

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**UNITED STATES DISTRICT COURT**

EASTERN DISTRICT OF CALIFORNIA

JAMES RAY BROWN,

1:10-cv-00219-LJO-DLB (HC)

Petitioner,

FINDINGS AND RECOMMENDATION  
REGARDING PETITION FOR WRIT OF  
HABEAS CORPUS

v.

[Doc. 1]

JAMES A. YATES,

Respondent.

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254.

BACKGROUND

Following a jury trial in the Fresno County Superior Court, Petitioner was convicted of discharging a firearm at an occupied motor vehicle (count 1) and of assault with a firearm (count 2). Cal. Penal Code<sup>1</sup> §§ 246, 245(a)(2). Street gang enhancements that were attached to both counts and a personal firearm use allegation that was attached to count 2 were found true. §§ 186.22(b)(1), 12022.5(a)(1). Petitioner was sentenced to fifteen years to life imprisonment for count 1; the sentence imposed for count 2 was stayed pursuant to section 654.

Petitioner appealed the judgment. The California Court of Appeal affirmed the judgment in all respects.

---

<sup>1</sup> All further references are to the California Penal Code unless otherwise indicated.

1 On May 22, 2007, Petitioner filed a petition for review in the California Supreme Court.  
2 The petition was denied on June 27, 2007.

3 On April 9, 2007, Petitioner filed a petition for writ of habeas corpus in the California  
4 Court of Appeal, Fifth Appellate District. The petition was denied on May 3, 2007, without  
5 prejudice to refileing the same petition in the superior court.

6 On June 16, 2008, Petitioner filed a petition for writ of habeas corpus in the Fresno  
7 County Superior Court. The petition was denied on July 3, 2008.

8 On August 5, 2008, Petitioner filed a petition for writ of habeas corpus in the California  
9 Court of Appeal, Fifth Appellate District. The petition was denied on May 14, 2009.

10 On May 29, 2009, Petitioner filed a petition for writ of habeas corpus in the California  
11 Supreme Court. The petition was denied on October 28, 2009.

12 Petitioner filed the instant federal petition for writ of habeas corpus on January 19, 2010.  
13 Respondent filed an answer to the petition on August 13, 2010. Petitioner filed a traverse on

14 STATEMENT OF FACTS

15 On October 7, 2005, Brittney Fulmer was driving in her blue Ford Probe  
16 on Jensen Avenue near the on ramp to Highway 41. Terence Williams was sitting  
17 in the front passenger seat and Melvin Cooper was sitting in the back seat behind  
18 Fulmer. Fulmer was traveling in the far right lane. Shortly after she proceeded  
19 through the intersection and prepared to enter the freeway ramp, she heard the  
20 sound of two gunshots coming from her left. She looked over and saw a tan or  
21 brown Toyota Camry. This was the only vehicle near her in traffic. The front  
22 passenger window of the Camry was open. Fulmer recognized the driver of the  
23 Camry as Jamie Stanfield. A male was sitting in the front passenger seat. When  
24 Fulmer subsequently examined her car, she observed a bullet hole above the  
25 driver's side wheel well.

21 Later that day, Fulmer identified [Petitioner] as the shooter to Fresno  
22 Police Officer Douglas Wright and she selected [Petitioner] and Stanfield in  
23 photographic lineups. Fulmer told Wright that she saw Petitioner's right arm  
24 outside the passenger window. He was pointing a gun at her. On January 2,  
25 2006, Fulmer told Fresno Police Detective Ron Flowers that "[s]he saw  
26 [Petitioner] extend his arm out of the window of the tan car and fire two shots into  
27 her car."

25 Stanfield was arrested and interviewed on October 17, 2005. Flowers  
26 testified that Stanfield said that she was driving [Petitioner] toward an area of  
27 Fresno known as the Dog Pound. [Petitioner] received a cell phone call and  
28 became engaged in a heated conversation with another male. The caller said that  
he had been watching [Petitioner] and Stanfield while they were driving. The  
caller wanted to meet [Petitioner] or his group on Jensen Avenue. [Petitioner] told  
the caller, "Bitch ass nigga. I'm going to get you." Then he said, "When I catch

1 you I'm going to smoke your black ass." As Stanfield drove onto Jensen Avenue  
2 [Petitioner] suddenly rolled down his window, produced a handgun and fired at  
3 least one shot at a beige car in the lane to the right of them. Stanfield asked  
4 [Petitioner] why he shot at the car. [Petitioner] replied that the car's occupants  
5 were from TWAMP (which is an alignment of Black street gangs) and they were  
6 about to be attacked. FN2.

7  
8 FN2. At trial, Fulmer recanted her statements to the police and testified  
9 that she no longer thought that [Petitioner] was the shooter. Stanfield denied  
10 knowing [Petitioner] and recanted her statements to Flowers.

11  
12 Detective Flowers also gave expert gang testimony. Williams is a  
13 member of a street gang known as the Young Black Soldiers (YBS). [Petitioner]  
14 is an active member of a street gang known as the Dog Pound Gang (DPG). The  
15 primary activities of the DPG include the sale of narcotics, shootings, weapons  
16 offenses, assaults, pimping, rape and murder. Flowers testified about two  
17 predicate crimes involving DPG members.

18  
19 Flowers also testified that the YBS is one of a group of gangs that have  
20 aligned together in an association known as TWAMP. The DPG is allied with a  
21 rival alignment of gangs known as MUG. DPG members consider members of  
22 TWAMP allied gangs to be enemies. If two rival gang members see each other on  
23 the street, one will usually attempt to act out before the other.

24  
25 Flowers opined that [Petitioner's] act of shooting into Fulmer's car was  
26 committed for the benefit of the DPG. The shooting benefitted the DPG in two  
27 ways. First, it potentially could have resulted in the death of a rival gang member  
28 who is a threat to the DPG. Second, it sent a strong signal to rival gangs that the  
DPG is a "force to be reckoned with." The shooting indicated to other gangs that  
DPG members are capable of and willing to use violence. Also, if [Petitioner]  
had not shot at Williams and this fact became known, [Petitioner] could have been  
perceived as a coward.

[Petitioner] presented an alibi defense. Briniece O'Guinn testified that she  
and [Petitioner] took their two children to a doctor's appointment scheduled  
around 1:45 p.m. Afterward, they took the bus to the police station and picked her  
car up from the impound lot. It was stipulated that O'Guinn's vehicle was  
released to her at approximately 4:51 p.m. FN3

FN3. O'Guinn testified that she did not provide this information to  
Flowers because she "had [her] dates mixed up."

In rebuttal, Detective Flowers testified that he interviewed O'Guinn by  
telephone on January 6, 2006. O'Guinn said that she and [Petitioner] were  
together with their children at a Motel 6 on October 7, 2005, from 8:00 p.m. to  
9:00 p.m.

(Ex. 1 at 4-5.)

///

///

///

1 DISCUSSION

2 A. Jurisdiction

3 Relief by way of a petition for writ of habeas corpus extends to a person in custody  
4 pursuant to the judgment of a state court if the custody is in violation of the Constitution or laws  
5 or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. § 2241(c)(3); Williams v. Taylor,  
6 529 U.S. 362, 375, 120 S.Ct. 1495, 1504, n.7 (2000). Petitioner asserts that he suffered  
7 violations of his rights as guaranteed by the U.S. Constitution. The challenged conviction arises  
8 out of the Fresno County Superior Court, which is located within the jurisdiction of this Court.  
9 28 U.S.C. § 2254(a); 2241(d).

10 On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act  
11 of 1996 (“AEDPA”), which applies to all petitions for writ of habeas corpus filed after its  
12 enactment. Lindh v. Murphy, 521 U.S. 320, 117 S.Ct. 2059, 2063 (1997); Jeffries v. Wood, 114  
13 F.3d 1484, 1499 (9th Cir. 1997), *cert. denied*, 522 U.S. 1008, 118 S.Ct. 586 (1997) (quoting  
14 Drinkard v. Johnson, 97 F.3d 751, 769 (5th Cir.1996), *cert. denied*, 520 U.S. 1107, 117 S.Ct.  
15 1114 (1997), *overruled on other grounds by Lindh v. Murphy*, 521 U.S. 320, 117 S.Ct. 2059  
16 (1997) (holding AEDPA only applicable to cases filed after statute's enactment). The instant  
17 petition was filed after the enactment of the AEDPA and is therefore governed by its provisions.

18 B. Standard of Review

19 Where a petitioner files his federal habeas petition after the effective date of the Anti-  
20 Terrorism and Effective Death Penalty Act (“AEDPA”), he can prevail only if he can show that  
21 the state court’s adjudication of his claim:

22 (1) resulted in a decision that was contrary to, or involved an unreasonable  
23 application of, clearly established Federal law, as determined by the Supreme  
24 Court of the United States; or

24 (2) resulted in a decision that was based on an unreasonable determination of the  
25 facts in light of the evidence presented in the State court proceeding.

26 28 U.S.C. § 2254(d). A state court decision is “contrary to” federal law if it “applies a rule that  
27 contradicts governing law set forth in [Supreme Court] cases” or “confronts a set of facts that are  
28 materially indistinguishable from” a Supreme Court case, yet reaches a different result.” Brown

1 v. Payton, 544 U.S. 133, 141 (2005) citing Williams (Terry) v. Taylor, 529 U.S. 362, 405-06  
2 (2000). A state court decision will involve an “unreasonable application of” federal law only if it  
3 is “objectively unreasonable.” Id., quoting Williams, 529 U.S. at 409-10; Woodford v. Visciotti,  
4 537 U.S. 19, 24-25 (2002) (*per curiam*). “A federal habeas court may not issue the writ simply  
5 because that court concludes in its independent judgment that the relevant state-court decision  
6 applied clearly established federal law erroneously or incorrectly.” Lockyer, at 1175 (citations  
7 omitted). “Rather, that application must be objectively unreasonable.” Id. (citations omitted).

8 “Factual determinations by state courts are presumed correct absent clear and convincing  
9 evidence to the contrary, § 2254(e)(1), and a decision adjudicated on the merits in a state court  
10 and based on a factual determination will not be overturned on factual grounds unless objectively  
11 unreasonable in light of the evidence presented in the state court proceedings, § 2254(d)(2).”  
12 Miller-El v. Cockrell, 537 U.S. 322, 340 (2003). Both subsections (d)(2) and (e)(1) of § 2254  
13 apply to findings of historical or pure fact, not mixed questions of fact and law. See Lambert v.  
14 Blodgett, 393 F.3d 943, 976-77 (2004).

15 Courts further review the last reasoned state court opinion. See Ylst v. Nunnemaker, 501  
16 U.S. 979, 803 (1991). However, where the state court decided an issue on the merits but  
17 provided no reasoned decision, courts conduct “an independent review of the record . . . to  
18 determine whether the state court [was objectively unreasonable] in its application of controlling  
19 federal law.” Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000). “[A]lthough we  
20 independently review the record, we still defer to the state court’s ultimate decisions.” Pirtle v.  
21 Morgan, 313 F.3d 1160, 1167 (9th Cir. 2002).

## 22 C. Procedural Default

23 Respondent argues that Claims One, Two, Four, Five, Seven, Eleven, and Twelve are  
24 procedurally defaulted.<sup>2</sup>

---

26 <sup>2</sup> Respondent notes that although the superior court denied Petitioner’s claims of ineffective assistance of  
27 trial counsel in claims three, six, seven, eight, nine, ten, eleven, and twelve on the procedural ground that they should  
28 have been raised on direct appeal, California courts “do not apply [the Dixon] bar[] top claims of ineffective  
assistance of trial counsel.” Robbins, 18 Cal.4th at 815 n.34. Thus, Respondent does not seek to impose the Dixon  
bar with respect to these ineffective assistance of counsel claims and has addressed those claims on the merits.

1 A claim is procedurally defaulted for federal habeas purposes if the state court relies on  
2 state procedural grounds to deny relief. Coleman v. Thompson, 501 U.S. 722, 729 (1991).  
3 This doctrine of procedural default is based on the concerns of comity and federalism. Id. at 730-  
4 32.

5 There are limitations as to when a federal court should invoke procedural default and  
6 refuse to evaluate the merits of a claim because the petitioner violated a state’s procedural rules.  
7 Procedural default can only block a claim in federal court if the state court “clearly and expressly  
8 states that its judgment rests on a state procedural bar.” Harris v. Reed, 489 U.S. 255, 263  
9 (1989). In this case, the California Supreme Court denied claims one, two, four, five, seven,  
10 eleven, and twelve on the procedural ground that “a petitioner cannot rely on grounds that could  
11 have been raised in his appeal when seeking relief by way of habeas corpus.”<sup>3</sup> This rule is known  
12 as the Dixon bar which prohibits petitioner’s from raising claims in state habeas that they could  
13 have, but did not, raise on direct appeal. In re Dixon, 41 Cal.2d 756, 759 (1953).

14 The state law ground must also be independent of federal law. “For a state procedural  
15 rule to be ‘independent,’ the state law basis for the decision must not be interwoven with federal  
16 law.” LaCrosse, 244 F.3d at 704, *citing* Michigan v. Long, 463 U.S. 1032, 1040-41 (1983);  
17 Morales v. Calderon, 85 F.3d 1387, 1393 (9th Cir. 1996), *quoting* Coleman, 501 U.S. at 735  
18 (“Federal habeas review is not barred if the state decision ‘fairly appears to rest primarily on  
19 federal law, or to be interwoven with federal law.’”) “A state law is so interwoven if ‘the state  
20 has made application of the procedural bar depend on an antecedent ruling on federal law [such  
21 as] the determination of whether federal constitutional error has been committed.’” Park v.  
22 California, 202 F.3d 1146, 1152 (9th Cir. 2000), *quoting* Ake v. Oklahoma, 470 U.S. 68, 75  
23 (1985).

24 ///

---

26 <sup>3</sup> The Superior Court also denied Petitioner’s claims of ineffective assistance of trial counsel in claims three,  
27 six, seven, eight, nine, ten, eleven, and twelve on the procedural ground that they should have been raised on direct  
28 appeal, California courts “do not apply [the Dixon] bar[] to claims of ineffective assistance of trial counsel.”  
Robbins, 18 Cal.4th at 815 n.34. As a consequence, Respondent does not seek to impose the Dixon bar with respect  
to these ineffective assistance of counsel claims.

1 “A default under an independent and adequate state procedural rule operates as a bar in  
2 federal court unless the petitioner can show cause for and prejudice from the default.” Valerio v.  
3 Crawford, 306 F.3d 742, 773 (9th Cir. 2002) (citing Wainwright v. Sykes, 433 U.S. 72 (1977)).  
4 Normally, “cause to excuse a default exists if the petitioner can show that some objective factor  
5 external to the defense impeded counsel’s efforts to comply with the State’s procedural rule.”  
6 Cook v. Schriro, 538 F.3d 1000, 1027 (9th Cir. 2008) (internal quotation marks omitted).

7 “[P]rior to 1998 [the California courts] necessarily addressed fundamental constitutional  
8 claims when applying the *Dixon* rule.” Park v. California, 202 F.3d 1146, 1152 (9th Cir. 2000)  
9 (citing In re Robbins, 18 Cal.4th 770 (1998)). Therefore, prior to 1998, a California court “made  
10 an antecedent ruling on federal law before applying the Dixon bar to any federal constitutional  
11 claims . . . , by concluding that no fundamental constitutional error had occurred.” Id. at 1153.

12 Justice Koziniski has recently explained the independence of the Dixon ruling stating:

13 That all changed with *In re Robbins*, where the California Supreme Court  
14 explained that “we shall assume, for the purpose of addressing the procedural  
15 issue, that a federal constitutional error is stated, and we shall find the exception  
16 inapposite if, based upon our application of state law, it cannot be said that the  
17 asserted error ‘led to a trial that was so fundamentally unfair that absent the error  
18 no reasonable judge or jury would have convicted the petitioner.’” 18 Cal.4th 770,  
19 811-12, 77 Cal.Rptr.2d 153, 959 P.2d 311 (1998) (quoting *In re Clark*, 5 Cal.4th  
20 750, 797, 21 Rptr.2d 509, 855 P.2d 729 (1993)). “The purpose of this approach  
21 was to establish the adequacy and independence of the State Supreme Court’s  
22 future *Dixon/Robbins* rulings and to indicate that a prisoner seeking collateral  
23 relief with respect to new federal claims no longer had any recourse to exhaust in  
24 the state courts.” *Park*, 202 F.3d at 1152 n.4. Although the Ninth Circuit hasn’t  
25 addressed the independence of California’s *Dixon* rule post-*Robbins*, when  
26 evaluating a different procedural bar with the same exceptions it held that “the  
27 California Supreme Court’s post-*Robbins* denial of [petitioner’s] state petition for  
28 lack of diligence (untimeliness) was not interwoven with federal law and therefore  
is an independent procedural ground.” *Bennett v. Mueller*, 322 F.3d 573, 582-583  
(9th Cir. 2003). *Robbins* thus converted *Dixon* into an independent state ground.  
See *Protsman v. Pliler*, 318 F.Supp.2d 1004, 1007-09 (S.D. Cal. 2004) (reaching  
the same conclusion).

23 Smith v. Crones, 2010 WL 1660240 at \* 1 (E. D. Cal. Apr. 22, 2010).

24 Based on the reasoning set forth by in Crones, the Dixon bar is independent of federal  
25 law. The next determination is whether the rule is adequate. Once the Respondent has “pled the  
26 existence of an independent and adequate state procedural ground as an affirmative defense, the  
27

28 ///

1 burden to place that defense in issue shifts to the petitioner.” Bennett v. Mueller, 322 F.3d 573,  
2 582-583 (9th Cir. 2003).

3 Petitioner initially argues that claim two was presented on direct appeal. The Court  
4 agrees with Petitioner and finds that the procedural bar cannot be imposed on Claim Two as it  
5 was presented to and addressed by the state appellate court. See, infra, Ground E.

6 Petitioner argues that claims one and four involve federal due process issues for which  
7 Dixon does not apply. The mere statement or presentation of a federal due process claim does  
8 not establish one of the exceptions to the application of the Dixon bar.<sup>4</sup> Petitioner has not meet  
9 his burden of demonstrating that the Dixon rule is not adequately applied by the state court and  
10 therefore these claims are procedurally barred. Notwithstanding the procedural default, for the  
11 reasons explained below the claims fail on their merits.

12 D. Insufficient Evidence to Support Finding Offenses Were Committed for Benefit of Gang

13 Petitioner claims there was insufficient to prove that the “sale of illegal drugs” was a  
14 “primary activity” of the Dog Pound Gang (“DPG”), as defined in California Penal Code §  
15 186.22. This claim was presented to the Fresno County Superior Court, the California Court of  
16 Appeal, and the California Supreme Court by way of post-conviction review petitions. The  
17 Fresno County Superior Court found that Petitioner could have, but did not, raise this claim on  
18 direct review. The California Court of Appeal and the California Supreme Court summarily  
19 denied the claim, and it is presumed these courts denied the claim on the same procedural ground  
20 identified by the Fresno County Superior Court. Ylst v. Nunnemaker, 501 U.S. at 803.

21 The law on insufficiency of the evidence claim is clearly established. The United States  
22 Supreme Court has held that when reviewing an insufficiency of the evidence claim on habeas, a  
23 federal court must determine whether, viewing the evidence and the inferences to be drawn from  
24 it in the light most favorable to the prosecution, any rational trier of fact could find the essential  
25 elements of the crime beyond a reasonable doubt. Jackson v. Virginia, 443 U.S. 307, 319 (1979).

---

26  
27 <sup>4</sup> The four exceptions to the Dixon bar are: (1) “fundamental constitutional error,” (2) a lack of  
28 “fundamental jurisdiction” by the trial court over the petitioner, (3) the trial court’s “acting in excess of  
jurisdiction” and (4) an intervening “change in the law.” Park v. California, 202 F.3d 1146, 1152 (9th Cir. 2000)  
(citing Fields v. Calderon, 125 F.3d 757, 763 (9th Cir. 1997) (quoting In re Harris, 21 Cal.Rptr.2d 373.)



1 Sufficiency claims are judged by the elements defined by state law. Id. at 324, n. 16.

2 In this instance, the jury was instructed with California Penal Code § 186.22 as follows:

3 If you find the defendant guilty of either of the crimes charged in Counts  
4 One or Two, you must then decide whether, for each crime, the People have  
5 proved the additional allegation that the defendant committed that crime for the  
6 benefit of, at the direction of, or in association with a criminal street gang. You  
7 must decide whether the People have proved this allegation for each crime and  
8 return a separate finding for each crime.

9 To prove this allegation, the People must prove that:

10 1. The defendant committed the crime for the benefit of, at the direction  
11 of, or in association with a criminal street gang;

12 AND

13 2. The defendant intended to assist, further, or promote criminal conduct  
14 by gang members.

15 A criminal street gang is any ongoing organization, association, or group  
16 of three or more persons, whether formal or informal:

17 1. That has a common name or common identifying sign or symbol;

18 2. That has, as one of its primary activities, the sale of illegal drugs;

19 AND

20 3. Whose members, whether acting alone or together, engage in or have  
21 engaged in a pattern of criminal street activity.

22 In order to qualify as a primary activity, the crime must be one of the  
23 group's chief or principal activities rather than an occasional act committed by  
24 one or more persons who happen to be members of the group.

25 A pattern of criminal gang activity, as used here, means:

26 1. The commission of, or conviction of, any combination of two or more  
27 of the following crimes: Possession of Illegal Drugs for purposes of Sale in  
28 violation of Health and Safety Code Section 11351.

2. At least one of those crimes was committed after September 26, 1988;

3. The most recent crime occurred within three years of one of the earlier  
crimes;

AND

4. The crimes, if any, that establish a pattern of criminal activity, need not  
be gang-related.

The People need not prove that the defendant is an active or current  
member of the alleged criminal street gang.

1           You may not find that there was a pattern of criminal gang activity unless  
2 all of you agree that two or more crimes that satisfy these requirements were  
committed, but you do not have to all agree on which crimes were committed.

3           The People have the burden of proving each allegation beyond a  
4 reasonable doubt. If the People have not met this burden, you must find that the  
allegation has not been proved.

5 (CT 165-166.)

6           Under California law, “[s]ufficient proof of the gang’s primary activities might consist of  
7 evidence that the group’s members consistently and repeatedly have committed criminal activity  
8 listed in the gang statute.” People v. Sengpadychith, 26 Cal.4th 316, 324 (2001). A gang’s  
9 primary activity can be established through expert testimony. Id.; see also CALJIC No. 17.24.2.

10           Here, there was sufficient evidence to support the jury’s finding that one of the primary  
11 activities of the DPG was the sale of illegal drugs. Detective Flowers was assigned to the Multi  
12 Agency Gang Enforcement Consortium (M.A.G.E.C.), a unit that undertook gang investigations  
13 and the gathering of intelligence information. When initially assigned to M.A.G.E.C., Detective  
14 Flowers was on the tactical portion of the team which conducts its own intelligence, and writes  
15 search warrants related to gang crimes and investigations. He was then assigned as a detective to  
16 the unit and conducted investigations pertaining to gang-related activities that involved African-  
17 American gangs in Fresno. At the time of trial, Flowers had been in the unit for two years and a  
18 detective for a over one year, out of his seven years of service as a police officer. During the  
19 course of his career, Detective Flowers had interviewed over 700 gang members. Detective  
20 Flowers prior assignments were mainly in the Southwest portion of Fresno where most African-  
21 American gangs are located. Flowers had regular contact with gang members to gain  
22 intelligence. Detective Flowers testified that Dog Pound is an area in Southwest Fresno and a  
23 gang in that area which began in the 1980's and validated in 1990's. He estimated the gang to  
24 have approximately 70 to 73 active members. (RT 444.) The predominant color of choice is red.  
25 (Id.) The primary activities of the DPG is narcotics, shootings, weapons offense, felony assault,  
26 pimping, rape, and murder. (RT 445.) Detective Flowers testified that Donald Eugene Hollins  
27 and Robert Peel, both known members of the DPG suffered a prior conviction for narcotics sales  
28 in 2005. (RT 474-475.) Thus, the foregoing evidence is sufficient to establish that one of the

1 primary activities of the DPG was the sale of narcotics and relief is foreclosed.

2 E. Insufficient Evidence to Support Finding Shooting Was Intended to Promote, Further, or  
3 Assist in Criminal Conduct by Gang Members

4 Petitioner contends that the evidence was insufficient to prove that the shooting was  
5 committed to benefit the DPG, a required element necessary to support the gang enhancement.  
6 The California Court of Appeal rejected the claim on the merits in a reasoned decision, and the  
7 California Supreme Court denied review.

8 The California Court of Appeal found sufficient evidence to support the jury's finding  
9 stating:

10 Flowers testified that Stanfield told her that [Petitioner] was involved in a  
11 heated conversation on his cell phone with another male immediately prior to the  
12 shooting. [Petitioner] repeated the caller's statements to the effect that the caller  
13 wanted to meet [Petitioner] or his group on Jensen Avenue. The caller also said  
14 that he had been watching [Petitioner] and Stanfield while they were driving.  
[Petitioner] told the caller, "Bitch ass nigga. I'm going to get you." Then he said,  
"When I catch you I'm going to smoke your black ass." After [Petitioner] fired  
the shot or shots at the Camry, he told Stanfield that he did so because the Camry  
contained TWAMP enemies who were going to attack him.

15 Detective Flowers opined that the shooting benefitted the DPG in two  
16 ways. First, this shooting had the potential of taking out a rival gang member.  
Williams was a passenger in the Camry. He was a well-known YBS member who  
17 appeared in a locally produced gang video entitled Fresno Uncensored. If two  
18 rival gang members see each other on the street, one will usually attempt to act  
19 out before the other one can act. Second, this shooting sent a signal to rival gangs  
that the DPG gang is "a force to be reckoned with." The shooting demonstrated to  
rival gangs that the DPG members are willing to use violence, even when there is  
a risk that an innocent bystander could be injured.

20 [Petitioner] asserts that Detective Flowers's testimony should be  
21 disregarded because it is not supported by direct or circumstantial evidence, even  
22 hearsay, from another source. We disagree. This argument ignores statements  
23 Stanfield made to Flowers. Stanfield recounted the cell phone conversation  
24 between [Petitioner] and another male immediately prior to the shooting and she  
25 relayed [Petitioner's] explanation why he fired at the Camry. Stanfield's  
26 statements to Flowers provide a factual basis supporting Flower's opinion that  
[Petitioner] shot at the car because it contained rival TWAMP gang members and  
[Petitioner] believed that he was going to be attacked by them. Detective  
Flower's expertise was necessary only to give meaning to [Petitioner's] words and  
actions. (*People v. Gamez* (1991) 235 Cal.App.3d 957, 967, 978; *People v. Ward*  
(2005) 36 Cal.4th 186, 210.) FN4

27 FN4. [Petitioner's] reliance on *People v. Killebrew* (2002) 103  
28 Cal.App.4th 644 is misplaced. *Killebrew* involved a conspiracy to possess a  
handgun. There was conflicting testimony whether the defendant was in one of  
the three vehicles at issue. The appellate court reversed and held that the gang

1 expert should not have been permitted to testify about the subjective intent and  
2 knowledge of each occupant of the vehicles. Here, [Petitioner] personally fired  
3 the shots at the Camry and he told Stanfield that he did so because the car  
4 contained TWAMP enemies who were going to attack him.

5 [Petitioner's] assertion that a "retaliatory strike out of fear of an imminent  
6 attack is not conduct" promoting or furthering gang activity is mere opinion.  
7 Flowers proffered expert testimony that [Petitioner's] act of shooting at the Camry  
8 benefitted the DPG gang because it could have eliminated a rival and it  
9 demonstrated a willingness to use deadly force. Flowers's credibility was a matter  
10 for the jury to assess; such credibility determinations are not reweighed on appeal.  
11 (*People v. Koontz* (2002) 27 Cal.4th 1041, 1078.)

12 Accordingly, we find that the record contains substantial evidence from  
13 which a jury could conclude beyond a reasonable doubt that the shooting was  
14 committed for the benefit of the DPG gang and that [Petitioner] had the specific  
15 intent to promote, assist or further criminal conduct by DPG gang members when  
16 he fired the handgun.

17 (Ex. 1 at 5-7.)

18 With regard to the sufficiency of the evidence to support a gang enhancement under  
19 section 186.22, the Ninth Circuit has recently held:

20 California law requires the prosecutor to prove two things. First, the  
21 prosecutor must demonstrate that the defendant committed a felony "for the  
22 benefit of, at the direction of, or in association with [a] criminal street gang." Cal.  
23 Penal Code § 186.22(b)(1). Second, the prosecutor must show that the defendant  
24 committed the crime "with the specific intent to promote, further, or assist in any  
25 criminal conduct by gang members." *Id.* We have previously recognized the  
26 importance of keeping these two requirements separate, and have emphasized that  
27 the second step is not satisfied by evidence of mere membership in a criminal  
28 street gang alone.

29 Briceno v. Scribner, 555 F.3d 1069, 1078 (9th Cir. 2009) (citing Garcia v. Carey, 395 F.3d 1099,  
30 1102-1103 & n.9 (9th Cir. 2005). In Briceno, the gang expert testified in terms of "generalities"  
31 that the crimes could glorify the gang, but did not provide direct or circumstantial evidence  
32 regarding the defendant's specific intent. Briceno, 555 F.3d at 1078. In such circumstances, the  
33 Ninth Circuit held the expert testimony did not establish the petitioner's specific intent in  
34 committing the crimes. *Id.* at 1078-1079. This was particularly so in Briceno because the  
35 defendant submitted proof of a different motivation, i.e. personal gain.

36 Subsequent to Garcia v. Carey, the California courts have held that section 186.22's  
37 specific intent element does not require the intent to enable or assist criminal activities by gang  
38 members aside from the offense charged. The intent to commit the gang related offense suffices.

1 See e.g., People v. Hill, 142 Cal.App.4th 770, 774 (2006); People v. Romero, 140 Cal.App.4th  
2 15, 19-20 (2006); People v. Vasquez, 178 Cal.App.4th 347, 353-354 (2009). Because of the  
3 conflict, the Ninth Circuit recently asked the California Supreme Court to decide the question of  
4 state law. Emery v. Clark, 604 F.3d 1102 (9th Cir. 2010). On June 23, 2010, the California  
5 Supreme Court granted the request for certification and deferred further action pending  
6 consideration of a related issue in People v. Albillar, 162 Cal.App.4th 935 (2008). On December  
7 20, 2010, the California Supreme Court issued its decision in Albillar and rejected the Ninth  
8 Circuit’s reasoning in Garcia and Briceno finding “[t]here is no statutory requirement that this  
9 ‘criminal conduct by gang members’ be distinct from the charged offense, or that the evidence  
10 establish specific crimes the defendant intended to assist his fellow gang members in  
11 committing.” (Citations omitted). People v. Albillar, \_\_ Cal.Rptr.3d \_\_, 51 Cal.4th 47, 2010  
12 WL 5140768 \*13 (Cal. 2010). Therefore, the California Supreme Court has specifically  
13 interpreted its law to the contrary of the interpretation in Briceno and Garcia, and such rulings are  
14 inoperative.

15 In any event, the evidence was sufficient even under the Ninth Circuit’s prior  
16 interpretation of California law. In this instance, there is sufficient evidence that Petitioner  
17 committed the shooting with the specific intent to promote, further, or assist in any criminal  
18 conduct by gang members. The evidence before the jury supported the finding that Petitioner  
19 shot at the Camry because it contained rival TWAMP gang members, and his act of shooting the  
20 vehicle benefitted his gang because it could have eliminated a rival gang member and it  
21 demonstrated a willingness to use deadly force. Thus, unlike in Bricerno, where there was no  
22 connection between the gang and the robberies, there was specific expert testimony on how the  
23 shooting benefitted Petitioner’s gang. In addition, unlike Bricerno, where the defendants claimed  
24 to have robbed the victims to obtain money to buy Christmas presents, there was no evidence of  
25 an alternate purpose for Petitioner shooting at the rival gang members. Accordingly, the state  
26 appellate court’s decision was not objectively unreasonable nor an unreasonable determination of  
27 the facts in light of the evidence.

1 F. Ineffective Assistance of Counsel For Failure to Highlight the Records Inadequacies for  
2 the Jury

3 Petitioner contends that he was denied his Sixth Amendment right to effective assistance  
4 of counsel. More specifically, he claims that the evidence was “technically sufficient to prove  
5 the ‘primary activity’ and ‘gang-related’ elements,” his attorney was ineffective for “failing to  
6 highlight the records inadequacies for the jury.” This claim was presented to the Fresno County  
7 Superior Court, the California Court of Appeal, and the California Supreme Court. The Fresno  
8 County Superior Court denied the claim on the procedural ground that Petitioner should have,  
9 but did not, raise this claim on direct review. The California Court of Appeal and California  
10 Supreme Court both summarily denied the claim, and it is presumed these courts denied the  
11 claim on the same procedural ground as identified by the Fresno County Superior Court. Ylst,  
12 501 U.S. at 803.

13 The law governing ineffective assistance of counsel claims is clearly established for the  
14 purposes of the AEDPA deference standard set forth in 28 U.S.C. § 2254(d). Canales v. Roe,  
15 151 F.3d 1226, 1229 (9th Cir. 1998.) In a petition for writ of habeas corpus alleging ineffective  
16 assistance of counsel, the court must consider two factors. Strickland v. Washington, 466 U.S.  
17 668, 687, 104 S.Ct. 2052, 2064 (1984); Lowry v. Lewis, 21 F.3d 344, 346 (9th Cir. 1994). First,  
18 the petitioner must show that counsel's performance was deficient, requiring a showing that  
19 counsel made errors so serious that he or she was not functioning as the "counsel" guaranteed by  
20 the Sixth Amendment. Strickland, 466 U.S. at 687. The petitioner must show that counsel's  
21 representation fell below an objective standard of reasonableness, and must identify counsel's  
22 alleged acts or omissions that were not the result of reasonable professional judgment  
23 considering the circumstances. Id. at 688; United States v. Quintero-Barraza, 78 F.3d 1344, 1348  
24 (9th Cir. 1995). Judicial scrutiny of counsel's performance is highly deferential. A court  
25 indulges a strong presumption that counsel's conduct falls within the wide range of reasonable  
26 professional assistance. Strickland, 466 U.S. 668, 687, 104 S.Ct. 2052, 2064 (1984); Sanders v.  
27 Ratelle, 21 F.3d 1446, 1456 (9th Cir.1994).  
28

1 Second, the petitioner must show that counsel's errors were so egregious as to deprive  
2 defendant of a fair trial, one whose result is reliable. Strickland, 466 U.S. at 688. The court must  
3 also evaluate whether the entire trial was fundamentally unfair or unreliable because of counsel's  
4 ineffectiveness. Id.; Quintero-Barraza, 78 F.3d at 1345; United States v. Palomba, 31 F.3d 1356,  
5 1461 (9th Cir. 1994). More precisely, petitioner must show that (1) his attorney's performance  
6 was unreasonable under prevailing professional norms, and, unless prejudice is presumed, that  
7 (2) there is a reasonable probability that, but for counsel's unprofessional errors, the result would  
8 have been different.

9 A court need not determine whether counsel's performance was deficient before  
10 examining the prejudice suffered by the petitioner as a result of the alleged deficiencies.  
11 Strickland, 466 U.S. 668, 697, 104 S.Ct. 2052, 2074 (1984). Since it is necessary to prove  
12 prejudice, any deficiency that does not result in prejudice must necessarily fail.

13 Ineffective assistance of counsel claims are analyzed under the "unreasonable  
14 application" prong of Williams v. Taylor, 529 U.S. 362 (2000). Weighall v. Middle, 215 F.3d  
15 1058, 1062 (2000).

16 Petitioner has not established either prong of the Strickland standard. As set forth above,  
17 the gang enhancement allegations were supported by the record. Faced with this evidence,  
18 counsel made a tactical decision to challenge the underlying charges instead of the gang  
19 allegations. Indeed, during closing argument, defense counsel told the jury "[b]ecause you never  
20 get to the gang enhancements or allegations or whatever you want to call them because you never  
21 find the crime. (RT 838-839.) If counsel had been successful in his challenge to the underlying  
22 offenses, the gang enhancements would have become moot. Counsel's strategic decision, such  
23 as this, is entitled to substantial deference particularly on collateral review. See Yarborough v.  
24 Gentry, 540 U.S. 1, 5-6 (2003) ("counsel has wide latitude in deciding how best to present a  
25 client, and deference to counsel's tactical decisions in [her] closing presentation is particularly  
26 important because of the broad range of legitimate defense strategy at that stage."); see also  
27 Hovey v. Ayers, 458 F.3d 892, 906 (9th Cir. 2006) (noting that recent decisions by the Supreme  
28 Court have "stress[ed] the deference owed to the choices made by defense counsel in crafting

1 summations”).

2 In any event, even if counsel was inadequate during closing argument for failing to  
3 challenge the gang enhancements, there is not a “reasonable probability” that a different closing  
4 argument would have made a difference in the outcome of the trial. The prosecution gang expert  
5 testified about the rivalry between the DPG gang the TWAMP gang and opined that the shooting  
6 was committed to benefit the DPG. Given such strong evidence, there is no showing of a  
7 reasonable probability that the jury would not have found the gang enhancement true if counsel  
8 had argued different during closing summation. Strickland, 466 U.S. at 690.

9 G. Instructional Error-CALCRIM No. 332

10 Petitioner contends that Jury Instruction No. 332 violated his “rights to due process, jury  
11 trial, and proof of guilt beyond a reasonable doubt.” This claim was presented to the Fresno  
12 County Superior Court, the California Court of Appeal, and the California Supreme Court in  
13 post-conviction review proceedings. The Fresno County Superior Court denied the claim in a  
14 reasoned decision on the procedural ground that Petitioner should have, but did not, raise the  
15 claim on direct review. Because the California Court of Appeal and California Supreme Court  
16 both issued summary denials, this Court presumed it adopted the reasoning of the superior court.  
17 Ylst, 501 U.S. at 803.

18 A challenge to a jury instruction solely as an error under state law does not state a claim  
19 cognizable in a federal habeas corpus action. See Estelle v. McGuire, 502 U.S. 62, 71-72 (1991).  
20 To obtain federal collateral relief for errors in the jury charge, a petitioner must show that the  
21 ailing instruction by itself so infected the entire trial that the resulting conviction violates due  
22 process. Id. at 72. Additionally, the instruction may not be judged in artificial isolation, but  
23 must be considered in the context of the instructions as a whole and the trial record. Id. The  
24 court must evaluate jury instructions in the context of the overall charge to the jury as a  
25 component of the entire trial process. See United States v. Frady, 456 U.S. 152, 169 (1982)  
26 (citing Henderson v. Kibbe, 431 U.S. 145, 154 (1977)). Furthermore, even if it is determined  
27 that the instruction violated the petitioner’s right to due process, a petitioner can only obtain  
28 relief if the unconstitutional instruction had a substantial influence on the conviction and thereby



1 resulted in actual prejudice under Brecht v. Abrahamson, 507 U.S. 619, 637, 113 S.Ct. 1710  
2 (1993) (whether the error had a substantial and injurious effect or influence in determining the  
3 jury’s verdict.). See Hanna v. Riveland, 87 F.3d 1034, 1039 (9th Cir. 1996). The burden of  
4 demonstrating that an erroneous instruction was so prejudicial that it will support a collateral  
5 attack on the constitutional validity of a state court's judgment is even greater than the showing  
6 required to establish plain error on direct appeal." Id.

7 CALCRIM No. 332 deals with expert testimony and instructed the jury as follows:

8 A witness was allowed to testify as an expert and to give opinions. You  
9 must consider the opinions, but you are not required to accept them as true or  
10 correct. The meaning and importance of any opinion are for you to decide. In  
11 evaluating the believability of an expert witness, follow the instructions about the  
12 believability of witnesses generally. In addition, consider the expert’s knowledge,  
13 skill, experience, training, and education, the reasons the expert gave for any  
14 opinion, and the facts or information on which the expert relied in reaching that  
15 opinion. You must decide whether information on which the expert relied was  
16 true and accurate. You *may* disregard any opinion that you find unbelievable,  
17 unreasonable, or unsupported by the evidence.

18 An expert witness may be asked a hypothetical question. A hypothetical  
19 question asks the witness to assume certain facts are true and to give an opinion  
20 based on the assumed facts. It is up to you to decide whether an assumed fact has  
21 been proved. If you conclude that an assumed fact is not true, consider the effect  
22 of the expert’s reliance on that fact in evaluating the expert’s opinion.

23 (CT 157, emphasis added.)

24 Petitioner’s main challenge is to the use of the term “may” in the last sentence of the first  
25 paragraph. Petitioner claims the instruction implies that the jury may, but is not required to,  
26 reject an unsupported opinion. He reasons the instruction allows the jury to rely on an  
27 unsupported expert opinion. Petitioner contends Detective Flowers’ unsupported opinion was  
28 the sole evidence to meet the “primary activity” element that the shooting was gang-related, and  
this instruction undermined the reasonable doubt standard by allowing the jury to convict on less  
than sufficient evidence.

Petitioner’s claim is without merit. To demonstrate relief on this claim, Petitioner must  
show that there is a reasonable likelihood the jury found Detective Flowers’ testimony relating to  
the gang enhancement was “unsupported,” but chose to rely on it in finding the gang  
enhancement true. The record simply does not support such finding. Contrary to Petitioner’s

1 claim, Detective Flowers' expert testimony was well-supported by the evidence in the record.  
2 Accordingly, there is simply no basis to conclude that the jury relied on expert testimony it found  
3 to be unsupported by the evidence, and Petitioner has not demonstrated there is a reasonable  
4 likelihood the jury applied the instruction in an unconstitutional manner.

5 H. Instructional Error/Accomplice Corroboration Requirement

6 Petitioner claims the trial court erred by failing to properly instruct the jury on the  
7 accomplice corroboration requirement. Petitioner presented this claim to the Fresno County  
8 Superior Court, California Court of Appeal, and California Supreme Court in post-conviction  
9 collateral review proceedings. The Fresno County Superior Court denied the claim on the  
10 procedural ground that Petitioner should have, but did not, raise this claim on direct review.  
11 Because the California Court of Appeal and California Supreme Court summarily denied the  
12 claim, this Court looks through those decisions to that of the Fresno County Superior Court.  
13 Ylst, 501 U.S. at 803.

14 Section 1111 provides that “[a] conviction can not be had upon the testimony of an  
15 accomplice unless it be corroborated by such other evidence as shall tend to connect the  
16 defendant with the commission of the offense; and the corroboration is not sufficient if it merely  
17 shows the commission of the offense or the circumstances thereof.” In accordance, the jury was  
18 instructed with CALCRIM 334, which provided:

19 Before you may consider the testimony of Jamie Stanfield as evidence  
20 against the defendants regarding the crimes charged, you must decide whether  
21 Jamie Stanfield was an accomplice to those crimes with which the defendant is  
22 charged. A person is an accomplice if she is subject to prosecution for the  
23 identical crimes charged against the defendant. Someone is subject to prosecution  
24 if she personally committed the crime or if:

25 1. She knew of the criminal purpose of the person who committed the  
26 crime;

27 AND

28 2. She intended to, and did in fact aid, facilitate, promote, encourage, or  
instigate the commission of the crime.

The burden is on the defendant to prove that it is more likely than not that  
Jamie Stanfield was an accomplice.

An accomplice does not need to be present when the crime is committed.

1 On the other hand, a person is not an accomplice just because she is present at the  
2 scene of a crime, even if she knows that a crime will be committed or is being  
committed and does nothing to stop it.

3 A person may be an accomplice even if she is not actually prosecuted for  
4 the crime.

5 If you decide that a witness was not an accomplice, then you may not  
6 convict a defendant of either of the charged crimes based on her statement or  
testimony alone. You may use the statement or testimony of an accomplice to  
convict the defendant only if:

7 1. The accomplice's statement or testimony is supported by other evidence  
8 that you believe;

9 2. That supporting evidence is independent of the accomplice's statement  
or testimony;

10 AND

11 3. That supporting evidence tends to connect the defendant to the  
12 commission of the crimes.

13 Supporting evidence, however, may be slight. It does not need to be  
14 enough by itself to prove that the defendant is guilty of the crimes alleged, and it  
does not need to support every fact about which the accomplice testified. On the  
15 other hand, it is not enough if the supporting evidence merely shows that a crime  
was committed or the circumstances of its commission. The supporting evidence  
must end to connect the defendant to the commission of the crime.

16 Any statement or testimony of an accomplice that tends to incriminate a  
17 defendant should be viewed with caution. You may not, however, arbitrarily  
disregard it. You should give that statement or testimony the weight you think it  
18 deserves after examining it with care and caution and in the light of all of the  
other evidence.

19 (CT 159-160.)

20 Habeas corpus relief is not available to correct alleged errors in the state court's  
21 application or interpretation of state law. Estelle v. McGuire, 502 U.S. 62, 67-68, 112 S.Ct. 475,  
22 480 (1991); see also Rivera v. Illinois, \_\_\_ U.S. \_\_\_, 129 S.Ct. 1446, 1454 (2009) (“the mistaken  
23 denial of a state-provided peremptory challenge does not, without more, violate the Federal  
24 Constitution. ‘[A] mere error of state law,’ we have noted, ‘is not a denial of due process’”)  
25 (quoting Engle v. Isaac, 456 U.S. 107, 121 n.21 (1982)). “A federal court may not issue a writ on  
26 the basis of a perceived error of state law.” Pulley v. Harris, 465 U.S. 37, 41 (1984); Watts v.  
27 Bonneville, 879 F.2d 685, 687 (9th Cir. 1989) (alleged violation of state sentencing statute). If  
28 only a violation of state law is alleged, the petition is subject to dismissal. Favors v. Eyman, 466

1 F.2d 1325, 1327 (9th Cir. 1972).

2 Petitioner claims arises from the application of California evidentiary rules. Thus, the  
3 claim is not cognizable in a federal habeas corpus petition. Estelle, 502 U.S. at 68. The fact that  
4 Petitioner characterizes his claim as a due process violation is irrelevant. A habeas petitioner  
5 may not transform a state law issue into a federal one merely by asserting a due process violation.  
6 Langford v. Day, 110 F.3d 1380, 1389 (9th Cir. 1996). Moreover, in order for a state law to  
7 create a liberty interest protected by the United States Constitution, the state law must meet two  
8 requirements: the law must set forth substantive predicates to govern official decision-making,  
9 and it must contain explicitly mandatory language, i.e., a specific directive to the decisionmaker  
10 that mandates a particular outcome if the substantive predicates have been met. Kentucky Dept.  
11 of Corrections v. Thompson, 490 U.S. 454, 462-463 (1989). Neither of these requirements were  
12 met in this instance. In any event, California courts have repeatedly found that CALCRIM 334  
13 satisfies the requirements set forth in Section 1111, see People v. Barillas, 2009 WL 296997 \* 5  
14 (Cal. App. 6 Dist. 2009); People v. Grant, 2008 WL 4216125 \*5 n.5 (Cal. App. 4 Dist. 2008),  
15 and there is no basis to find a federal liberty interest. Clemons v. Mississippi, 494 U.S. 738, 747  
16 (1990) (no liberty interest where state did not create entitlement).

17 In any event, Petitioner’s challenge to CALCRIM No. 334, is without merit, for the  
18 reasons explained in People v. Grant, 2008 WL 4216125 (Cal. App. 4 Dist. 2008), which rejected  
19 a similar argument:

20 Grant also criticizes CALCRIM No. 334's discussion of the nature of the  
21 corroboration required, arguing that CALJIC No. 3.12 provided a better  
22 discussion of this subject. We do not find any error. CALCRIM No. 334 states  
23 that the requisite corroboration must be such that it “tends to connect the  
24 defendant to the commission of the crime” and that “it is not enough” if that  
25 evidence “merely shows that a crime was committed or the circumstances of its  
26 commission[;] [it] must tend to connect the defendant to the commission of the  
27 crime.” This is almost identical to language from CALJIC No. 3.12, which  
28 similarly states that the jury must find corroboration that “tends to connect  
defendant with the commission of the crime.” Consequently, we do not believe  
the trial court erred by relying on the CALCRIM instruction rather than the  
CALJIC instruction. Further, even if there was error, it would not be prejudicial  
as we would be required, in any event, to presume the jury determined Laymon  
was not an accomplice.

Id. at \*5 n.5

1 In addition, Petitioner’s related ineffective-assistance-of-counsel claim fails because there  
2 is no merit to Petitioner’s claim. Because there was no basis for counsel to object to CALCRIM  
3 No. 334, counsel was not deficient, nor was Petitioner prejudiced by the failure to object.  
4 Strickland, 466 U.S. at 687-688, 694; Baumann v. United States, 692 F.2d 565, 572 (9th Cir.  
5 1982) (“The failure to raise a meritless legal argument does not constitute ineffective assistance  
6 of counsel.”).

7 I. Ineffective Assistance Counsel/Failure Request Cautionary Instruction

8 Petitioner claims counsel was ineffective for failing to request a cautionary instruction.  
9 Because the California Court of Appeal and California Supreme Court issued summary denials,  
10 this Court looks through to the reasoned decision of the Fresno County Superior Court.

11 During trial, statements made by Stanfield to Petitioner were admitted. Petitioner claims  
12 that because his statements to Stanfield were inculpatory, trial counsel should have requested an  
13 instruction advising the jury that “evidence of an oral admission of the defendant should be  
14 viewed with caution.”

15 Contrary to Petitioner’s claim, the jury was given the cautionary instruction which stated:

16 [a]ny statement or testimony of an accomplice that tends to incriminate the  
17 defendant should be viewed with caution. You may not, however, arbitrarily  
18 disregard it. You should give that statement or testimony the weigh you think it  
19 deserves after examining it with care and caution and in the light of all the other  
20 evidence.

21 (CT 160 [CALRIM No. 334].) Thus, there is simply no merit to Petitioner’s claim that counsel  
22 was deficient nor was Petitioner prejudiced, and there is no basis for habeas corpus relief.

23 J. Ineffective Assistance Counsel/Failure Request Unanimity Instruction

24 Petitioner claims counsel was ineffective for failing to request a unanimity instruction.  
25 The California Court of Appeal rejected this claim on direct review, and the California Supreme  
26 Court denied review.

27 The California Court of Appeal analyzed the claim as follows:

28 The fact that the information alleged that the assault was committed  
against two victims did not deny appellant his right to a unanimous jury verdict.

A. Facts

1  
2 Count 2 of the amended information alleged that appellant assaulted  
Brittany Fulmer and Terrace Williams with a firearm.

3 The jury was instructed on the elements of this crime, as follows:

4 “The defendant is charged in Count Two with assault with a firearm.

5 “To prove that the defendant is guilty of this crime, the People must prove  
6 that:

7 “1. The defendant did an act with a firearm that by its nature would  
directly and probably result in the application of force to a person;

8 “2. The defendant did that act willfully;

9 “3. When the defendant acted, he was aware of facts that would lead a  
reasonable person to realize that his act by its nature would directly and probably  
10 result in the application of force to someone;

11 “AND

12 “4. When the defendant acted, he had the present ability to apply force  
with a firearm to another person.

13 “Someone commits an act willfully when he does it willingly or on  
14 purpose. It is not required that he intend to break the law, hurt someone else, or  
gain any advantage.

15 “The People are not required to prove that the defendant actually intended  
16 to use force against someone when he acted.

17 “No one needs to actually have been injured by defendant’s act. But if  
18 someone was injured, you may consider that fact, along with all the other  
evidence, in deciding whether the defendant committed an assault.”

19 In relevant part, the verdict form stated, “WE, the jury ... find the  
20 defendant ... guilty of VIOLATION OF SECTION 245(a)(2), of THE PENAL  
CODE, a felony, ASSAULT WITH A FIREARM, as charged in Count Two of the  
21 First Amended Information.”

22 B. Appellant was not denied the right to an unanimous verdict.

23 Because two victims were charged in connection with count 2 and the  
court did not give a unanimity instruction, appellant asserts that his constitutional  
24 right to a unanimous jury verdict was infringed. We disagree.

25 Appellant expressly rejected a unanimity expression. Before the court  
instructed the jury, it made the following comment setting forth agreements that  
26 were reached during the instructional conference with counsel:

27 “ ... We also discussed the fact that sometimes there is a need for  
instructions concerning unanimity. In this particular case [, because] these shots,  
if there were two shots fired, essentially [occurred] in a continuous course of  
28 conduct, both of you are in agreement and [defense counsel] in particular there is

1 no need to give a unanimity instruction, correct?”

2 Defense counsel replied, “Correct.”

3 This exchange demonstrates that defense counsel consciously chose not to  
4 have the jury instructed on the concept of unanimity. Defense counsel had a  
5 reasonable basis for rejecting a unanimity instruction-the shot or shots were fired  
6 in a continuous course of conduct. Therefore, the error asserted on appeal was  
invited and appellant is estopped from raising the issue on appeal. (*People v.*  
*Lara* (1994) 30 Cal.App.4th 658, 673-674.)

7 We are unconvinced by appellant’s responsive argument that if the  
8 alleged error is deemed invited, then defense counsel’s agreement to the omission  
9 of a unanimity instruction constitutes ineffective assistance of counsel. Both of  
10 the victims named in the amended information were present in the car when  
11 appellant fired the shot or shots in quick succession. Appellant did not raise a  
12 separate defense with respect to each of the victims named in count 2, as he  
13 claims in his reply brief. He presented an alibi defense which, if believed by the  
jury, would have resulted in his acquittal on both counts. Appellant denied firing  
any shots at the Camry and claimed that he was elsewhere when the crimes  
occurred. Therefore, it is not reasonably likely that the jury would have returned a  
more favorable verdict if it had been given a unanimity instruction. (*In re Jackson*  
(1992) 3 Cal.4th 578, 604 [ineffective assistance claims may be resolved based on  
lack of prejudice].)

14 In any event, the foundational premise of appellant’s argument is unsound.  
15 Appellant was not charged with attempted murder, which is a specific intent  
16 crime. The crime of assault with a deadly weapon does not require an identifiable  
17 victim, and the naming of a victim is not an element of the crime. This is a  
18 general intent crime that does not require a specific intent to injure a specified  
19 person. (*People v. Lee* (1994) 28 Cal.App.4th 1724, 1737 (*Lee*)). FN5 In *Lee*, the  
court held that firing at a crowd is enough to establish the general intent crime of  
assault. The jury’s focus should be on whether the defendant had the general  
criminal intent to commit an assaultive act that had the direct, natural and  
probable consequences of applying physical force upon or injury to another, and  
not on considering whom the defendant intended to injure. (*Id.* at p. 1738.)

20 FN5. Contrary to appellant’s assertion in his reply brief, the portion of the  
21 holding in *Lee* that is applicable in this instance was not called into dispute by  
*People v. Raviart* (2001) 93 Cal.App.4th 258 or *People v. Bland* (2002) 28  
Cal.4th 313.

22 In this instance, due process was satisfied because there was no question  
23 which of defendant’s acts was the basis for the assault with a firearm count. The  
24 prosecutor unequivocally argued that appellant committed an assault with a  
25 firearm when he fired one or more shots at the Camry. The evidence supports the  
26 conclusion that appellant knew that the car was occupied by two or more people  
27 when he fired at it. Thus, the failure to specify a single victim and the absence of  
28 an unanimity instruction did not result in a conviction that violated the  
constitutional unanimity guarantee. Any possible defect in the verdict form for  
count 2 is harmless beyond a reasonable doubt; appellant’s substantial rights did  
not suffer any prejudice. (*People v. Jones* (1997) 58 Cal.App.4th 693, 710-711.)

“A unanimity instruction serves to ensure jurors’ agreement as to the facts constituting an

1 offense when the evidence shows a greater number of violations of a charged crime than the  
2 number charged. [Citation.]” People v. Burnett, 71 Cal.App.4th 151, 173 (1999). However,  
3 “[t]he unanimity instruction is not required when the acts alleged are so closely connected as to  
4 form part of one transaction. [Citations.] The ‘continuous conduct’ rules applies when the  
5 defendant offers essentially the same defense to each of the acts, and there is no reasonable basis  
6 for the jury to distinguish between them. [Citation.]” People v. Stankewitz, 51 Cal.4th 72, 100  
7 (1990). Because the parties and the trial court agreed that this case involved continuous conduct  
8 on the part of Petitioner a unanimity instruction was not required. Petitioner fired one or more  
9 shots at the two victims and offered the same defense as to both victims. Accordingly, there is  
10 no basis to his claim counsel was ineffective nor was he prejudiced.

11 K. Ineffective Assistance Counsel During Plea Negotiations

12 Petitioner contends that he was denied effective assistance of counsel during plea  
13 negotiations. This claim was presented to the Fresno County Superior Court, California Court of  
14 Appeal, and California Supreme Court in post-conviction review petitions. The Fresno County  
15 Superior Court denied the claim on the merits. The California Court of Appeal and California  
16 Supreme Court summarily denied the claim, therefore it is presumed these courts denied the  
17 claim on the same basis set forth by the Fresno County Superior Court. Ylst, 502 U.S. at 803.

18 The prosecutor offered Petitioner a deal of four years in exchange for a guilty plea to  
19 assault with a firearm and firearm use. Petitioner declined the offer. Petitioner claims his  
20 counsel told him that he “could not be convicted at trial because witnesses had recanted their  
21 incriminating statements” and that “at worst, he would get a hung jury.” Petitioner claims  
22 counsel did not tell him he could be convicted based solely on the witnesses’ prior statements.  
23 Petitioner contends had he been aware of such possibility, he would have taken the plea deal.

24 A plea of guilty is constitutionally valid only to the extent it is "voluntary" and  
25 "intelligent" and must be made with sufficient information of the relevant circumstances and  
26 likely consequences resulting from the waiver of certain constitutional rights. Brady v. United  
27 States, 397 U.S. 742, 748 (1970); Boykin v. Alabama, 395 U.S. 238, 242-244 (1969).

28 Voluntariness is determined by looking at the tangible evidence in the record, as determined by



1 the totality of the circumstances surrounding the plea. Id. The defendant must make the decision  
2 “with the help of counsel, [and] rationally weigh the advantages of going to trial against the  
3 advantages of pleading guilty.” Brady v. United States, 397 U.S. at 750.

4 The decision to reject a plea bargain offer and go to trial is a critical stage of the  
5 proceedings. In Hill v. Lockhart, 474 U.S. 52, 56-57 (1985), the Court held that the  
6 voluntariness of a guilty plea depends on the adequacy of counsel’s legal advice. The first  
7 “inquiry is whether counsel’s advice was within the range of competence demanded of attorneys  
8 in criminal cases.” Turner v. Calderon, 281 F.3d 851, 879 (9th Cir. 2002). Then the Court must  
9 determine whether, “but for counsel’s errors, [the defendant] would have pleaded guilty and  
10 would not have insisted on going to trial. Id. If the defendant has been informed of the plea  
11 offer, the question to determine is whether counsel’s “advice was within the range of competence  
12 demanded of attorneys in criminal cases. Id. at 880 (quoting McMann v. Richardson, 397 U.S.  
13 759, 772 (1970)). Thus, Petitioner “must demonstrate gross error on the part of counsel[.]” Id.  
14 (quoting McMann, 397 U.S. at 771).

15 The state courts’ determination of this issue was not contrary to, or an unreasonable  
16 application of, clearly established Supreme Court precedent. The trial court advised Petitioner of  
17 the terms of the plea offer and the risk of going to trial and being convicted:

18 THE COURT: I know, Mr. Brown, you’ve had a chance to talk to your  
19 attorney and family last night. And, you know, I told you before, sir, you know,  
20 whatever you decide on this is okay with me. And I just - I do want to say I  
understand - how old are you, 18 or 19?

21 THE DEFENDANT: 19.

22 THE COURT: 19. You know, 19 years old - I’m not trying to talk you out  
23 of what you want to do here, but 19 years old, you probably think four years,  
24 that’s a long time. But there is going to be a time when you are 25 years old and  
25 could be out, right? And there is - it’s also true if you get life in the state prison  
26 that could very well mean exactly that. So I just want to make sure that you know  
27 what you have at risk here. You’ve had a chance to talk to your attorney about  
28 what you have at risk. And you make the decision that you want to go to trial and  
reject the People’s offer that there is no going back. First of all, you understand  
the People’s offer, sir?

THE DEFENDANT: Yes, sir.

THE COURT: And you know what you have at risk, life in prison if you  
are convicted of everything?

1 THE DEFENDANT: Yes.

2 THE COURT: And what do you want to do, go to trial or take the D.A.'s  
3 offer?

4 THE DEFENDANT: Go to trial.

5 (RT 127-128.)

6 Here, Petitioner was adequately informed of his potential life sentence if convicted, and  
7 he was informed of the terms of the plea bargain and decided to turn it down. Therefore,  
8 Petitioner had the tools necessary to make an informed decision. Even if counsel advised  
9 Petitioner against accepting the plea offer, there is no showing it constituted deficient  
10 performance. The prosecution's two key witnesses had recanted their statements prior to trial.  
11 Fulmer, the driver whose car was shot, recanted her statements to police and testified that she no  
12 longer believed Petitioner was the shooter. In addition, Stanfield, the driver of the car Petitioner  
13 was riding in, denied knowing Petitioner or such statements to police. Thus, in light of the  
14 obvious weaknesses in the prosecution's case, it would not have been unreasonable for counsel  
15 to recommend proceeding to trial. As the superior court stated in denying the claim:

16 Here, while petitioner contends that his counsel advised him not to take a  
17 four-year plea bargain, it appears that counsel's advice was based on a reasonable  
18 tactical analysis of the case since several of the witnesses had retracted their  
19 statements incriminating petitioner, thus strengthening petitioner's defense and  
20 making it more likely that he could obtain an acquittal at trial.

21 Petitioner's claim that counsel told him he could not be convicted at trial because  
22 witnesses had recanted their incriminating statements and that, "at worst he would get a hung  
23 jury," is not adequately supported. Petitioner has failed to submit any declarations or evidence in  
24 support of his ineffective assistance claim. Even so, the record does not support his claim that  
25 counsel was ineffective. In fact, Petitioner's claim that counsel told him "at worst, he would get  
26 a hung jury," shows equivocation by counsel on whether Petitioner would be found guilty. In  
27 McMann, the Supreme Court observed that "uncertainty is inherent in predicting court  
28 decisions." McMann, 397 U.S. at 771. "Counsel cannot be required to accurately predict what  
the jury or court might find, but he can be required to give the defendant the tools he needs to  
make an intelligent decision." Turner v. Calderon, 281 F.3d at 881. Furthermore, in determining

1 the reasonableness of counsel’s actions the Court must “reconstruct the circumstances of  
2 counsel’s alleged conduct” and “evaluate the conduct from counsel’s perspective at the time.”  
3 Harrington v. Richter, \_\_\_ S.Ct. \_\_\_, 2011 WL 148587 \*15.

4 Moreover, Petitioner’s self-serving statements, made years after his conviction, that he  
5 was advised by counsel he could not be convicted is insufficient to demonstrate he was not aware  
6 he could be convicted based solely on the witnesses’ prior statements. See United States v.  
7 Allen, 153 F.3d 1037, 1041 (9th Cir. 1998) (citing Cuppert v. Duckworth, 8 F.3d 1132, 1139 (7th  
8 Cir. 1993) (en banc) (“[S]elf-serving statements by a defendant that his conviction was  
9 constitutionally infirm are insufficient to overcome the presumption of regularity accorded state  
10 convictions.”).) As just stated, even if Petitioner’s allegations regarding counsel’s advise are  
11 accepted as true, such advise was not ineffective and Petitioner was properly advised of the  
12 consequences of his guilty plea. The fact that counsel and Petitioner chose to proceed to trial  
13 based on counsel’s defense strategy to challenge the prosecution’s case based on the witnesses’  
14 recanted statements and belief he would be acquitted, does not demonstrate that Petitioner was  
15 not made fully available of his options. The fact Petitioner was convicted demonstrates only that  
16 counsel’s defense strategy was not successful, not that counsel was incompetent.

17 L. Ineffective Assistance Counsel/Failure to Obtain Favorable Evidence

18 Petitioner contends that trial counsel was ineffective for failing to obtain favorable  
19 evidence. This claim was presented to the Fresno County Superior Court, the California Court  
20 of Appeal, and the California Supreme Court in post-conviction proceedings. The Fresno County  
21 Superior Court denied the claim on the procedural ground that Petitioner should have, but did  
22 not, raise this claim on direct review. The California Court of Appeal and California Supreme  
23 Court summarily denied the claim, and it is presumed that these courts denied the claim on the  
24 same procedural ground identified by the Fresno County Superior Court. Ylst, 501 U.S. at 803.

25 Petitioner claims counsel was ineffective for failing to introduce Sprint phone records that  
26 would have established that Petitioner was not on his cell phone at the time of the shooting. He  
27 contends that introduction of these records at trial would have seriously undermined the  
28 prosecutor’s case with respect to identity of the shooter and the gang enhancement. Petitioner

1 has failed to substantiate his claim with submission of the alleged cell phone records. Petitioner  
2 filed three state court collateral petitions and failed to submit the alleged phone records. Nor has  
3 Petitioner submitted such records to this Court, notwithstanding any potential bar to review of  
4 such evidence. Thus, Petitioner’s claim is nothing more than an unsubstantiated allegation, and  
5 his claim must be denied.

6 M. Ineffective Assistance Counsel/Failure Present Exculpatory Evidence

7 Petitioner claims counsel failed to present exculpatory evidence which would have been  
8 revealed through proper investigation. As with the prior claim, this claim was presented to the  
9 Fresno County Superior Court, the California Court of Appeal, and the California Supreme  
10 Court. Because the Fresno County Superior Court denied the claim on the procedural ground  
11 that Petitioner should have, but did not, raise this claim on direct review, this Court assumes the  
12 subsequent courts denied the claim on the same procedural ground. Ylst, 501 U.S. at 803.

13 Petitioner claims counsel should have presented evidence that his brother, Rayquan, was  
14 the shooter. In support of this claim, Petitioner submits the declarations of Jamie Stanfield-the  
15 driver of the car Petitioner was in at the time of the shooting, and Kitty Brown-Petitioner’s sister.

16 When Jamie Stanfield was initially interviewed by Detective Flowers, she told him  
17 Petitioner was the shooter. However, at trial, Stanfield recanted this statement and testified that  
18 no one fired a gun from her car. Now, Stanfield admits that someone did fire a gun from her car  
19 but it was not Petitioner. Stanfield declares that she initially told Detective Flowers that Rayquan  
20 Brown was the shooter. She also claims that she told Flowers that she had one child and was  
21 “pregnant with the child of Rayquan Brown.” Stanfield claims Detective Flowers threatened to  
22 call social services and have her daughter taken away from her if she did not testify against  
23 Petitioner. She claims when Flowers turned on the tape recorder, he instructed her to say she  
24 barely knew Petitioner, that Petitioner was the shooter, and that Petitioner told her Terrence  
25 Williams was his enemy. She claimed to be told not to mention Rayquan Brown at all. Stanfield  
26 claimed she made such statements out of fear of Flowers.

27 Kitty Brown claims that at the time of the shooting, Stanfield was dating and pregnant by  
28 her brother, Rayquan. Brown contends Petitioner and Rayquan “bear a strong resemblance to

1 each other.” Brown acknowledges she does not know who committed the shooting, but claims  
2 that Petitioner and Rayquan arrived at her house together at approximately 8:00 or 9:00 p.m. on  
3 the day of the shooting. Brown claims she told Petitioner’s defense counsel that Jamie Stanfield  
4 “was pregnant with Rayquan’s child, in a deep relationship with Rayquan, and that everybody in  
5 my family knew it.” She claims defense counsel advised her that he was going to have her testify  
6 at the trial.

7 Petitioner contends he told counsel that he suspected Rayquan committed the shooting  
8 and that he was romantically involved with Stanfield. Petitioner also claims he also told counsel  
9 that he and Rayquan “looked alike” and Stanfield was likely covering for him and implicating  
10 Petitioner because “the mother of Petitioner’s child had heard from Stanfield’s friend that  
11 Rayquan had done the shooting.” Counsel contacted Rayquan, but he refused to speak with him.

12 Petitioner’s claim that counsel failed to conduct a proper investigation to locate,  
13 subpoena, or interview Rayquan is without merit. Petitioner concedes that Rayquan refused to  
14 speak or cooperate with Petitioner’s counsel. Petitioner has not presented any facts to  
15 demonstrate that further contact with Rayquan would have been successful. Therefore,  
16 Petitioner’s claim is based on nothing more than pure speculation.

17 Petitioner also claims counsel should have impeached Stanfield with evidence of her  
18 relationship with Rayquan. Petitioner is mistaken. Defense counsel had no basis upon which to  
19 impeach Stanfield to show that Rayquan was the shooter. Counsel was unaware that Stanfield  
20 purportedly told Detective Flowers that Rayquan was the shooter. There is no claim or evidence  
21 presented that the police reports contained information that Stanfield implicated Rayquan, nor  
22 does Petitioner allege that Stanfield told counsel prior to trial that Rayquan was the shooter.  
23 Even if counsel knew of the romantic relationship between Stanfield and Rayquan that is not  
24 evidence that he committed the shooting.

25 Petitioner also claims that counsel should have called Kitty Brown to testify about the  
26 romantic relationship between Stanfield and Rayquan. However, the fact that Stanfield and  
27 Rayquan may have been romantically involved does not demonstrate he was the shooter.  
28 Furthermore, there is no evidence to support Petitioner’s contention that Kitty Brown would have

1 testified to her suspicions that Stanfield was “covering for” Rayquan. Brown’s does not declare  
2 that she believed Rayquan was the shooter or that Stanfield was “covering for” him. To the  
3 contrary, she admits that she “cannot say if it was Rayquan who actually committed the shooting  
4 . . .” Defense counsel interviewed Kitty Brown prior to trial and reasonably concluded not to call  
5 her as a witness.

6 “[T]he test for prejudice is whether the noninvestigated evidence was powerful enough to  
7 establish a probability that a reasonable attorney would decide to present it and a probability that  
8 such presentation might undermine the jury verdict.” Mickey v. Ayers, 606 F.3d 1223, 1236-  
9 1237 (9th Cir. 2010). Petitioner has failed to demonstrate what evidence counsel failed to  
10 discover and that, had this evidence been presented at trial, there is a reasonable probability he  
11 would not have been convicted. Petitioner presents nothing more than his speculation that his  
12 brother, Rayquan, was the shooter. Such speculation is not evidence. See e.g. Wildman v.  
13 Johnson, 261 F.3d 832, 839 (9th Cir. 2001) (“speculation” that a helpful expert could be found or  
14 would testify for petitioner not sufficient to show prejudice).

15 Petitioner’s claim that he told counsel the mother of his child heard from a friend of  
16 Stanfield’s that Rayquan had done the shooting is also without merit. In presenting a claim of  
17 ineffective assistance based on counsel’s failure to call witnesses, Petitioner must identify the  
18 witness, U.S. v. Murray, 751 F.2d 1528, 1535 (9th Cir. 1985), show that the witness was willing  
19 to testify, U.S. v. Harden, 846 F.2d 1229, 1231-32 (9th Cir. 1988), and show that the witness’s  
20 testimony would have been sufficient to create a reasonable doubt as to guilt. Tinsley v. Borg,  
21 895 F.2d 520, 532 (9th Cir. 1990); see also United States v. Berry, 814 F.2d 1406, 1409 (9th Cir.  
22 1989) (holding that where defendant did not indicate what witness would have testified to and  
23 how such testimony would have changed the outcome of the trial, there can be no ineffective  
24 assistance of counsel). Petitioner presents a multiple hearsay statement but fails to identify the  
25 individual who allegedly made the statement, present any basis to establish this individual’s  
26 knowledge, or show the witness was available and willing to testify at trial. Accordingly,  
27 Petitioner has failed to establish a Strickland violation and the claim must be denied.

28 ///

1 N. Shackling During Trial

2 Petitioner contends that his wearing ankle shackles during trial violated his right to due  
3 process and an impartial jury. This claim was presented to the Fresno County Superior Court, the  
4 California Court of Appeal, and the California Supreme Court. Because the California Supreme  
5 Court's opinion is summary in nature, this Court "looks through" that decision and presumes it  
6 adopted the reasoning of the Fresno County Superior Court, the last state court to have issued a  
7 reasoned opinion. Ylst v. Nunnemaker, 501 U.S. at 803.

8 Defense counsel and the sheriff's office came to an agreement whereby Petitioner would  
9 wear "some kind of a restraint under the table so there wouldn't be more than one bailiff in the  
10 courtroom." (RT 177.) The trial court stated that although "those arrangements are fine," "they  
11 are between you and the sheriff's department." (RT 178.) The court made clear that it had "not  
12 determined that there is any need for a restraint of any kind and you haven't asked me to make a  
13 finding in that regard or to do something other than these arrangements that you've made." (RT  
14 178.) Defense counsel replied, "That's correct." (Id.)

15 "A criminal defendant has a constitutional right to be free of shackles and handcuffs in  
16 the presence of the jury absent an essential state interest that justifies the physical restraints."  
17 Williams v. Woodford, 384 F.3d 567, 591 (9th Cir. 2004) (citing Ghent v. Woodford, 279 F.3d  
18 1121, 1132 (9th Cir. 2002) and Rhoden v. Rowland, 172 F.3d 633, 636 (9th Cir. 1999)). A  
19 shackling claim brought on federal habeas review, however, is subject to harmless error analysis  
20 under Brecht v. Abrahamson, 507 U.S. 619, 623 (1993). Under Brecht, the Court must  
21 determine whether the shackling had a substantial and injurious effect on the verdict. Duckett v.  
22 Godinez, 67 F.3d 734, 749 (9th Cir. 1995).

23 Petitioner contends the trial court was required to make a finding that the use of restraints  
24 was justified, notwithstanding counsel's agreement to their use. Even if it is assumed the trial  
25 court erred, Petitioner has not demonstrated that he suffered any prejudice. First, there is no  
26 evidence in the record that the jury ever saw Petitioner's ankle shackles. Defense counsel agreed  
27 with the sheriff's department to place ankle restraints on Petitioner so that two deputies were not  
28 required in the courtroom. There is simply no showing that the outcome of Petitioner's trial was

1 affected in any way by his shackling. Accordingly, the state court’s resolution of this claim was  
2 neither contrary to, nor an unreasonable application of, clearly established Supreme Court law.  
3 Williams, 529 U.S. at 412-413.

4 O. Due Process Violation/Right to Impartial Jury

5 Petitioner contends that contact between a juror and someone “associated with the  
6 People’s case” violated his constitutional rights.

7 The Sixth Amendment guarantees criminal defendants the right to a “fair trial by a panel  
8 of impartial, ‘indifferent’ jurors.” Irwin v. Dowd, 366 U.S. 717, 722 (1961). “If only one juror is  
9 unduly biased or prejudiced or improperly influenced, the criminal defendant is denied his Sixth  
10 Amendment right to an impartial panel.” United States v. Hendrix, 549 F.2d 1225, 1227 (9th  
11 Cir. 1997).

12 The Sixth Amendment also requires the verdict to be based only on the evidence  
13 produced at trial. Turner v. Louisiana, 379 U.S. 466, 472-473 (1965) (“[T]rial by jury in a  
14 criminal case necessarily implies at the very least that the ‘evidence developed’ against a  
15 defendant shall come from the witness stand in a public courtroom where there is full judicial  
16 protection of the defendant’s right of confrontation, of cross-examination, and of counsel”).  
17 Juror misconduct occurs when a member of the jury introduces into its deliberations extrinsic  
18 facts which were not admitted in evidence or provided in the instructions. Thompson v. Borg, 74  
19 F.3d 1571, 1574 (9th Cir. 1996). But “[d]ue process does not require a new trial every time a  
20 juror has been placed in a potentially compromising situation.” Smith v. Phillips, 455 U.S. 209,  
21 217 (1982). The Supreme Court has held that the remedy for allegations of juror misconduct or  
22 bias is the requirement that the trial court determine the circumstances of what transpired, the  
23 impact on the juror, and whether or not it was prejudicial. Remmer v. United States, 347 U.S.  
24 227, 229-230 (1954); see also Smith v. Phillips, 455 U.S. at 216-217. A petitioner is entitled  
25 to habeas corpus relief only if the evidence had a substantial and injurious effect or influence on  
26 the verdict. Lawson v. Borg, 60 F.3d 608, 612 (9th Cir. 1995).

27 The record reveals that Juror No. 4 came “into contact outside of the court with an  
28 individual who, although not a witness, was associated with the People’s case transporting



1 witnesses or something of that nature.” Petitioner claims the person was a detective or partner of  
2 Detective Flowers. To ensure the contact did not have an affect on the juror’s judgment, the trial  
3 court questioned the juror outside the presence of the other jurors, but in the presence of the  
4 prosecutor and Petitioner’s counsel. Both the prosecution and Petitioner’s counsel agreed that  
5 the identity of the person with whom Juror No. 4 had contact was not necessary. The trial court  
6 went on:

7 THE COURT: . . . Then to protect their confidentiality we’re just going to  
8 propose to ask of this juror whether he thinks that the contact with that individual  
9 might in any way affect his judgment in this case. And I’m going to let this juror  
10 know that from what little we’ve understood about this contact he doesn’t have to  
11 tell us at all any details about when and how it took place or whether he even  
12 knows that it was somebody that was involved in this case. But to see if anything  
13 like that might affect his judgment.

14 MR. TORRES [defense counsel]: I agree with that.

15 THE COURT: That’s a pretty vague way to put it. But I suppose – is there  
16 anything wrong with – in terms – so this juror knows something about what we’re  
17 talking about here, reflecting the fact that there was an individual who was  
18 identified as transporting witnesses here in the courtroom is the person we’re  
19 talking about.

20 MR. FRYE [prosecutor]: I think that’s fine.

21 THE COURT: That’s okay.

22 MR. TORRES: Agreed.

23 . . .

24 THE COURT: . . . And the record should reflect that Juror Number Four,  
25 \*\*\*\*4, has just joined us. And I don’t want you to get nervous about what they  
26 are bringing me in here for? I’ll tell you what’s happened here, sir, is that during  
27 the course of the trial there was a gentleman who was here in court. He did not  
28 testify in this case but was out in the audience at one point being on the left side of  
the courtroom here as we’re looking at it. And it’s come to the attention of  
counsel here that you may have had some contact outside of court with that  
person. And I want to start by saying I don’t want you to tell us anything at all.  
And you don’t need to give us any detail about where that took place or the  
circumstances or anything. That’s completely confidential. We all understand  
that. All we want to know, first of all, do you remember there being a contact like  
that?

JUROR SEAT NUMBER FOUR: Yes.

THE COURT: Yes? Okay. Now the only question, then, is there anything  
about that that you think would affect your judgment in any way in this case?

JUROR SEAT NUMBER FOUR: No.

1 THE COURT: Okay. I think you answered the question for me. Any  
2 further inquiries as far as you are concerned, either counsel?

3 MR. TORRES: None.

4 MR. FRYE: None.

5 (RT 897-900.)

6 As demonstrated above, Petitioner, by and through his counsel, waived any due process  
7 challenge to the procedures employed by the trial judge in investigating the question of juror  
8 bias. In New York v. Hill, 528 U.S. 110 (2000), the Supreme Court discussed circumstances  
9 when an attorney may waive certain rights on behalf of his client:

10 What suffices for waiver depends on the nature of the right at issue.  
11 “[W]hether the defendant must participate personally in the waiver; whether  
12 certain procedures are required for waiver; and whether the defendant’s choice  
13 must be particularly informed or voluntary, all depend on the right at stake.”  
14 *United States v. Olano*, 507 U.S. 725, 733, 113 S.Ct 1770, 123 L.Ed2d 508  
15 (1993). For certain fundamental rights, the defendant must personally make an  
16 informed waiver. *See, e.g., Johnson v. Zerbst*, 304 U.S. 458, 464-465, 58 S.Ct.  
17 1019, 82 L.Ed. 1461 (1938) (right to counsel); *Brookhart v. Janis*, 384 U.S. 1, 7-  
18 8, 86 S.Ct. 1245, 16 L.Ed.2d 314 (1966) (right to plead not guilty). For other  
19 rights, however, waiver may be effected by action of counsel. “Although there are  
20 basic rights that an attorney cannot waive without the fully informed and publicly  
21 acknowledged consent of the client, the lawyer has-and must have-full authority to  
22 manage the conduct of the trial.” *Taylor v. Illinois*, 484 U.S. 400, 417-418, 108  
23 S.Ct. 646, 98 L.Ed.2d 798 (1988). As to many decisions pertaining to the conduct  
24 of the trial, the defendant is “deemed bound by the acts of his lawyer-agent and is  
25 considered to have ‘notice of all facts, notice of which can be charged upon the  
26 attorney.’” *Link v Wabash R. Co.*, 380 U.S. 626, 634, 82 S.Ct. 1386, 8 L.Ed.2d  
27 734 (1962) (quoting *Smith v. Ayer*, 101 U.S. 320, 326, 25 L.Ed. 955 (1880)).  
28 Thus, decisions by counsel are generally given effect as to what arguments to  
pursue, *see Jones v. Barnes*, 463 U.S. 745, 751, 103 S.Ct. 3308, 77 L.Ed.2d 987  
(1983), what evidentiary objections to raise, *see Henry v. Mississippi*, 379 U.S.  
443, 451, 85 S.Ct. 564, 13 L.Ed.2d 408 (1965), and what agreements to conclude  
regarding the admission of evidence, *see United States v. McGill*, 11 F.3d 223,  
226-227 (C.A. 1 1993). Absent a demonstration of ineffectiveness, counsel’s  
word on such matter is the last. *Ibid.*

23 Id. at 114-115.

24 The trial court’s determination on juror bias is entitled to the presumption of correction  
25 because it “involves credibility findings whose basis cannot be easily discerned from an appellate  
26 record.” Wainwright v. Witt, 469 U.S. 412, 429 (1985). The record demonstrates the trial court  
27 made a reasonable inquiry and determined that the Juror No. 4 would be fair and impartial. Juror  
28

1 No. 4 was questioned by the trial judge about his contact with the third party outside the  
2 courtroom. The questioning took place outside the presence of the other jurors and in the  
3 presence of the prosecutor and Petitioner’s counsel. Petitioner’s counsel expressly consented to  
4 the manner in which the trial judge conducted the inquiry. (RT 897-900.) The judge asked Juror  
5 No. 4 if there was anything about his contact with the third party that would affect his judgment  
6 in the case in any way. The juror replied, “No.” (RT 899.) The trial judge asked both parties  
7 whether they had any further inquiries of the juror, and both parties responded, “No.” (RT 899.)  
8 Petitioner cannot now complain about the thoroughness of the trial court’s inquiry because he is  
9 “deemed bound” to the actions of his counsel which pertained to the conduct of the trial. Link v.  
10 Wabash R. Co., 370 U.S. at 626.

11 In any event, Petitioner has failed to overcome the presumption of correctness by  
12 producing clear and convincing evidence that Juror No. 4 was actually biased. Nor has Petitioner  
13 established that further examination of Juror No. 4 would have demonstrated that the juror was  
14 biased. Strickland, 466 U.S. at 687-688, 694 (to demonstrate prejudice, petitioner must show  
15 there is a “reasonable probability that, but for counsel’s unprofessional errors, the result of the  
16 proceeding would have been different”); Corjasso v. Attorney General of California, 304 Fed.  
17 Appx. 567, 569, 2008 WL 5341868, \*1 (9th Cir. Dec. 22, 2008) (unpublished decision) (even  
18 assuming counsel was deficient in examination of juror for possible bias, petitioner failed to  
19 establish prejudice under Strickland since there was no “evidence of actual juror bias”) (citing  
20 Fields v. Brown, 503 F.3d 755, 776 (9th Cir. 2007) (finding no prejudice where there was no bias  
21 because “[r]eplacement of one unbiased juror with another unbiased juror should not alter the  
22 outcome”).) Accordingly, habeas relief is foreclosed.

### 23 RECOMMENDATIONS

24 Based on the foregoing, it is HEREBY RECOMMENDED that:

- 25 1. The instant petition for writ of habeas corpus be DENIED; and
- 26 2. The Clerk of Court be directed to enter judgment in favor of Respondent.

27 This Findings and Recommendation is submitted to the assigned United States District  
28 Court Judge, pursuant to the provisions of 28 U.S.C. section 636 (b)(1)(B) and Rule 304 of the

1 Local Rules of Practice for the United States District Court, Eastern District of California.  
2 Within thirty (30) days after being served with a copy, any party may file written objections with  
3 the court and serve a copy on all parties. Such a document should be captioned “Objections to  
4 Magistrate Judge’s Findings and Recommendation.” Replies to the objections shall be served  
5 and filed within fourteen (14) days after service of the objections. The Court will then review the  
6 Magistrate Judge’s ruling pursuant to 28 U.S.C. § 636 (b)(1)(C). The parties are advised that  
7 failure to file objections within the specified time may waive the right to appeal the District  
8 Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

9  
10 IT IS SO ORDERED.

11 **Dated: February 3, 2011**

**/s/ Dennis L. Beck**  
UNITED STATES MAGISTRATE JUDGE