not inconsistent with the other substantial evidence in the case record. Orn, 495  
6 F.3d at 631.

7 If not given controlling weight, the opinion is subject to consideration in light of other  
8 specified factors, including the nature and extent of the treatment relationship, the amount of  
9 relevant evidence that supported the opinion, the quality of the explanation provided, the  
10 consistency of the opinion with the record as a whole, the specialty of the doctor providing the  
11 opinion, and other factors such as the degree of understanding of the Commissioner's disability  
12 programs and their evidentiary requirements and the degree of his or her familiarity with the  
13 other information in the record. Orn, 495 F.3d at 631.

14 B. Analysis of the ALJ's Reasoning

15 The Court will consider the entire decision of the ALJ in evaluating the legal sufficiency  
16 of the ALJ's reasoning.

17 The ALJ noted that Plaintiff had earned several thousand dollars in 2005 and eight  
18 thousand dollars in 2006; thereafter, Plaintiff had no earnings. (A.R. 15.)

19 The ALJ reviewed Plaintiff's medical history for the period September 2005 (several  
20 months after the alleged onset date of June 30, 2005), until October 2007 (shortly before the  
21 hearing held in January 2008). (A.R. 15-17.) The ALJ detailed the mild findings found by  
22 treating psychiatrist Dr. Obrocea in September 2005 (A.R. 16); the opinion of Dr. Lawrence, the  
23 consulting examiner, from March 2006 and the reasoning underlying that opinion (A.R. 16-17);  
24 Plaintiff's own reports in March and April 2006 that she wanted to get a job and go to school,  
25 and that she planned to apply for two jobs per week until she was able to locate work (A.R. 16);  
26 the annual assessment of June 2006 stating that Plaintiff was able to attend to activities of daily  
27 living, make and maintain good relationships with her friends and family, was not a danger to  
28 herself, and did not have a medical condition (A.R. 16); Plaintiff's reports in October 2006 that

1 she was playing softball (A.R. 16); notes of improvement in symptoms in November 2006 and  
2 February 2007 (A.R. 16); the contemporaneous progress notes containing only mild findings,  
3 such as depressed mood or flat affect, good eye contact, insight, judgment, and memory, normal  
4 thought processes and intact concentration, and cooperative behavior (A.R. 16); Plaintiff's ability  
5 to drive (A.R. 18); the annual assessment of April 2007, in which Plaintiff was reported to have  
6 been attending to normal activities of daily living, babysitting, being sweet and making and  
7 keeping friends, behaving well with others with some recent social isolation and fear of leaving  
8 the house, resulting in a conclusion of no acute mental illness and no danger to herself or others  
9 (A.R. 16); and the report of therapist Yolanda Rosas in October 2007 that Plaintiff was pleasant  
10 and cooperative; Plaintiff had applied for a cashier's job but had failed to show for the interview;  
11 Plaintiff wanted to enroll in Bakersfield College but alleged that she was too anxious to pursue it  
12 at the admissions office due to chronic anxiety and depression; Plaintiff was reportedly more  
13 relaxed by the end of the evaluation and agreed to take the necessary steps to get a background  
14 clearance for work in daycare. The ALJ noted the contemporaneous treatment records showing  
15 individual therapy and medication management (A.R. 16).

16 In determining that Plaintiff's impairments did not meet or medically equal a listed  
17 impairment (A.R. 17), the ALJ further revealed his evaluation of the medical record and opinion  
18 evidence by relying on the failure of any treating or examining physicians to find satisfaction of  
19 the requirements of any listing, the failure of any treating physician to impose any marked  
20 functional limitations on Plaintiff, the objective mental health findings that were "mild  
21 throughout the record," and at least one physician's conclusion that Plaintiff was exaggerating  
22 symptoms with the assistance of her mother. (A.R. 17.) The ALJ was necessarily referring to Dr.  
23 Lawrence's assessment of Plaintiff's subjective claims and the lay evidence concerning her  
24 activities of daily living and interpersonal relationships. The ALJ thus expressly credited the  
25 reasoning of Dr. Lawrence, the non-examining state agency physician who placed little probative  
26 weight on the activities of daily living forms and instead relied on the inconsistent objective  
27 medical evidence. (A.R. 17.) This is consistent with the ALJ's conclusion that Plaintiff had no  
28 restriction in activities of daily living or maintaining concentration, persistence, and pace, and

1 only moderate limitation in social functioning. (A.R. 17.)

2         The ALJ also reviewed Plaintiff's subjective complaints concerning persistent suicidal  
3 ideation, persisting symptoms, impaired concentration, and inactivity. He expressly concluded  
4 that Plaintiff's testimony was not generally credible and was not credible to the extent that she  
5 alleged an inability to perform any work. (A.R. 18-19.) The Court notes that Plaintiff has not  
6 challenged the credibility findings. However, the findings constitute an integral part of the ALJ's  
7 evaluation of the evidence. The ALJ expressly concluded that Plaintiff's credibility was  
8 undermined by Dr. Lawrence's detailed account of symptom magnification, by the mild findings  
9 throughout the record, the many reports of improvement with medication management that were  
10 inconsistent with Plaintiff's claim of unrelenting symptoms, and the absence of any exertional  
11 limitations. (A.R. 19.) The ALJ credited only Plaintiff's depression-related limitation of engaging  
12 only in limited public interaction. (A.R. 19.)

13         The ALJ expressly evaluated Dr. Obrocea's opinion of October 2007, in which she found  
14 moderate or marked impairment in many areas of functioning, by explaining that Dr. Obrocea did  
15 not discuss significant clinical findings to support the assessment. Thus, ALJ rejected the  
16 assessment because it was not supported by medical findings and the weight of the medical  
17 evidence. (A.R. 16.)

18         An ALJ may disregard a treating physician's opinion that is controverted by other  
19 opinions only by setting forth specific, legitimate reasons for doing so that are based on  
20 substantial evidence in the record. Rodriguez v. Bowen, 876 F.2d 759, 762 (9<sup>th</sup> Cir. 1989). This  
21 burden is met by stating a detailed and thorough summary of the facts and conflicting clinical  
22 evidence, stating the interpretation of the evidence, and making findings. Cotton v. Bowen, 799  
23 F.2d 1403, 1408 (9<sup>th</sup> Cir 1986). It is established that the opinion of a treating physician may be  
24 rejected if it is ambiguous and inconsistent or conclusionary in form and not supported by  
25 clinical findings or relevant medical documentation. Johnson v. Shalala, 60 F.3d 1428, 1432-33  
26 (9<sup>th</sup> Cir. 1995); Matney v. Sullivan, 981 F.2d 1016, 1019 (9<sup>th</sup> Cir. 1992); Magallanes v. Bowen,  
27 881 F.2d 747, 751 (9<sup>th</sup> Cir. 1989).

28         Here, the record supports the ALJ's reasoning concerning the absence of supportive

1 clinical findings. Further, considering the entire medical record as summarized above, the record  
2 supports the ALJ's reasoning that Dr. Obrocea's opinion was not supported by the weight of the  
3 medical evidence, which reflected relatively mild objective findings and significant improvement  
4 with treatment when there was good compliance with medication.

5 The ALJ relied on other substantial evidence of record, such as Plaintiff's activities of  
6 daily living, Plaintiff's involvement in competitive activities such as softball, and Plaintiff's  
7 admitted plans and goals during a treatment record that reflected significant improvement.  
8 Plaintiff's activities of daily living have been used as a basis for rejecting the opinion of a  
9 treating physician. See Nguyen v. Commissioner of Social Security, 2008 WL 859425, \*8  
10 (E.D.CA March 28, 2008).

11 In discounting Plaintiff's credibility, the ALJ expressly found that Plaintiff engaged in  
12 symptom magnification. (A.R. 19.) In so concluding, and in further finding that Dr. Obrocea's  
13 opinion was not supported by the medical evidence, the ALJ necessarily concluded that Dr.  
14 Obrocea's opinion was based on inflated subjective complaints. It is established that where a  
15 treating source's opinion is based largely on the Plaintiff's own subjective description of his or  
16 her symptoms, and the ALJ has discredited the Plaintiff's claim as to those subjective symptoms,  
17 the ALJ may reject the treating source's opinion. Fair v. Bowen, 885 F.2d 597, 605 (9<sup>th</sup> Cir.  
18 1989).

19 The ALJ thus articulated multiple specific, legitimate reasons, supported by substantial  
20 evidence in the record, for rejecting the opinion of the treating psychiatrist. The ALJ reviewed  
21 the medical evidence, evaluated whether the opinion was well supported by medically acceptable  
22 clinical and laboratory diagnostic techniques and concluded that it was not. The ALJ also set  
23 forth the body of the substantial evidence in the record and concluded that the opinion was not  
24 consistent with it.

25 Plaintiff argues that the ALJ should have completed another round of analysis,  
26 considering additional factors such as the nature and extent of the treatment relationship. The  
27 additional factors that are appropriate for such analysis constitute, in large part, the  
28 considerations that precipitated the ALJ's conclusions, namely, the amount of relevant evidence



1 supporting the opinion, the consistency of the opinion with the record as a whole, and the quality  
2 of the explanation provided. Even though Dr. Obrocea had a lengthy treatment relationship with  
3 Plaintiff, additional analysis of the treatment relationship does not appear to have been warranted  
4 because it was made clear by the ALJ's findings that, due to the absence of objective findings  
5 and record support for the opinion, and considering the ALJ's conclusions concerning Plaintiff's  
6 lack of credibility, the opinion would not be credited.

7 The Court notes that it is Plaintiff's burden to prove disability; it is not until a claimant  
8 establishes that she cannot return to her previous work that the burden shifts to the  
9 Commissioner. Terry v. Sullivan, 903 F.2d 1273, 1275 (9<sup>th</sup> Cir. 1990). Here, the ALJ reviewed  
10 the evidence and reached conclusions that were supported by substantial evidence. The presence  
11 of conflicting evidence does not warrant reversal. Where, as here, evidence is susceptible to more  
12 than one rational interpretation, it is the ALJ's conclusion that must be upheld. Burch v.  
13 Barnhart, 400 F.3d 676, 679 (9<sup>th</sup> Cir. 2005).

14 Finally, the Court rejects Plaintiff's argument that the ALJ's reasoning was not  
15 sufficiently specific. The ALJ here reviewed the medical evidence and specifically set forth his  
16 reasoning.

17 VII. Disposition

18 Based on the foregoing, the Court concludes that the ALJ's decision was supported by  
19 substantial evidence in the record as a whole and was based on the application of correct legal  
20 standards.

21 Accordingly, the Court AFFIRMS the administrative decision of the Defendant  
22 Commissioner of Social Security and DENIES Plaintiff's Social Security complaint.

23 The Clerk of the Court IS DIRECTED to enter judgment for Defendant Michael J.  
24 Astrue, Commissioner of Social Security, and against Plaintiff Vicky L. Rodriguez.  
25 IT IS SO ORDERED.

26 Dated: February 10, 2010

/s/ Jennifer L. Thurston  
UNITED STATES MAGISTRATE JUDGE