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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

THOMAS C. SCHUSTER,	)	1:10-cv-01983-AWI-SKO-HC
	)	
Petitioner,	)	FINDINGS AND RECOMMENDATIONS TO
	)	GRANT RESPONDENT'S MOTION TO
	)	DISMISS THE PETITION
v.	)	(DOCS. 10, 1)
	)	
KEN CLARK, Warden,	)	FINDINGS AND RECOMMENDATIONS
	)	TO DISMISS THE PETITION WITHOUT
Respondent.	)	LEAVE TO AMEND, TO DECLINE TO
	)	ISSUE A CERTIFICATE OF
_____	)	APPEALABILITY, AND TO DIRECT THE
	)	CLERK TO CLOSE THE CASE

Petitioner is a state prisoner proceeding pro se and in forma pauperis with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. The matter has been referred to the Magistrate Judge pursuant to 28 U.S.C. § 636(b)(1) and Local Rules 302 and 304. Pending before the Court is Respondent's motion to dismiss the petition filed on January 28, 2011. On February 7, 2011, Petitioner filed objections, which were deemed to be his opposition to the motion. Respondent did not file a reply.

I. Proceeding pursuant to Respondent's Motion to Dismiss

Because the petition was filed after April 24, 1996, the

1 effective date of the Antiterrorism and Effective Death Penalty  
2 Act of 1996 (AEDPA), the AEDPA applies to the petition. Lindh v.  
3 Murphy, 521 U.S. 320, 327 (1997); Jeffries v. Wood, 114 F.3d  
4 1484, 1499 (9th Cir. 1997).

5 A district court may entertain a petition for a writ of  
6 habeas corpus by a person in custody pursuant to the judgment of  
7 a state court only on the ground that the custody is in violation  
8 of the Constitution, laws, or treaties of the United States. 28  
9 U.S.C. §§ 2254(a), 2241(c)(3); Williams v. Taylor, 529 U.S. 362,  
10 375 n.7 (2000); Wilson v. Corcoran, 562 U.S. -, -, 131 S.Ct. 13,  
11 16 (2010) (per curiam).

12 Rule 4 of the Rules Governing Section 2254 Cases (Habeas  
13 Rules) allows a district court to dismiss a petition if it  
14 “plainly appears from the face of the petition and any exhibits  
15 annexed to it that the petitioner is not entitled to relief in  
16 the district court....”

17 The Ninth Circuit has allowed respondents to file motions to  
18 dismiss pursuant to Rule 4 instead of answers if the motion to  
19 dismiss attacks the pleadings by claiming that the petitioner has  
20 failed to exhaust state remedies or has violated the state’s  
21 procedural rules. See, e.g., O’Bremski v. Maass, 915 F.2d 418,  
22 420 (9th Cir. 1990) (using Rule 4 to evaluate a motion to dismiss  
23 a petition for failure to exhaust state remedies); White v.  
24 Lewis, 874 F.2d 599, 602-03 (9th Cir. 1989) (using Rule 4 to  
25 review a motion to dismiss for state procedural default); Hillery  
26 v. Pulley, 533 F.Supp. 1189, 1194 & n.12 (E.D.Cal. 1982) (same).  
27 Thus, a respondent may file a motion to dismiss after the Court  
28 orders the respondent to respond, and the Court should use Rule 4

1 standards to review a motion to dismiss filed before a formal  
2 answer. See, Hillery, 533 F. Supp. at 1194 & n.12.

3 In this case, upon being directed to respond to the petition  
4 by way of answer or motion, Respondent filed the motion to  
5 dismiss. The material facts pertinent to the motion are  
6 contained in the pleadings and in copies of the official records  
7 of state parole and judicial proceedings which have been provided  
8 by the parties, and as to which there is no factual dispute.  
9 Because Respondent's motion to dismiss is similar in procedural  
10 standing to motions to dismiss on procedural grounds, the Court  
11 will review Respondent's motion to dismiss pursuant to its  
12 authority under Rule 4.

13 II. Background

14 In the verified petition, Petitioner alleges that he is an  
15 inmate of the California Substance Abuse Treatment Facility  
16 (CSATF) at Corcoran, California, serving a sentence of seventeen  
17 (17) years to life imposed by the San Bernardino County Superior  
18 Court upon Petitioner's conviction in November 1989 of second  
19 degree murder with use of a firearm in violation of Cal. Pen.  
20 Code §§ 187 and 12022.5. (Pet. 1.)

21 Petitioner challenges the decision of California's Board of  
22 Parole Hearings (BPH) made after a hearing held on January 30,  
23 2009, finding Petitioner unsuitable for parole and denying parole  
24 for three years. (Pet. 4, 10, 135.) He also challenges the  
25 BPH's miscellaneous decision to schedule Petitioner's next parole  
26 hearing in one year instead of three years, and the decisions of  
27 the state courts upholding the BPH's denial of parole.

28 Petitioner submitted with his petition the transcript of

1 Petitioner's parole hearing held on January 30, 2009. (Pet. 61-  
2 154.) The transcript reflects that Petitioner attended the  
3 hearing (pet. 61, 63), received all pertinent documents before  
4 the hearing and had an opportunity to correct or clarify anything  
5 in his records (pet. 66, 68), discussed various factors of parole  
6 suitability with the board (pet. 69-120), and declined to give a  
7 personal statement in his own behalf (pet. 131). An attorney  
8 appeared on Petitioner's behalf and made a statement in favor of  
9 parole. (Pet. 61, 67-68, 103, 124-31.)

10 Petitioner was also present when the BPH stated its reasons  
11 for concluding that Petitioner posed a present risk of danger to  
12 society and a threat to public safety if released, which included  
13 the commitment offense that BPH characterized as cold-blooded;  
14 Petitioner's prior criminality; his minimization of his role in  
15 the offense and lack of insight; his lack of credibility in  
16 describing the commitment offense; and the prosecutor's  
17 opposition to Petitioner's release. (Pet. 135-53.)

18 Petitioner further complains of action taken by the BPH on  
19 April 20, 2009, modifying from three years to one year the period  
20 of time before another parole hearing would be held. (Pet. 35-  
21 36, 57-60). In that decision, the BPH relied on the following:  
22 the commitment offense had been carried out in a dispassionate  
23 and calculated manner; Petitioner's insistence that the victim  
24 had threatened him and was pulling a knife when Petitioner fired  
25 his shotgun; and Petitioner's failure to understand the nature  
26 and magnitude of his offense and to demonstrate insight and  
27 remorse. (Pet. 58-59.) Petitioner argues that in the modified  
28 decision, the BPH relied on factors that had not been the subject

1 of findings at the principal parole hearing held in January.  
2 Further, he argues that there is an absence of some evidence in  
3 the record to support the findings that the offense was carried  
4 out in a dispassionate and calculated manner and that Petitioner  
5 failed to demonstrate insight or remorse. (Pet. 36-39.)  
6 Petitioner cites state case law to support his arguments.  
7 Petitioner argues that pursuant to Cal. Code of Regs., tit. 15,  
8 § 2041(h), Petitioner and his appointed attorney should have been  
9 given an opportunity to respond in writing before the  
10 miscellaneous decision became final.

11 In his opposition, Petitioner admitted that he was given an  
12 opportunity to be heard at his parole hearing but denies that he  
13 was given a valid statement of reasons for the decision.  
14 Petitioner argues that the BPH's recitation of standardized  
15 suitability factors and rote statement of the facts of the crime  
16 were insufficient reasons according to state court decisions  
17 concerning the appropriate application of the parole laws. (Doc.  
18 11, 1-2.)

19 Petitioner lists the following claims in the petition: 1)  
20 there was no record evidence of current dangerousness before the  
21 BPH, and thus its finding of unsuitability was not supported by  
22 some evidence; 2) the BPH's reliance on unchanging factors was  
23 insufficient because the evidence was stale and unreliable; 3)  
24 the use of facts not found by a jury or admitted to by Petitioner  
25 violates Petitioner's understanding of his plea agreement; and 4)  
26 the state courts' rulings affirming the BPH's decision were  
27 unreasonable determinations of the facts in light of the  
28 evidence. (Pet. 4-5.) The Court notes that Petitioner also

1 appears to allege in his third claim that the BPH's reliance on  
2 facts not admitted by Petitioner in his guilty plea or found by a  
3 jury violated his rights to due process under Apprendi v. New  
4 Jersey, 530 U.S. 466 (2000). (Pet. 33-35.)

5 Petitioner seeks an evidentiary hearing and an order  
6 directing his release from custody. (Pet. 42-43.)

7 III. Failure to State a Cognizable Due Process Claim  
8 concerning the Evidence

9 The Supreme Court has characterized as reasonable the  
10 decision of the Court of Appeals for the Ninth Circuit that  
11 California law creates a liberty interest in parole protected by  
12 the Fourteenth Amendment Due Process Clause, which in turn  
13 requires fair procedures with respect to the liberty interest.  
14 Swarthout v. Cooke, 562 U.S. -, 131 S.Ct. 859, 861-62 (2011).

15 However, the procedures required for a parole determination  
16 do not include the full panoply of rights available to a person  
17 facing criminal charges. Instead, the procedures required for  
18 discretionary parole suitability proceedings are the minimal  
19 requirements set forth in Greenholtz v. Inmates of Neb. Penal and  
20 Correctional Complex, 442 U.S. 1, 12 (1979).<sup>1</sup> Swarthout v.

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21 <sup>1</sup>In Greenholtz, the Court held that a formal hearing is not required  
22 with respect to a decision concerning granting or denying discretionary  
23 parole; it is sufficient to permit the inmate to have an opportunity to be  
24 heard and to be given a statement of reasons for the decision made. Id. at  
25 16. The decision maker is not required to state the evidence relied upon in  
26 coming to the decision. Id. at 15-16. The Court reasoned that because there  
27 is no constitutional or inherent right of a convicted person to be released  
28 conditionally before expiration of a valid sentence, the liberty interest in  
discretionary parole is only conditional and thus differs from the liberty  
interest of a parolee. Id. at 9. Further, the discretionary decision to  
release one on parole does not involve retrospective factual determinations,  
as in disciplinary proceedings in prison; instead, it is generally more  
discretionary and predictive, and thus procedures designed to elicit specific  
facts are unnecessary. Id. at 13. In Greenholtz, the Court held that due  
process was satisfied where the inmate received a statement of reasons for the  
decision and had an effective opportunity to insure that the records being  
considered were his records, and to present any special considerations

1 Cooke, 131 S.Ct. 859, 862. In Swarthout, the Court rejected  
2 inmates' claims that they were denied a liberty interest because  
3 there was an absence of "some evidence" to support the decision  
4 to deny parole. The Court stated:

5 There is no right under the Federal Constitution  
6 to be conditionally released before the expiration of  
7 a valid sentence, and the States are under no duty  
8 to offer parole to their prisoners. (Citation omitted.)  
9 When, however, a State creates a liberty interest,  
10 the Due Process Clause requires fair procedures for its  
11 vindication-and federal courts will review the  
12 application of those constitutionally required procedures.  
13 In the context of parole, we have held that the procedures  
14 required are minimal. In Greenholtz, we found  
15 that a prisoner subject to a parole statute similar  
16 to California's received adequate process when he  
17 was allowed an opportunity to be heard and was provided  
18 a statement of the reasons why parole was denied.  
19 (Citation omitted.)

20 Swarthout, 131 S.Ct. 859, 862. The Court concluded that the  
21 petitioners had received the process that was due as follows:

22 They were allowed to speak at their parole hearings  
23 and to contest the evidence against them, were afforded  
24 access to their records in advance, and were notified  
25 as to the reasons why parole was denied....

26 That should have been the beginning and the end of  
27 the federal habeas courts' inquiry into whether  
28 [the petitioners] received due process.

Swarthout, 131 S.Ct. at 862. The Court in Swarthout expressly  
noted that California's "some evidence" rule is not a substantive  
federal requirement, and correct application of California's  
"some evidence" standard is not required by the Federal Due  
Process Clause. Id. at 862-63.

Here, in his first and second claims, Petitioner argues that  
there was a lack of some evidence to support the BPH's finding of  
unsuitability. Thus, in these claims, Petitioner asks this Court

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demonstrating why he was an appropriate candidate for parole. Id. at 15.

1 to engage in the very type of analysis foreclosed by Swarthout.  
2 Petitioner does not state facts that point to a real possibility  
3 of constitutional error or that otherwise would entitle  
4 Petitioner to habeas relief because California's "some evidence"  
5 requirement is not a substantive federal requirement. Review of  
6 the record for "some evidence" to support the denial of parole is  
7 not within the scope of this Court's habeas review under 28  
8 U.S.C. § 2254.

9 Petitioner cites state law concerning the granting of  
10 parole, the procedures to be followed in connection with parole  
11 applications, and the appropriate weight to be given to evidence  
12 concerning factors of parole suitability. To the extent that  
13 Petitioner's claim or claims rest on state law, they are not  
14 cognizable on federal habeas corpus. Federal habeas relief is  
15 not available to retry a state issue that does not rise to the  
16 level of a federal constitutional violation. Wilson v. Corcoran,  
17 562 U.S. — , 131 S.Ct. 13, 16 (2010); Estelle v. McGuire, 502  
18 U.S. 62, 67-68 (1991). Alleged errors in the application of  
19 state law are not cognizable in federal habeas corpus. Souch v.  
20 Schiavo, 289 F.3d 616, 623 (9th Cir. 2002).

21 Petitioner complains of the absence of some evidence to  
22 support the BPH's later modification of the decision to shorten  
23 the time before Petitioner's next suitability hearing. In this  
24 respect, Petitioner raises the same types of non-cognizable  
25 claims, namely, arguments concerning the weight given to the  
26 evidence and the sufficiency of the evidence to support the BPH's  
27 decision. These claims concern whether or not there was "some  
28 evidence" to support the BPH's decision. They are subject to

1 dismissal for the same reasons as those supporting dismissal of  
2 the claims concerning the evidence supporting the BPH's initial  
3 decision. Further, Petitioner does not show that he suffered any  
4 prejudice from the later decision.

5 A petition for habeas corpus should not be dismissed without  
6 leave to amend unless it appears that no tenable claim for relief  
7 can be pleaded were such leave granted. Jarvis v. Nelson, 440  
8 F.2d 13, 14 (9th Cir. 1971). Here, it is apparent from the  
9 allegations in the petition that Petitioner attended the parole  
10 suitability hearing and spoke with the commissioners; he thus had  
11 an opportunity to be heard. Further, he received a statement of  
12 reasons for the decision of the BPH. Thus, Petitioner's own  
13 allegations and documentation establish that he received all  
14 process that was due. It, therefore, does not appear that  
15 Petitioner could state a tenable due process claim.

16 Accordingly, it will be recommended that with respect to  
17 Petitioner's first and second due process claims concerning the  
18 evidence supporting the BPH's decisions, the Respondent's motion  
19 to dismiss the petition be granted, and Petitioner's due process  
20 claims concerning the evidence be dismissed without leave to  
21 amend.

22 IV. Claim concerning Petitioner's Plea Agreement

23 Respondent's motion to dismiss addresses only Petitioner's  
24 due process claims concerning the "some evidence" standard. The  
25 Court proceeds to consider the adequacy of Petitioner's  
26 additional claims pursuant to the authority conferred by the  
27 Habeas Rules, which permit the Court to dismiss a petition for  
28 writ of habeas corpus either on its own motion under Habeas Rule

1 4, pursuant to a respondent's motion to dismiss, or after an  
2 answer to the petition has been filed. Advisory Committee Notes  
3 to Habeas Rule 8, 1976 Adoption; see, Herbst v. Cook, 260 F.3d  
4 1039, 1042-43 (9th Cir. 2001).

5 In his third claim, Petitioner argues that the BPH's use of  
6 facts not found by a jury or admitted by Petitioner violated  
7 Petitioner's understanding of the plea agreement he made with  
8 respect to the commitment offense. Petitioner alleges that he  
9 pled guilty to second degree murder, but the plea agreement did  
10 not contain descriptions of Petitioner's offense that were relied  
11 on by the BPH as reasons for finding Petitioner unsuitable. The  
12 descriptions to which Petitioner refers are the BPH's  
13 characterizations of the commitment offense as "calculated and  
14 dispassionate," and of Petitioner's motive for the crime as  
15 "inexplicable." (Pet. 5.)

16 Petitioner also alleges that being denied parole after  
17 twenty years of doing all that was asked of him by the BPH and  
18 all he could do to rehabilitate himself is contrary to what  
19 Petitioner reasonably understood when entering into his plea  
20 agreement, and thus it is a denial of due process. (Pet. 35.)

21 Petitioner alleges that his plea bargain stipulated that his  
22 offense would be treated solely as a second degree murder, which  
23 by definition is a crime that lacks premeditation and  
24 deliberation. Petitioner appears to allege that because he pled  
25 guilty to second degree murder, an offense which permits a grant  
26 of parole, he cannot be punished as he would be punished for  
27 first degree murder. One reason why he chose to plead guilty was  
28 to avoid the possibility of a longer sentence; because he has

1 been found unsuitable for parole, Petitioner has not received  
2 what he bargained for when he pled guilty. (Pet. 32-33.) Had  
3 Petitioner pled to first degree murder, he would have already  
4 satisfied the custody requirement for such a conviction. (Pet.  
5 35.)

6 A. Background

7 The declaration of Petitioner executed on November 17, 1989,  
8 in the trial court in connection with the change of his plea to  
9 guilty reflects that Petitioner pled guilty to second degree  
10 murder with personal use of a firearm. (Pet. 259.) He declared  
11 that he understood that the maximum punishment he could receive  
12 for each crime was as follows: for second degree murder, fifteen  
13 years in state prison to life in state prison; and for use of a  
14 firearm, two years in state prison. Id. Petitioner declared  
15 that he also understood that any state prison commitment would be  
16 followed by a period of parole of three to four years. (Id.) He  
17 declared that he freely and voluntarily pled guilty because he  
18 was guilty, and/or because he had been advised of risking the  
19 possibility of a longer sentence or conviction of more serious  
20 charges, and/or because the District Attorney and the court had  
21 agreed to a plea of second degree murder with an admission of  
22 personal use of a firearm. (Id. at 260.) In the declaration,  
23 Petitioner stated:

24 Except as otherwise stated herein, no one has promised  
25 or suggested to me that I will receive a lighter  
26 sentence, probation, reward, immunity or anything else  
to get me to plead guilty/nolo contendere (no contest)  
as indicated.

27 (Pet. 260.) Petitioner also declared that his attorney had  
28 explained everything in the declaration to him with sufficient

1 time for Petitioner to consider it. (Id.) His attorney likewise  
2 declared that he had explained the contents of the declaration to  
3 Petitioner. (Id.)

4 B. Analysis of the Terms of Petitioner's Plea Bargain

5 A criminal defendant has a due process right to enforce the  
6 terms of his plea agreement. Promises from the prosecution in a  
7 plea agreement must be fulfilled if they are significant  
8 inducements to enter into a plea. Santobello v. New York, 404  
9 U.S. 257, 262 (1971); Buckley v. Terhune, 441 F.3d 688, 694 (9th  
10 Cir. 2006). Plea agreements are contractual in nature and are  
11 measured by contract law standards. United States v. De la  
12 Fuente, 8 F.3d 1333, 1337 (9th Cir. 1993). In construing a plea  
13 agreement, a court must determine what the defendant reasonably  
14 believed to be the terms of the plea agreement at the time of the  
15 plea. United States v. Franco-Lopez, 312 F.3d 984, 989 (9th Cir.  
16 2002).

17 The construction of a state court plea agreement is a matter  
18 of state law, and federal courts will defer to a state court's  
19 reasonable construction of a plea agreement. Ricketts v.  
20 Adamson, 483 U.S. 1, 6 n.3 (1987); Buckley v. Terhune, 441 F.3d  
21 688, 695 (9th Cir. 2006). In California, a negotiated plea  
22 agreement is a form of contract and is interpreted according to  
23 general contract principles and according to the same rules as  
24 other contracts. Buckley v. Terhune, 441 F.3d 688, 695 (citing  
25 People v. Shelton, 37 Cal.4th 759, 767 (2006) and People v.  
26 Toscano, 124 Cal.App.4th 340, 344 (2004)).

27 In California, the plain meaning of an agreement's language  
28 must first be considered. If the language is ambiguous, it must

1 be interpreted by ascertaining the objectively reasonable  
2 expectations of the promisee at the time the contract was made.  
3 Buckley v. Terhune, 441 F.3d 688, 695 (9th Cir. 2006). If  
4 ambiguity remains after a court considers the objective  
5 manifestations of the parties' intent, the language of the  
6 contract should be interpreted most strongly against the party  
7 who caused the uncertainty to exist, or in favor of the  
8 defendant. Id. at 695-96.

9 Here, Petitioner fails to allege facts that would entitle  
10 him to habeas relief. His own petition states that he was  
11 sentenced to "17 years-to-life" for second degree murder with use  
12 of a firearm. (Pet. 1.) Petitioner does not show that his plea  
13 agreement included any term or condition concerning parole, the  
14 parole period, Petitioner's eligibility for parole, or release on  
15 parole.

16 Petitioner's conclusional allegations are not supported by a  
17 statement of specific facts and thus do not warrant habeas  
18 relief. See, James v. Borg, 24 F.3d 20, 26 (9th Cir. 1994).  
19 Petitioner fails to show that state authorities' finding that  
20 Petitioner was not suitable for parole was inconsistent with, or  
21 violated, Petitioner's plea agreement.

22 Petitioner asserts that his continued confinement is  
23 inconsistent with his expectation of any benefit from his plea  
24 bargain. He asserts that being denied parole after having done  
25 all that was asked of him by the BPH for twenty years is contrary  
26 to his expectations concerning his plea. However, by his  
27 bargain, Petitioner avoided the certainty of a more severe  
28 sentence for first degree murder. (Pet. 33.) Further,

1 Petitioner has not alleged specific facts showing that an actual  
2 grant of parole was the subject of a promise exchanged in the  
3 bargain; he has alleged facts supporting only a generalized  
4 expectation of the possibility of release on parole.

5 Petitioner has not shown that his plea agreement was  
6 ambiguous in any respect. A sentence of seventeen (17) years to  
7 life clearly denotes confinement to endure for a minimum of  
8 seventeen years and potentially as long as the sentenced person  
9 lives. This is consistent with California law, pursuant to which  
10 it is established that an indeterminate life sentence is in legal  
11 effect a sentence for the maximum term of life. People v. Dyer,  
12 269 Cal.App.2d 209, 214 (1969).

13 Generally, a convicted person serving an indeterminate life  
14 term in state prison is not entitled to release on parole until  
15 he is found suitable for such release by the Board of Parole  
16 Hearings (previously, the Board of Prison Terms). Cal. Pen. Code  
17 § 3041(b); Cal. Code of Regs., tit. 15, § 2402(a). Under  
18 California's Determinate Sentencing Law, an inmate such as  
19 Petitioner who is serving an indeterminate sentence for murder  
20 may serve up to life in prison, but he does not become eligible  
21 for parole consideration until the minimum term of confinement is  
22 served. In re Dannenberg, 34 Cal.4th 1061, 1078 (2005). The  
23 actual confinement period of a life prisoner is determined by an  
24 executive parole agency. Id. (citing Cal. Pen. Code § 3040).

25 Here, there is no basis for a conclusion that at the time  
26 the plea was entered, objective manifestations of intent  
27 reflected that Petitioner reasonably understood that he was  
28 entitled to release on parole at any particular point in his

1 indeterminate sentence. The facts do not warrant a conclusion  
2 that the indeterminate sentence imposed was anything other than a  
3 sentence for the maximum term of life, with a possibility of  
4 release on parole after seventeen (17) years if Petitioner were  
5 found suitable for such release.

6 Any rejection by state courts of Petitioner's claim was not  
7 contrary to, or an unreasonable application of, clearly  
8 established Supreme Court precedent, and it was not based on an  
9 unreasonable determination of the facts. See, 28 U.S.C.  
10 § 2254(d). Petitioner has not shown that a state court failed to  
11 apply clearly established precedent of the United States Supreme  
12 Court. Further, it would have been reasonable for the state  
13 court to have determined that Petitioner had simply shown that he  
14 bargained for a term of seventeen (17) years to life with only  
15 the "possibility" of release on parole. See, Ricketts v.  
16 Adamson, 483 U.S. 1, 6 n.3 (1987). To the extent Petitioner  
17 relies on state regulations or statutes that permit discretionary  
18 release after a shorter period of time than Petitioner has been  
19 confined, Petitioner's claim is based on the application of state  
20 law and thus does not entitle Petitioner to relief.

21 The record of the pertinent proceedings involving  
22 Petitioner's change of plea before the Court does not contain any  
23 evidence of a promise concerning parole release. It, therefore,  
24 does not appear that Petitioner could allege a tenable due  
25 process claim concerning his plea if leave to amend were granted.  
26 Accordingly, it will be recommended that Petitioner's due process  
27 claim concerning his plea bargain be dismissed without leave to  
28 amend.

1 C. Apprendi Claim

2 In his third claim, Petitioner argues that he suffered an  
3 Apprendi<sup>2</sup> violation because the BPH made findings of fact  
4 concerning the circumstances and nature of the commitment offense  
5 that were not either found by a jury beyond a reasonable doubt or  
6 admitted by Petitioner in connection with his plea. Petitioner  
7 contends that when he was found unsuitable, he was exposed to  
8 punishment exceeding the statutory maximum for first or second  
9 degree murder. (Pet. 33.)

10 Petitioner asserts that Blakely v. Washington, 542 U.S. 296  
11 (2004) held that the statutory maximum punishment for second  
12 degree murder is a minimum term of fifteen (15) years if based  
13 solely on facts reflected in a jury's verdict or admitted by the  
14 defendant. He argues that because he did not expressly admit the  
15 BPH's findings concerning the nature of his commitment offense  
16 when he entered his plea, the findings violate his due process  
17 rights. Petitioner also cites Ring v. Arizona, 536 U.S. 584  
18 (2002), which held that a trial judge's determination of the  
19 presence or absence of aggravating or mitigating factors that  
20 govern the choice of the death penalty was a violation of the  
21 defendant's Sixth and Fourteenth Amendment right to a trial by  
22 jury in capital prosecutions.

23 In Apprendi, the Court held that any fact other than a  
24 prior conviction that is necessary to support a sentence  
25 exceeding the maximum authorized by the facts established by a  
26 plea of guilty or a jury verdict must be admitted by a defendant  
27

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28 <sup>2</sup>The reference is to Apprendi v. New Jersey, 530 U.S. 466 (2000).

1 or proved to a jury beyond a reasonable doubt. Apprendi v. New  
2 Jersey, 530 U.S. 466, 490; United States v. Booker, 543 U.S. 220,  
3 244 (2005). In Blakely v. Washington, 542 U.S. 296, 303 (2004),  
4 the Court held that the "statutory maximum for Apprendi purposes  
5 is the maximum sentence a judge may impose solely on the basis of  
6 the facts reflected in the jury verdict or admitted by the  
7 defendant." Blakely, 542 U.S. at 303.

8 Cal. Pen. Code § 190(a) provides generally that first degree  
9 murder is punishable by death or imprisonment for twenty-five  
10 (25) years to life; second degree murder is punishable by  
11 imprisonment for fifteen (15) years to life. As previously  
12 noted, in California, an indeterminate sentence of fifteen years  
13 to life is in legal effect a sentence for the maximum term of  
14 life, subject only to the power of the parole authority to set a  
15 lesser term. People v. Dyer, 269 Cal.App.2d 209, 214 (1969).

16 Based on the foregoing, the Court concludes that in denying  
17 parole, the BPH did not increase Petitioner's sentence beyond the  
18 statutory maximum of life imprisonment for second degree murder.  
19 The Court is mindful of the discretionary and predictive nature  
20 of the evaluations made by the BPH in considering release of an  
21 inmate on parole. See, Greenholtz v. Inmates of Nebraska Penal  
22 and Corr. Complex, 442 U.S. 1, 9-10 (1979). The Court is not  
23 aware of any Supreme Court authority applying the principles of  
24 Apprendi to parole proceedings. The Court notes that Petitioner  
25 was not entitled to a jury trial or proof beyond a reasonable  
26 doubt in his parole proceedings. United States v. Knights, 534  
27 U.S. 112, 120 (2001) (no right to jury trial or proof beyond a  
28 reasonable doubt in proceedings to revoke probation); United

1 States v. Huerta-Pimentel, 445 F.3d 1220, 1225 (9th Cir. 2006) (a  
2 judge's finding by a preponderance of the evidence that a  
3 defendant violated the conditions of supervised release does not  
4 raise a concern regarding the Sixth Amendment); see, Swarthout v.  
5 Cooke, 131 S.Ct. at 862. Instead, Petitioner was entitled to the  
6 relatively minimal processes of Greenholtz. Thus, Apprendi,  
7 which concerns a right to jury trial and proof beyond a  
8 reasonable doubt to a jury, does not appear to be applicable to  
9 parole proceedings.

10 The Court concludes that Petitioner did not allege facts  
11 that would entitle him to relief on the basis of a denial of due  
12 process of law from the absence of a jury trial or jury finding  
13 concerning the circumstances of his offense. Further, in light  
14 of the apparent inapplicability of the Apprendi concepts to  
15 parole proceedings, it does not appear that Petitioner could  
16 allege a tenable Apprendi claim for relief.

17 Thus, it will be recommended that Petitioner's due process  
18 claim relating to the Apprendi decision be dismissed without  
19 leave to amend.

#### 20 V. Decisions of the State Courts

21 In his fourth claim, Petitioner alleges that the state  
22 courts' rulings affirming the BPH's decision were unreasonable  
23 determinations of the facts in light of the evidence.

24 In this claim, Petitioner appears to challenge the state  
25 court's decisions upholding the BPH's determinations of fact.  
26 However, as the preceding discussion of the Swarthout case  
27 reflects, the application of the "some evidence" rule to the  
28 facts relevant to parole eligibility is not within the scope of

1 this Court's review in a proceeding pursuant to 28 U.S.C. § 2254.  
2 Accordingly, the Court concludes that with respect to his fourth  
3 claim concerning unreasonable determinations of fact, Petitioner  
4 has failed to state facts entitling him to relief. Because the  
5 claim is not cognizable in a proceeding pursuant to 28 U.S.C.  
6 § 2254, it will be recommended that the claim be dismissed  
7 without leave to amend.

8 In sum, the Court concludes that with respect to all the  
9 claims set forth in the petition, Petitioner has failed to state  
10 facts that entitle him to relief or point to a real possibility  
11 of constitutional error. Thus, it will be recommended that  
12 Respondent's motion to dismiss the petition without leave to  
13 amend be granted and the petition be dismissed without leave to  
14 amend.

15 VI. Certificate of Appealability

16 Unless a circuit justice or judge issues a certificate of  
17 appealability, an appeal may not be taken to the Court of Appeals  
18 from the final order in a habeas proceeding in which the  
19 detention complained of arises out of process issued by a state  
20 court. 28 U.S.C. § 2253(c)(1)(A); Miller-El v. Cockrell, 537  
21 U.S. 322, 336 (2003). A certificate of appealability may issue  
22 only if the applicant makes a substantial showing of the denial  
23 of a constitutional right. § 2253(c)(2). Under this standard, a  
24 petitioner must show that reasonable jurists could debate whether  
25 the petition should have been resolved in a different manner or  
26 that the issues presented were adequate to deserve encouragement  
27 to proceed further. Miller-El v. Cockrell, 537 U.S. at 336  
28 (quoting Slack v. McDaniel, 529 U.S. 473, 484 (2000)). A

1 certificate should issue if the Petitioner shows that jurists of  
2 reason would find it debatable whether the petition states a  
3 valid claim of the denial of a constitutional right and that  
4 jurists of reason would find it debatable whether the district  
5 court was correct in any procedural ruling. Slack v. McDaniel,  
6 529 U.S. 473, 483-84 (2000).

7 In determining this issue, a court conducts an overview of  
8 the claims in the habeas petition, generally assesses their  
9 merits, and determines whether the resolution was debatable among  
10 jurists of reason or wrong. Id. It is necessary for an  
11 applicant to show more than an absence of frivolity or the  
12 existence of mere good faith; however, it is not necessary for an  
13 applicant to show that the appeal will succeed. Miller-El v.  
14 Cockrell, 537 U.S. at 338.

15 A district court must issue or deny a certificate of  
16 appealability when it enters a final order adverse to the  
17 applicant. Rule 11(a) of the Rules Governing Section 2254 Cases.  
18 It does not appear that reasonable jurists could debate whether  
19 the petition should have been resolved in a different manner.  
20 Petitioner has not made a substantial showing of the denial of a  
21 constitutional right.

22 Therefore, it will be recommended that the Court decline to  
23 issue a certificate of appealability.

24 VII. Recommendation

25 Accordingly, it is RECOMMENDED that:

26 1) Respondent's motion to dismiss without leave to amend  
27 Petitioner's first and second due process claims concerning some  
28 evidence be GRANTED; and

1           2) Petitioner's third and fourth claims be DISMISSED without  
2 leave to amend; and

3           3) The petition for writ of habeas corpus be DISMISSED  
4 without leave to amend; and

5           4) The Court DECLINE to issue a certificate of  
6 appealability; and

7           5) The Clerk be DIRECTED to close the case because an order  
8 of dismissal would terminate the action in its entirety.

9           These findings and recommendations are submitted to the  
10 United States District Court Judge assigned to the case, pursuant  
11 to the provisions of 28 U.S.C. § 636 (b) (1) (B) and Rule 304 of  
12 the Local Rules of Practice for the United States District Court,  
13 Eastern District of California. Within thirty (30) days after  
14 being served with a copy, any party may file written objections  
15 with the Court and serve a copy on all parties. Such a document  
16 should be captioned "Objections to Magistrate Judge's Findings  
17 and Recommendations." Replies to the objections shall be served  
18 and filed within fourteen (14) days (plus three (3) days if  
19 served by mail) after service of the objections. The Court will  
20 then review the Magistrate Judge's ruling pursuant to 28 U.S.C. §  
21 636 (b) (1) (C). The parties are advised that failure to file  
22 objections within the specified time may waive the right to  
23 appeal the District Court's order. Martinez v. Ylst, 951 F.2d  
24 1153 (9th Cir. 1991).

25  
26 IT IS SO ORDERED.

27 **Dated: May 31, 2011**

**/s/ Sheila K. Oberto**  
**UNITED STATES MAGISTRATE JUDGE**