

## I. <u>Preliminary Screening of the Petition</u>.

2 Rule 4 of the Rules Governing Section 2254 Cases allows a district court to dismiss a petition 3 if it "plainly appears from the face of the petition and any exhibits annexed to it that the petitioner is not entitled to relief in the district court . . . ." Rule 4 of the Rules Governing Section 2254 Cases. 4 5 The Court must summarily dismiss a petition "[i]f it plainly appears from the petition and any 6 attached exhibits that the petitioner is not entitled to relief in the district court...." Habeas Rule 4; O'Bremski v. Maass, 915 F.2d 418, 420 (9th Cir. 1990); see also Hendricks v. Vasquez, 908 F.2d 490 7 (9<sup>th</sup> cir. 1990). Habeas Rule 2( c) requires that a petition (1) specify all grounds of relief available to 8 9 the Petitioner; (2) state the facts supporting each ground; and (3) state the relief requested. Notice 10 pleading is not sufficient; rather, the petition must state facts that point to a real possibility of 11 constitutional error. Rule 4, Advisory Committee Notes, 1976 Adoption; O'Bremski, 915 F.2d at 12 420. Allegations in a petition that are vague, conclusory, or palpably incredible are subject to summary dismissal. Hendricks, 908 F.2d at 491. 13

Further, the Advisory Committee Notes to Rule 8 indicate that the Court may dismiss a
petition for writ of habeas corpus, either on its own motion under Rule 4, pursuant to the
respondent's motion to dismiss, or after an answer to the petition has been filed. Advisory
Committee Notes to Habeas Rule 8, 1976 Adoption; see Herbst v. Cook, 260 F.3d 1039 (9<sup>th</sup>
Cir.2001).

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## II. Failure to State a Claim Cognizable Under Federal Habeas Corpus

On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act of
1996 (AEDPA). The AEDPA imposes various requirements on all petitions for writ of habeas
corpus filed after the date of its enactment. <u>Lindh v. Murphy</u>, 521 U.S. 320, 117 S.Ct. 2059, 2063
(1997); <u>Jeffries v. Wood</u>, 114 F.3d 1484, 1499 (9<sup>th</sup> Cir. 1997) (en banc), *cert. denied*, 118 S.Ct. 586
(1997). The instant petition was filed on September 20, 2010, and thus, it is subject to the
provisions of the AEDPA.

Here, Petitioner alleges that he is an inmate of the California Department of Corrections and
Rehabilitation who is serving a sentence of fifteen years-to-life imposed in the Los Angeles County
Superior Court after Petitioner's 1987 conviction for second degree murder. (Doc. 1, p. 1).

Petitioner does not challenge either his conviction or sentence; rather, Petitioner challenges the April
 16, 2009 decision of the BPH finding him unsuitable for parole.

Petitioner raises the following grounds for relief: (1) the state court adjudication denying his
habeas corpus petition violates due process because no showing was made at the BPH hearing that
Petitioner presently poses a public safety risk; (2) the BPH decision denying parole violates due
process because there was no showing made that Petitioner is a present public safety risk; and (3)
Proposition 9 violates Petitioner's federal ex post facto rights. (Doc. 1, pp. 4-5).

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A. Substantive Due Process Claims And California's "Some Evidence" Standard

9 As discussed more fully below, the claims in the petition sound exclusively in substantive10 federal due process and therefore are not cognizable in these proceedings.

11 The basic scope of habeas corpus is prescribed by statute. Subsection (c) of Section 2241 of 12 Title 28 of the United States Code provides that habeas corpus shall not extend to a prisoner unless he is "in custody in violation of the Constitution." 28 U.S.C. § 2254(a) states that the federal courts 13 14 shall entertain a petition for writ of habeas corpus only on the ground that the petitioner "is in custody in violation of the Constitution or laws or treaties of the United States. 28 U.S.C. §§ 15 16 2254(a)(, 2241( c)(3); Williams v. Taylor, 529 U.S. 362, 375 n. 7, 120 S.Ct. 1495 (2000); Wilson v. Corcoran, 562 U.S. \_\_\_\_, 131 S.Ct. 13, 16 (2010); see also, Rule 1 to the Rules Governing Section 17 2254 Cases in the United States District Court. The Supreme Court has held that "the essence of 18 19 habeas corpus is an attack by a person in custody upon the legality of that custody . . ." Preiser v. 20 Rodriguez, 411 U.S. 475, 484 (1973). Furthermore, in order to succeed in a petition pursuant to 28 21 U.S.C. § 2254, Petitioner must demonstrate that the adjudication of his claim in state court resulted 22 in a decision that was contrary to, or involved an unreasonable application of, clearly established 23 Federal law, as determined by the Supreme Court of the United States; or resulted in a decision that 24 was based on an unreasonable determination of the facts in light of the evidence presented in the 25 State court proceeding. 28 U.S.C. § 2254(d)(1), (2).

Because California's statutory parole scheme guarantees that prisoners will not be denied
parole absent some evidence of present dangerousness, the Ninth Circuit Court of Appeals has held
that California law creates a liberty interest in parole that may be enforced under the Due Process

1	Clause. Hayward v. Marshall, 602 F.3d 546, 561-563 (9th Cir.2010); Pearson v. Muntz, 606 F.3d
2	606, 608-609 (9th Cir. 2010); Cooke v. Solis, 606 F.3d 1206, 1213 (2010), rev'd, Swarthout v.
3	<u>Cooke</u> , U.S., S.Ct., 2011 WL 197627 (Jan. 24, 2011). The Ninth Circuit instructed
4	reviewing federal district courts to determine whether California's application of California's "some
5	evidence" rule was unreasonable or was based on an unreasonable determination of the facts in light
6	of the evidence. <u>Hayward v. Marshall</u> . 603 F.3d at 563; <u>Pearson v. Muntz</u> , 606 F.3d at 608.
7	On January 24, 2011, the Supreme Court issued a per curiam opinion in Swarthout v. Cooke,
8	562 U.S,S.Ct, 2011 WL 197627 (No. 10-133, Jan. 24, 2011). In that decision, the
9	United States Supreme Court characterized as reasonable the decision of the Court of Appeals for the
10	Ninth Circuit that California law creates a liberty interest in parole protected by the Fourteenth
11	Amendment's Due Process Clause, which in turn requires fair procedures with respect to the liberty
12	interest. Swarthout, 2011 WL 197627, *2.
13	However, the procedures required for a parole determination are the minimal requirements
14	set forth in Greenholtz v. Inmates of Neb. Penal and Correctional Complex, 442 U.S. 1, 12, 99 S.Ct.
15	2100 (1979). <sup>1</sup> Swarthout v. Cooke, 2011 WL 197627, *2. In Swarthout, the Court rejected inmates'
16	claims that they were denied a liberty interest because there was an absence of "some evidence" to
17	support the decision to deny parole. In doing so, the High Court stated as follows:
18	There is no right under the Federal Constitution to be conditionally released before the expiration of a valid sentence, and the States are under no duty to offer parole to their
<ul> <li>prisoners. (Citation omitted.) When, however, a State creates a liberty interest, the Process Clause requires fair procedures for its vindication-and federal courts will re application of those constitutionally required procedures. In the context of parole, wheld that the procedures requires are minimal. In <u>Greenholtz</u>, we found that a prison subject to a parole statute similar to California's received adequate process when he</li> </ul>	prisoners. (Citation omitted.) When, however, a State creates a liberty interest, the Due
	application of those constitutionally required procedures. In the context of parole, we have
	subject to a parole statute similar to California's received adequate process when he was allowed an opportunity to be heard and was provided a statement of the reasons why parole
22	was denied. (Citation omitted.)
23	<u>Swarthout</u> , 2011 WL 197627, *2.
24	The Court concluded that the petitioners had received the due process to which they were
25	due:
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27 28	$^{1}$ In <u>Greenholtz</u> , the Court held that a formal hearing is not required with respect to a decision concerning granting or denying discretionary parole and that due process is sufficient to permit the inmate to have an opportunity to be heard and to be given a statement of reasons for the decision made. <u>Id</u> . at 15-16. The decision maker is not required to state the evidence relied upon in coming to the decision. <u>Id</u> .

They were allowed to speak at their parole hearings and to contest the evidence against them, 1 were afforded access to their records in advance, and were notified as to the reasons why 2 parole was denied... 3 That should have been the beginning and the end of the federal habeas courts' inquiry into whether [the petitioners] received due process. 4 5 Swarthout, 2011 WL 197627, \*3. The Court went on to expressly point out that California's "some 6 evidence" rule is not a substantive federal requirement, and correct application of the State's "some 7 evidence" standard is not required by the federal Due Process Clause. Id. at \*3. The Supreme Court 8 emphasized that "the responsibility for assuring that the constitutionally adequate procedures 9 governing California's parole system are properly applied rests with California courts, and is no part 10 of the Ninth Circuit's business." Id. Swarthout forecloses any claim premised upon California's "some evidence" rule because 11 12 this Court cannot entertain substantive due process claims related to a state's application of its own 13 laws. Here, Grounds One and Two in the petition sound exclusively in substantive due process and are therefore foreclosed by Swarthout. Review of the record for "some evidence," or for a "nexus" 14 15 between present dangerousness and certain indicia, or reliance upon the circumstances of the 16 commitment offense to support denial of parole, are simply not within the scope of this Court's 17 habeas review under 28 U.S.C. § 2254. Accordingly, those claims should be summarily dismissed. 18 Moreover, to the extent that those claims rest solely on state law, they are not cognizable on 19 federal habeas corpus. Federal habeas relief is not available to retry a state issue that does not rise to 20 the level of a federal constitutional violation. Wilson v. Corcoran, 562 U.S. , 131 S.Ct. 13, 16 21 (2010); Estelle v. McGuire, 502 U.S. 62, 67-68, 112 S.Ct. 475 (1991). Alleged errors in the 22 application of state law are not cognizable in federal habeas corpus. Souch v. Schiavo, 289 F.3d 23 616, 623 (9<sup>th</sup> Cir. 2002). Indeed, federal courts are bound by state court rulings on questions of state 24 law. Oxborrow v. Eikenberry, 877 F.2d 1395, 1399 (9th Cir.), cert. denied, 493 U.S. 942 (1989). 25 B. Proposition 9 Violates The Federal Ex Post Facto Clause 26 In Ground Three, Petitioner contends that Proposition 9, which permits the BPH to delay an 27 inmate's next parole hearing for up to fifteen years, is a violation of the Ex Post Facto clause of the

28 federal constitution. The Court disagrees.

"The States are prohibited from enacting an ex post facto law." Garner v. Jones, 529 U.S. 1 2 244, 249 (2000)(citing U.S. Const., art. I, § 10, cl. 1). "One function of the Ex Post Facto Clause is 3 to bar enactments which, by retroactive operation, increase the punishment for a crime after its 4 commission." Id. Although retroactive changes in laws governing parole of inmates may violate the 5 Ex Post Facto Clause, "not every retroactive procedural change creating a risk of affecting an 6 inmate's terms or conditions of confinement is prohibited." Id. at 250. A retroactive procedural 7 change violates the Ex Post Facto Clause when it "creates a significant risk of prolonging [an 8 inmate's] incarceration." Id. at 251. A "speculative" or "attenuated" risk of prolonging incarceration 9 is insufficient to establish a violation of the Ex Post Facto Clause. Cal. Dept. Of Corr. V. Morales, 10 514 U.S. 499, 509 (1995). Thus, in order to establish an Ex Post Facto Clause violation, (1) an 11 inmate must show that Proposition 9, on its face, creates a significant risk of increasing the 12 punishment of California life-term inmates, or (2) the inmate must "demonstrate, by evidence drawn 13 from [Proposition 9's] practical implementation...that its retroactive application will result in a longer 14 period of incarceration than under the [prior law]." Garner, 529 U.S. at 255.

Prior to the enactment of Proposition 9, California law required that a state inmate receive an annual parole hearing unless, when certain circumstances were present, the BPH scheduled the hearing for between two and five years hence. Cal. Pen. Code § 3041.5(b)(2). In 2010, Proposition 9 amended California law to eliminate the annual parole hearing and permit the BPH to schedule future hearings between three and fifteen years hence. Cal. Pen. Code § 3041.5(b)(3)(2010).

In <u>Gilman v. Schwarzenegger</u>, 2011 WL 198435 (9<sup>th</sup> Cir. Jan. 24, 2011), the Ninth Circuit rejected an inmate's ex post facto challenge to Proposition 9. After noting that "Proposition 9 did not increase the statutory punishment for any particular offense, did not change the date of inmates' initial parole hearings, and did not change the standard by which the Board determined whether inmates were suitable for parole," the Court went on to consider the effect of the greater delays between parole hearings on the inmate's period of incarceration, and concluded that no federal violation occurred:

27 28 Even assuming, without deciding, that the statutory changes decreasing the frequency of scheduled hearings would create a risk of prolonged incarceration, the availability of advance hearings is relevant to whether the changes in the frequency of parole hearings create a

1	significant risk that prisoners will receive a greater punishment. <u>Garner</u> , 529 U.S. at 256-257; <u>Morales</u> , 514 U.S. at 512.
2	 Here, advance hearings are explicitly made available by statute: 'The board may in its
3	discretionadvance a hearingto an earlier date, when a change in circumstances or new information establishes a reasonable likelihood that consideration of the public and victim's
4	safety does not require the additional period of incarceration of the prisoner.' Cal. Penal Code § 3041.5(b)(4). The Board may exercise its discretion to hold an advance hearing sua sponte
5	or at the request of a prisoner. A prisoner may request an advance hearing by submitting a written request that 'set[s] forth the change in circumstances or new information that
6	establishes a reasonable likelihood that consideration of the public safety does not require the additional period of incarceration." <u>Id.</u> § 3041.5(d)(1). The Board's decision to deny a
7	prisoner's request for an advance hearing is subject to judicial review. <u>Id.</u> § 3041.5(d)(2). Here, as in Morales, an advance hearing by the Board 'would remove any possibility of harm'
8	to prisoners because they would not be required to wait a minimum of three years for a hearing. 514 U.S. at 513.
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10	<u>Gilman</u> , 2011 WL at *5-6.
11	The Ninth Circuit concluded that "[t]here were no facts in the record from which the district
12	court could infer that Proposition 9 created a significant risk of prolonging Plaintiff's incarceration."
13	<u>Id</u> . at * 8. Accordingly, Petitioner's claim to the contrary is summarily rejected. <sup>2</sup>
14	C. <u>Procedural Due Process</u>
15	Petitioner has neither claimed nor established a violation of his federal right to procedural
16	due process. Respondent has included in his Answer a transcript of the BPH hearing. (Doc. 12, Ex.
17	1, p. 15 et seq.). From that transcript, it is clear that Petitioner was present at the BPH hearing (id.),
18	that he had an opportunity to be heard (e.g., id., pp. 49-75), that he was represented by counsel who
19	also attended the hearing and argued on Petitioner's behalf (e.g., id., pp. 77-84), and that Petitioner
20	received a statement of the Board's reasons for denying parole. (Doc. 12, Ex. 1, pp. 86-93).
21	According to the Supreme Court, this is "the beginning and the end of the federal habeas
22	courts' inquiry into whether [the prisoner] received due process." Swarthout, 2011 WL 197627.
23	"The Constitution does not require more [process]." Greenholtz, 442 U.S. at 16. Therefore, the
24	instant petition does not present cognizable claims for relief and should be summarily dismissed.
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27	<sup>2</sup> To the extent that this ground for relief also contends that Proposition 9 violates Petitioner's due process rights,
28	the Court summarily rejects such a claim because, as a substantive due process argument, it is entirely foreclosed from federal review by <u>Swarthout</u> .

U.S. District Court

I

1	RECOMMENDATION
2	For the foregoing reasons, the Court HEREBY RECOMMENDS that the instant petition for
3	writ of habeas corpus (Doc. 1), be SUMMARILY DISMISSED for failure to state a claim upon
4	which federal habeas relief can be granted.
5	This Findings and Recommendation is submitted to the United States District Court Judge
6	assigned to this case, pursuant to the provisions of 28 U.S.C. section 636 (b)(1)(B) and Rule 304 of
7	the Local Rules of Practice for the United States District Court, Eastern District of California.
8	Within twenty (20) days after being served with a copy, any party may file written objections with
9	the court and serve a copy on all parties. Such a document should be captioned "Objections to
10	Magistrate Judge's Findings and Recommendation." Replies to the objections shall be served and
11	filed within ten (10) <u>court</u> days (plus three days if served by mail) after service of the objections.
12	The Court will then review the Magistrate Judge's ruling pursuant to 28 U.S.C. § 636 (b)(1)(C). The
13	parties are advised that failure to file objections within the specified time may waive the right to
14	appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).
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16	IT IS SO ORDERED.
17	Dated: <u>March 2, 2011</u> /s/ Jennifer L. Thurston UNITED STATES MAGISTRATE JUDGE
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