

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

PAUL ANTHONY LOPEZ,

1:10-cv-00925 GSA HC

Petitioner,

ORDER DENYING PETITION FOR WRIT OF
HABEAS CORPUS

v.

ORDER DIRECTING CLERK OF COURT TO
ENTER JUDGMENT FOR RESPONDENT

K. HARRINGTON, Warden,

ORDER DECLINING ISSUANCE OF
CERTIFICATE OF APPEALABILITY

Respondent.

_____ /

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. The parties have voluntarily consented to the jurisdiction of the magistrate judge pursuant to 28 U.S.C. § 636(c).

RELEVANT HISTORY¹

Petitioner is currently in the custody of the California Department of Corrections and Rehabilitation following his conviction in Stanislaus County Superior Court in 2007 of attempted murder (Cal. Penal Code §§ 187/664), possession of a shank while in jail (Cal. Penal Code § 4502(a)), and participation in a criminal street gang (Cal. Penal Code § 186.22(b)(1)). Allegations that Petitioner had personally used a deadly weapon and inflicted great bodily injury

_____ ¹ This information is taken from the state court documents attached to Respondent’s answer and are not subject to dispute.

1 were found true. On December 21, 2007, Petitioner was sentenced to serve an indeterminate
2 term of fifteen years to life plus two years.

3 Petitioner filed a timely notice of appeal. On June 24, 2009, the California Court of
4 Appeal, Fifth Appellate District (hereinafter "Fifth DCA"), affirmed Petitioner's judgment. He
5 petitioned for rehearing, and the Fifth DCA denied the petition. On July 28, 2009, Petitioner
6 filed a petition for review in the California Supreme Court. The petition was summarily denied
7 on October 14, 2009.

8 On May 24, 2010, Petitioner filed the instant federal habeas petition. He presents the
9 following three (3) claims for relief: 1) The trial court abused its discretion in violation of
10 Petitioner's due process rights when it admitted unauthenticated evidence; 2) The trial court
11 violated Petitioner's due process rights under the Confrontation Clause to the Sixth Amendment
12 to the Constitution when it admitted a redacted confession by a co-defendant; and 3) The
13 conviction was obtained due to the prosecution's failure to disclose favorable evidence and due
14 to the coaching of a key prosecution witness. On August 11, 2010, Respondent filed an answer
15 to the petition. Petitioner did not file a traverse.

16 STATEMENT OF FACTS²

17 All three defendants were inmates at Stanislaus County Jail and all were validated
18 members of the Norteño gang. Lindsay, McKenzie, and the three defendants were housed
19 together with other documented members of the Norteño gang^{FN2} in a 12-man cell. On
20 October 19, 2006, the inmates were removed from their cell for cell maintenance. Four of
21 the inmates, including Lindsay, temporarily were placed together in a holding cell. While
22 in the cell, Lindsay found three balloons of heroin. Lindsay gave one balloon to a
23 cellmate and secreted two of the balloons on his person. Later, Lindsay informed A.
24 Lopez and P. Lopez about the heroin. Heroin is a valuable commodity in jail. Generally,
25 gang members are required to share with other gang members any drugs that are found,
26 not for consumption, but for use in gaining power and control within the jail. Lindsay
27 kept his two balloons instead of passing them on to gang leaders. He began to barter the
28 heroin for commodity items, which violates the gang's code of conduct. Inmates who
engage in this behavior face punishment and "removal" by other gang members. Fatal
removals involve the use of weapons.

FN2. The defendants are referred to in the record both by their names and by their
gang monikers. P. Lopez is sometimes referred to as "Mugsy." A. Lopez is
sometimes referred to as "Soldier," and Lucero is sometimes referred to as "Lil
Man" or "Manos." McKenzie's moniker is "Scorpizi" or "Scorpion" and Lindsay's

²The Fifth DCA's summary of the facts in its June 24, 2009, opinion is presumed correct. 28 U.S.C.
§§ 2254(d)(2), (e)(1). Thus, the Court adopts the factual recitations set forth by the Fifth DCA.

1 moniker is “Psycho” or “Psychs.”

2 Later that evening, after Lindsay took his shower, he was invited to join in a game
3 of cards. Seated at the table were the three defendants and McKenzie. While sitting at the
4 table, Lindsay was hit from behind in the chest. He turned and saw A. Lopez. P. Lopez
5 came to Lindsay's side. At first, Lindsay believed P. Lopez was coming to his aid, but
6 instead P. Lopez punched Lindsay in the face and was grinning. Lindsay was hit from the
7 other side but was not sure who hit him. He tried to grab hold of McKenzie but was
8 unable to stay up. Lindsay fell to the floor. His assailants then kicked and hit him
9 numerous times. Lindsay yelled “man down” in an attempt to summon deputies. P. Lopez
10 told him to “shut up” and “close [his] eyes,” a reference Lindsay understood as meaning
11 to die. Lucero kicked him from behind. Lindsay could not say how many times he was
12 kicked or hit or who inflicted what blows. He did not see McKenzie hit or kick him.
13 Lindsay did not see any weapons. After A. Lopez hit him in the chest, Lindsay pushed A.
14 Lopez off of him and A. Lopez scooted to the right and was gone.

9 Lindsay lost consciousness. As a result of the attack, Lindsay suffered wounds to
10 the back of his head requiring stitches; a number of scratches, including one across his
11 neck; a slice and scrape across his nipple; and a small puncture-like wound on his chest
12 that did not require stitches. There was no mention of the puncture wound or stabbing in
13 the medical reports.

12 When the deputies arrived at the cell, Lindsay was down and nonresponsive.
13 There was blood on the floor and blood scattered about the cell. None of the inmates in
14 the cell claimed to have seen what happened. The deputies segregated the inmates who
15 had visible signs of trauma. P. Lopez, A. Lopez, and one other inmate were found to have
16 redness, swelling, or cuts on their hands. A. Lopez was wearing a T-shirt that had a sleeve
17 torn off, and blood was found on his boxer shorts. P. Lopez's boxers also had blood on
18 them. There were no marks found on Lucero's hands. After the assault, the heroin was
19 gone.

17 The next morning, Deputy Teso, a gang specialist officer, came to investigate the
18 attack. When interviewing an inmate, Teso asked him to lift his trouser legs. When the
19 inmate complied, Teso found a “huila” or written memo. The huila was addressed to
20 “Manos” and signed by “Soldier.” It detailed the assault on Lindsay and named those who
21 participated in the attack and provided the motive for the attack-Lindsay's failure to
22 follow the gang's code of conduct.

20 Detective Navarro interviewed Lindsay the day after the assault. Lindsay did not
21 identify any of his attackers. Later, Lindsay said he did not do so out of fear. In March
22 2007, Lindsay ran into A. Lopez during a court date. A. Lopez asked Lindsay if he was
23 going to testify and told Lindsay he was lucky to be alive. Lindsay took this as a threat.
24 After this encounter, Lindsay negotiated a deal with the prosecution and identified his
25 attackers.

24 (See Resp't's Lodged Doc. 1.)

25 DISCUSSION

26 I. Jurisdiction

27 Relief by way of a petition for writ of habeas corpus extends to a person in custody
28 pursuant to the judgment of a state court if the custody is in violation of the Constitution or laws

1 or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. § 2241(c)(3); Williams v. Taylor,
2 529 U.S. 362, 375, 120 S.Ct. 1495, 1504, n.7 (2000). Petitioner asserts that he suffered
3 violations of his rights as guaranteed by the U.S. Constitution. The challenged conviction arises
4 out of the Stanislaus County Superior Court, which is located within the jurisdiction of this
5 Court. 28 U.S.C. § 2254(a); 2241(d).

6 On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act
7 of 1996 ("AEDPA"), which applies to all petitions for writ of habeas corpus filed after its
8 enactment. Lindh v. Murphy, 521 U.S. 320, 117 S.Ct. 2059, 2063 (1997); Jeffries v. Wood, 114
9 F.3d 1484, 1499 (9th Cir. 1997), *cert. denied*, 522 U.S. 1008, 118 S.Ct. 586 (1997) (quoting
10 Drinkard v. Johnson, 97 F.3d 751, 769 (5th Cir.1996), *cert. denied*, 520 U.S. 1107, 117 S.Ct.
11 1114 (1997), *overruled on other grounds by Lindh v. Murphy*, 521 U.S. 320, 117 S.Ct. 2059
12 (1997) (holding AEDPA only applicable to cases filed after statute's enactment). The instant
13 petition was filed after the enactment of the AEDPA and is therefore governed by its provisions.

14 II. Standard of Review

15 The instant petition is reviewed under the provisions of the Antiterrorism and Effective
16 Death Penalty Act which became effective on April 24, 1996. Lockyer v. Andrade, 538 U.S.
17 63, 70 (2003). Under the AEDPA, a petitioner can prevail only if he can show that the state
18 court's adjudication of his claim:

19 (1) resulted in a decision that was contrary to, or involved an unreasonable
20 application of, clearly established Federal law, as determined by the Supreme
Court of the United States; or

21 (2) resulted in a decision that was based on an unreasonable determination of the
22 facts in light of the evidence presented in the State court proceeding.

23 28 U.S.C. § 2254(d); Lockyer, 538 U.S. at 70-71; Williams, 529 U.S. at 413.

24 As a threshold matter, this Court must "first decide what constitutes 'clearly established
25 Federal law, as determined by the Supreme Court of the United States.'" Lockyer, 538 U.S. at 71,
26 *quoting* 28 U.S.C. § 2254(d)(1). In ascertaining what is "clearly established Federal law," this
27 Court must look to the "holdings, as opposed to the dicta, of [the Supreme Court's] decisions as
28 of the time of the relevant state-court decision." Id., *quoting Williams*, 529 U.S. at 412. "In other

1 words, 'clearly established Federal law' under § 2254(d)(1) is the governing legal principle or
2 principles set forth by the Supreme Court at the time the state court renders its decision." Id.

3 Finally, this Court must consider whether the state court's decision was "contrary to, or
4 involved an unreasonable application of, clearly established Federal law." Lockyer, 538 U.S. at
5 72, *quoting* 28 U.S.C. § 2254(d)(1). "Under the 'contrary to' clause, a federal habeas court may
6 grant the writ if the state court arrives at a conclusion opposite to that reached by [the Supreme]
7 Court on a question of law or if the state court decides a case differently than [the] Court has on a
8 set of materially indistinguishable facts." Williams, 529 U.S. at 413; *see also* Lockyer, 538 U.S.
9 at 72. "Under the 'reasonable application clause,' a federal habeas court may grant the writ if the
10 state court identifies the correct governing legal principle from [the] Court's decisions but
11 unreasonably applies that principle to the facts of the prisoner's case." Williams, 529 U.S. at 413.

12 "[A] federal court may not issue the writ simply because the court concludes in its
13 independent judgment that the relevant state court decision applied clearly established federal
14 law erroneously or incorrectly. Rather, that application must also be unreasonable." Id. at 411.
15 A federal habeas court making the "unreasonable application" inquiry should ask whether the
16 state court's application of clearly established federal law was "objectively unreasonable." Id. at
17 409.

18 Petitioner has the burden of establishing that the decision of the state court is contrary to
19 or involved an unreasonable application of United States Supreme Court precedent. Baylor v.
20 Estelle, 94 F.3d 1321, 1325 (9th Cir. 1996). Although only Supreme Court law is binding on the
21 states, Ninth Circuit precedent remains relevant persuasive authority in determining whether a
22 state court decision is objectively unreasonable. *See* Duhaime v. Ducharme, 200 F.3d 597, 600-
23 01 (9th Cir.1999).

24 AEDPA requires that we give considerable deference to state court decisions. "Factual
25 determinations by state courts are presumed correct absent clear and convincing evidence to the
26 contrary, § 2254(e)(1), and a decision adjudicated on the merits in a state court and based on a
27 factual determination will not be overturned on factual grounds unless objectively unreasonable
28 in light of the evidence presented in the state court proceedings, § 2254(d)(2)." Miller-El v.

1 Cockrell, 537 U.S. 322, 340 (2003). Both subsections (d)(2) and (e)(1) of § 2254 apply to
2 findings of historical or pure fact, not mixed questions of fact and law. See Lambert v. Blodgett,
3 393 F.3d 943, 976-77 (2004).

4 III. Review of Claims

5 A. Admission of “huila” in Violation of Due Process

6 Petitioner first alleges the trial court erred in admitting unauthenticated evidence in the
7 form of a prison note known as a “huila” in violation of his due process rights. Respondent
8 contends Petitioner is incorrect and the state court reasonably rejected the claim.

9 Petitioner presented this claim on direct appeal to the Fifth DCA and California Supreme
10 Court. Because the California Supreme Court’s opinion is summary in nature, this Court "looks
11 through" that decision and presumes it adopted the reasoning of the California Court of Appeal,
12 the last state court to have issued a reasoned opinion. See Ylst v. Nunnemaker, 501 U.S. 797,
13 804-05 & n. 3 (1991) (establishing, on habeas review, "look through" presumption that higher
14 court agrees with lower court's reasoning where former affirms latter without discussion); see
15 also LaJoie v. Thompson, 217 F.3d 663, 669 n. 7 (9th Cir.2000) (holding federal courts look to
16 last reasoned state court opinion in determining whether state court's rejection of petitioner's
17 claims was contrary to or an unreasonable application of federal law under § 2254(d)(1)).

18 In denying Petitioner’s claim, the appellate court stated as follows:

19 *I. Admission of the “huila”*

20 The defendants raise a number of issues related to the admission of the huila
21 found the day after the assault. It was written to “Manos” and signed by “Soldier.”
22 Deputy Teso testified that “Manos” referred to Lucero, who was also known as “Lil
23 Man,” and that A. Lopez was “Soldier.” Navarro testified that Lucero was known by two
24 monikers, “Lil Man” and “Manos.” Lindsay said that A. Lopez was the gang member
25 referred to as “Soldier.” The huila documented that the attempted “removal” of Lindsay
26 occurred on October 19, 2006. It explained that the removal was for “degenerate acts, use
27 of drugs, heroin, promoting it, and spreading negativity amongst our people.” It also
28 charged Lindsay with numerous prior violations of the gang code. The author noted that
he had “assisted” in the removal, and that he had arrived at the jail on “Thursday, 10-12-
06, from DVI, Tracy.” After explaining the details of the acts leading to the removal, the
author stated, “I was the hitter. After I hit [Lindsay] a few times, in the chest area, I went
for the neck. I then noticed my piece broke, and I flushed it. [Lindsay] called ‘man down,’
and then the K9’s arrived.”

Both Lindsay and Teso testified that huilas are used to communicate within the
gang and are carried by designated couriers from place to place. Huilas are written on

1 very small pieces of paper to avoid detection, and writing a huila is a skill learned by
2 gang members.

3 Obviously a damaging piece of evidence, the admission of the huila was litigated
4 heavily at trial. On appeal, the defendants raise three related issues: (1) was the huila
5 properly authenticated; (2) was it properly admitted under Evidence Code section 352;
6 and (3) did its admission violate the rule of *Aranda/Bruton*.^{FN3}

7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
FN3. *People v. Aranda* (1965) 63 Cal.2d 518 (*Aranda*); *Bruton v. United States*
(1968) 391 U.S. 123 (*Bruton*).

A. Authentication

Evidence Code section 1401 requires that a document be authenticated before it is admitted into evidence. The defendants claim that the trial court erred when it admitted the huila after finding that it had been authenticated pursuant to Evidence Code section 1421. This section provides that a writing may be authenticated by evidence that the writing refers to or states matters unlikely to be known by anyone other than the claimed author. The trial court found that only A. Lopez would have known the exact date of his arrival at the Stanislaus County Jail. The defendants claim this finding cannot withstand scrutiny because Lindsay also remembered the date of A. Lopez's arrival, many months later, and that there were 11 men in the cell who would have known the details of the assault.

On appeal, a trial court's decision to admit or exclude evidence is reviewed for an abuse of discretion. (*People v. Waidla* (2000) 22 Cal.4th 690, 717-718; *People v. Williams* (1997) 16 Cal. 4th 153, 197.) We find error only where the trial court's decision exceeds the bounds of reason. (*People v. Funes* (1994) 23 Cal.App. 4th 1506, 1519.) In addition, we review the trial court's ruling, *not* its reasoning. (*People v. Mason* (1991) 52 Cal.3d 909, 944.)

There are innumerable ways in which a document may be authenticated. (*People v. Olguin* (1994) 31 Cal.App.4th 1355, 1372; *People v. Gibson* (2001) 90 Cal.App.4th 371, 383; *McAllister v. George* (1977) 73 Cal.App.3d 258, 263.) Evidence Code section 1410 provides that, “[n]othing in this article shall be construed to limit the means by which a writing may be authenticated or proved.” “Circumstantial evidence, content and location are all valid means of authentication. [Citations.]” (*People v. Gibson, supra*, at p. 383; see also *People v. Olguin, supra*, at p. 1372 [both content and location identified papers as work of defendant].) Here, the huila was found on one of the cellmates the day after the assault. It described the assault in detail and is consistent with the evidence at trial. There was evidence that huilas are used to communicate with gang members in other locations in the jail and outside the jail about gang activity. Teso testified that, because Lindsay was a gang member with some status, the attack had to be justified to gang leaders. The manner of the writing, small print on a small piece of paper, is consistent with the description of huilas given by Lindsay and Teso. The huila was signed by “Soldier,” a moniker for A. Lopez. In combination, there is ample circumstantial and direct evidence that the huila is what the prosecution purports it to be: a gang communiqué, written by A. Lopez, reporting the assault on Lindsay. (See *People v. Olguin, supra*, 31 Cal.App.4th at p. 1372 [lyrics handwritten on yellow paper properly authenticated as being written by defendant where they refer to author by defendant's gang moniker or by nickname easily derived from defendant's proper name, include references to Southside gang membership, and could be interpreted as referring to disk-jockeying, a part-time employment of defendant].)

The other objections to the contents of the huila go to its weight, not to

1 admissibility. There was a reference to “Lil Man” in the body of the huila, which might
2 suggest the “Manos” the huila was addressed to was not Lucero. It seems improbable,
3 however, that A. Lopez would write a huila to Lucero telling him that he (Lucero)
4 participated in the assault. Or, if the purpose of the huila was not to inform, but to
5 memorialize, it also is improbable that A. Lopez would use two different monikers to
6 refer to the same person. The record is clear that Lucero is usually referred to as “Lil
7 Man.” The jurors, however, did not see or hear this reference, and any question they
8 might have had about why A. Lopez was writing to Lucero was resolved against Lucero.

9 (See Resp’t’s Lodged Doc. 1.)

10 Respondent correctly argues that Petitioner’s claim is meritless as Petitioner fails to
11 allege a violation of clearly established Supreme Court precedent in support of his claim.

12 Under AEDPA, even clearly erroneous admissions of evidence that render a trial
13 fundamentally unfair may not permit the grant of federal habeas corpus relief if not
14 forbidden by “clearly established Federal law,” as laid out by the Supreme Court. 28
15 U.S.C. § 2254(d). In cases where the Supreme Court has not adequately addressed a
16 claim, this court cannot use its own precedent to find a state court ruling unreasonable.
17 [Carey v. Musladin, 549 U.S. 70, 77 (2006)].

18 The Supreme Court has made very few rulings regarding the admission of
19 evidence as a violation of due process. Although the Court has been clear that a writ
20 should be issued when constitutional errors have rendered the trial fundamentally unfair,
21 [see Williams v. Taylor, 529 U.S. 362, 375 (2000)], it has not yet made a clear ruling that
22 admission of irrelevant or overtly prejudicial evidence constitutes a due process violation
23 sufficient to warrant issuance of the writ. Absent such “clearly established Federal law,”
24 we cannot conclude that the state court's ruling was an “unreasonable application.” [Carey
25 v. Musladin, 549 U.S. 70, 77 (2006)]. Under the strict standards of AEDPA, we are
26 therefore without power to issue the writ on the basis of [Petitioner’s] additional claims.

27 Holley v. Yarborough, 568 F.3d 1091, 1101 (9th Cir.2009).

28 In this case, Petitioner fails to allege the violation of any clearly established Supreme
Court authority for the admission of improperly authenticated evidence in violation of his federal
constitutional rights. Therefore, the claim must be rejected. See 28 U.S.C. § 2254(d).

Even if could demonstrate the violation of clearly established Supreme Court precedent,
he would not be entitled to habeas relief. In evaluating an alleged error by the trial court, the
question is whether the error had a “substantial and injurious effect or influence in determining
the jury's verdict.” Brecht v. Abrahamson, 507 U.S. 619, 636-638 (1993); see also Fry v. Pliler,
551 U.S. 112, 121-22 (2007) (in § 2254 proceedings, the prejudicial impact of constitutional
error in a state-court trial must be assessed under the Brecht standard). In this case, as
Respondent notes, the trial court advised the jury to disregard the “huila” as to Petitioner and to
consider it only as it pertained to codefendant Lopez. Jurors are presumed to follow their

1 instructions. Greer v. Miller, 483 U.S. 756, 766, n.8 (1987). Thus, the admission of the “huila”
2 could not have had a substantial or injurious effect in determining the jury’s verdict as to
3 Petitioner.

4 B. Admission of “huila” in Violation of Confrontation Clause

5 Petitioner next claims the admission of the “huila” violated his rights under the
6 Confrontation Clause of the Sixth Amendment under Bruton v. United States, 391 U.S. 123
7 (1998). This claim was also presented on direct appeal where it was rejected. The appellate
8 court issued the last reasoned decision, as follows:

9 Finally, with respect to the huila, P. Lopez and Lucero also challenge its
10 admission on *Aranda-Bruton* grounds, arguing that their Sixth Amendment right to cross-
11 examine the author of the huila was violated. Under the *Aranda-Bruton* rule, it is error in
12 a joint criminal trial to admit an admission by a nontestifying codefendant that
13 incriminates another codefendant, even if the jury is instructed not to consider the hearsay
14 as evidence against the other codefendant. (*Aranda, supra*, 63 Cal.2d at pp. 528-530;
15 *Bruton, supra*, 391 U.S. at p. 126.) The rule is motivated by the concern that
16 incriminating a defendant by a nontestifying codefendant's hearsay violates the
17 defendant's rights under the confrontation clause of the Sixth Amendment to confront and
18 cross-examine his accusers. (*Bruton, supra*, at pp. 126, 136; *People v. Fletcher* (1996) 13
19 Cal.4th 451, 455, 465 (*Fletcher*)). The rule applies even where the hearsay statement has
20 been redacted or sanitized to replace the nondeclarant defendant's name with a blank
21 space, the word “delete,” or some unique symbol. (*Gray v. Maryland* (1998) 523 U.S.
22 185, 188, 194-195 (*Gray*); *Fletcher, supra*, at p. 455.)

23 On the other hand, the rule has been held not to require exclusion of evidence (or
24 separate trials) where the codefendant's confession is redacted to eliminate any indication
25 that there was another perpetrator. Under these circumstances, the confession can be
26 admitted in a joint trial with a limiting instruction. (*Richardson v. Marsh* (1987) 481 U.S.
27 200, 203, 211 (*Richardson*); *Fletcher, supra*, 13 Cal.4th at p. 455 [issue is whether
28 reference is “facially incriminating” of nondeclarant defendant].) In an attempt to avoid
Aranda-Bruton issues, the trial court ordered that the huila's reference to three “bombers,”
“Lil Man, Mugsy and Scorpion” (Lucero, P. Lopez and McKenzie), be redacted. The jury
was given a limiting instruction telling them that it was not to consider the huila against
any defendant other than A. Lopez.

The defendants recognize that the rule in *Bruton* has been restricted by
Richardson and *Fletcher* and argue that, despite the redaction, the huila as read to the
jurors falls within the protection of *Aranda-Bruton* because it includes the statement that
the author “assisted” in the assault. According to defendants, this reference implies that
others participated in the assault and runs afoul of the rules for admission described in
Richardson and *Fletcher*. We disagree.

In *Richardson*, the United States Supreme Court held that “the Confrontation
Clause is not violated by the admission of a nontestifying codefendant's confession with a
proper limiting instruction when, as here, the confession is redacted to eliminate not only
the defendant's name, but any reference to his or her existence.” (*Richardson, supra*, 481
U.S. at p. 211, fn. omitted.) The court distinguished the redacted confession before it
from the confession at issue in *Bruton*, because the redacted confession was not

1 incriminating on its face, but became so only when linked to other evidence. (*Richardson*,
2 *supra*, at p. 208.) In *Gray*, the Supreme Court considered a confession that was redacted
3 to replace the defendant's name with an obvious indication of deletion, such as the word
4 “deleted” or a symbol. (*Gray, supra*, 523 U.S. at p. 192.) The court determined that this
5 type of case turned not on whether an inference was required to incriminate the
6 defendant, but on the type of inference required. If the confession made a direct reference
7 to a perpetrator other than the speaker and the jury immediately could infer, *without*
8 *considering other evidence*, that that perpetrator was the defendant, then admission of the
9 confession was *Bruton* error despite a limiting instruction. (*Gray, supra*, at p. 196.)

6 In *Fletcher*, the California Supreme Court considered whether “it is sufficient, to
7 avoid violation of the confrontation clause, that a nontestifying codefendant's
8 extrajudicial confession is edited by replacing all references to the nondeclarant's name
9 with pronouns or similar neutral and nonidentifying terms.” It recognized that “[s]uch a
10 confession is ‘facially incriminating’ in the sense that it is sufficient by itself, without
11 reference to any other evidence, to incriminate someone other than the confessing
12 codefendant. It is not ‘facially incriminating’ only in the sense that it does not identify
13 this other person by name.” (*Fletcher, supra*, 13 Cal.4th at p. 456.) The court concluded:

11 “[W]hether this kind of editing—which retains references to a coparticipant in the
12 crime but removes references to the coparticipant's name—sufficiently protects a
13 nondeclarant defendant's constitutional right of confrontation may not be resolved by a
14 ‘bright line’ rule of either universal admission or universal exclusion. Rather, the efficacy
15 of this form of editing must be determined on a case-by-case basis in light of the other
16 evidence that has been or is likely to be presented at the trial. The editing will be deemed
17 insufficient to avoid a confrontation violation if, despite the editing, reasonable jurors
18 could not avoid drawing the inference that the defendant was the coparticipant designated
19 in the confession by symbol or neutral pronoun.” (*Fletcher, supra*, 13 Cal.4th at p. 456.)

16 In this case, we conclude that the editing complies with the rule set forth in
17 *Richardson, Gray*, and *Fletcher*. With respect to P. Lopez, there is no reference in the
18 huila that could support an inference that he assisted in the assault absent consideration of
19 independent trial evidence. There were 11 men in the cell other than Lindsay who could
20 have assisted in the assault, and nothing in the huila links those assisting to P. Lopez. We
21 are not persuaded by the argument that the jury could easily infer the identities of those
22 who “assisted” Soldier in the assault from Lindsay's testimony that it was only when the
23 prosecutor told him the four defendants would be prosecuted that Lindsay would identify
24 his assailants. The *Aranda-Bruton* rule does not extend to those situations in which
25 independent evidence reveals either directly or indirectly who is implicated by a
26 codefendant's confession. As the court in *Gray* stated, it is only when the jury can
27 immediately infer, *without considering other evidence*, that that perpetrator was a
28 defendant, that the admission of the confession violated *Aranda- Bruton*. (*Gray, supra*,
29 523 U.S. at p. 196.)

23 The issue is more complicated with respect to Lucero. The huila was written to
24 “Manos.” There was testimony at trial that Lucero, in addition to being known as “Lil
25 Man,” was also known as “Manos,” even though as we have pointed out the internal
26 reference to “Lil Man” makes it less likely the “Manos” of the huila and the “Manos” of
27 the cell are the same person. As a result, the editing did not eliminate all reference to
28 Lucero. We conclude that the reference to “Manos” is not facially incriminating in
29 relation to the assault. Given the context of the reference, e.g., the naming of the person to
30 whom the huila is written, it is unlikely the jury would have concluded that “Manos” was
31 one who “assisted” in the assault in the absence of independent trial evidence.

32 The reference, however, is incriminating in relation to the gang-participation

1 count, because it established “Manos,” whom the jury understood to be Lucero, as a gang
2 member of status, to whom other gang members would report. Although a close call, we
believe, under *Aranda-Bruton*, the huila should not have come in as to Lucero.

3 *Aranda-Bruton* error is not reversible per se, but does implicate a constitutional
4 right and is therefore subject to review under the harmless-beyond-a-reasonable-doubt
5 standard of *Chapman v. California* (1967) 386 U.S. 18 (*Chapman*). (*People v. Song*
6 (2004) 124 Cal.App.4th 973, 981; *People v. Anderson* (1987) 43 Cal.3d 1104, 1128.)
7 There was a significant amount of independent evidence that A. Lopez, Lucero, and P.
8 Lopez assaulted Lindsay. The jury obviously found Lindsay to be believable; it convicted
9 the three defendants on direct testimony from Lindsay that they hit or kicked him, but
10 acquitted McKenzie on Lindsay's testimony that he did not see McKenzie participate in
11 the attack. There was also independent evidence of serious injury, verification of physical
12 injuries consistent with Lindsay's account, independent evidence of opportunity and
13 motive, as well as other evidence of guilt. Although the defense tends to discount
14 Lindsay's version of events, he obviously did not fake his attack. Having reviewed the
15 entire record, we conclude that the admission of the huila, even if found to violate the
16 defendants' constitutional rights, was harmless beyond a reasonable doubt.

17 (See Resp't's Lodged Doc. 1.)

18 The Confrontation Clause of the Sixth Amendment provides that "[i]n all criminal
19 prosecutions, the accused shall enjoy the right . . . to be confronted with the witnesses against
20 him." U.S. Const. am. VI. The Sixth Amendment's Confrontation Clause was made applicable to
21 the states through the Due Process Clause of the Fourteenth Amendment. *Pointer v. Texas*, 380
22 U.S. 400, 403-05 (1965). The Supreme Court has stated that “there are some contexts in which
23 the risk that the jury will not, or cannot, follow instructions is so great, and the consequences of
24 failure so vital to the defendant, that the practical and human limitations of the jury system
25 cannot be ignored.” *Bruton v. United States*, 391 U.S. 123, 135 (1968). Such a situation is
26 presented where

27 the powerfully incriminating extrajudicial statements of a codefendant, who stands
28 accused side-by-side with the defendant, are deliberately spread before the jury in a joint
trial. Not only are the incriminations devastating to the defendant but their credibility is
inevitably suspect, a fact recognized when accomplices do take the stand and the jury is
instructed to weigh their testimony carefully given the recognized motivation to shift
blame onto others. The unreliability of such evidence is intolerably compounded when
the alleged accomplice, as here, does not testify and cannot be tested by cross-
examination. It was against such threats to a fair trial that the Confrontation Clause was
directed.

Id. at 135-36.

However, *Bruton's* scope was limited by *Richardson v. Marsh*, 481 U.S. 200, 211 (1987),
in which the Supreme Court held that the admission of a non-testifying co-defendant's confession

1 does not violate the Confrontation Clause when a proper limiting instruction is given and “the
2 confession [is] not incriminating on its face [but becomes] so only when linked with evidence
3 introduced later at trial.” In Richardson, the Court held that admission of a non-testifying co-
4 defendant's confession did not violate the defendant's right under Confrontation Clause where the
5 court instructed the jury not to use the confession in any way against the defendant, and the
6 confession was redacted to eliminate not only the defendant's name, but any reference to his
7 existence. Id. at 211.

8 In this case, the state court rejection of Petitioner’s claim was not contrary to or an
9 unreasonable application of Supreme Court precedent. The statement by the codefendant in this
10 case did not “expressly implicate” Petitioner. Id. at 208, *citing* Bruton, 391 U.S. at 124, n.1. The
11 statement on its face did not incriminate Petitioner; it only became inculpatory when other
12 evidence was introduced at trial. Id. As pointed out by the appellate court, there were eleven men
13 in the cell who could have assisted Petitioner’s codefendant in the assault. Nothing in the
14 statement linked Petitioner with those who assisted. Therefore, the state court determination that
15 this case fell within the scope of Richardson was not unreasonable.

16 Even if admission of the statement violated Petitioner’s due process rights under the
17 confrontation clause, the admission could not have had a substantial and injurious effect on the
18 jury’s determination of the verdict. Brecht, 507 U.S. at 636-638. There was overwhelming
19 evidence of Petitioner’s guilt including the testimony of the victim, the evidence of injuries
20 suffered by the victim, the evidence of motive and opportunity, the physical evidence of injuries
21 sustained by Petitioner consistent with the victim’s account, and other evidence. Therefore, the
22 claim must be denied.

23 C. Prosecutor’s Failure to Disclose Evidence and Coaching of Witness

24 In his final claim for relief, Petitioner alleges his constitutional rights were violated when
25 the prosecutor failed to disclose evidence pursuant to Brady v. Maryland, 373 U.S. 83 (1963).
26 He also alleges the key witness in the case was coached by a deputy.

27 Petitioner’s Brady claim was not presented to the state courts. It is therefore unexhausted.
28 28 U.S.C. § 2254(b)(1). Nevertheless, the claim may be denied on the merits notwithstanding

1 the failure to exhaust. 28 U.S.C. § 2254(b)(2). As noted by Respondent, to make out a Brady
2 claim, Petitioner must demonstrate that “[t]he evidence at issue must be favorable to the accused,
3 either because it is exculpatory, or because it is impeaching; that evidence must have been
4 suppressed by the State, either willfully or inadvertently; and prejudice must have ensued.”
5 Strickler v. Greene, 527 U.S. 263, 281-82 (1999). In this case, Petitioner has made no such
6 showing. He has not identified any evidence that was withheld by the prosecution; he has not
7 shown how that evidence was exculpatory or impeaching; he has not shown it was in fact
8 suppressed; and he has not demonstrated prejudice. The claim is unfounded and must be denied.

9 Petitioner’s allegation that a witness was coached was presented on direct appeal and
10 denied on the merits. The appellate court issued the last reasoned decision, as follows:

11 The defendants contend that they were denied due process and a fair trial because
12 Lindsay was coached by Deputy Teso to change his trial testimony. Teso escorted
13 Lindsay to trial each day and therefore had an opportunity to speak with him outside of
14 the courtroom. In addition, Teso was the designated investigator and the gang expert for
15 the prosecution so he was aware of the legal issues and proof needed in the prosecution
16 case. On May 7, 2007, Lindsay testified that A. Lopez had come to the Stanislaus County
17 Jail from state prison a “week before” October 19, 2006. The next day, on May 8, 2007,
18 Lindsay testified that A. Lopez came from state prison, “probably” 10 days before the
19 19th, “maybe the 9th, 8th, something of that week.” The jail records established that A.
20 Lopez arrived at the jail on October 12, exactly seven days prior to the 19th.

21 In admitting the huila, the trial court found the statement in it that A. Lopez had
22 arrived on October 12 was information only A. Lopez would have in his possession. The
23 defendants argue that Lindsay's initial testimony that A. Lopez came to the jail the week
24 before October 19 equates to testimony that A. Lopez arrived at the jail precisely on
25 October 12 and therefore undercuts the trial court's finding with respect to the huila. The
26 defendants also argue that Lindsay's change of testimony on this key point supports an
27 inference that Lindsay changed his testimony after being coached by Teso.^{FN5} We
28 disagree.

21 FN5. At trial the bulk of the argument presented on this issue related to the
22 prosecution gaining additional discovery from the conversations between Teso
23 and Lindsay. The defense asked that a different security escort be assigned. When
24 the court refused to do so, the defense asked that all conversations between Teso
25 and Lindsay be taped. The court denied the request but did order that if any new
26 evidence was discovered, the prosecution was to provide it immediately to the
27 defense. During cross-examination, the defense focused on the same issue.
28 Lindsay was asked whether he told Teso things about gang life. Lindsay said he
and Teso talked about lots of different things, but not about gang life. Lindsay said
they talked mainly about his feelings and his fear of testifying. Teso also testified
that he and Lindsay talked to each other during the transport, but not about gangs
or gang involvement. Despite an opportunity to do so, the defense failed to cross-
examine either Teso or Lindsay about whether they discussed Lindsay's change in
testimony concerning the date A. Lopez arrived at the jail.

1 We have already concluded that, notwithstanding the trial court's reasoning, the
2 huila was properly authenticated. Second, we are not certain Lindsay's initial statement
3 that A. Lopez came to the jail the week before October 19 must be read to mean he
4 arrived on an exact date: October 12. The term "one week ago" does not always mean a
5 specific calendar date exactly seven days prior but instead establishes a time frame.
6 Although Lindsay's later testimony appears to expand the time frame to 10 days, the
7 change is not significant enough to undercut the trial court's finding regarding the
8 admission of the huila.

9 Even if we were to conclude that Lindsay actually changed his testimony to assist
10 the prosecution, there is nothing in the record to suggest that Teso coached Lindsay to do
11 so. There are many possibilities to explain the slight change in Lindsay's testimony. For
12 example, he may simply have remembered the time frame differently. Upon being
13 questioned by the prosecution a second time, Lindsay might have been less confident in
14 his earlier recollection. Further, Lindsay, who had transcripts and records in his
15 possession, might have reached his own conclusion about the impact his prior testimony
16 had on the prosecution and decided to change it to benefit the prosecutor's case. Any of
17 these reasons are just as plausible as concluding that Teso coached Lindsay. (See *People*
18 *v. Gray* (2005) 37 Cal.4th 168, 230; *In re Avena* (1996) 12 Cal.4th 694, 738; *People v.*
19 *Williams* (1988) 44 Cal.3d 883, 933.)

20 (See Resp't's Lodged Doc. 1.)

21 Respondent argues the claim is without merit since Petitioner fails to demonstrate that
22 Deputy Teso coached Lindsay. The Court agrees. There is nothing in the record that would
23 indicate Teso persuaded Lindsay to change his testimony or that Lindsay did change his
24 testimony to suit the prosecution. Lindsay appeared to alter his recollection of when A. Lopez
25 had arrived at the Stanislaus County Jail from a "week before" to "probably" 10 days before the
26 19th, "maybe the 9th, 8th, something of that week." (See Resp't's Lodged Doc. 1.) Nevertheless,
27 as found by the state court, this change in testimony does not prove Lindsay was coached. The
28 phrase "a week ago" does not always mean exactly seven days; it is often used to reference a
general time frame of approximately seven days. In addition, Lindsay had transcripts and records
in his possession. He could easily have used them for reference in modifying his testimony. The
minor change in Lindsay's recollection of the time frame simply does not show Lindsay was
coached. Moreover, defense counsel was free to address Lindsay's credibility on cross-
examination or closing argument and in fact did so. See *Geders v. United States*, 425 U.S. 80,
89-90 (1976) ("opposing counsel in the adversary system is not without weapons to cope with
'coached' witnesses"); *United States v. Sayakhom*, 186 F.3d 928, 945 (9th Cir.1999) ("[c]ross-
examination and argument are the primary tools for addressing improper witness coaching").

1 Finally, even if coaching occurred, Petitioner has not demonstrated how such coaching made his
2 trial fundamentally unfair. Sayakhom, 185 F.3d at 945.

3
4 Petitioner fails to demonstrate that the state court's decision was contrary to, or involved
5 an unreasonable application of, clearly established Federal law. 28 U.S.C. § 2254(d)(1). The
6 claim must be denied.

7 IV. Certificate of Appealability

8 A state prisoner seeking a writ of habeas corpus has no absolute entitlement to appeal a
9 district court's denial of his petition, and an appeal is only allowed in certain circumstances.
10 Miller-El v. Cockrell, 537 U.S. 322, 335-36 (2003). The controlling statute in determining
11 whether to issue a certificate of appealability is 28 U.S.C. § 2253, which provides as follows:

12 (a) In a habeas corpus proceeding or a proceeding under section 2255 before a
13 district judge, the final order shall be subject to review, on appeal, by the court
of appeals for the circuit in which the proceeding is held.

14 (b) There shall be no right of appeal from a final order in a proceeding to test the
15 validity of a warrant to remove to another district or place for commitment or trial
16 a person charged with a criminal offense against the United States, or to test the
validity of such person's detention pending removal proceedings.

17 (a) (1) Unless a circuit justice or judge issues a certificate of appealability, an
appeal may not be taken to the court of appeals from—

18 (A) the final order in a habeas corpus proceeding in which the
19 detention complained of arises out of process issued by a State
court; or

20 (B) the final order in a proceeding under section 2255.

21 (2) A certificate of appealability may issue under paragraph (1) only if the
22 applicant has made a substantial showing of the denial of a constitutional right.

23 (3) The certificate of appealability under paragraph (1) shall indicate which
24 specific issue or issues satisfy the showing required by paragraph (2).

25 If a court denies a petitioner's petition, the court may only issue a certificate of
26 appealability "if jurists of reason could disagree with the district court's resolution of his
27 constitutional claims or that jurists could conclude the issues presented are adequate to deserve
28 encouragement to proceed further." Miller-El, 537 U.S. at 327; Slack v. McDaniel, 529 U.S. 473,
484 (2000). While the petitioner is not required to prove the merits of his case, he must

1 demonstrate “something more than the absence of frivolity or the existence of mere good faith on
2 his . . . part.” Miller-El, 537 U.S. at 338.

3 In the present case, the Court finds that reasonable jurists would not find the Court’s
4 determination that Petitioner is not entitled to federal habeas corpus relief debatable, wrong, or
5 deserving of encouragement to proceed further. Petitioner has not made the required substantial
6 showing of the denial of a constitutional right. Accordingly, the Court hereby DECLINES to
7 issue a certificate of appealability.

8 **ORDER**

9 Accordingly, IT IS HEREBY ORDERED that:

- 10 1. The petition for writ of habeas corpus is DENIED WITH PREJUDICE;
11 2. The Clerk of Court is DIRECTED to enter judgment in favor of Respondent; and
12 3. The Court DECLINES to issue a certificate of appealability.

13
14 IT IS SO ORDERED.

15 **Dated: December 12, 2010**

/s/ Gary S. Austin
16 UNITED STATES MAGISTRATE JUDGE