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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

RONALD ENRIQUE YBARRA,)	1:10-CV-571 AWI DLB HC
)	
Petitioner,)	FINDING AND RECOMMENDATION
)	REGARDING PETITION FOR
v.)	WRIT OF HABEAS CORPUS
)	
ANTHONY HEDGPETH, Warden,)	OBJECTIONS DUE WITHIN THIRTY
)	(30 DAYS)
Respondent.)	

Ronald Enrique Ybarra (hereinafter “Petitioner”) is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254.

PROCEDURAL HISTORY

Petitioner is currently in the custody of the California Department of Corrections and Rehabilitation pursuant to a judgment of the Fresno County Superior Court. A jury found Petitioner guilty of one count of willful, deliberate, and pre-mediated murder (Cal. Penal Code § 187(a)); and two counts of attempted murder (Cal. Penal Code § 664/187); and one count of street terrorism (Cal. Penal Code § 186.22(a)). The jury further found true the allegation that Petitioner personally used a firearm within the meaning of Cal. Penal Code § 12022.5(a)(1). On April 21, 2005, the Superior Court sentenced Petitioner to life without the possibility of parole plus an additional and consecutive ten years, and two additional life terms with the possibility of parole plus an additional and consecutive ten years on condition that Petitioner must serve a minimum of fifteen years prior to being eligible for parole.

Petitioner appealed his conviction to the California Court of Appeal, Fifth Appellate District. On January 2, 2007, the Court of Appeal vacated a \$10,000 parole revocation fine and

1 affirmed the judgment in all other aspects.

2 On January 25, 2007, the Court of Appeal ordered a rehearing in light of the United
3 States Supreme Court decision in Cunningham v. California, 549 U.S. 270 (2007). On April 18,
4 2007, the Court of Appeal vacated Petitioner's sentence *in toto* and remanded the matter to the
5 trial court to hold contested sentencing hearings in compliance with Cunningham or, in the
6 alternative, to impose mid-term sentences rather than aggravated sentences. On May 9, 2007, the
7 Court of Appeal issued an order modifying the published opinion without a change in judgment

8 On May 25, 2007, the Petitioner filed a petition for review in the California Supreme
9 Court. On May 29, 2007, Petitioner filed a petition for review in the California Supreme Court.
10 On August 15, 2007, the California Supreme Court granted both petitions for review and
11 deferred further action in the matter pending the disposition of a related issue in People v.
12 Gonzalez, S149898 and other matters. On July 16, 2008, the California Supreme Court
13 remanded the matter to the Court of Appeal for reconsideration in light of its decision in People
14 v. Gonzalez, 43 Cal. 4th 1118 (2008).

15 On September 12, 2008, the Court of Appeal vacated Petitioner's sentence, remanded to
16 the trial court for sentencing, but affirmed the judgment in all other respects.

17 On October 29, 2008, Petitioner filed a petition for review in the California Supreme
18 Court. On December 23, 2008, the California Supreme Court denied review without prejudice.

19 On April 2, 2010, Petitioner filed the instant federal petition for writ of habeas corpus.
20 See Doc. No. 1. On June 18, 2010, Respondent filed an answer to the petition. See Doc. No. 12.
21 On August 12, 2010, Petitioner filed a traverse. See Doc. No. 16.

22 FACTUAL BACKGROUND¹

23 On October 5, 2001, shortly after 7:00 p.m., someone in a BMW yelled out to
24 [Petitioner], "What's up Sur?" [Petitioner] yelled back, "Bulldog." From inside the BMW,
25 someone fired several shots at him from a handgun at point blank range but missed him.
Sur is short for Sureños, a rival criminal street gang.

26 ¹These facts are derived from the California Court of Appeal's opinion on direct appeal issued on
27 September 12, 2008. See People v. Ybarra, 166 Cal. App. 4th 1069, 1074 (2008). Pursuant to the Antiterrorism and
28 Effective Death Penalty Act of 1996, a determination of fact by the state court is presumed to be correct unless
Petitioner rebuts the presumption with clear and convincing evidence. 28 U.S.C. § 2254(e)(1); see Davis v.
Woodford, 384 F.3d 628, 638 (9th Cir. 2004); Moses v. Payne, 555 F.3d 742, 746 n.1 (9th Cir. 2009).

1
2 Shortly after 9:30 that evening, [Petitioner], Cernas, and another male, all armed with
3 guns, stepped out of a large car “between a gray and a blue” in color, walked toward a
4 house that was “a perceived Sureño location” where Gilbert Medrano, his pregnant niece
5 Mercedes López, and his friend Álvaro Romero were sitting outside talking, and opened
6 fire. [Petitioner's] father owns a sky blue Lincoln Town Car.

7
8 Bullets struck Medrano in the face, López in the leg and stomach, and Romero twice in
9 the back and once in the hip. Medrano survived with a bullet lodged between his cervical
10 vertebrae. López, who had a Caesarian section and a hysterectomy, and her daughter, who
11 was born a month prematurely with a scratch mark from a bullet on her back, both
12 survived. Romero died at the scene. A gang expert characterized both shootings as gang
13 warfare between Bulldogs and Sureños.

14
15 See People v. Ybarra, 166 Cal. App. 4th 1069, 1074 (2008).

16
17 **I. Jurisdiction**

18 A person in custody pursuant to the judgment of a State court may petition a district court
19 for relief by way of a writ of habeas corpus if the custody is in violation of the Constitution, laws,
20 or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. § 2241(c)(3); Williams v. Taylor,
21 529 U.S. 362, 375 n.7 (2000). Petitioner asserts that he suffered violations of his rights as
22 guaranteed by the United States Constitution. Petitioner’s custody arose from a conviction in the
23 Fresno County Superior Court. As the judicial district encompasses Fresno County, 28 U.S.C. §
24 84(b), the Court has jurisdiction over Petitioner’s application for writ of habeas corpus. See 28
25 U.S.C. § 2241(d) (vesting concurrent jurisdiction over application for writ of habeas corpus to
26 the district court where the petitioner is currently in custody or the district court in which a State
27 court convicted and sentenced Petitioner if the State “contains two or more Federal judicial
28 districts”).

29
30 **II. Standard of Review**

31 On April 24, 1996, Congress enacted the Anti-terrorism and Effective Death Penalty Act
32 of 1996 (“AEDPA”), which applies to all petitions for a writ of habeas corpus filed after the
33 statute’s enactment. Lindh v. Murphy, 521 U.S. 320, 326-27 (1997); Jeffries v. Wood, 114 F.3d
34 1484, 1499 (9th Cir. 1997). The instant petition was filed after the enactment of AEDPA and is
35 consequently governed by its provisions. See Lockyer v. Andrade, 538 U.S. 63, 70 (2003).
36 Thus, the petition “may be granted only if [Petitioner] demonstrates that the state court decision
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1 denying relief was ‘contrary to, or involved an unreasonable application of, clearly established
2 Federal law, as determined by the Supreme Court of the United States.’” Irons v. Carey, 505
3 F.3d 846, 850 (9th Cir. 2007) (quoting 28 U.S.C. § 2254(d)(1)), overruled in part on other
4 grounds, Hayward v. Marshall, 603 F.3d 546, 555 (9th Cir. 2010) (en banc); see Lockyer, 538
5 U.S. at 70-71.

6 Title 28 of the United States Code, section 2254 remains the exclusive vehicle for
7 Petitioner’s habeas petition as Petitioner is in the custody of the California Department of
8 Corrections and Rehabilitation pursuant to a state court judgment. See Sass v. California Board
9 of Prison Terms, 461 F.3d 1123, 1126-27 (9th Cir. 2006), overruled in part on other grounds,
10 Hayward, 603 F.3d at 555. As a threshold matter, this Court must “first decide what constitutes
11 ‘clearly established Federal law, as determined by the Supreme Court of the United States.’”
12 Lockyer, 538 U.S. at 71 (quoting 28 U.S.C. § 2254(d)(1)). In ascertaining what is “clearly
13 established Federal law,” this Court must look to the “holdings, as opposed to the dicta, of [the
14 Supreme Court’s] decisions as of the time of the relevant state-court decision.” Id. (quoting
15 Williams v. Taylor, 529 U.S. 362, 412 (2000)). “In other words, ‘clearly established Federal
16 law’ under § 2254(d)(1) is the governing legal principle or principles set forth by the Supreme
17 Court at the time the state court renders its decision.” Id. Finally, this Court must consider
18 whether the state court’s decision was “contrary to, or involved an unreasonable application of,
19 clearly established Federal law.” Id. at 72 (quoting 28 U.S.C. § 2254(d)(1)). “Under the
20 ‘contrary to’ clause, a federal habeas court may grant the writ if the state court arrives at a
21 conclusion opposite to that reached by [the Supreme] Court on a question of law or if the state
22 court decides a case differently than [the] Court has on a set of materially indistinguishable
23 facts.” Williams, 529 U.S. at 413; see also Lockyer, 538 U.S. at 72. “Under the ‘unreasonable
24 application clause,’ a federal habeas court may grant the writ if the state court identifies the
25 correct governing legal principle from [the] Court’s decisions but unreasonably applies that
26 principle to the facts of the prisoner’s case.” Williams, 529 U.S. at 413. “[A] federal court may
27 not issue the writ simply because the court concludes in its independent judgment that the
28 relevant state court decision applied clearly established federal law erroneously or incorrectly.

1 Rather, that application must also be unreasonable.” Id. at 411. A federal habeas court making
2 the “unreasonable application” inquiry should ask whether the State court’s application of clearly
3 established federal law was “objectively unreasonable.” Id. at 409.

4 Petitioner bears the burden of establishing that the state court’s decision is contrary to or
5 involved an unreasonable application of United States Supreme Court precedent. Baylor v.
6 Estelle, 94 F.3d 1321, 1325 (9th Cir. 1996). Although only Supreme Court law is binding on the
7 states, Ninth Circuit precedent remains relevant persuasive authority in determining whether a
8 state court decision is objectively unreasonable. Clark v. Murphy, 331 F.3d 1062, 1072 (9th Cir.
9 2003) (“While *only* the Supreme Court’s precedents are binding on the Arizona court, and only
10 those precedents need be reasonably applied, we may look for guidance to circuit precedents”);
11 Duhaime v. Ducharme, 200 F.3d 597, 600-01 (9th Cir. 1999) (“because of the 1996 AEDPA
12 amendments, it can no longer reverse a state court decision merely because that decision conflicts
13 with Ninth Circuit precedent on a federal Constitutional issue . . . This does not mean that Ninth
14 Circuit case law is never relevant to a habeas case after AEDPA. Our cases may be persuasive
15 authority for purposes of determining whether a particular state court decision is an
16 ‘unreasonable application’ of Supreme Court law, and also may help us determine what law is
17 ‘clearly established’”). Furthermore, the AEDPA requires that the Court give considerable
18 deference to state court decisions. The state court’s factual findings are presumed correct. 28
19 U.S.C. § 2254(e)(1). A federal habeas court is bound by a state’s interpretation of its own laws.
20 Souch v. Schaivo, 289 F.3d 616, 621 (9th Cir. 2002).

21 The initial step in applying AEDPA’s standards is to “identify the state court decision that
22 is appropriate for our review.” Barker v. Fleming, 423 F.3d 1085, 1091 (9th Cir. 2005). Where
23 more than one State court has adjudicated Petitioner’s claims, a federal habeas court analyzes the
24 last reasoned decision. Id. (citing Ylst v. Nunnemaker, 501 U.S. 797, 803 (1991)) for the
25 presumption that later unexplained orders, upholding a judgment or rejecting the same claim,
26 rests upon the same ground as the prior order). Thus, a federal habeas court looks through
27 ambiguous or unexplained state court decisions to the last reasoned decision to determine
28 whether that decision was contrary to or an unreasonable application of clearly established

1 federal law. Bailey v. Rae, 339 F.3d 1107, 1112-13 (9th Cir. 2003). As the California Supreme
2 Court’s decision consisted a summary denial, the Court looks through that decision to the last
3 reasoned decision, namely, that of the California Court of Appeal’s decision on direct appeal that
4 was issued on September 12, 2008. See Nunnemaker, 501 U.S. at 804.

5 **III. Review of Petitioner’s First Claim-Ineffective Assistance of Trial Counsel**

6 Petitioner claims that his trial counsel was constitutionally ineffective for failing to object
7 to “impermissibly suggestive” photographic lineups. Petitioner asserts that the second photo
8 lineup was impermissibly suggestive in two ways. First, the police only updated Petitioner’s
9 photograph in the second photo lineup even though the second lineup contained the same six
10 individuals as the first photo lineup. Petitioner contends that only updating his photo with a
11 more current photograph would “telegraph” to Medrano that Petitioner was the perpetrator. See
12 Petition at 10. Second, the police inserted black ink marks on the foreheads of all six individuals
13 in the second lineup for purposes of covering up Petitioner’s Bulldog gang tattoo on his forehead.
14 Petitioner argues that because none of the six individuals in the first lineup had a black mark on
15 their foreheads and because only Petitioner’s photo was updated, this would suggest to Medrano
16 that Petitioner’s new updated photo contained a distinctive mark that needed to be concealed. Id.

17 For the reasons discussed below, the State Court’s denial of Petitioner’s ineffective
18 assistance of counsel claim was not “objectively unreasonable.”

19 **A. State Court’s Decision**

20 The California Court of Appeal on direct appeal rejected Petitioner’s claim that his trial
21 counsel provided ineffective assistance of counsel as follows:

22 Hours after he was shot, Medrano looked at a six-pack photographic lineup that a
23 detective showed him at the hospital. He had a tube down his throat, his face was “very
24 swollen,” and he could not speak, but he was coherent and responsive. Asked if he could
25 identify anyone in the lineup as one of his assailants, he responded affirmatively by
26 moving his head up and down, by writing “kinda” on a piece of paper, and by pointing to
27 [Petitioner’s] photograph in position 2.

28 At the hospital two days later, the detective showed Medrano a lineup different in two
29 respects from the one before. First, [Petitioner’s] photograph, though in the same position
30 as before, was newer than the one in the previous lineup. Second, the newer photograph
31 used to show a Bulldogs gang tattoo on [Petitioner’s] forehead, so the detective
32 obliterated with dark ink that portion of his head and the identical portions of the other
33 five heads. Medrano pointed to [Petitioner’s] photograph in position 2 again and “said

1 that this person looked like the person who was holding the shotgun.”

2 An appellate court will set aside “convictions based on eyewitness identification at trial
3 following a pretrial identification by photograph” only if the pretrial procedure “was so
4 impermissibly suggestive as to give rise to a very substantial likelihood of irreparable
5 misidentification.” (Simmons v. United States (1968) 390 U.S. 377, 384, 88 S.Ct. 967,
6 19 L.Ed.2d 1247.) The standard of independent review applies to a trial court's ruling that
7 a pretrial identification procedure was not impermissibly suggestive. (People v. Kennedy
8 (2005) 36 Cal.4th 595, 608 609, 31 Cal.Rptr.3d 160, 115 P.3d 472.)

9 The Attorney General characterizes the photographs in both lineups as showing “what
10 appear to be young male Hispanics” who were “similar in appearance, age, and [the]
11 physical characteristics” of “shaved heads, heavy builds, and some facial hair.” We agree.
12 Where photographs in a lineup are of males of the same ethnicity and of “generally of the
13 same age, complexion, and build, and generally resembling each other,” and where the
14 accused's “photograph did not stand out, and the identification procedure was sufficiently
15 neutral,” the lineup is not impermissibly suggestive. (People v. Johnson (1992) 3 Cal.4th
16 1183, 1208, 1214, 1217, 14 Cal.Rptr.2d 702, 842 P.2d 1; see People v. Gordon (1990) 50
17 Cal.3d 1223, 1243, 270 Cal.Rptr. 451, 792 P.2d 251, disapproved on another ground by
18 People v. Edwards (1991) 54 Cal.3d 787, 834 835, 1 Cal.Rptr.2d 696, 819 P.2d 436.)
19 The record belies [Petitioner's] argument that showing Medrano a second lineup two days
20 after the first lineup “with a different photograph of [Petitioner] but with all of the same
21 decoys that he had already rejected” “telegraphed to [him] that [Petitioner] was the
22 suspect they wanted him to positively identify.” Since our independent review of the
23 record persuades us that [Petitioner] fails to make the requisite showing on appeal that the
24 photographic lineups were impermissibly suggestive (see People v. Kennedy, supra, 36
25 Cal.4th at p. 608, 31 Cal.Rptr.3d 160, 115 P.3d 472), [Petitioner's] attorney had no duty
26 to object to the photographic lineups or to the in-court identification, so for want of a
27 valid premise we reject his ineffective assistance of counsel argument (see People v.
28 Anderson, supra, 25 Cal.4th at p. 587, 106 Cal.Rptr.2d 575, 22 P.3d 347; Civ.Code, §
3532).

17 See Ybarra, 166 Cal. App. 4th at 1081-82.

18 B. Applicable Law

19 The Sixth Amendment guarantees the effective assistance of counsel. The United States
20 Supreme Court set forth the test for demonstrating ineffective assistance of counsel in Strickland
21 v. Washington, 466 U.S. 668 (1984). To support a claim of ineffective assistance of counsel, a
22 petitioner must first show that, considering all the circumstances, counsel's performance fell
23 below an objective standard of reasonableness. Id. at 687 88. After a petitioner identifies the
24 acts or omissions that are alleged not to have been the result of reasonable professional judgment,
25 the court must determine whether, in light of all the circumstances, the identified acts or
26 omissions were outside the wide range of professionally competent assistance. Id. at 690; see
27 Wiggins v. Smith, 539 U.S. 510, 521 (2003). Second, a petitioner must establish that he was
28 prejudiced by counsel's deficient performance. Strickland, 466 U.S. at 693 94. Prejudice is

1 found where “there is a reasonable probability that, but for counsel's unprofessional errors, the
2 result of the proceeding would have been different.” Id. at 694. A reasonable probability is “a
3 probability sufficient to undermine confidence in the outcome.” Id.; see also Williams v. Taylor,
4 529 U.S. 362, 391–92 (2000); Laboa v. Calderon, 224 F.3d 972, 981 (9th Cir. 2000). In
5 reviewing defense counsel's performance, courts “must be highly deferential” and make “every
6 effort . . . to eliminate the distorting effects of hindsight.” Strickland, 466 U.S. at 689.

7 In Harrington v. Richter, U.S. , , 131 S. Ct. 770, 784–85, 178
8 L.Ed.2d 624 (2011), the Supreme Court recently stressed that “[t]he pivotal question is whether
9 the state court's application of the Strickland standard was unreasonable,” which “is different
10 from asking whether defense counsel's performance fell below Strickland's standard.” “The
11 standards created by Strickland and § 2254(d) are both ‘highly deferential’ ... and when the two
12 apply in tandem, review is ‘doubly’ so.” Id. at 788. The Court must ask not “whether counsel's
13 actions were reasonable” but “whether there is any reasonable argument that counsel satisfied
14 Strickland's deferential standard.” Id. Therefore, this Court's task under § 2254(d) is not to
15 undertake its own Strickland analysis, but to determine whether the state court's Strickland
16 analysis was unreasonable.

17 C. Analysis

18 Petitioner argues that his trial counsel was deficient because he did not object to
19 “impermissibly suggestive” photographic lineups at trial. See Petition at 2. The California Court
20 of Appeal held that trial counsel had no duty to object to the photographic lineups because the
21 lineups were not “impermissibly suggestive.” See Ybarra, 166 Cal. App. 4th at 1081-82.
22 Therefore, counsel’s decision to not challenge the lineups was not ineffective assistance of
23 counsel. Id. Based on a review of the record, the Court finds that the California Court of
24 Appeal’s determination that the photo lineups were not impermissibly suggestive was not
25 “objectively unreasonable.”

26 The United States Supreme Court has held that evidence derived from a pre-trial
27 identification procedure may be constitutionally inadmissible on due process grounds if the
28 challenged procedure was so “impermissibly suggestive as to give rise to a very substantial

1 likelihood of irreparable misidentification.” Simmons v. United States, 390 U.S. 377, 384
2 (1968). “It is the likelihood of misidentification which violates a defendant's right to due process
3 . . .” Neil v. Biggers, 409 U.S. 188, 198 (1972). “Suggestive confrontations are disapproved
4 because they increase the likelihood of misidentification, and unnecessarily suggestive ones are
5 condemned for the further reason that the increased chance of misidentification is gratuitous.”
6 Id. Photographic identification procedures are suspect where: (1) only a single photograph is
7 displayed to the witness; (2) the accused's photograph is somehow emphasized; or (3) a single
8 individual is displayed more than once in a photographic array. Simmons, 390 U.S. at 383. The
9 repeated showing of the picture of an individual, for example, reinforces the image of the
10 photograph in the mind of the viewer. Id. An identification procedure is impermissibly
11 suggestive where it “[i]n effect ... sa[ys] to the witness ‘This is the man.’ ” Foster v. California,
12 394 U.S. 440, 443 (1969).

13 Petitioner argues that the photo lineups were unduly suggestive because the police only
14 updated Petitioner’s photo with a more recent photograph in the second lineup. Petitioner’s
15 argument has no merit for several reasons. First, the Court is not aware of any Supreme Court
16 case or federal authority that has held that only updating a defendant’s photograph in a second
17 photo lineup, which contains the same individuals as the first lineup, renders the second lineup
18 impermissibly suggestive.

19 Second, Petitioner has not demonstrated how the updating of his photograph in the
20 second lineup placed a special focus upon him such that it amounted to a suggestion by police to
21 Medrano that Petitioner was “the man.” See Foster, 394 U.S. at 443. It is insufficient for
22 Petitioner to merely conclude that updating his photo with a more current photograph would
23 “telegraph” to Medrano that Petitioner was the suspect. This is not a situation where Petitioner
24 was the sole recurring photograph in the second lineup. In those situations, the chance of
25 misidentification is heightened and “the witness is thereafter apt to retain in his memory the
26 image of the photograph rather than that of the person actually seen.” Simmons, 390 U.S. at 383-
27 84.

1 Here, the trial records show that both lineups depicted the same six individuals. See
2 Ybarra, 166 Cal. App. 4th at 1081-82. Applying the rationale of Simmons, because the second
3 photographic lineup included the exact same individuals as the first lineup, there is not a
4 heightened chance that the second lineup would serve to reinforce the image of Petitioner in
5 Medrano's mind. Moreover, the photo lineups did not emphasize Petitioner as the lineups
6 included Petitioner and five other individuals that the California Court of Appeal described as
7 what appeared to be "young male Hispanics, who were similar in appearance, age, and [the]
8 physical characteristics' of 'shaved heads, heavy builds, and some facial hair.'" Id.

9 Petitioner also argues that the black ink marks that police added to his forehead and the
10 five other individuals in the second photo lineup were unduly suggestive. This argument also has
11 no merit. The police added black ink marks to all six individuals in the second lineup because
12 Petitioner's newer photograph contained a Bulldogs gang tattoo on his forehead. The police
13 obliterated the tattoo with dark ink on that portion of Petitioner's head and the identical portions
14 of the other five heads. Petitioner argues that because none of the six individuals in the first
15 photo lineup had a black mark on their foreheads and given that only Petitioner's photo was
16 updated in the second lineup, this would suggest to Medrano that Petitioner's new updated photo
17 contained a distinguishing mark that needed to be concealed.

18 A review of the record, however, indicates that Medrano did not identify Petitioner as the
19 perpetrator because he had a tattoo or any distinguishing mark. In fact at trial, Medrano testified
20 that he could not recall seeing any tattoos on Petitioner's face. See RT 2234. As such, the black
21 markings on the six individuals' foreheads in the second lineup were irrelevant to Medrano's
22 identification of Petitioner. Given that the marking were irrelevant to identification, the
23 markings could not have made the identification procedure unduly suggestive.

24 Based on the record, the Court finds that it was not objectively unreasonable for the
25 California Court of Appeal to conclude that the photo lineups were not impermissibly suggestive.
26 It follows that trial counsel did not have a duty to object to the photographic lineups. Therefore,
27 the Court of Appeal's determination that counsel was not deficient for failing to object was
28 objectively reasonable.

1 Accordingly, Petitioner is not entitled to habeas corpus relief on this ground.²

2 **IV. Review of Petitioner’s Second Claim- Juror Misconduct**

3 Petitioner claims that his Fifth, Sixth, and Fourteenth Amendment rights to a fair trial
4 were violated because a member of the jury engaged in prejudicial juror misconduct. See
5 Petition at 5-7. Specifically, Petitioner contends that when a holdout juror expressed a desire to
6 be discharged, a majority juror, who had prior jury service, dissuaded her by telling her that if she
7 continued to maintain a position of not guilty that the trial judge would just instruct her to
8 continue deliberating. See Petition at 10-11. Petitioner contends that this admonishment forced
9 the holdout juror in favor of acquittal to submit to the will of the majority. Id. There is no merit
10 to this claim and the Court of Appeal’s denial of Petitioner’s jury misconduct claim was not
11 “objectively unreasonable.”

12 A. State Appellate Court Decision

13 [Petitioner] argue[s] that one juror's dissuasion of another from asking the trial court for
14 discharge as a holdout juror constituted prejudicial juror misconduct. The Attorney
General argues the contrary.

15 During the hiatus between the verdicts and the sentencing hearing, the trial court received
16 an anonymous letter from a “concerned citizen” purporting to narrate charges of jury bias
17 by someone whom the writer identified as a friend who was a juror at Cernas's and
18 Petitioner's trial. At the trial court's invitation, the juror appeared for in camera
19 questioning by the trial court with counsel present. She said that after initial balloting
20 showed nine votes for conviction and three votes (including hers) for acquittal a majority
21 juror said the minority jurors were playing “the devil's advocate,” which to her “was like
22 the devil's helper,” and that no one changed anyone's mind. She characterized the
23 deliberations as “intense” and the majority jurors and herself alike as “mad.” She
described the tone of the majority jurors as “ ‘Hurry up and just say yes’ ” and the
response of the minority jurors as acquiescence “little by little” in the will of the majority
jurors. She said she “wanted to get out of it” but said nothing after a juror who had been a
juror before told her “it would not be easy to get out of it” and the judge “would send
[her] back and start deliberating more.” At the end of the in camera questioning, Cernas
and Petitioner made a motion for a new trial on the ground of juror misconduct. Finding
no prejudice, the trial court denied the motion.

24 “Jurors may be expected to disagree during deliberations, even at times in heated
25 fashion.” (People v. Orchard (1971) 17 Cal.App.3d 568, 574, 95 Cal.Rptr. 66 (Orchard
26).) Quoting Orchard with approval, the Supreme Court called “particularly harsh and
inappropriate” a majority jurors alleged death threat to a lone holdout juror but
emphasized “no reasonable juror could have taken it literally. Manifestly, the alleged

27 ²Because the Court does not regard the lineups as unnecessarily suggestive, the Court need not consider the
28 reliability of the identification in determining whether the procedures gave rise to a substantial likelihood of mistaken
identification. Neil v. Biggers, 409 U.S. 188, 198 99 (1972).

1 'death threat' was but an expression of frustration, temper, and strong conviction against
2 the contrary views of another panelist." (People v. Keenan (1988) 46 Cal.3d 478, 541,
3 250 Cal.Rptr. 550, 758 P.2d 1081 (Keenan).) Here, the record shows that at the polling
4 of the jury the juror at issue answered, "Yes," to the question whether those were her
5 verdicts as read. Likewise, at the polling of the jury in Keenan the lone holdout juror
6 signified that the verdict "was her individual verdict." (Id. at p. 542, 250 Cal.Rptr. 550,
7 758 P.2d 1081.)

8 Petitioner argues, primarily in reliance on In re Stankewitz (1985) 40 Cal.3d 391, 220
9 Cal.Rptr. 382, 708 P.2d 1260 (Stankewitz), that the juror who had been a juror before
10 "was not an expert of judicial practices" and that he "committed misconduct by asserting
11 such expertise." The juror in Stankewitz "advised the other jurors that he had been a
12 police officer for over 20 years; that as a police officer he knew the law; that the law
13 provides a robbery takes place as soon as a person forcibly takes personal property from
14 another person, whether or not he intends to keep it; and that as soon as petitioner took
15 the wallets at gunpoint in this case he committed robbery, whether or not he intended to
16 keep them." (Id. at p. 396, 220 Cal.Rptr. 382, 708 P.2d 1260.) That juror " 'consulted'
17 his own outside experience as a police officer on a question of law," gave "legal advice"
18 that was "totally wrong," and, "vouching for its correctness on the strength of his long
19 service as a police officer," did not "keep his erroneous advice to himself" but "stated it
20 again and again to his fellow jurors." (Id. at pp. 399 400, 220 Cal.Rptr. 382, 708 P.2d
21 1260.) The Supreme Court found prejudice. (Ibid.)

22 Stankewitz is inapposite. The record here shows that the juror who had been a juror
23 before professed no expertise in judicial practices but simply expressed an opinion on the
24 basis of a life experience he had had. "The jury system is an institution that is legally
25 fundamental but also fundamentally human. Jurors bring to their deliberations knowledge
26 and beliefs about general matters of law and fact that find their source in everyday life
27 and experience. That they do so is one of the strengths of the jury system. It is also one of
28 its weaknesses: it has the potential to undermine determinations that should be made
exclusively on the evidence introduced by the parties and the instructions given by the
trial court. Such a weakness, however, must be tolerated." (People v. Marshall (1990) 50
Cal.3d 907, 950, 269 Cal.Rptr. 269, 790 P.2d 676.)

The governing standard on appeal is independent review, as a mixed question of law and
fact, of the trial court's finding. (People v. Nesler (1997) 16 Cal.4th 561, 582, fn. 5, 66
Cal.Rptr.2d 454, 941 P.2d 87 (plur. opn. of George, C.J.).) On the basis of admissible
evidence in the juror's responses to the trial court's questioning (see Evid.Code, § 1150,
subd. (a)), and applying the governing standard of review, we conclude that the trial
court's finding of no prejudice is correct on the law and the facts alike.

See Ybarra, 166 Cal. App. 4th at 1087-88.

B. Applicable Law

The Sixth Amendment guarantees criminal defendants the right to a "fair trial by a panel
of impartial, 'indifferent' jurors." Irwin v. Dowd, 366 U.S. 717, 722 (1961). "If only one juror is
unduly biased or prejudiced or improperly influenced, the criminal defendant is denied his Sixth
Amendment right to an impartial panel." United States v. Hendrix, 549 F.2d 1225, 1227 (9th
Cir.1997). The Sixth Amendment also requires the verdict to be based only on the evidence

1 produced at trial. Turner v. Louisiana, 379 U.S. 466, 472-473 (1965) (“[T]rial by jury in a
2 criminal case necessarily implies at the very least that the ‘evidence developed’ against a
3 defendant shall come from the witness stand in a public courtroom where there is full judicial
4 protection of the defendant's right of confrontation, of cross-examination, and of counsel”). Juror
5 misconduct may occur when a member of the jury introduces into its deliberations extrinsic facts
6 which were not admitted in evidence or provided in the instructions. Thompson v. Borg, 74 F.3d
7 1571, 1574 (9th Cir.1996). A petitioner, however, is only entitled to habeas corpus relief if it can
8 be established that the misconduct had a “substantial and injurious effect or influence in
9 determining the jury's verdict.” Brecht v. Abrahamson, 507 U.S. 619, 637 (1993); see also
10 Thompson, 74 F.3d at 1574-76.

11 C. Analysis³

12 Petitioner contends that prejudicial juror misconduct occurred when a juror informed
13 another juror that if she sought a discharge, the judge would send her back to deliberate. The
14 California Court of Appeal rejected Petitioner’s claim and found that there was no prejudicial
15 jury misconduct. The Court of Appeal’s decision was not “objectively unreasonable” for at least
16 two reasons.

17 First, the mere fact that a juror expresses his opinion to another juror does not amount to
18 a violation of a petitioner’s Sixth Amendment’s right to an impartial jury. In conducting their
19 deliberations, “[j]urors have a duty to consider only the evidence which is presented to them in
20 open court.” Bayramoglu v. Estelle, 806 F.2d 880, 887 (9th Cir.1986). “[A] juror may not bring
21 into the jury room evidence developed outside the witness stand such as the results of a juror’s
22 experiment conducted while the jury was on a weekend recess, or legal research performed
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24 ³The state courts need not have cited to federal authority, or even have indicated awareness of federal
25 authority in arriving at their decision. Early v. Packer, 537 U.S. 3, 8 (2002). Where the state courts have not
26 addressed the constitutional issue in dispute in any reasoned opinion, the federal court will independently review the
27 record in adjudication of that issue. “Independent review of the record is not de novo review of the constitutional
28 issue, but rather, the only method by which we can determine whether a silent state court decision is objectively
unreasonable.” Himes v. Thompson, 336 F.3d 848, 853 (9th Cir.2003). Because the reasoned opinion provided by
the California Court of Appeal did not address Petitioner’s federal constitutional issue at issue here, this Court,
therefore, conducts an independent review.

1 during the trial in an attempt to supplement inadequate instructions from the judge.” Grotemeyer
2 v. Hickman, 393 F.3d 871, 878 (9th Cir. 2004). However, when a juror exposes other jurors to
3 information derived from a juror’s common knowledge or personal experience, the Ninth Circuit
4 has found that consideration of such evidence may be an appropriate part of the jury’s
5 deliberations. United States v. Navarro-Garcia, 926 F.2d 818, 821 (9th Cir.1991); see also
6 Grotemeyer, 393 F.3d at 878-79 (stating that a juror who shared her own experience as a
7 physician with the jury did not rise to the level of a constitutional violation involving extrinsic
8 evidence); United States v. Bagnariol, 665 F.2d 877, 888 (9th Cir.1981) (per curiam) (1982))
9 (discounting claim of prejudice where extraneous information was something “any reasonable
10 juror already knew”). It is expected that jurors will bring their life experiences and general
11 knowledge to bear on the facts of a case. Hard v. Burlington N. R.R. Co., 870 F.2d 1454, 1462
12 (9th Cir.1989) (citing Head v. Hargrave, 105 U.S. 45, 49 (1881)). Nevertheless, in some
13 instances a juror's personal experiences may constitute impermissible extrinsic evidence. This is
14 the case when a juror has personal knowledge regarding the parties or the issues involved in the
15 litigation that might affect the verdict. See Hard, 812 F.2d at 486 (involving a juror who was
16 allegedly a former employee of the defendant railroad, and who allegedly had personal
17 knowledge of the railroad's settlement practices).

18 In the instant matter, the Court of Appeal found that the remarks made by one juror to
19 another juror about the jury deliberation process did not amount to prejudicial jury misconduct
20 because the juror was simply sharing his own opinion based on his personal life experiences.⁴
21 See Ybarra, 166 Cal. App. 4th at 1087-88. The Court agrees as the Ninth Circuit has found that
22 consideration of such information may be an appropriate part of the jury’s deliberations. See
23 Navarro-Garcia, 926 F.2d at 821; see also Grotemeyer, 393 F.3d at 878-79. “[T]he general
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25 ⁴ The trial court held an in camera hearing and questioned the minority juror with counsel present. After the
26 in camera hearing, the trial court concluded that there was no prejudicial juror misconduct. The Court of Appeal
27 reviewed the record and found that the juror’s remarks were his opinion and did not constitute impermissible
28 extrinsic evidence. See Ybarra, 166 Cal. App. 4th at 1087 88. Pursuant to the Antiterrorism and Effective Death
Penalty Act of 1996, a determination of fact by the state courts is presumed to be correct unless Petitioner rebuts the
presumption with clear and convincing evidence. 28 U.S.C. § 2254(e)(1); see Davis v. Woodford, 384 F.3d 628,
638 (9th Cir. 2004); Moses v. Payne, 555 F.3d 742, 746 n.1 (9th Cir. 2009).

1 knowledge, opinions, feelings, and bias that every juror carries into the jury room ” are properly
2 considered during deliberations. See Hard, 870 F.2d at 1461. As the Court of Appeal noted, the
3 juror was merely relaying his understanding of the jury deliberation process based on his prior
4 jury experience, and was not claiming to be an expert in judicial practices. See Ybarra, 166 Cal.
5 App. 4th at 1087-88. This is not a situation where a juror introduced extrinsic evidence,
6 conducted his own research or performed out of court experiments. Grotemeyer, 393 F.3d at
7 878-79. Nor is this a situation where a juror had personal knowledge regarding the parties or the
8 issues involved in the litigation that might affect the verdict. See Hard, 812 F.2d at 486.

9 Petitioner relies on Thompson v. Borg, 74 F.3d 1571, 1574-76 (9th Cir. 1996) in support
10 of his argument that he suffered prejudicial juror misconduct. See Petition at 10. Thompson,
11 however, is not helpful to Petitioner as it discusses cases where “extrinsic” evidence was
12 introduced into the jury. See Thompson, 74 F.3d at 1574-76 (finding no prejudice where
13 veniremen announced in front of potential jurors that he had read a newspaper article stating that
14 defendant had withdrawn his initial guilty plea because the trial court took sufficient steps to cure
15 error and the statement was ambiguous.)

16 Second, even assuming arguendo that the juror engaged in jury misconduct by sharing his
17 opinion with a fellow jury member, there is no evidence that it had a “substantial and injurious
18 effect or influence in determining the jury's verdict.” Brecht, 507 U.S. at 627. There is no
19 evidence that the majority juror’s opinion regarding jury deliberations affected or altered the
20 minority juror’s vote regarding guilt. The record shows that at the polling of the jury the juror at
21 issue signified that the verdict “was her individual verdict as read.” See Ybarra, 166 Cal. App.
22 4th at 1087-88. Therefore, the Court of Appeal’s decision was not “objectively unreasonable.”

23 Accordingly, Petitioner is not entitled to habeas corpus relief on this ground.

24 V. Certificate of Appealability

25 A state prisoner seeking a writ of habeas corpus has no absolute entitlement to appeal a
26 district court’s denial of his petition, and an appeal is only allowed in certain circumstances.
27 Miller-El v. Cockrell, 537 U.S. 322, 336 (2003). The controlling statute in determining whether
28 to issue a certificate of appealability is 28 U.S.C. § 2253, which provides that a circuit judge or

1 judge may issue a certificate of appealability where “the applicant has made a substantial
2 showing of the denial of a constitutional right.” Where the court denies a habeas petition, the
3 court may only issue a certificate of appealability “if jurists of reason could disagree with the
4 district court’s resolution of his constitutional claims or that jurists could conclude the issues
5 presented are adequate to deserve encouragement to proceed further.” Miller-El, 537 U.S. at
6 326; Slack v. McDaniel, 529 U.S. 473, 484 (2000). While the petitioner is not required to prove
7 the merits of his case, he must demonstrate “something more than the absence of frivolity or the
8 existence of mere good faith on his . . . part.” Miller-El, 537 U.S. at 338. In the present case, the
9 Court finds that reasonable jurists would not find the Court’s determination that Petitioner is not
10 entitled to federal habeas corpus relief debatable; thus Petitioner’s claim is not deserving of
11 encouragement to proceed further. Consequently, the Court hereby recommends that Petitioner
12 be DENIED a certificate of appealability.

13
14 **RECOMMENDATION**

15 Accordingly, the Court RECOMMENDS that:

- 16 1. The petition for writ of habeas corpus be DENIED WITH PREJUDICE; and
17 2. The Clerk of the Court be DIRECTED to enter Judgment for Respondent; and
18 3. A Certificate of Appealability be DENIED.

19 This Findings and Recommendation is submitted to the Honorable Anthony W. Ishii,
20 United States District Court Judge, pursuant to the provisions of 28 U.S.C. § 636(b)(1)(B) and
21 Rule 304 of the Local Rules of Practice for the United States District Court, Eastern District of
22 California. Within thirty (30) days after being served with a copy, any party may file written
23 objections with the court and serve a copy on all parties. Such a document should be captioned
24 “Objections to Magistrate Judge's Findings and Recommendation.” Replies to the objections

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1 shall be served and filed within fourteen (14) court days after service of the objections. The
2 Court will then review the Magistrate Judge's ruling pursuant to 28 U.S.C. § 636(b)(1)(c). The
3 parties are advised that failure to file objections within the specified time may waive the right to
4 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

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6 IT IS SO ORDERED.

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8 Dated: June 1, 2011

/s/ Dennis L. Beck
9 UNITED STATES MAGISTRATE JUDGE
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