

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA**

ERIC CHARLES RODNEY KNAPP, No. CIV S-05-2520-FCD-CMK-P

Plaintiff,

vs.

FINDINGS AND RECOMMENDATIONS

RODERICK HICKMAN, et al.,

Defendants.

_____ /

Plaintiff, a state prisoner proceeding pro se, brings this civil rights action pursuant to 42 U.S.C. § 1983. Pending before the court is Defendants’ motion for summary judgment (Doc. 201). Plaintiff filed an opposition to the motion (Doc. 209), and Defendants filed a reply (Doc. 218). Plaintiff also filed a motion to strike (Doc. 220) Defendants’ reply, to which Defendants filed an opposition (Doc. 221) and Plaintiff filed a reply (Doc. 222).

I. BACKGROUND

A. Plaintiff’s Allegations

The claims remaining in this case relate to Plaintiff’s allegations that he has been retaliated against for exercising his constitutional rights, including freedom of speech, press, assembly and redress. He claims this retaliation began in June 2000, while he was housed at Mule Creek State Prison (MCSP). He claims the defendants filed false discipline reports, placed him in administrative segregation (ad-seg), confiscated documents, placed him in a holding cage, caused food to be contaminated, confiscated his winter jacket, subjected him to strip searches and

1 public exposure, involuntary medication, witness intimidation, denied him medication, and had
2 him transferred to a higher-security prison. He claims these acts of retaliation were done in
3 response to his constitutionally protected rights, including informally reporting defendant
4 Kaiser's inappropriate behavior, conversing with visitors, posting information on a bulletin
5 board, filing inmate grievances, warning other prisoners about contaminated food, and speaking
6 freely.

7 **B. Undisputed Facts¹**

8 1. On June 20, 2000, Plaintiff wrote a letter to Captain Mendoza regarding a
9 mural painting project in the visiting room that had been canceled. In the letter, Plaintiff stated
10 that he was writing to inform Captain Mendoza about the history of the project, to "reveal to you
11 the patience and stamina c/o Kaiser has shown despite the lack of cooperation she has been given
12 by various institution staff members" and to express his regret in beginning the project.
13 (Plaintiff's Ex. B at 24-25, Doc. 213 at 122-23.)

14 2. On July 2, 2000, July 4, 2000, and July 9, 2000, Plaintiff's visits were
15 terminated early. (Def. Stmt of Material Undisputed Facts ("DUF"), Doc. 202, #6)²

16 3. On July 10, 2000, Plaintiff submitted a 602 Inmate Grievance regarding
17 his early visit terminations. (Plaintiff's Ex. B at 35-37, Doc. 213 at 132-134; Defense's Ex. A at
18 2-12, Doc. 202-1.)

19 4. On July 12, 2000, defendant Kaiser issued Plaintiff a Rules Violation
20 Report (RVR), form CDC 115, for his conduct in the visiting room on July 4, 2000. The charge
21 was "Delaying a Peace Officer in the Performance of His/Her Duties," a division D offense. A

22 ¹ Most of the facts are not disputed as to the events which occurred. The dispute
23 between the parties relates to the motivation and/or reason the events occurred. Plaintiff's
24 contention is that the defendants acted arbitrarily and capriciously, with the purpose of harassing
25 him. Defendants contend their actions were reasonably related to legitimate penological
26 purposes.

² The undersigned cites to the facts set forth in Defendants' Statement which are
not disputed by Plaintiff in his Response thereto (Doc. 210).

1 hearing was held on July 16, 2000, wherein Plaintiff was found guilty of a reduced charge
2 “Refusing a Direct Order,” a division F offense. (Defense Ex. A at 33-39, Doc. 202-1.)

3 5. Lieutenant Etheredge’s only involvement with the rules violation report
4 was the classification decision he made, and referral to the senior hearing officer, on July 13,
5 2000. (DUF #3)

6 6. Lieutenant Etheredge was unaware of Plaintiff’s inmate appeal at the time
7 he classified and referred the rules violation report to the senior hearing officer.³ (DUF #4)

8 7. Plaintiff’s grievance activity shows that he submitted in excess of thirty
9 inmate appeals before the commencement of this action. (DUF #5)

10 8. Plaintiff was informed on July 4, 2000, that Officer Kaiser was going to
11 issue a rules violation report for his conduct in visiting on that day.⁴ (DUF #7)

12 9. Officer Kaiser issued a CDC 128-A custodial counseling chrono on
13 November 3, 2000, regarding visiting with others. (DUF #8)

14 10. Officer Kaiser issued a CDC-128-B informational chrono dated March 15,
15 2001, regarding grooming standards.⁵ (DUF #9)

16 11. The chrono also documents that Plaintiff appeared to have a negative
17 attitude toward her. (DUF #12)

18 12. Under the California Code of Regulations, except for immediate family
19 members, visiting with more than one inmate on the same occasion requires the approval of the
20

21 ³ Plaintiff denies this is an undisputed fact, but fails to provide any reason for
22 disputing it. Bald statements of denial are insufficient to bring facts into dispute.

23 ⁴ Plaintiff acknowledges defendant Kaiser told him she was going to write him up,
24 but he denies that he was informed of the alleged charge. (See Pl.’s Ex. B at 26-27 (journal entry
acknowledging Kaiser warned him of receiving a 115)).

25 ⁵ Plaintiff denies the chrono documented a conversation between himself and
26 defendant Kaiser on March 15, 2000. In addition, while he denies he was out of compliance with
the grooming standards then in place for having a 30 hour old stubble, he acknowledges he was
not cleanly shaven. (Plaintiff’s Ex. B at 169, Doc. 213 at 89.)

1 warden or regional parole administration where the inmates are confined. (DUF #16)

2 13. Plaintiff did not have authorization to visit with anyone other than his
3 approved visitor.⁶ (DUF #17)

4 14. Officer Kaiser was required to enforce the visitation rules and to report
5 violations. (DUF #18)

6 15. On November 3, 2000, Officer Kaiser observed Plaintiff conversing with
7 another prisoner and the other prisoner's visitors. (DUF #19 & response thereto).

8 16. The grooming regulations, then in effect, provided that an inmate's face
9 was to be clean shaven at all times, except for a mustache or medical exception, neither of which
10 applied to Plaintiff. (DUF #27)

11 17. Upon receipt of information that Plaintiff may intend to take his own life,
12 Plaintiff was escorted to the main infirmary and referred for evaluation. (DUF # 32)

13 18. Medical staff determined that Plaintiff did not pose an immediate threat to
14 himself. (DUF # 33)

15 19. Personnel from the CIA indicated they would contact the institution and
16 schedule an interview with Plaintiff. (DUF #34)

17 20. Plaintiff was confined to the ASU pending further review. (DUF #35)

18 21. Captain Warren's only involvement in this decision was an administrative
19 review two days later. (DUF #36)

20 22. Plaintiff met with the Institutional Classification Committee (ICC) on
21 September 26, 2001, for his initial ad-seg placement review. (DUF #37)

22 23. The committee elected to release Plaintiff to Facility B general population.
23 (DUF #38).

24 ///

25 ⁶ Plaintiff agrees this is undisputed, but disputes the definition of "visitor" to the
26 extent that social pleasantries are allowed to be exchanged between various visitors and inmates.

1 24. Associate Warden Brown's only involvement in Plaintiff's ad-seg
2 confinement was as a member of the committee that reviewed his placement and released him
3 back to his yard. (DUF #39)

4 25. As the result of Plaintiff's inmate appeal, Log No. MCSP 01-03110,
5 Warden Knowles ordered the amendment of the September 2001, classification chrono. (DUF
6 #40)

7 26. AW Brown did not prepare the original classification chrono. (DUF #41)

8 27. Officer Hogan actually removed the materials from the bulletin board
9 upon Captain Warren's order. (DUP #44)

10 28. The California Code of Regulations, Title 15, section 3250 allows for the
11 publication and distribution of an inmate publication only with the institution head's specific
12 approval. (DUF #47)

13 29. Additionally, section 3230 allows for the distribution of Inmate Advisory
14 Council material upon the approval of the warden or his designee. (DUF #48).

15 30. Plaintiff posted personal documents on facility bulletin boards. (DUF
16 #49)

17 31. These documents did not meet the criteria as an inmate publication nor
18 Inmate Advisory Council material. (DUF #50)

19 32. Further, the posting of these materials was not approved by facility staff.
20 (DUF #51)

21 33. These items were confiscated. (DUF #52)

22 34. Defendants Kaiser, King, and Warren were not personally involved in
23 Plaintiff's claim concerning Officer Smith requiring him to have his ID card on display instead of
24 in his pocket when he entered the dining facility, on May 29, 2002. (DUF #56)

25 35. Section 3019 provides that inmates must carry on their person any
26 identification and privilege card issued for purposes of identification, and surrender his

1 identification card at the request of any employee. (DUF #60)

2 36. Plaintiff admits that on May 30, 2002, Officer Ellis, not Officer Smith,
3 escorted him to the program office and placed him in a cage. (DUF #62)

4 37. Plaintiff admits that on May 30, 2002, Sergeant Murray was the only
5 correctional officer involved in his claim that he was made to eat standing up in a holding cage.
6 (DUF #63)

7 38. Neither Lieutenant Gutierrez nor Officer Smith were personally involved
8 in the screen out of Plaintiff's inmate appeal on May 31, 2002. (DUF #64)

9 39. An appeal dated May 31, 2002, complaining of harassment by Sergeant
10 Murray and Officer Smith on May 29 and 30, 2002, was screened out as a duplicate appeal by
11 E.A. Reyes, CCII. (DUF #65)

12 40. Neither Lieutenant Gutierrez nor Officer Smith were aware of the
13 December 6, 2001, letter to State Senator Vasconcellos. (DUF #66)

14 41. They were neither the recipient of the letter nor did they receive a courtesy
15 copy. (DUF #67)

16 42. Neither Lieutenant Gutierrez nor Officer Smith were aware of the January
17 18, 2002, lawsuit. (DUF #68)

18 43. Neither of them was named as a defendant, nor served with a copy of the
19 complaint. (DUF #69)

20 44. None of the named defendants – Kaiser, King, and Warren – mentioned
21 the lawsuit to them. (DUF #70)

22 45. Captain Lattimore did not mention this lawsuit to either Lieutenant
23 Gutierrez or Officer Smith. (DUF #71)

24 46. Neither Lieutenant Gutierrez nor Officer Smith were aware of the March
25 11, 2002, letter to the senate public safety committee. (DUF #72)

26 ///

1 47. They were neither the recipient of the letter nor did they receive a courtesy
2 copy. (DUF #73)

3 48. Plaintiff was found guilty of disruptive behavior during an emergency
4 count as a result of MCSP RVR B09/02-013. Officer Poe was the reporting employee,
5 Lieutenant Gutierrez was the senior hearing officer, and Captain Lattimore was the reviewer.
6 (DUF #78)

7 49. Emergency counts are only conducted based upon information that an
8 inmate has possibly escaped from the institution. (DUF #80)

9 50. When this occurs, it is necessary to confirm that all inmates are accounted
10 for as soon as possible. (DUF #81)

11 51. In the event of an escape, it is imperative that the escapee be identified as
12 quickly as possible to assist in apprehending the escapee. (DUF #82)

13 52. An investigation into a food contamination incident concluded on
14 February 6, 2003. (DUF #86)

15 53. The central kitchen is located on Facility C and is staffed by Facility C
16 general population inmates. (DUF #89)

17 54. On February 10, 2003, Plaintiff was placed in ad-seg. (DUF #92)

18 55. Lieutenant Etheredge reported that on the day of the razor blade incident,
19 Inmate Bristow and Inmate Ramos were observed entering the dining hall together. (DUF #105)

20 56. After receiving their food trays and sitting at the same table, a piece of
21 razor blade was discovered by Inmate Ramos in his food tray. (DUF #106)

22 57. Inmate Bristow immediately stood up and yelled out to the other inmates
23 that there were razor blades in the food. (DUF #107)

24 58. After Inmate Ramos found a second piece of a razor blade in his tray,
25 sergeant Gentile took the tray and contents to the cook's office. (DUF #108)

26 ///

1 59. Staff cook Sampson found a third piece upon further inspection of Ramos'
2 food tray. (DUF #109)

3 60. A search of the remaining main dish in the serving trays in the kitchen did
4 not produce evidence of any other contamination. (DUF #110)

5 61. In February 2003, Officers Hein and Keeland did search Plaintiff's cell
6 and confiscated a state issue, blue quilted nylon jacket. (DUF #114)

7 62. A review of Plaintiff's property records indicated that he was issued such a
8 jacket in November 2001, while assigned to the Facility B yard crew. (DUF #115)

9 63. In January 2002, Plaintiff was reassigned to the vocational mill and
10 cabinet shop. (DUF #116)

11 64. The confiscated jacket was intended only for inmates assigned to the yard
12 crew, and Plaintiff was not entitled to possession of it. (DUF #117-118)⁷

13 65. Plaintiff submitted two inmate appeals claiming retaliatory cell searches
14 by Officers Keeland and Hein in February: MCSP Log No. 03-00855, and an unlogged appeal,
15 both submitted February 2, 2003. (DUF #119)

16 66. Captain Lattimore participated in the first level response to Plaintiff's
17 appeal No. 03-00885. (DUF #120)

18 67. Captain Warren and Lieutenant Gutierrez had no involvement in the
19 processing of either appeal (DUF #121)

20 68. Appeals Coordinator Hansen, a non-party, was responsible for processing
21 these appeals. (DUF #122)⁸

22
23 ⁷ Plaintiff does not dispute the specific type of jacket confiscated was for use by
24 yard crew inmates only; rather he objects that he was in need of extra protective clothing due to
the climate at MCSP during the winter, and this was his only jacket.

25 ⁸ In response to this undisputed fact, Plaintiff clarifies that Claim 10e is only
26 against defendants Hein and Lattimore for their role in the review of his grievance. (Response to
DUF #122, Doc. 210, at 32).

1 69. Defendants Etheredge, Gutierrez, Lattimore, Saucedo, and Warren were
2 not personally involved in the day to day operation of the ad-seg unit in which Plaintiff was
3 housed commencing February 10, 2003. (DUF #123)

4 70. Correctional Officer M. Roberts was the assigned investigative employee
5 for Plaintiff's RVR Log #B02/03-025, and his report was reviewed by Facility Captain
6 Lattimore. (DUF #124)

7 71. Plaintiff submitted questions for Captain Warren and Lieutenant Gutierrez,
8 and for his inmate witnesses. (DUF #125)

9 72. Some of Plaintiff's questions were disallowed, others were asked. (DUF
10 #128)

11 73. Seven of Plaintiff's inmates witnesses responded to Plaintiff's questions.
12 (DUF #129)

13 74. Other inmate witnesses Plaintiff had requested were unwilling to respond
14 to his questions. (DUF #130)

15 75. Plaintiff was placed on involuntary medication beginning February 11,
16 2003 (DUF #134)

17 76. In March 2003, Plaintiff appealed the involuntary medication, stating on
18 March 5, 2003, that he was "neither gravely disabled nor mentally incompetent." (DUF #137)

19 77. Also on March 5, 2003, Plaintiff stated that he wanted to stop taking all
20 psychotropic medications. (DUF #138)

21 78. Plaintiff met with Richard Lipon, M.D. and Senior Psychiatrist, on March
22 12, 2003, concerning his inmate appeal. (DUF #129)

23 79. At this time, Plaintiff consented to continue being given Risperdal in a
24 tapered dose. (DUF #140)

25 80. Subsequently, Plaintiff refused all medications with the exception of
26 Fluoxetine once per week. (DUF #141)

1 81. Rules violation report, MSCP B03/055 dated February 25, 2003, was
2 under investigation when Plaintiff was first placed in ad-seg on February 10, 2003. (DUF #143,
3 161)

4 82. As a result of the investigation, which concluded February 25, 2003,
5 Plaintiff was charged with misuse/unauthorized acquisition of state funds and state property
6 valued at \$135.78, relating to inmate canteen use. (DUF #163)

7 83. The hearing officer found Plaintiff guilty of the charge of
8 misuse/unauthorized acquisition of state funds and state property. (DUF #144, 169)⁹

9 84. Under California Code of Regulations, title 15 § 3012, inmates may not
10 obtain anything by theft, fraud, or dishonesty. (DUF #170)

11 85. However, the offense was reduced to an administrative offense. (DUF
12 #145)

13 86. The disposition was limited to time served in ad-seg, and a trust account
14 withdrawal order was submitted to the trust office. (DUF #146)

15 87. Inmate appeal MCSP Log No. 03-00861 grieved Plaintiff's RVR MCSP
16 Log No. B03/055 dated February 25, 2003. (DUF #147)

17 88. Because the offense was reduced to an administrative violation, the second
18 level review dated May 27, 2003, completed the exhaustion requirement as to this disciplinary
19 action. (DUF #148)

20 89. The grievance process having been timely completed, then there was no
21 adverse action with respect to inmate appeal MCSP Log. No. 03-00861. (DUF #149)¹⁰

22 ///

23 ///

24 ⁹ Plaintiff disputes the validity of the charge.

25 ¹⁰ Plaintiff does not deny this statement, but claims the appeal was not handled by
26 the proper authority.

1 90. During disciplinary detention in ad-seg, an inmate's legal resources are
2 limited, by law, to pencil and paper. (DUF #150)¹¹

3 91. Other legal material in an inmate's personal property may be issued to an
4 inmate in disciplinary detention if litigation was in progress before the inmate's placement in ad-
5 seg and legal due dates are imminent. (DUF #151)

6 92. Here, Plaintiff does not allege that he had any pending actions with
7 imminent deadlines between February 10, 2003 and April 29, 2003. (DUF #152)¹²

8 93. On April 7, 2003, Plaintiff was found guilty in RVR B02/03-025 (related
9 to the food contamination events) and was assessed a suspended security housing unit (SHU)
10 term. (DUF #156)

11 94. On April 10, 2003, Plaintiff was retained in ad-seg pending transfer.
12 (DUF #157)

13 95. On April 29, 2003, Plaintiff was transferred to CSP-Sac. (DUF #171)

14 96. Prior to his transfer, Plaintiff wrote to Warden Knowles indicating that he
15 did not want to transfer from MCSP because of his protective needs and to facilitate family
16 visiting. (DUC #172)

17 97. Plaintiff claimed that he could peacefully co-exist with all inmates on
18 Facility B and he wanted an opportunity to sign peaceful co-existence chronos with any declared
19 enemies. (DUF #173)

20 98. Plaintiff further requested that any inmate who could not co-exist with him
21 should be transferred so he could reside on Facility B. (DUF #174)

22 99. Warden Knowles responded on March 23, 2003, after a review of
23 Plaintiff's central file in connection with his request. (DUF #175)

24 ¹¹ Plaintiff disputes that he has ever been assigned to any disciplinary detention.

25 ¹² However, Plaintiff contends that he was entitled to have up to seven cubic feet of
26 legal materials throughout that time period.

1 100. Plaintiff had been placed in ad-seg on February 20, 2003. (DUF #176)

2 101. At the time of the warden's response, both rules violation reports (03-025,
3 03-055) were pending adjudication. (DUF #178)

4 102. Warden Knowles acknowledged Plaintiff's sensitive needs, and noted that
5 they will be considered in any decision impacting his future housing. (DUF #187)

6 103. Plaintiff's future placement would be determined by the interdisciplinary
7 treatment team and the institutional classification committee following the adjudication of the
8 pending RVRs. (DUF #188)

9 104. Plaintiff was encouraged to be present at the classification committee and
10 to inform the committee of his preferences. (DUC #189)

11 105. Plaintiff wrote letters to MCSP Appeals Coordinator T. Hansen dated June
12 19, and July 30, 2003. (DUF #194)

13 106. RVR #A-03-09-004 (originally MCSP log #B06/03-035 and SAC log #A-
14 03-07-007) was issued by T. Hansen as the reporting employee, and Captain Lattimore as the
15 reviewing supervisor. (DUF #195)

16 107. RVR #A-03-08-002 was issued by T. Hansen as the reporting employee,
17 and Correctional Sergeant J.D. Nelson as the reviewing supervisor. (DUF #196)

18 108. Both rules violation reports charged Plaintiff with disrespect to staff based
19 on the content of the June 19, and July 30, 2003, letters. (DUF #197)

20 109. Plaintiff was found guilty on both charges. (DUF #198)

21 110. In his inmate appeal interview regarding his first RVR, Plaintiff admitted
22 to Lieutenant Padilla that he intended his letter to Counselor Hansen to be disrespectful and
23 contemptuous. (DUF #199)

24 111. In his interview regarding the second RVR, Plaintiff admitted to Sergeant
25 Garcia that his intentions were to be disrespectful to Counselor Hansen. (DUF #200)

26 ///

1 112. Lieutenant Gutierrez was not personally involved in either of the rules
2 violation reports concerning the June 19, and July 30, 2003, letters. (DUF #201)

3 **C. Mutual Evidence**

4 Both parties submitted essentially identical evidence related to the various Rules
5 Violation Reports (RVR) and 602 Inmate Grievance Forms. The documents included within
6 each varied, such as Plaintiff's 602s did not include the grant or denial letters, and Plaintiff's
7 RVRs included additional documents such as his defense statements, witness questions
8 submitted, and hearing reports. However, as the parties do not dispute the events evidenced by
9 the RVRs and 602s, those are identified below:

10 1. July 4, 2000: RVR B07-00-012 (signed July 12, 2000). Charge: Delaying
11 a Peace Officer in the Performance of his/her Duties on July 4, 2000; Finding: Guilty of Refusing
12 a Direct Order, a reduced charge. (Def. Ex. A at 18-24, 33-39; Pl. Ex. C at 34-36).

13 2. July 10, 2000: 602 MCSP 00-01495, regarding visit terminations. (Def.
14 Ex. A at 2-12; Pl. Ex. B at 35-37).

15 3. July 19, 2000: 602 MCSP 00-01764, challenging guilty finding in RVR
16 B07-00-012. (Def. Ex. A at 27-32; Pl. Ex. B at 38-40).

17 4. November 3, 2000: CDC 128 A counseling chrono, regarding conversing
18 with others during visit. (Def. Ex. A at 40; Pl. Ex. C at 46).

19 5. March 15, 2001: CDC 128 B informative general chrono, regarding
20 grooming standards for visits. (Def. Ex. A at 41; Pl. Ex. B at 148, 169).

21 6. September 24, 2001: Administrative Segregation placement. (Def. Ex. A
22 at 42-44; Pl. Ex. C at 55-57).

23 7. September 25, 2001: CDC 128 B Unusual Behavior chrono, regarding
24 refusal of food, water, clothing, bedding in ad/seg. (Def. Ex. A at 45; Pl. Ex. C at 59-60).

25 8. September 26, 2001: CDC 128 G ICC classification, releasing Plaintiff
26 from ad/seg. (Def. Ex. A at 51; Pl. Ex. C at 61).

1 9. November 1, 2001: 602 MCSP 01-0317, regarding removal of documents
2 from bulletin boards. (Def. Ex. A at 61-78; Pl. Ex. B at 320-24).

3 10. November 4, 2001: 602 MCSP 01-03110, regarding false statement in
4 CDC 128 G. (Def. Ex. A at 47-60; Pl. Ex. B at 325-28).

5 11. May 29, 2002: 602 MCSP 02-01393, regarding requirement to show
6 identification. (Def. Ex. A at 79-92; Pl. Ex. B at 411-13, 416-17).

7 12. September 6, 2002: RVR 02-013 (signed 9/11/02). Charge: Disruptive
8 Behavior During Emergency Count; Finding: Guilty. (Def. Ex. A at 93-104; Pl. Ex. C at 69-
9 119).

10 13. December 12, 2002: 602 MCSP 02-02585 challenging RVR 02-013
11 decision. (Def. Ex. A at 105-110; Pl. Ex. B at 477-87).

12 14. February 6, 2003: RVR 03-025 (signed 2/20/03). Charge: Conspiracy to
13 Commit Extortion; Finding: Guilty (Def. Ex. A at 111-39; Pl. Ex. C at 200-05, 249-67).

14 15. February 2, 2003: 602 MCSP 03-0855, regarding cell search and jacket
15 confiscation. (Def. Ex. A at 142-46; Pl. Ex. B at 607-10).

16 16. February 10, 2003: Administrative Segregation placement. (Def. Ex. A at
17 2140; Pl. Ex. C at 191).

18 17. February 25, 2003: RVR 03-055. Charge: Misuse/Unauthorized
19 Acquisition of State Funds & State Property Valued at \$135.78; Findings: Guilty, reduced to
20 administrative offense. (Def. Ex. A at 151-74; Pl. Ex. C at 297-303).

21 18. March 2003: 602 MCSP 03-0496, regarding involuntary medication.
22 (Def. Ex. A at 147-50; Pl. Ex. B at 695-81).

23 19. April 16, 2003: 602 MCSP 03-00861, challenging RVR 03-055
24 disposition. (Def. Ex. A at 177-183).

25 20. June 23, 2003: RVR 03-035. Charge: Disrespect to Staff; Finding: Guilty,
26 reduced to CDC 128-A. (Def. Ex. A at 189-200; Pl. Ex. C at 346-53).

1 21. August 7, 2003: 602 MCSP 03-01971, challenging RVR 03-035
2 disposition. (Def. Ex. A at 186-88; Pl. Ex. B at 961-66).

3 22. August 4, 2003: RVR A-03-08-002. Charge: Disrespect to Staff; Finding:
4 Guilty. (Def. Ex. A at 217-21).

5 23. September 24, 203: 602 SAC-A-03-02216, challenging RVR 03-08-002
6 disposition. (Def. Ex. A at 207-26; Pl. Ex. B at 984-88).

7 **D. Plaintiff's Evidence**

8 In addition to the above, Plaintiff submits numerous articles, e-mail and
9 correspondence written by himself, his mother, and his other “associates.” He also submits
10 numerous journal entries. A majority of this evidence relates to claims which have been
11 dismissed, or is irrelevant as it is an attempt to support his claims regarding the rights of his
12 “associates.” His journal entries, however, are signed “under penalty of perjury” and therefore
13 are construed as declarations for the purpose of this motion. Those relevant to the remaining
14 claims have been read and considered.

15 **E. Defendants' Evidence**

16 In addition to the above, Defendants have submitted numerous declarations in
17 support of their position. These declarations have similarly been read and considered.

18
19 **II. STANDARDS FOR SUMMARY JUDGMENT**

20 Summary judgment is appropriate when it is demonstrated that there exists “no
21 genuine issue as to any material fact and that the moving party is entitled to a judgment as a
22 matter of law.” Fed. R. Civ. P. 56(c). Under summary judgment practice, the moving party

23 always bears the initial responsibility of informing the district court of the
24 basis for its motion, and identifying those portions of “the pleadings,
25 depositions, answers to interrogatories, and admissions on file, together
with the affidavits, if any,” which it believes demonstrate the absence of a
genuine issue of material fact.

26 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986) (quoting Fed. R. Civ. P. 56(c)). “[W]here

1 the nonmoving party will bear the burden of proof at trial on a dispositive issue, a summary
2 judgment motion may properly be made in reliance solely on the ‘pleadings, depositions, answers
3 to interrogatories, and admissions on file.’” Id. Indeed, summary judgment should be entered,
4 after adequate time for discovery and upon motion, against a party who fails to make a showing
5 sufficient to establish the existence of an element essential to that party’s case, and on which that
6 party will bear the burden of proof at trial. Id. at 322. “[A] complete failure of proof concerning
7 an essential element of the nonmoving party’s case necessarily renders all other facts
8 immaterial.” Id. In such a circumstance, summary judgment should be granted, “so long as
9 whatever is before the district court demonstrates that the standard for entry of summary
10 judgment, as set forth in Rule 56(c), is satisfied.” Id. at 323.

11 If the moving party meets its initial responsibility, the burden then shifts to the
12 opposing party to establish that a genuine issue as to any material fact actually does exist. See
13 Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to
14 establish the existence of this factual dispute, the opposing party may not rely upon the
15 allegations or denials of its pleadings but is required to tender evidence of specific facts in the
16 form of affidavits, and/or admissible discovery material, in support of its contention that the
17 dispute exists. See Fed. R. Civ. P. 56(e); Matsushita, 475 U.S. at 586 n.11. The opposing party
18 must demonstrate that the fact in contention is material, i.e., a fact that might affect the outcome
19 of the suit under the governing law, Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986);
20 T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir. 1987), and
21 that the dispute is genuine, i.e., the evidence is such that a reasonable jury could return a verdict
22 for the nonmoving party, Wool v. Tandem Computers, Inc., 818 F.2d 1433, 1436 (9th Cir. 1987).

23 In the endeavor to establish the existence of a factual dispute, the opposing party
24 need not establish a material issue of fact conclusively in its favor. It is sufficient that “the
25 claimed factual dispute be shown to require a jury or judge to resolve the parties’ differing
26 versions of the truth at trial.” T.W. Elec. Serv., 809 F.2d at 631. Thus, the “purpose of summary

1 **RETALIATION**

2 In order to state a claim under 42 U.S.C. § 1983 for retaliation, the prisoner must
3 establish that he was retaliated against for exercising a constitutional right, and that the
4 retaliatory action was not related to a legitimate penological purpose, such as preserving
5 institutional security. See Barnett v. Centoni, 31 F.3d 813, 815-16 (9th Cir. 1994) (per curiam).
6 In meeting this standard, the prisoner must demonstrate a specific link between the alleged
7 retaliation and the exercise of a constitutional right. See Pratt v. Rowland, 65 F.3d 802, 807 (9th
8 Cir. 1995); Valandingham v. Bojorquez, 866 F.2d 1135, 1138-39 (9th Cir. 1989). The prisoner
9 must also show that the exercise of First Amendment rights was chilled, though not necessarily
10 silenced, by the alleged retaliatory conduct. See Resnick v. Hayes, 213 F.3d 443, 449 (9th Cir.
11 2000), see also Rhodes v. Robinson, 408 F.3d 559, 569 (9th Cir. 2005). Thus, the prisoner
12 plaintiff must establish the following in order to state a claim for retaliation: (1) prison officials
13 took adverse action against the inmate; (2) the adverse action was taken because the inmate
14 engaged in protected conduct; (3) the adverse action chilled the inmate’s First Amendment
15 rights; and (4) the adverse action did not serve a legitimate penological purpose. See Rhodes,
16 408 F.3d at 568.

17 The court must “‘afford appropriate deference and flexibility’ to prison officials in
18 the evaluation of proffered legitimate penological reasons for conduct alleged to be retaliatory.”
19 Pratt, 65 F.3d at 807 (quoting Sandin v. Conner, 515 U.S. 472, 482 (1995)). The burden is on
20 plaintiff to demonstrate “that there were no legitimate correctional purposes motivating the
21 actions he complains of.” Id. at 808. “[A] plaintiff must show that his protective conduct was
22 ‘the “substantial” or “motivating” factor behind the defendant’s conduct.’” Brodheim v. Cry, 584
23 F.3d 1262, 1271 (9th Cir. 2009) (quoting Soranno’s Gasco, Inc. V. Morgan, 874 F.2d 1310, 1314
24 (9th Cir. 1989)). However, a retaliation claim cannot be defeated on summary judgment “simply
25 by articulating a general justification for a neutral process, when there is a genuine issue of
26 material fact as to whether the action was taken in retaliation for the exercise of a constitutional

1 right.” Bruce v. Ylst, 351 F.3d 1283, 1289 (9th Cir. 2003).

2 In general, Plaintiff’s claim of retaliation stems from his position that he retains
3 all of his First Amendment rights, unabated, while incarcerated. However, Plaintiff’s position is
4 not supported by United State Supreme Court precedent. Instead, the Supreme Court has
5 continuously held “a prison inmate [only] retains those First Amendment rights that are not
6 inconsistent with his status as a prisoner or with the legitimate penological objectives of the
7 corrections system.” Pell v. Procunier, 417 U.S. 817, 822 (1974). Indeed, the Supreme Court
8 has long recognized that “(l)awful incarceration brings about the necessary withdrawal or
9 limitation of many privileges and rights, a retraction justified by the considerations underlying
10 our penal system.” Price v. Johnston, 334 U.S. 266, 285 (1948).

11 **B. QUALIFIED IMMUNITY**

12 Government officials enjoy qualified immunity from civil damages unless their
13 conduct violates “clearly established statutory or constitutional rights of which a reasonable
14 person would have known.” Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). In general,
15 qualified immunity protects “all but the plainly incompetent or those who knowingly violate the
16 law.” Malley v. Briggs, 475 U.S. 335, 341 (1986). In ruling upon the issue of qualified
17 immunity, the initial inquiry is whether, taken in the light most favorable to the party asserting
18 the injury, the facts alleged show the defendant’s conduct violated a constitutional right. See
19 Saucier v. Katz, 533 U.S. 194, 201 (2001). If, and only if, a violation can be made out, the next
20 step is to ask whether the right was clearly established. See id. This inquiry “must be undertaken
21 in light of the specific context of the case, not as a broad general proposition” Id. “[T]he
22 right the official is alleged to have violated must have been ‘clearly established’ in a more
23 particularized, and hence more relevant, sense: The contours of the right must be sufficiently
24 clear that a reasonable official would understand that what he is doing violates that right.” Id. at
25 202 (citation omitted). Thus, the final step in the analysis is to determine whether a reasonable
26 officer in similar circumstances would have thought his conduct violated the alleged right. See

1 id. at 205.

2 When identifying the right allegedly violated, the court must define the right more
3 narrowly than the constitutional provision guaranteeing the right, but more broadly than the
4 factual circumstances surrounding the alleged violation. See Kelly v. Borg, 60 F.3d 664, 667
5 (9th Cir. 1995). For a right to be clearly established, “[t]he contours of the right must be
6 sufficiently clear that a reasonable official would understand [that] what [the official] is doing
7 violates the right.” See Anderson v. Creighton, 483 U.S. 635, 640 (1987). Ordinarily, once the
8 court concludes that a right was clearly established, an officer is not entitled to qualified
9 immunity because a reasonably competent public official is charged with knowing the law
10 governing his conduct. See Harlow v. Fitzgerald, 457 U.S. 800, 818-19 (1982). However, even
11 if the plaintiff has alleged a violation of a clearly established right, the government official is
12 entitled to qualified immunity if he could have “reasonably but mistakenly believed that his . . .
13 conduct did not violate the right.” Jackson v. City of Bremerton, 268 F.3d 646, 651 (9th Cir.
14 2001); see also Saucier, 533 U.S. at 205.

15 The first two steps in the qualified immunity analysis involve purely legal
16 questions. See Trevino v. Gates, 99 F.3d 911, 917 (9th Cir. 1996). The third inquiry involves a
17 legal determination based on a prior factual finding as to the government official’s conduct. See
18 Neely v. Feinstein, 50 F.3d 1502, 1509 (9th Cir. 1995). In resolving these issues, the court must
19 view the evidence in the light most favorable to plaintiff and resolve all material factual disputes
20 in favor of plaintiff. Martinez v. Stanford, 323 F.3d 1178, 1184 (9th Cir. 2003).

21 **C. CLAIMS**

22 Many of Plaintiff’s claims have previously been dismissed from this action. The
23 remaining claims in this case are limited to the alleged retaliation set forth in claims 1a-d; 3b-c;
24 3g; 5a; 5c; 7; 8a-c; 8h; 9b-c; 10d-i; 10m; 10o; 10q-s; 10w-x; 12a-b. (See Docs. 150, 153, 190,
25 194). Many of Plaintiff’s arguments, both in his complaint and in response to the pending
26 motion, raise the issue of third party actions. In support of his retaliation claim, Plaintiff argues

1 in part that he was retaliated against due to the activities of his mother and other associates.
2 However, the court has previously determined that Plaintiff does not have third party standing in
3 this case, and he is proceeding with respect to his own alleged injuries, not those of his
4 “associates.” (See Docs. 150, 153). Therefore, to the extent he continues to argue the activities
5 of his “associates” were protected First Amendment actions, the undersigned has not included
6 those arguments here. Plaintiff can only base his retaliation claim on his own protected
7 activities, not those of others.

8 In addition, Plaintiff’s allegations of protected activity are generally conclusory
9 and abstract. For the most part, he fails to articulate with any specificity what protected activity
10 he was engaging in which resulted in adverse treatment. His allegations are generalized
11 statements that he and his “associates” engaged in such activities as mailing correspondence
12 complaining about MCSP-related events and/or circumstances, initiated or further prosecuted
13 grievances, or “otherwise personally engaged in presumably protected First Amendment
14 activity.” (See, e.g., Pl. Resp., Doc. 209 at 5). His failure to specify the alleged protected
15 activity he was actually engaged in makes his claims of retaliation unclear. As stated above,
16 Plaintiff must demonstrate a specific link between the alleged retaliation and the exercise of a
17 constitutional right. See Pratt, 65 F.3d at 807. Simply alleging he was retaliated against because
18 he was well known for advocating prisoner rights is insufficient. Instead, in order to succeed on
19 a retaliation claim, Plaintiff must be able to show a specific adverse action resulted from a
20 specific protected activity. Here, most of Plaintiff’s claims specify an action from Defendants,
21 but fail to specify what protected activity Plaintiff was engaged in.

22 **1. Claim 1¹³**

23 The defendants named in the Supporting Allegations (Counts)
24 below deprived Plaintiff and his associates of secured rights, privileges,

25 ¹³ The claims set forth herein are taken verbatim from Plaintiff’s Third Amended
26 Complaint (Doc. 36), eliminating those defendants and subclaims previously dismissed from this
action.

1 and immunities by causing them to begin suffering an oppressive
2 campaign of retaliatory abuse that was directly linked to Plaintiff
3 documenting KAISER's misconduct, reporting and publicizing it through
4 UNION's Internet web site (1union1.com), and especially petitioning
5 KAISER's supervisors for redress of issues related to KAISER on 6/20/00,
6 7/3/00, and 7/10/00.

7 1a: Beginning in June of 2000, KAISER tried to incite animosity and
8 violence in several prisoners toward Plaintiff and Plaintiff's
9 fiancée.

10 1b: On 7/2/00 and 7/4/00, KAISER . . . caused Plaintiff and Plaintiff's
11 fiancée to suffer unauthorized visit terminations in violation of
12 their guaranteed visitation rights under state law.

13 1c: On 7/9/00, . . . KAISER . . . caused the last visit between Plaintiff
14 and his fiancée for several weeks to end with KAISER thrusting
15 between them as they said goodbye a photo of Plaintiff's former
16 wife and portrait Plaintiff was drawing therefrom which . . . had
17 [been] illegally taken from Plaintiff's cell.

18 1d: Beginning 7/12/00, . . . ETHEREDGE, . . . KAISER . . . caused
19 Plaintiff to suffer retaliatory and false disciplinary action which
20 deprived him of liberty & other rights without the Due Process &
21 Equal Protection guaranteed under state law after and because not
22 only did many prisoners sign his group petition regarding KAISER
23 on 7/3/00 but also he had lawfully spoken freely to KAISER on
24 7/4/00 and then filed a grievance regarding KAISER on 7/10/00.

25 As to Claim 1, Defendants argue that the adverse action Plaintiff claims was
26 retaliatory occurred before any alleged protected activity (i.e., an inmate appeal). In response,
27 Plaintiff argues the initial complaint about defendant Kaiser occurred on June 20, 2000, which is
28 what motivated the other actions complained about.

29 Plaintiff alleges the retaliation against him began following his June 20, 2000,
30 letter to Captain Mendoza complaining about the cancellation of a mural project. (See Pl. Ex. B
31 at 24-25). He argues this was an assertion of his First Amendment freedom of speech in that he
32 was complaining about defendant Kaiser. However, a review of that letter fails to show any
33 negative comments about defendant Kaiser. Rather, Plaintiff praised her for her patience and
34 diligence. Plaintiff's contention that this letter is protect speech under the First Amendment is
35 unclear. While prisoners retain the right to file inmate grievances, there in nothing in this letter
36 which could reasonably be construed as a grievance against defendant Kaiser. At best, it is a
37 complaint about the cancellation of the mural project, not any alleged misconduct of Kaiser.

1 The undisputed evidence shows that Plaintiff had three visits terminated early, on
2 July 2, July 4, and July 9, 2000. The reason provided for terminating the visits was
3 overcrowding in the visiting room. During one visit, on July 4, 2000, Plaintiff had a
4 confrontation with defendant Kaiser over the reasons for the termination. As a result, defendant
5 Kaiser warned Plaintiff that she was going to issue him a Rules Violation Report (RVR) CDC
6 form 115. In Plaintiff's journal entry, he acknowledges receiving the warning of a write up from
7 defendant Kaiser, but denies she informed him what the exact charge was going to be. (See Pl.
8 Ex. B at 26-27). However, defendant Kaiser did not complete and sign this disciplinary 115 until
9 July 12, 2000, which occurred after Plaintiff submitted an inmate grievance, form 602, on July
10 10, 2000, regarding his early visit terminations. Therefore, Plaintiff argues he received the 115
11 in retaliation for his filing an inmate grievance.

12 While the timing of the 115, in that it was processed after Plaintiff submitted his
13 grievance, may be questionable, it is clear that Plaintiff knew he was going to receive the
14 disciplinary 115 prior to the time he submitted his inmate grievance. Therefore, Plaintiff fails to
15 meet his burden of showing that the disciplinary proceedings were in retaliation for Plaintiff's
16 protected activity of filing an inmate grievance, rather than for a legitimate penological purpose
17 in response to Plaintiff verbal confrontation with defendant Kaiser.

18 To the extent Plaintiff claims his confrontation with defendant Kaiser on July 4,
19 2000, (the basis for the disciplinary proceedings), was protected activity, the undersigned
20 similarly cannot find such activity was protected free speech. Again, while inmates may retain
21 some free speech rights, arguing with prison officials cannot necessarily be the type that is
22 retained. Such actions, personal confrontations between prisoners and correctional officers,
23 necessarily invoke prison security concerns, and must therefore be restricted. If an inmate
24 disagrees with an officer's decision, there are other means of addressing it rather than a
25 confrontation. Plaintiff also contends the disciplinary action was motivated by a group petition
26 he had prisoners sign on July 3, 2000. (Pl. Ex. B at 28-33). However, Plaintiff offers no

1 evidence that this petition was submitted to defendant Kaiser at any time, much less prior to the
2 July 12, 2000, disciplinary 115. In addition, this petition simply addressed the overcrowding
3 issue, and resulting early visit terminations several inmates were experiencing. There is nothing
4 in the petition regarding defendant Kaiser. Therefore, it is unclear how this could form the basis
5 for any retaliation claim.

6 Finally, Plaintiff's claim indicates some personal involvement of defendant
7 Etheredge relating to the alleged retaliatory disciplinary actions. However, Plaintiff concedes
8 that defendant Etheredge's only involvement in the disciplinary proceedings was the
9 classification decision he made, and referral to the senior hearing officer. He argues that
10 defendant "Etheredge aided, abetted, and otherwise participated in and contributed to Kaiser's
11 false and retaliatory disciplinary action by arbitrarily and capriciously classifying the RVR as
12 'Serious'" (Doc. 210 at 2). However, defendant Etheredge submitted a declaration which
13 states that he was unaware of Plaintiff's July 10, 2000, grievance at the time he signed the RVR
14 on July 13, 2000, classifying the offense. Plaintiff fails to provide any evidence to the contrary.

15 The undersigned finds that the defendants have provided evidence establishing
16 that the disciplinary proceedings instigated on July 12, 2000, relating to Plaintiff's behavior on
17 July 4, 2000, was not motivated by retaliation for Plaintiff's inmate grievance against defendant
18 Kaiser. Specifically, Plaintiff was informed that he would be receiving the disciplinary on the
19 day of his confrontation with defendant Kaiser, July 4, 2000. This was prior to Plaintiff
20 submitting his inmate grievance, the only specific protected activity Plaintiff sets forth as the
21 basis for his retaliation claim. Plaintiff has provided no evidence to support his contention that
22 he retains the unfettered right to speaking freely while incarcerated. In addition, the disciplinary
23 proceeding resulting from Plaintiff's behavior on July 4, 2000, was reasonably related to a
24 legitimate correctional goal, that is not allowing inmates to confront officers regarding their
25 decision. The system has provided a proper way to complain about decisions officers make by
26 filing an inmate grievance. While Plaintiff did so, he submitted his inmate grievance on July 10,

1 2000, with the prior knowledge that he would be receiving the disciplinary action. The
2 undersigned finds summary judgment to be appropriate relating to this claim.

3 **2. Claim 3**

4 The defendants named in the Supporting Allegations (Counts)
5 below then deprived Plaintiff and his associates further of secured rights,
6 privileges, and immunities by causing the campaign of retaliatory
7 oppression alleged above at Claims 1 and 2 to progress against them as
8 follows in direct relation to their documenting, reporting, publicizing
9 through UNION's Internet web site (1union1.com), and otherwise
10 petitioning for redress of the unlawful events, circumstances, and
11 conditions alleged in Claims 1 and 2 above and in the Supporting
12 Allegations (Counts) below.

13 3b: On 11/3/00, . . . KAISER . . . caused not only Plaintiff, his fiancée,
14 and their mutual friends to be falsely told by KAISER that they
15 could not "conversate" with each other while waiting in line
16 together at a vending machine in the visiting room,

17 3c: On 11/9/00, . . . KAISER . . . caused . . . Plaintiff to receive an
18 unauthorized writeup from KAISER for him and his fiancée
19 lawfully speaking with their above friends on 11/9/00

20 3g: Beginning 1/28/01, . . . KAISER . . . caused Plaintiff to be
21 retaliated against further by KAISER with "Harassment" under
22 state law which included a perjured writeup merely for having
23 slight (if any) beared stubble when twice surprised by unexpected
24 visitors.

25 In this claim, Plaintiff claims he suffered another disciplinary action from
26 defendant Kaiser in retaliation for conversing with others in the visiting room. It is unclear what
protected activity Plaintiff contends he was engaged in. In addition, defendant Kaiser has offered
a legitimate penological reason for her action, which Plaintiff fails to contradict.

The undisputed evidence shows that Plaintiff received a custodial counseling
chrono (CDC 128A) on November 3, 2000, and an informational chrono (CDC-128B) on March
15, 2001. He argues these "adverse" actions were in retaliation for exercising his alleged First
Amendment right to peaceably assemble, that is participate in visiting.¹⁴

¹⁴ Plaintiff's claim of protected activity in this claim is unclear. While he makes
general, conclusory statements that he was exercising his First Amendment rights, he does not
provide any specificity as to what rights he was specifically exercising. Such conclusory
allegations, such as that "the defendants took retaliatory action against Plaintiff after and because
he individually and collectively engaged in lawful exercise of generally guaranteed First

1 Defendants argue that a counseling chrono is not an adverse action, therefore any
2 action following cannot be considered retaliation. In addition, they argue Plaintiff does not
3 allege protected activity as inmates are not allowed to freely converse with others. Finally,
4 defendant Kaiser sets forth a legitimate penological purpose for the chronos Plaintiff was issued,
5 that allowing inmates to freely converse with other visitors risks the safety and security of the
6 institution. To allow such behavior could facilitate escape plans, inmate violence or other illicit
7 activity.

8 Even assuming for a the moment that the chronos Plaintiff was issued would be
9 considered adverse action, Plaintiff has not adequately identified any protected activity he was
10 engaged in. While inmates certainly retain some right to peaceably assemble, as he has set forth
11 in his argument, he has not provided any authority nor has the court found any which indicate
12 that inmates have an unfettered right under the First Amendment to visit with any and all persons
13 in the visiting room. To the contrary, the Supreme Court has held that “freedom of association is
14 among the rights least compatible with incarceration, [and] some curtailment of that freedom
15 must be expected in the prison context.” Overton v. Bazzetta, 539 U.S. 126, 131 (2003)
16 (citations omitted). Defendants have provided evidence indicating valid reasons why visits are
17 limited to those visitors authorized for each inmate. Specifically, Defendants have indicated such
18 restrictions are necessary for safety of the institution.

19 In addition, Plaintiff acknowledges that he was not cleanly shaven for a surprise
20 visit he received. While he argues he was not in violation of the then enforced grooming
21 standards necessitating a clean shave, he has admitted he was not cleanly shaven. He fails to
22 provide any evidence that this report was in fact falsified. In addition, he similarly fails to

23 _____
24 Amendment rights toward, against, and otherwise concerning and involving the CDCR, CDCR
25 personnel, MCSP, and related matters of public concern” is insufficient. (See Pl. Response, Doc.
26 209 at 63-4). Plaintiff makes other equally inadequate arguments about his “associates”
engaging in other First Amendment rights, such as making statements adverse to CDCR.
However, those arguments do not relate to Plaintiff’s activities, and are just as conclusory.

1 establish any protected activity he was engaged in prior to receiving the write up.

2 Therefore, the undersigned finds Defendants have provided sufficient evidence to
3 place the burden on Plaintiff to show he was in fact involved in a protected activity and the
4 adverse action was not reasonably related to a penological concern. Plaintiff fails to meet that
5 burden, and summary judgment on this claim is appropriate.

6 **3. Claim 5**

7 The defendants named in the Supporting Allegations (Counts)
8 below then deprived Plaintiff further of secured rights, privileges, and
9 immunities by causing the campaign of retaliatory oppression alleged
10 above at Claims 1-4 to continue being perpetrated against him as follows
11 in direct relation to him and his associates documenting, reporting,
12 publicizing through UNION's Internet web site (1union1.com), and
13 otherwise petitioning for redress of the unlawful events, circumstances,
14 and conditions alleged in Claims 104 above and in the Supporting
15 Allegations (Counts) below which occurred after and because Plaintiff
16 lawfully expressed himself in confidential mail prepared and sent
17 following the East Coast terrorist attacks of 9/11/01.

18 5a: From 9/22/01 to 9/26/01, . . . WARREN . . . caused Plaintiff to
19 suffer retaliatory & otherwise illegal confinement in ad-seg under
20 false pretenses without written notice of why as Due Process
21 requires.

22 5c: Upon Plaintiff's release from ad-seg on 9/26/01, C.D. BROWN . . .
23 caused a perjured document to be placed in Plaintiff's permanent
24 record to inaccurately reflect false justification for his above
25 confinement in ad-seg.

26 Here, Plaintiff contends he was confined in administrative segregation
improperly.¹⁵ It is unclear from Plaintiff's complaint what protected activity Plaintiff claims he
was involved in resulting in the adverse action of confinement in administrative segregation, but
appears to relate to what he classifies as "confidential" correspondence.

 The undisputed evidence shows that Plaintiff sent a letter to the CIA which
indicated a possible threat of suicide. Upon receipt of information that Plaintiff may intend to
take his own life, he was taken to the infirmary and referred for evaluation. Once medical staff
determined he did not pose an immediate threat to himself, he was placed in administrative

¹⁵ As stated above, the only claims remaining in this action relate to Plaintiff's claim
of retaliation.

1 segregation. Defendant Warren's only involvement in this situation was an administrative
2 review two days after Plaintiff's placement; defendant Brown's involvement was as an ICC
3 member reviewing his placement.¹⁶

4 Plaintiff's retaliation claim is unclear in relation to these events. Plaintiff's
5 conclusory and general allegations about being involved in protected activity, are not specific
6 allegations as to what protected activity he was involved in resulting in his placement in
7 administrative segregation. To the extent writing a letter to the CIA was the protected activity he
8 alleges he was engaged in, he appears to be claiming this letter was confidential and prison
9 officials had no right to receive information from or about it. Prisoners do have a First
10 Amendment right to send and receive mail. See Witherow v. Paff, 52 F.3d 264, 265 (9th Cir.
11 1995) (per curiam). However, prison officials may intercept and censor outgoing mail
12 concerning escape plans, proposed criminal activity, or encoded messages. See Proconier v.
13 Martinez, 416 U.S. 396, 413 (1974); see also Witherow, 52 F.3d at 266. Plaintiff's letter to the
14 CIA sets forth his feelings related to the events of September 11, 2000, and his desire to serve his
15 country. There is nothing in the letter which could be construed as criticism of any prison or
16 prison official. To the extent Plaintiff believes this was a confidential letter, and the defendants
17 somehow inappropriately intercepted the letter, Plaintiff provides no evidence to support that
18 allegation. Defendants have offered evidence, by way of declaration, that information regarding
19 Plaintiff's possible suicidal ideation came from the CIA, not through the inappropriate
20 interception of the letter. In addition, with a possible exception for legal mail, outgoing
21 correspondence can be reviewed by prison officials. Therefore, even if the letter was read by
22 prison officials, there would be no First Amendment violation, and Plaintiff has not offered any
23 evidence to the contrary, as it appears that the CIA actually received his letter. Even if such a

24 ¹⁶ In fact, Plaintiff specifically states that his claim against defendant Brown only
25 relates to a claim for a fraudulent CDC 128-G, not retaliation. As all claims except retaliation
26 have been dismissed, Plaintiff's assertion essentially indicates there are no remaining claims
against defendant Brown.

1 letter could be construed as a protected activity, Plaintiff has acknowledged that neither
2 defendant named in this claim were involved in the alleged adverse action, his placement in
3 administrative segregation.¹⁷ The only involvement defendants Warren and Brown had in this
4 incident was reviewing Plaintiff's placement. In reviewing Plaintiff's placement, defendant
5 Warren decided to retain him in administrative segregation until the placement could be reviewed
6 by the ICC committee. Defendant Brown was part of the ICC committee who reviewed the
7 placement and decided to release Plaintiff from administrative segregation. Plaintiff fails to
8 produce any evidence of retaliatory motive, thus he fails to meet his burden.

9 Accordingly, the undersigned finds summary judgment to be appropriate in favor
10 of defendants Brown and Warren on this claim.

11 **4. Claim 7**

12 The defendants named in the Supporting Allegations (Counts)
13 below then deprived Plaintiff and his associates further of secured rights,
14 privileges, and immunities by causing the campaign of retaliatory
15 oppression alleged above at Claims 1-6 to continue being perpetrated
16 against them as follows in direct relation to their documenting, reporting,
17 publicizing through UNION's Internet web site (lunion1.com), and
18 otherwise petitioning for redress of the unlawful events, circumstances,
19 and conditions alleged in Claims 1-6 above and in the Supporting
20 Allegations (Counts) below which occurred after and because Plaintiff on
21 10/28/01 and 10/30/01 posted lawful information on a bulletin board
22 specifically provided and designed for all prisoners to use with unimpeded
23 direct access.

24 7a: On 10/29/01 and 10/31/01, . . . HOGAN, . . . WARREN, . . .
25 jointly caused the lawful information to be arbitrarily and
26 capriciously confiscated for no legitimate reason which served a
 valid penological objective.

21 ¹⁷ Indeed, a review of Plaintiff's journal entries submitted in support of his claims,
22 fail to indicate any participation of defendants Warren or Brown in the decision to place him in
23 administrative segregation. Plaintiff's argument seems to be that Warren failed to use her power
24 and authority to remove him from administrative segregation because he did not meet the criteria
25 for housing in administrative segregation. However, it is clear that Warren did not take part in
26 the decision to place Plaintiff in administrative segregation. To the extent Plaintiff is claiming
violation of policy for placing him in administrative segregation, it is clear that defendant Warren
did not make that decision. Defendant Warren's only involvement in this situation was to decide
to have the ICC determine whether to release Plaintiff or continue his confinement in
administrative segregation.

1 Here, Plaintiff is claiming he placed a document on a bulletin board which was
2 removed. Defendants argue Plaintiff was not engaged in any protected activity, suffered no
3 adverse action, and their actions had a legitimate penological purpose. Plaintiff argues the
4 bulletin board he used was open to all prisoners to post documents on, and the defendants had no
5 right to remove it.

6 The undisputed evidence shows Plaintiff posted personal documents on the
7 facility bulletin board without approval by facility. These documents were removed by defendant
8 Hogan upon defendant Warren's orders.

9 Plaintiff claims he had a First Amendment right to post what ever documents he
10 wanted on the inmate bulletin board, and they were arbitrarily and capriciously singled out to be
11 removed.

12 First, Plaintiff's claim of protected activity is unclear. He appears to be arguing
13 again that he has an unfettered First Amendment right to freedom of expression. As discussed
14 above, prisoners do not retain unfettered First Amendment rights, and those they retain may be
15 limited for legitimate penological concerns. Allowing inmates to post anything and everything
16 they wish on a bulletin board could be a serious security risk. Therefore, limiting what can be
17 posted, even on an inmate bulletin board, serves a legitimate penological purpose. In so doing,
18 the institution has developed policies that inmates must obtain the approval of prison officials
19 prior to posting anything on the bulletin board. In this case, Plaintiff acknowledges he did not
20 have prior permission.

21 In addition, it is unclear what, if any, adverse action Plaintiff was subjected to.
22 There is no indication that he received any chronos or any other disciplinary proceedings. All
23 that occurred was the removal of Plaintiff's personal documents from the bulletin board.
24 Therefore, he fails to meet the first criteria showing retaliation. In addition, defendants have
25 provided evidence that the policy serves a legitimate penological purpose. Plaintiff fails to
26 counter that evidence. To the extent he alleges his documents were signaled out and the only

1 ones removed, that is insufficient to show it was removed in retaliation. He has provided no
2 evidence that other prisoners were allowed to post unapproved documents on the bulletin board.
3 Even if his argument was sufficient to show his documents were singled out for removal,
4 removal of documents cannot be considered an adverse action in this situation. There is nothing
5 about removing documents from a bulletin board which could be construed as threatening, or
6 damaging in any manner. Providing prison officials with the proper deference for prison
7 management, the undersigned finds no evidence of adverse action, and that the defendants'
8 actions were reasonably advancing a legitimate correctional goal of controlling the publication or
9 distribution of information between inmates.

10 Accordingly, the undersigned finds it appropriate to enter summary judgment on
11 this claim in favor of defendants Warren and Hogan.

12 **5. Claim 8**

13 The defendants named in the Supporting Allegations (Counts)
14 below then deprived Plaintiff and his associates further of secured rights,
15 privileges, and immunities by causing the campaign of retaliatory
16 oppression alleged above at Claims 1-7 to not merely continue being
17 perpetrated against them but rather escalate and intensify as follows in
18 direct relation to their documenting, reporting, publicizing through
19 UNION's Internet web site (1union1.com), and otherwise petitioning for
20 redress of the unlawful events, circumstances, and conditions alleged in
21 Claims 1-7 above and in the Supporting Allegations (Counts) below which
22 occurred after and because Plaintiff exercised his protected rights in: (a)
23 correspondence on 12/6/01 . . . stating that an investigation should be
24 conducted into "the oppressive reign of Mike Knowles" at MCSP; (b)
25 filing suit on 1/16/02 against KAISER, KING . . . and WARREN for their
26 roles in his retaliatory and otherwise unlawful confinements in ad-seg on
9/22/00 and 9/22/01 (see above, Counts . . . 5a. . . ; and (c) mailing on
3/11/02 . . . a letter and 20-page compilation of grievances reflecting
mismanagement by Knowles and other officials over MCSP.

8a: On 5/29/02, a week after the U.S. Marshal served Plaintiff's
1/16/02 civil . . . complaint on KAISER, . . . & WARREN,
Plaintiff had to file a grievance against . . . SMITH for being jointly
caused . . . to falsely tell Plaintiff that he was suddenly required to
automatically have his i.d. card out of his pocket and in hand every
day to enter the dining facility for meals irrespective of whether
any guard(s) first asked to see it as procedurally required under
state law.

8b: On 5/30/02, . . . SMITH . . . caused Plaintiff to suffer being locked
by . . . SMITH in a narrow cage for no valid reason and made to eat

1 dinner there standing up before finally getting released an hour
later.

2 8c: On 5/31/02, Plaintiff filed another grievance asking that . . .
3 SMITH, & other MCSP staff stop oppressing him in violation of
4 state law, but after SMITH menacingly said to him upon receiving
5 the grievance: "Okay, you want to play? we can play," . . .
6 GUTIERREZ, . . . SMITH, . . . committed obstruction of justice by
7 jointly causing review of the matter to be illegally impeded and
8 otherwise conducted in violation of Plaintiff's guaranteed
grievance rights.

8h: Beginning 9/6/02, . . . GUTIERREZ, . . . LATTIMORE, POE . . .
jointly caused Plaintiff to suffer retaliatory and false disciplinary
action which deprived him of rights & liberty without Due Process
& Equal Protection merely because he had freely expressed himself
to POE from behind the solid metal door of his locked cell.

9 This claim contains separate and distinct events, which are analyzed separately.

10 The first set relate to events which occurred in May 2002. The second relates to events which
11 occurred in September 2002.

12 In May 2002, Plaintiff claims a separate civil rights action was served on
13 defendants Kaiser and Warren, in addition to other individuals not a party to this action. A week
14 after service was complete, defendant Smith retaliated against him by requiring him to show his
15 identification card to enter the dining hall, locked Plaintiff in a cage and made him eat dinner
16 there standing up, and defendants Smith and Gutierrez impeded his grievance rights.¹⁸ Petitioner
17 claims these actions were motivated by the prior lawsuit and correspondence outlining
18 mismanagement of prison officials.

19 The undisputed evidence shows that prison policy requires inmates to carry on
20 their person an identification and privilege card, and are required to surrender the identification
21 card at the request of any employee. Officer Ellis was the one who placed Plaintiff in the holding
22 cage and Sergeant Murray was the one who made him eat standing up in the holding cage.
23 Neither defendant Gutierrez nor Smith were involved in the screening out of Plaintiff's inmate
24

25 ¹⁸ Plaintiff confirmed that these claim are against defendants Smith and Gutierrez
26 only, not against defendants Kaiser, King or Warren. (See Pl. Response to DUF #56, Doc. 210,
at 16).

1 appeal, but rather it was screened out by E.A. Reyes as a duplicate appeal. In addition, neither
2 defendant Gutierrez nor Smith were aware of any letter Plaintiff wrote nor of his prior litigation
3 against other defendants.

4 Plaintiff argues that in addition to his lawsuit and grievances, he was known for
5 vigorous advocacy of prisoner rights, and therefore was subjected to harassment and retaliation,
6 such as those set forth in this claim. Plaintiff has acknowledged that defendants Gutierrez and
7 Smith likely had no knowledge of his lawsuit against other prison officials, and that they were
8 not personally involved in the screening out of his inmate appeal. While he argues this claim is
9 more than just the screening out of the appeal, he does not articulate how defendants Gutierrez
10 and Smith otherwise impeded his grievances.

11 As to other protected activity, Plaintiff again fails to set forth any specific activity
12 he was involved in which would be considered protected activity, and his general statements
13 about advocating for prisoner rights is insufficient. Plaintiff also fails to show how following a
14 procedure, such as requiring ID cards to be displayed, which is supported by prison policy, is an
15 adverse action which is not reasonably related to advancing a legitimate correctional goal, such
16 as properly identifying all inmates in the dining hall for security purposes. Nowhere in Plaintiff's
17 argument does he articulate that he and he alone was required to show proper identification. In
18 fact, in Plaintiff's journal entry for June 8, 2002, he specifically references another inmate's
19 action automatically showing the officers his identification card without being asked. (See Pl.
20 Ex. B at 420). Nor has he argued that he was denied access to the dining hall or denied food.
21 While perhaps a slight nuisance, especially if he was as well known to the correctional officers as
22 he indicates, requiring Plaintiff to produce his identification card every time he entered the dining
23 hall does not rise to the level of an adverse action.

24 Similarly, Plaintiff acknowledged that it was Ellis who placed him in the holding
25 cell and Murray made him eat in the holding cell. He argues defendant Smith "caused" these
26 actions by his identification card requirement, and did not intervene to stop them. However, such

1 allegations are insufficient to support a claim. There must be some personal involvement in the
2 alleged actions in order for a prison official to be liable for any alleged constitutional violation.
3 Failure to stop another's actions is generally insufficient. See Ashcroft v. Iqbal, 129 S. Ct. 1937,
4 1949 (2009). Indeed, Plaintiff's journal entry for May 30, 2002, which he submitted as support
5 for his claim, specifically states that Murray ordered Ellis to take Plaintiff to the holding cage and
6 have him eat dinner there. (See Pl. Ex. B at 414). While defendant Smith may have required
7 Plaintiff to show his identification card, there is no support for his claim that defendant Smith
8 had anything to do with his placement in the holding cage. Finally, Plaintiff's claim relating to
9 the interference with his inmate grievance is unclear. Plaintiff specifically states Claim 8c has
10 "nothing to do with any mere 'screen out' of Plaintiff's grievance." (Doc. 210 at 19). However,
11 if that is not the basis of his claim, it is unclear what the basis of his claim is. Plaintiff's journal
12 entries identified as supporting this claim document meetings he had with defendants Smith and
13 Gutierrez, but fail to support his claim that they somehow obstructed justice or impeded the
14 grievance process by meeting with him in an attempt to informally resolve the conflict.

15 Therefore, the undersigned finds Plaintiff's retaliation claims against defendants
16 Gutierrez and Smith are insufficient in that he fails to identify his protected activity, and fails to
17 set forth an adverse action in response thereto. Accordingly, summary judgment is appropriate.

18 In September 2002, Plaintiff claims he suffered retaliatory and false disciplinary
19 action after freely expressing himself to defendant Poe. Apparently, following the regularly
20 scheduled count of inmates on September 6, 2002, a second emergency count took place. This
21 second count delayed Plaintiff's visiting. During this second count, Plaintiff had a verbal
22 exchange with defendant Poe. Following the verbal exchange, Plaintiff received an RVR 115 for
23 disruptive behavior.

24 ///

25 ///

26 ///

1 The undisputed facts show Plaintiff was found guilty of disruptive behavior
2 during an emergency count.¹⁹

3 Plaintiff's claim appears to be that he was exercising his First Amendment
4 freedom of speech rights during the verbal exchange with defendant Poe. Plaintiff's journal
5 entry indicates that the verbal exchange with defendant Poe included the use of profanity. (See
6 Pl. Ex. B at 451). Plaintiff appears to contend that instead of a serious disciplinary proceeding,
7 defendant Poe should have given him a warning or at most a counseling chrono. He also
8 indicates that he was not aware it was an emergency count, rather than a mere miscout by the
9 guards.

10 Defendants argue Plaintiff's activity was not protected First Amendment free
11 speech because it was disruptive behavior during an emergency count, which causes a security
12 and safety risk. During an emergency count, wherein the prison officials are concerned about a
13 possible escape, disruptive behavior is serious thus warranting a serious disciplinary proceeding.

14 As stated above, prisoners do not retain unfettered First Amendment free speech
15 rights during imprisonment. Where such activity necessarily conflicts with institutional safety
16 and security, a prisoner's free speech may be impinged. Here, even if Plaintiff adequately
17 alleged an adverse action because of a protected activity, he must still show that the adverse
18 action was not reasonably related to a legitimate correctional goal. Defendants submit that the
19 response to Plaintiff's verbal confrontation with defendant Poe was reasonably related to a
20 legitimate correctional goal, security and safety of the institution. That Plaintiff's actions posed
21 such a threat as potentially delaying an emergency count when a possible escape has occurred,
22 and prison official must act quickly to discover if such an event had occurred. Nothing in
23 Plaintiff's evidence or argument supports his theory that the disciplinary action was motivated by
24 retaliation rather than the safety and security of the institution. Although he argues that the

25 ¹⁹ Plaintiff agrees this is an undisputed fact, but denies he was truly guilty of the
26 disruptive behavior charge.

1 disciplinary proceedings were excessive where a verbal warning or counseling chrono would
2 have been sufficient, he fails to meet his burden in showing the defendants were motivated by
3 retaliation rather than institutional security. Had Plaintiff's verbal confrontation with defendant
4 Poe not occurred during an emergency count, Plaintiff may have a better position. However, the
5 incident did occur during an emergency count, and giving proper deference to prison officials in
6 such a situation, the defendants' response thereto was reasonably related to institutional security.

7 The undersigned finds Defendants have met their burden of showing their actions
8 were reasonably related to advancing a legitimate correctional goal, and Plaintiff fails to show
9 otherwise. Accordingly, Defendants motion for summary judgment should be granted.

10 **6. Claim 9**

11 The defendants named in the Supporting Allegations (Counts)
12 below then deprived Plaintiff and his associates further of secured rights,
13 privileges, and immunities by causing the campaign of retaliatory
14 oppression alleged above at Claims 1-8 to continue being perpetrated
15 against them as follows in direct relation to their documenting, reporting,
16 publicizing though UNION's Internet web site (1union1.com), and
17 otherwise petitioning for redress of the unlawful events, circumstances,
18 and conditions alleged in Claims 1-8 above and in the Supporting
19 Allegations (Counts) below which occurred after and because Plaintiff and
20 his prisoner associates petitioned for redress of their food being repeatedly
21 defiled by other prisoners.

17 9b: On 1/7/03, 1/11/03 & 1/15/03, ETHEREDGE, . . . GUTIERREZ . .
18 . caused Plaintiff and his prisoner associates to be served food
19 which prisoners on MCSP's "C" facility had contaminated with
20 what was reported, identified, &/or said to be, respectively, "pubic
21 hairs" & at least one rock-like object, fragmented razor blades, &
22 at least one hook-shaped length of wire embedded within bite-size
23 meat.

20 9c: On 1/11/03, . . . GUTIERREZ . . . caused several MAC members
21 (see above, Claims 4 & 6) to be unlawfully threatened by
22 GUTIERREZ with retaliatory disciplinary action and confinement
23 in ad-seg for lawfully alerting their prisoner constituents entering
24 the dining area to the razor blade fragments just discovered in that
25 evening's dinner entree.

24 Here, Plaintiff's retaliation claims are again unclear. To the extent Plaintiff is
25 claiming defendant Gutierrez threatened MAC members with disciplinary action, he has been
26 denied third party standing to raise issues related to other prisoners. In support of his claims,

1 Plaintiff again submitted his journal entries. In his journal entry for January 11, 2003, the day
2 Plaintiff alleges defendant Gutierrez made threats, he states that he heard of the threats from
3 MAC members, not that Gutierrez made the threats directly to Plaintiff. (Pl. Ex B at 558). There
4 is nothing to support an interpretation of this claim that defendant Gutierrez threatened Plaintiff.
5 Therefore, Claim 9 does not appear to include any retaliation claim.

6 In addition, as discussed below, defendants argue that the investigation into the
7 alleged food contamination determined that it was Plaintiff and another inmate who were
8 contaminating the food, and trying to incite other prisoners to revolt in order to transfer the food
9 preparation duties to Facility B instead of Facility C where the kitchen facilities were. Therefore,
10 any threat or actual disciplinary action was based on a legitimate penological goal, and Plaintiff
11 was not actually engaged in protected activity. In support of this argument, defendants submit
12 the investigation report, including the confidential statement reports, and Plaintiff's disciplinary
13 proceedings wherein he was found guilty of the conspiracy to contaminate the food. Plaintiff
14 contends that the investigation and report resulting therefrom were falsified. However, he fails to
15 support these contentions with any evidence, such as a decision overturning the guilty disposition.

16 Therefore, the undersigned finds no retaliation claim remains in Claim 9. Even if
17 one could be construed therein, Defendants provide evidence supporting their contention that the
18 response to the contaminated food was a legitimate penological goal, and Plaintiff fails to
19 provide any evidence to the contrary. Accordingly, defendant's summary judgment motion
20 should be granted as to Claim 9.

21 **7. Claim 10**

22 The defendants named in the Supporting Allegations (Counts)
23 below then deprived Plaintiff and his associates further of secured rights,
24 privileges, and immunities by causing the campaign of retaliatory
25 oppression alleged above at Claims 1-9 to not merely continue being
26 perpetrated against them but rather escalate and intensify as follows in
direct relation to their documenting, reporting, publicizing through
UNION's Internet web site (1union1.com), and otherwise petitioning for
redress of the unlawful events, circumstances, and conditions alleged in
Claims 1-9 above and in the Supporting Allegations (Counts) below which

1 occurred after and because Plaintiff and his associates documented,
2 reported, publicized through UNION, and otherwise grieved the recent
3 food contaminations and related deliberate indifference, endangerment,
4 and retaliatory acts alleged above at Claim 9.

5 10d: Beginning 2/1/03, . . . GUTIERREZ, HEIN, . . . HOGAN, . . .
6 KEELAND . . . jointly caused Plaintiff to suffer retaliatory &
7 otherwise unlawful frequent cell searches, seizure of his only
8 jacket, and cruel exposure to 9 consecutive days and nights of cold
9 and wet winter weather without the extra protective clothing all
10 CDCR prisoner are guaranteed under state law.

11 10e: When Plaintiff tried to petition for redress of the retaliatory cell
12 searches above and seizure of his only jacket, . . . GUTIERREZ, . . .
13 . HEIN, . . . LATTIMORE, . . . WARREN . . . committed
14 obstruction of justice by jointly causing review of the matter to be
15 illegally impeded and otherwise conducted in violation of
16 Plaintiff's guaranteed grievance rights.

17 10f: From 2/10/03 to 5/8/03, . . . ETHEREDGE, . . . GUTIERREZ, . . .
18 KAISER, . . . LATTIMORE, . . . SAUCEDA, . . . WARREN, . . .
19 jointly caused Plaintiff to suffer retaliatory & otherwise unlawful
20 confinement in ad-seg under false pretenses sans adequate Due
21 Process and Equal Protection in direct relation to him and his
22 associates publicizing the food contaminations of 1/7/03, 1/11/03,
23 & 1/15/03 (see above, Count 9b) and the subsequent retaliations
24 against him and his prisoner associates alleged at Counts 9c-9f.

25 10g: In relation to Plaintiff's above confinement in ad-seg on 2/10/03 . .
26 . DANZI[N]GER, . . . ETHEREDGE, . . . GUTIERREZ, . . .
KAISER, . . . LATTIMORE, . . . SAUCEDA, . . . WARREN,
jointly caused him to suffer: (i) severe mental trauma which
shocked him into a dissociative state of catatonia upon being
subjected to the humiliating degradation of having to contort and
expose his nakedness to staff amidst so much retaliation since
1/7/03; (ii) being unlawfully shackled while unconscious; (iii)
having all personal clothing he was wearing at the time ripped or
cut off his body, lost, &/or otherwise destroyed; (iv) being robbed
of dignity by having his naked & unconscious body crudely and
disrespectfully photographed, videotaped, & otherwise displayed to
other prisoners and female staff; & (v) being dumped face-down
and left alone on a bare & cold concrete floor of an ad-seg cell with
no mattress or bedding under him.

10h: After Plaintiff then tried to commit suicide while in the above
dissociative mental state on 2/10/03, . . . DANZI[N]GER . . .
caused him to unlawfully remain in the ad-seg unit and, over the
next few days while left naked and helpless, suffer being: (i)
dogpiled several times by squads of helmeted & padded guards
wielding shields, pepper spray, and truncheons; (ii) dragged face-
down by his ankles across bare concrete floors; (iii) left laying for
many hours in pools of his urine; (iv) purposely left propped for
hours in unnatural positions against cold exterior walls and on bare
concrete floors with no mattress or bedding under him; (v) put in
5-point restraints; (vi) involuntarily experimented on with injected
and orally given drugs not indicated for his then-present medical

1 condition; (vii) confined in bare ad-seg cells with only cold
2 concrete to sit and sleep on; & (viii) made to sign documents he
3 could neither read nor comprehend due to the stupefying effects of
4 the aforementioned illegal drugging.

5 10i: On 2/17/03, DANZI[N]GER, . . . KAISER, . . . jointly caused
6 Plaintiff to suffer being psychologically tormented over an 8-hour
7 period of time from just outside his cell door by KAISER, among
8 other things, loudly talking bad about him and his mother to
9 someone on the phone and then maliciously leaving 3 bright
10 fluorescent cell lights glaring directly down on him all night, thus
11 causing him great discomfort all night due to extreme
12 photosensitivity caused by the above drugging.

13 10m: Beginning 2/20/03, ETHEREDGE, . . . GUTIERREZ, . . .
14 HOGAN, . . . LATTIMORE, POE, . . . WARREN . . . jointly
15 caused Plaintiff and MAC Vice Chairman Bristow (see above,
16 Counts 9f & 10c) to suffer retaliatory & false disciplinary action
17 which deprived them of rights and liberty without adequate Due
18 Process and Equal Protection in direct retaliation to their having
19 documented, reported, and otherwise grieved not only the
20 previously discussed food contamination of 1/7/03, 1/11/03, &
21 1/15/03, but also the related retaliation they and their prisoner
22 associates had suffered as a result.

23 10o: During the “investigation” supposedly conducted on Plaintiff’s
24 behalf by an assigned guard, ETHEREDGE, . . . GUTIERREZ, . . .
25 HOGAN, . . . LATTIMORE, POE, . . . WARREN, . . . jointly
26 caused Plaintiff’s requested prisoner witnesses to be threatened,
intimidated, & otherwise prevented from answering discovery
questions asked by Plaintiff through the assigned guard.

10q: Beginning 2/27/03, after Plaintiff was caused for at least 2 weeks
to suffer the excruciatingly uncomfortable side effects of the above
illegal drugging which began around 2/11/03, . . . DANZI[N]GER .
. . . caused Plaintiff to also suffer blindingly severe withdrawal pains
while his pleas for aspirin, Tylenol, &/or a doctor were denied . . .
on 3/1/03 & 3/4/03 (accompanied by threats to “throw your ass
back in 5-point restraints” and to “shoot you full of dope”) and
then by DANZI[N]GER after and because Plaintiff caused him to
lose for MCSP on 3/10/03 a petition before the California Office of
Administrative Hearings to continue medicating Plaintiff against
his will.

10r: Beginning 2/28/03, . . . ETHEREDGE, . . . GUTIERREZ, . . .
LATTIMORE, . . . WARREN . . . caused Plaintiff to suffer
retaliatory and false disciplinary action which deprived him of
rights, liberty, and property without adequate Due Process and
Equal Protection in direct relation to Plaintiff lawfully obtaining
free services as a bona fide indigent prisoner coincidental to
Plaintiff’s fiancée and their mutual friend the MAC secretary
(prisoner Rocci Catanzarite) lawfully associating as
correspondents.

10s: When Plaintiff tried to petition for redress of the retaliatory and
otherwise unlawful disciplinary action above, . . . LATTIMORE . . .
. committed obstruction of justice by jointly causing review of the

1 matter to be illegally impeded and otherwise conducted in violation
2 of Plaintiff's guaranteed grievance rights.

3 10w: Throughout Plaintiff's retaliatory confinement in ad-seg from
4 2/10/03 to 4/29/03, . . . KANIPE . . . caused Plaintiff's many
5 request for access to his stored legal property to be unlawfully
6 ignored &/or otherwise denied.

7 10x: On 4/29/03, ETHEREDGE, . . . GUTIERREZ, . . . LATTIMORE, .
8 . . . WARREN . . . caused Plaintiff to suffer retaliatory transfer to a
9 higher-security prison where he was put in ad-seg for 9 days and
10 then deprived of several expensive items of personal property
11 allowed at MCSP, as well as many of the liberties and privileges he
12 had earned his first several years in prison before being transferred
13 to MCSP in 1999 to facilitate his mental condition & prescribed
14 needs as previously stated at ¶ 1 of this Section E.

15 Here Plaintiff argues that he suffered from several retaliatory acts because he
16 reported contaminated food. As mentioned above, the defendants completed an investigation in
17 to the alleged food contamination and determined it was Plaintiff and another inmate who
18 conspired together to contaminate the food in an attempt to have the food preparation
19 responsibilities transferred from Facility C to Facility B. While Plaintiff contends this
20 investigation was falsified, he has not provided any support for that position. Defendants,
21 however, have provided the investigation and hearing documentation which support the findings.
22 (See Def. Ex. A at 111-39).

23 However, to the extent there are retaliatory claims raised in Claim 10, the
24 undersigned will address the sub-claims separately. Plaintiff's claims break down into several
25 categories: cell search; impeding grievances; placement in administrative segregation; false
26 disciplinary actions; treatment while in administrative segregation; and transfer.

27 Claim 10d-e: Here, Plaintiff alleges he suffered retaliatory cell searches,
28 confiscation of his winter jacket, and impediments to grieving these wrongs. Plaintiff does not
29 allege involvement in any specific protected activity, but makes general allegations related to
30 grievances of the food contamination, presumably the grievances he filed complaining about
31 food contamination prior to the charges being filed against him as the perpetrator. Defendants
32 argue Plaintiff was not engaged in any protected activity, and he has no reasonable expectation of

1 privacy or possession of specific clothing.

2 While Plaintiff alleges retaliatory cell searches, having his cell searched three
3 times in a 20 day period of time, he fails to support his claim of retaliation. Clearly, prisoners are
4 subject to random cell searches and have no reasonable expectation of privacy in their cell. See
5 Hudson v. Palmer, 486 U.S. 517, 528-29 (1984). Plaintiff’s argument related to the cell searches
6 appears to be more concerned with the confiscation of the winter jacket rather than anything
7 related to the actual search itself. In that regard, the undisputed facts establish that the jacket
8 which was confiscated during a cell search was a prison issued jacket designed for yard crew
9 inmates. Indeed, Plaintiff acknowledges he was issued the jacket in November 2001, while
10 assigned to the yard crew. He also acknowledges that he was no longer assigned to the yard crew
11 in February 2003. As such, he had no right to the jacket, and removing it from his cell cannot be
12 seen as an adverse action.²⁰ As Plaintiff fails to articulate a specific protected activity, and there
13 was no adverse action, Plaintiff’s claim for retaliatory cell searches must fail.

14 Plaintiff’s related claim, that his resulting inmate grievance was obstructed,
15 similarly fails. Plaintiff clarifies that his claim in 10e “is against Hein and Lattimore for their
16 role in personally causing and/or allowing the review of his grievances to be unlawfully impeded
17” (Doc. 210 at 32). However, this does not clarify his claim of retaliation. Plaintiff fails to
18 explain how the defendants obstructed his ability to file an inmate grievance, and how those
19 actions (assuming such action would be adverse) were in retaliation for some protected activity.
20 Certainly filing an inmate grievance is a protected activity, and retaliating against an inmate for
21 doing so could be retaliation. However, here Plaintiff did file an inmate grievance which was
22 addressed and denied. Plaintiff apparently filed another inmate grievance which was denied at
23 the informal level, and screened out thereafter for failure to adequately complete the inmate

24
25 ²⁰ Plaintiff’s claim seems to be more related to the issue of failure to provide
26 appropriate winter clothing. However, that is not the issue in this action.

1 appeal form. (See Def. Ex. A 142-46).²¹ The screening form indicates Hansen was the prison
2 official to screen out the second appeal, not one of the remaining defendants. Plaintiff similarly
3 contends prison officials failed to followed the proper procedures in regards to his first petition.
4 However, the evidence submitted shows that while defendant Lattimore was one of the reviewing
5 officials who denied Plaintiff's first inmate appeal, defendant Hein was not involved in any of
6 the appeals and Plaintiff has not submitted any evidence that either defendant Hein or Lattimore
7 was involved in the screening out of his second appeal.²² Therefore, Plaintiff's claim against
8 defendants Hein and Lattimore is unclear and unsupported. Accordingly, the undersigned finds it
9 appropriate to enter summary judgment for these defendants.

10 Claim 10f: Here, Plaintiff alleges his confinement in administrative segregation
11 during the investigation into the food contamination issue was without proper Due Process and in
12 retaliation. Again, Plaintiff fails to articulate a protected activity. To the extent he claims
13 informing other inmates of the food contamination issue was a protected activity, if such
14 statements were false and made with the intent to incite other prisoners, such statements are not
15 protected activity within a prison setting. As defendants argue, allowing such false and inciting
16 statements would be a risk to prison security and safety.

17 Assuming for the moment that Plaintiff sufficiently alleged a protected activity,
18 and that placement in administrative segregation was an adverse action based on that activity, in
19 order for Plaintiff to prevail on this claim he must also show the adverse action was not
20 reasonably related to a legitimate correctional goal. Here, defendants have submitted evidence
21

22 ²¹ Plaintiff does not dispute these occurrences, but argues they were improperly
23 screened out.

24 ²² Plaintiff does argue that defendant Lattimore personally participated in refusing or
25 otherwise failing to get the grievance back to Plaintiff for more than two months. He does not,
26 however, provide any evidence to support this claim. The grievance forms Plaintiff submits fails
to show any involvement of defendant Lattimore. Only those provided by the defense show that
defendant Lattimore was involved at all, and that involvement was limited to participation in the
first level response. (See Pl. Ex. B at 607-10, 691).

1 supporting the decision to place Plaintiff in administrative segregation based on the investigation
2 into the food contamination incident. (See Def. Ex. A 140). A review of the document indicates
3 that Lieutenant Klinefelter made the determination to place Plaintiff in administrative segregation
4 in order to protect the integrity of the investigation. It appears this decision was reviewed by
5 several non-defendants. Plaintiff's allegations against the named defendants are therefore
6 unsupported. However, to the extent the named defendants were part of the investigation, and
7 therefore were somehow involved in the decision to place Plaintiff in administrative segregation,
8 the reason given for doing so was reasonably related to a legitimate penological goal. The reason
9 given is supported by the investigation and hearing into the alleged food contamination incident,
10 finding Plaintiff was the perpetrator. (See Def. Ex. A 111-39). Therefore, defendants have met
11 their burden in showing a legitimate penological reason for their actions. Plaintiff fails to
12 provide any support for his claim that the only reason was retaliation. Granting defendants'
13 summary judgment is therefore appropriate.

14 Claim 10g-i: Here, Plaintiff claims he was retaliated against while in
15 administrative segregation through the maltreatment by several defendants.²³ Plaintiff makes
16 general allegations of mistreatment, such as having to expose his nakedness to staff, being
17 shackled while unconscious, having his clothing ripped off him, being photographed naked, and
18 being left on the bare concrete floor. However, Plaintiff fails to allege who was responsible for
19 this maltreatment. The only specific allegations are that defendant Danzinger caused him to
20 remain in administrative segregation after he tried to commit suicide, and that defendant Kaiser
21 tormented him by loudly talking badly about him and his mother and leaving the lights on him all
22 night.

23
24 ²³ Plaintiff acknowledges defendants Etheredge, Gutierrez, Lattimore, Saucedo, and
25 Warren had no influence or control over administrative segregation treatment. (See Doc. 210 at
26 33). Therefore, the only defendants remaining as to claims 10g-i are Danzinger and Kaiser.
Plaintiff does not, however, specifically address these claims in his response to the motion for
summary judgment.

1 Defendants have submitted evidence in the form of declarations that none of the
2 named defendants, including Danzinger and Kaiser, were personally involved in the day to day
3 operations of the administrative segregation unit. (See DUF #123). While Plaintiff disputes this,
4 he fails to provide any evidence to support his claim.

5 Plaintiff fails to address this claim at all in his opposition to the motion. In his
6 statement of disputed facts, he makes reference to defendant Danzinger holding the position of
7 ad-seg psychiatrist, but fails to provide any evidence that defendant Danzinger was personally
8 involved in the alleged mistreatment he suffered in his cell or had any participation in a decision
9 to keep him in administration segregation. As to defendant Kaiser's personal involvement,
10 Plaintiff provides one journal entry wherein he states defendant Kaiser was working in ad-seg,
11 spoke negative about him, and left a light on. However, even these allegations, read broadly, fail
12 to support his claim that she left the light on all night. Plaintiff indicates defendant Kaiser came
13 on duty at 2:00 p.m. She was there when dinner trays were served, and told him she would be
14 leaving his light on all night. However, she was not on duty all night; Plaintiff indicates that
15 after shift change, his light was turned off. Therefore, even if defendant Kaiser spoke negatively
16 about him and left his light on for the evening, the undersigned does not find such treatment to be
17 of a constitutional magnitude. (Pl. Ex. B at 638). The other citations are not relevant.

18 Thus, the undersigned finds Defendants have met their burden of showing no
19 personal involvement, and Plaintiff fails to submit evidence to dispute that contention. To the
20 extent Plaintiff supports his claim that defendant Kaiser spoke negatively about him and left his
21 light on, such claims are insufficient adverse treatment to support a retaliation claim. Summary
22 judgement is therefore properly entered for Defendants.

23 Claim 10m,o: Here, Plaintiff contends he suffered false disciplinary action
24 regarding the conspiracy to contaminate the food, and that the investigation therein was
25 interfered with by threatening and preventing witnesses from answering his questions.
26 Defendants contend that following the investigation and hearing, Plaintiff was found guilty, thus

1 any retaliation claim is defeated by that finding. In addition, the investigation was thorough and
2 complete, with no interference with the witnesses, and therefore no adverse action to support a
3 retaliation claim.²⁴ However, to the extent Plaintiff claims the “confidential” witness statements
4 supporting the disciplinary action were coerced, he again fails to provide any evidence to
5 support this position. While he makes reference to other inmates informing him that they gave
6 false statements to the investigator based on fear of the officers, this “evidence” is contained in
7 his journal entries and inmate appeals. (See, i.e., Pl. Ex. B. at 586, 620, 645). He does not
8 provide any direct evidence such as declarations from the inmates themselves.²⁵

9 In relation to claim 10m, as defendants argue, Plaintiff’s claim of retaliation is
10 defeated by the finding that he was guilty of the charges. Defendants have shown their actions
11 were motivated by a legitimate penological interest, that of preserving safety, security, order and
12 discipline in the institution. The burden then shifts to Plaintiff to show a motivation other than a
13 legitimate penological interest, which he fails to do. Plaintiff provides no evidence supporting
14 his argument that the charges were false, such as a court granting a habeas petition overturning
15 the guilty finding. Thus, the undersigned finds summary judgment is appropriate as to claim
16 10m.

17 Similarly, as to claim 10o, the defendants have provided a copy of the complete
18 investigation, including the questions and answers of Plaintiff’s inmate witnesses, and statements
19 that some potential witnesses were unwilling to testify. Although there is evidence that some of
20 Plaintiff’s questions were not allowed, and some of the witnesses refused to cooperate, Plaintiff
21 fails to meet his burden that the unwilling witnesses were motivated out of fear due to threats

22 ²⁴ Plaintiff again fails to address claim 10m in his opposition to the motion. As to
23 claim 10o, the citations to disputed facts are incorrect. The citations he provides have to do with
24 claim 10d only and are irrelevant to this claim.

25 ²⁵ At least not that the court has been successful in discovering. If there are some
26 declarations within the 1500 or so pages of documents Plaintiff submitted as “evidence” in
support of his claims, the court was unable to locate them, nor does Plaintiff specifically
reference any.

1 from the defendants. As such, there is no showing that Plaintiff suffered from an adverse action
2 to support his retaliation claim, and summary judgment is again appropriate.

3 Claim 10q: Here, Plaintiff claims defendant Danzinger made him suffer
4 uncomfortable side effects after removing him from involuntary medication.²⁶ He argues
5 defendant Danzinger's actions were motivated by Plaintiff's success in petitioning for
6 termination of the involuntary medication order.

7 The undisputed facts show that Plaintiff was placed on involuntary medication in
8 February 2003. He appealed that status in March 2003, and refused all psychotropic medication
9 thereafter.

10 In support of his claim, Plaintiff has submitted additional journal entries which
11 indicate that it was Plaintiff's choice to refuse the anti-psychotic medication. (See Pl. Ex. B at
12 684). According to the journal entries, the extent of defendant Danzinger's involvement appears
13 to be related to informing another doctor that Plaintiff should not have any side effects due to the
14 low dosage of medication. (See Pl. Ex. B at 676). However, even if Danzinger so informed the
15 other doctor, this does not support the allegation that he refused to provide Plaintiff medication
16 to control the side effects. Rather, it appears it was Plaintiff's choice to stop the medication and
17 any side effects resulting therefrom. There is nothing in Plaintiff's journal entries to indicate
18 defendant Danzinger refused to taper him off the involuntary medication, thereby avoiding any
19 potential side effects. It also appears from Plaintiff's journal entries that defendant Danzinger
20 tried to inform Plaintiff that he was unable to prescribe pain medication. The court also finds it
21 confusing that if Danzinger was unable to prescribe pain medication, why Plaintiff did not simply
22 make the same request to a medical doctor. Indeed, Defendants have provided evidence that
23 Plaintiff met with Dr. Lipon wherein he agreed to taper off the medication, but then subsequently
24

25 ²⁶ It should be noted that the issue of involuntarily medicating Plaintiff is not an
26 issue in this action. Only the failure to provide medication to help the withdrawal therefrom is
raised in Plaintiff's claims.

1 refused all medication. However, regardless of whether or not defendant Danzinger was able to
2 prescribe pain medication, there is nothing in Plaintiff's argument or evidence to support his
3 claim that defendant Danzinger refused to prescribe pain medication in retaliation for Plaintiff
4 obtaining an order to stop the involuntary medication.

5 Claim 10r: Here, Plaintiff claims the defendants filed false disciplinary
6 proceedings without adequate Due Process in retaliation for his lawfully obtaining free services
7 as an indigent prisoner.

8 The undisputed facts show that following an investigation, Plaintiff was charged
9 with misusing or unauthorized acquisition of state funds. Following a hearing, he was found
10 guilty, but the offense was reduced to an administrative offense.²⁷

11 Defendants again argue that Plaintiff's retaliation claim necessarily fails because
12 the charges were found to be true at the disciplinary hearing. Again, Plaintiff fails to provide
13 evidence to support his claim that he is not guilty of the charges, such as proof the charges were
14 dismissed through the inmate appeals process or through a habeas petition. Whether Plaintiff
15 was afforded the proper Due Process is not the issue in the case; the only issue is whether the
16 defendants brought the charges in retaliation. In addition, it is unclear what Plaintiff's alleged
17 protected activity was. To the extent he is claiming the retaliation was based on his fiancée's
18 freedom to associate with other prisoners, such activity cannot be the basis of his retaliation
19 claim as he was not personally involved in this allegedly protected activity.

20 Accordingly, the undersigned finds summary judgment to be appropriate.

21 Claim 10s: Here, Plaintiff claims defendant Lattimore obstructed justice by
22 impeding his right to grieve the above disciplinary proceeding.

23 ²⁷ While Plaintiff acknowledges these proceedings, he disputes his guilt. The
24 charges related to an alleged scheme wherein Plaintiff's girlfriend allegedly sent money to a
25 mutual friend who was also incarcerated, and the friend would then purchase canteen items for
26 Plaintiff. The result of such a scheme would be that Plaintiff would benefit from the money
provided for canteen items, but would not be required to pay for services such as a medical co-
pay, legal copies or payment on filing fees for his lawsuits.

1 The undisputed facts show that the disciplinary proceedings disposition reduced
2 the above offense to administrative. As a result, Plaintiff's inmate grievance was exhausted at
3 the second level of review.

4 Defendants contend that because the disciplinary action was administrative, and
5 the second level review exhausted the inmate grievance, there was no adverse action and no
6 impediment to his grievance process. Plaintiff does not dispute that his inmate appeal was
7 processed through the second level, nor that the second level exhausted the grievance process.
8 However, he claims the appeal was not handled by the proper authority.²⁸ Specifically, he argues
9 that Correctional Counselor Hansen was allowed to participate in the grievance review even
10 though he is of lower rank than defendant Lattimore or Associate Warden Silva, and both of
11 them were personal participants in the events and circumstances. Defendant Lattimore provides
12 a declaration that the inmate appeal was completed through exhaustion.

13 Plaintiff's fails to support his claim of retaliation. First, Plaintiff's claim is
14 unclear in that it appears, and he does not contend otherwise, that the inmate appeal was
15 completed though exhaustion. Therefore, regardless of who was the reviewing prison official,
16 the appeals process was completed, and his claim of obstruction is unclear. Plaintiff's allegation
17 does not clarify how defendant Lattimore presumably obstructed the process. In addition, given
18 that the grievance process was completed, Plaintiff fails to provide any argument or evidence to
19 counter defendant Lattimore's evidence that there was no adverse action.

20 Accordingly, the undersigned finds summary judgment of this claim is
21 appropriate.

22 Claim 10w: Here, Plaintiff alleges that while he was confined in ad-seg,
23 defendant Kanipe refused his request for access to his legal property.

24 ///

25 ²⁸ Plaintiff again fails to address this claim in his opposition. However, he does
26 provide some argument in his dispute of the facts.

1 The undisputed evidence shows that during disciplinary detention in ad-seg,
2 inmates' legal resources are limited to pencil and paper. Other legal material may be issued if the
3 inmate was involved in litigation which was in progress prior to placement in ad-seg and due
4 dates are imminent. At the time he was in ad-seg, February 10, 2003 through April 29, 2003,
5 Plaintiff did not have any pending actions with imminent deadlines.

6 Defendant Kanipe contends Plaintiff had no legal right to his legal documents
7 while he was in ad-seg. In addition, defendant Kanipe contends that he was not personally
8 involved in the handling of Plaintiff's access to his legal documents. In support of this position,
9 Defendants submit a declaration that defendant Kanipe was a correctional counselor whose
10 duties did not include accessing legal materials for inmates in ad-seg. Plaintiff counters this
11 evidence with an argument that while defendant Kanipe was a correctional counselor, he was
12 also the MCSP Litigation Coordinator. However, Plaintiff fails to provide any evidence to
13 support his contention that as the litigation coordinator, defendant Kanipe was responsible for
14 providing legal property to inmates in ad-seg.²⁹ The undersigned also notes that, assuming the
15 failure to provide access to his stored legal property is a sufficiently adverse action to support a
16 retaliation claim, Plaintiff fails to allege this adverse action was related to any specific protected
17 activity. While access to the court is likely to be a protected activity, Plaintiff does not dispute
18 that he had no imminent deadlines in any active litigation.

19 Plaintiff therefore fails to support his claim that defendant Kanipe retaliated
20 against him by refusing him access to his legal documents. Thus, summary judgment in favor of
21 defendant Kanipe is appropriate.

22 ///

23
24 ²⁹ Plaintiff did provide journal entries indicating he sent such requests to defendant
25 Kanipe, but failed to provide evidence that such requests were properly sent to defendant Kanipe.
26 (See Pl. Ex. B at 718). Indeed, other journal entries indicate that Plaintiff was informed that the
proper individual to obtain legal documents from was the ad-seg property officer. (See Pl. Ex. B
at 836).

1 Claim 10x: Here, Plaintiff contends the defendants caused him to suffer an
2 adverse transfer to a higher security prison. Plaintiff does not articulate involvement in any
3 specific protected activity linked to this adverse transfer.

4 The undisputed facts show that Plaintiff was transferred from MCSP to California
5 State Prison - Sacramento (CSP-Sac) on April 29, 2003. Prior to the transfer, Plaintiff wrote to
6 Warden Knowles indicating he did not want to be transferred, and that he could peacefully co-
7 exist with all inmates on Facility B. The decision of Plaintiff's future placement would be
8 determined by the interdisciplinary treatment team and the institutional classification committee
9 (ICC) following the adjudication of the RVRs.

10 Defendants argue that the decision whether or not to transfer Plaintiff to another
11 institution was made by a committee based on his custody and security level, recent disciplinary
12 history, and enemy concerns. In support of this position, the defendants have provided
13 declarations asserting as much, as well as citations to the decision to retain Plaintiff in ad-seg
14 (Def. Ex. A 141), documenting Plaintiff's disciplinary proceedings and enemy concerns, and to a
15 memorandum written to Plaintiff by Warden Knowles indicating the same (Def. Ex. A 185).

16 Plaintiff acknowledges his placement is determined by the ICC, but argues that
17 none of the defendants have personal knowledge of what criteria the ICC uses to determine
18 proper placement. (See Doc. 210 at 48-49). He does not, however, respond to the claims in his
19 opposition to the summary judgment motion. Plaintiff therefore fails to support his claims that
20 defendants Etheredge, Gutierrez, Lattimore, and/or Warren were responsible for the decision to
21 transfer Plaintiff to another institution. To the extent he argues the transfer was due to the false
22 disciplinary proceedings which these defendants were responsible for, as discussed above,
23 Plaintiff fails to support those allegations as well. Plaintiff was found guilty in the prison
24 disciplinary proceedings, and has provided no proof that the findings have been reversed.
25 Therefore, his claim that the transfer to another facility was done in retaliation is unsupported.

26 ///

1 Accordingly, the undersigned finds it is appropriate to grant Defendants' summary
2 judgment motion.

3 **8. Claim 12**

4 The defendants named in the Supporting Allegations (Counts)
5 below then deprived Plaintiff further of secured rights, privileges, and
6 immunities by causing the campaign of retaliatory oppression alleged
7 above at Claims 1-11 to continue being perpetrated against him as follows
8 in direct relation to Plaintiff and his associates documenting, reporting,
9 publicizing through UNION's Internet web site (1union1.com), and
10 otherwise petitioning for redress of the unlawful events, circumstances,
11 and conditions alleged in Claims 1-11 above and in the Supporting
12 Allegations (Counts) below which occurred after and because Plaintiff
13 lawfully exercised his right to speak freely in writing after he suffered
14 retaliatory transfer to another prison.

12a: Beginning 7/8/03, . . . GUTIERREZ, . . . LATTIMORE . . . caused
15 Plaintiff to suffer retaliatory disciplinary action for lawfully
16 expressing himself freely in a confidential grievance letter . . . on
17 6/19/03.

12b: Beginning 8/14/03, . . . GUTIERREZ, . . . LATTIMORE . . .
18 caused Plaintiff to suffer retaliatory disciplinary action for lawfully
19 expressing himself freely in another confidential grievance letter . .
20 . on 7/30/03.

14 Here, Plaintiff claims he again exercised his unfettered free speech rights which
15 resulted in a retaliatory disciplinary action. Defendants argue Plaintiff was not engaged in
16 protected activity, and their actions had legitimate penological goals.

17 The undisputed facts show Plaintiff wrote two letters to Appeals Coordinator
18 Hansen, in which he intended to be disrespectful and contemptuous. As a result of these two
19 disrespectful letters, Hansen issued Plaintiff two RVRs for being disrespectful to staff.³⁰
20 Defendant Lattimore was the reviewing supervisor of the reports, but Plaintiff acknowledges
21 defendant Gutierrez was not involved in either report.

22 Plaintiff's claim for retaliation against defendant Lattimore is unclear. Plaintiff's
23 disrespectful letter was addressed to Hansen, who was the reporting employee. Defendant
24 Lattimore's role was as reviewing supervisor. It appears that at best, Plaintiff's retaliation claim

25 ³⁰ Hansen has been dismissed from this action as the allegations against him in the
26 complaint were too vague to state a claim. (Doc. 150, 153).

1 would be against Hansen, not Lattimore. However, as Plaintiff's claims against Hansen in his
2 complaint were too vague to state a claim, Hansen has been dismissed. Defendant Lattimore, in
3 a supervising capacity, cannot be held liable for the actions of another individual. See Iqbal, 129
4 S. Ct. at 1449. Therefore, regardless of whether Plaintiff was exercising a protected right in
5 using disrespectful language in his inmate grievances, the RVRs were issued by an individual
6 who is no longer a party to this action. Therefore, Plaintiff cannot proceed on this claim against
7 defendant Lattimore, as his only involvement was that of a supervisor.³¹

8 The undersigned therefore finds defendant Lattimore met the burden of showing
9 his only involvement in the disciplinary proceedings was as a supervisor who reviewed Hansen's
10 disciplinary write up, and he had no personal involvement, and Plaintiff failed to meet his burden
11 showing otherwise. Therefore, summary judgment should be entered in favor of defendants
12 Lattimore and Gutierrez .

13 **D. QUALIFIED IMMUNITY**

14 In addition to the above, or alternatively, Defendants assert that they are entitled to
15 qualified immunity for all of Plaintiff's claims, except Claim 8. The basis of Defendants'
16 argument is the lack of established authority that First Amendment freedom of speech,
17 expression and assembly are protected activities in a prisoner retaliation claim. They argue that
18 Plaintiff's underlying premise for his claims, that prisoners enjoy unfettered First Amendment
19 freedom of speech, expression, and assembly protection is in error. Thus, all of Plaintiff's
20 claims, with the exception of Claim 8 which is based on his having filed a lawsuit, are barred by
21 qualified immunity.

22
23 ³¹ In addition, while the Ninth Circuit has found prison officials cannot punish a
24 prisoner for the content of his inmate grievance, that decision was made in a direct First
25 Amendment challenge on the right of redress of grievances. See Bradley v. Hall, 64 F.3d 1276
26 (9th Cir. 1995). While such authority may impact a retaliation claim, that need not be addressed
here as Plaintiff's retaliation claim can only be made against Hansen, who is no longer a party,
and the disrespectful language was not contained in an inmate grievance but rather in a letter
complaining about the process.

1 Plaintiff responds that Defendants are not entitled to qualified immunity because
2 the First Amendment rights are clearly established. These First Amendment rights include the
3 freedom of speech, press, assembly and the right to petition the government for redress. While
4 Plaintiff contends that CDCR prisoners retain all of the First Amendment's generally guaranteed
5 rights, he then acknowledges that Supreme Court has found that some of the First Amendment's
6 rights may be curtailed, at least to a limited degree.

7 As set forth above, the first inquiry in dealing with a motion for qualified
8 immunity, is whether there was a violation of a constitutional right. The undersigned has found
9 none of Plaintiff's claims rise to the level of a constitutional violation. However, assuming for
10 this argument that Plaintiff can make out a claim for constitutional violation, the next inquiry is
11 whether that right is clearly established.

12 While it is clearly established that the First Amendment protects free speech, that
13 is not the inquiry here.³² Rather, the question is whether unfettered right to freedom of speech,
14 expression, and assembly, is a clearly established right in a prison setting. The answer there has
15 to be no. The Supreme Court has recognized that prisoners First Amendment rights may be
16 curtailed in a prison environment where those rights are inconsistent with legitimate penological
17 objectives of the corrections system. See Pell v. Procunier, 417 U.S. at 822. Therefore,
18 Plaintiff's contention that he retains unfettered freedom of speech rights while incarcerated is not
19 clearly established. It then follows that a reasonable officer in a similar situation, faced with a
20 prisoner trying to utilize unfettered free speech, would not have known that he was violating
21 Plaintiff's alleged rights by squelching that speech.

22 Thus, Defendants should be entitled to qualified immunity as to all of Plaintiff's
23 retaliation claims which are based on his belief that he retains an unfettered right of free speech
24 while incarcerated. Read in the light most favorable to Plaintiff, those claims which are based

25 ³² It is also clearly established that retaliatory punishment is prohibited. See Pratt v.
26 Rowland, 65 F.3d 802, 806 (9th Cir. 1995)

1 solely on his alleged unfettered right to free speech include Claims 3, 5, 7, 9, and 12. Therefore,
2 Defendants should be entitled to qualified immunity on those claims.

3 As to the remainder of the claims, Plaintiff claims retaliation on a variety of other
4 allegedly protected activity, including utilizing the inmate appeals process and filing a civil rights
5 action. Defendants have acknowledged that Claim 8 is not subject to qualified immunity. As to
6 Claim 1, Defendants argue qualified immunity is applicable because the protected activity
7 occurred after the alleged adverse action. That argument is best applied to the merits of the
8 retaliation claim, and as set forth above, the undersigned agrees there can be no retaliation where
9 the protected activity occurs after the alleged adverse action.

10 That leaves Claim 10, wherein Plaintiff alleges various adverse actions based on
11 some vague allegations of protected activity. As the allegations involved in Claim 10 are vague,
12 and based on a variety of questionable activity, such as making false statements, it is difficult to
13 see how such vague allegations could be clearly established rights. It is even more difficult to
14 find that a reasonable officer in a similar situation would know he was violating Plaintiff's rights
15 by acting the way Defendants did throughout Claim 10. Therefore, Defendants should be entitled
16 to qualified immunity against Claim 10.

17 **E. MOTION TO STRIKE**

18 Plaintiff requests the court strike Defendants' reply as untimely (Doc. 220).
19 Defendants respond to Plaintiff's motion pointing out the opposition was not properly served in
20 which to start the clock running for their reply to be filed. The undersigned finds the reply is
21 immaterial in that it simply reiterates the arguments presented in the motion. There are no new
22 arguments or evidence submitted with the reply brief, responding to anything Plaintiff submitted
23 in his opposition. Regardless of whether it is timely filed or not, the reply does not materially
24 alter the above discussion. Therefore, the undersigned finds no reason not to grant the motion.

25 ///

26 ///

1 **IV. CONCLUSION**

2 Based on the foregoing, the undersigned recommends that:

- 3 1. Defendants' motion for summary judgment (Doc. 201) be granted;
4 2. Plaintiff's motion to strike be granted (220); and
5 3. The Clerk of the Court be directed to enter judgment and close this case.

6 These findings and recommendations are submitted to the United States District
7 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within 14 days
8 after being served with these findings and recommendations, any party may file written
9 objections with the court. Responses to objections shall be filed within 14 days after service of
10 objections. Failure to file objections within the specified time may waive the right to appeal.
11 See Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

12
13 DATED: September 2, 2010

14 
15 **CRAIG M. KELLISON**
16 UNITED STATES MAGISTRATE JUDGE
17
18
19
20
21
22
23
24
25
26