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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

FRED McCRAY,

Plaintiff,

No. CIV S- 07-0792 DFL GGH P

vs.

T. SCHWARTZ, et al.,

Defendants.

FINDINGS AND RECOMMENDATIONS

_____ /
On April 25, 2007, defendants filed a notice of removal of this matter from state to federal court purportedly pursuant to 28 U.S.C. § 1441(b). This action was originally filed in Solano County Superior Court on December 26, 2006. See plaintiff’s complaint (Cmp.), Exhibit (Ex.) B to defendants’ Notice of Removal (Ntc.). Plaintiff brought this civil action pro se (or in pro per) in state court, suing defendants Teresa Schwartz and the California Department of Corrections and Rehabilitation (CDCR), apparently not only on his own behalf, but evidently seeking to proceed with co-plaintiffs, who are also inmate cooks at California Medical Facility - Vacaville (CMF).¹ Plaintiff contends that CMF, “the inmate pay committee,” and defendant

¹ Other inmates named as plaintiffs include: “Jeremie Good, Zack Hampton, Willie Harris, Joseph Crespo, Robert Hanson, Joaquin Lopez, and, as yet unnamed ‘others.’” Defendants note correctly that it is unlikely that this matter would proceed as a class action. Ntc., p. 2, footnote 1. Of course, plaintiff has not brought a motion pursuant to Fed. R. Civ. P.

1 Schwartz violate his and other inmates' "right to equal pay for the same jobs performed/same
2 skill level and job descriptions as cooks at other institutions within" defendant CDCR. Cmp., p.
3 5.² Specifically, plaintiff alleges, CMF inmate cooks receive entry level pay in the amount of
4 \$.19 (presumably, per hour), topping out at \$.32 an hour, while other CDCR institutions pay their
5 entry level inmate cooks \$.25 an hour, and are able to reach pay of up to \$.60 an hour. Id.
6 Plaintiff contends that this pay disparity has been occurring since October of 2003. Id. Plaintiff,
7 apparently on behalf of all CMF inmate cooks, requests comparable pay with retroactive
8 backpay. Id.

9 As a separate issue, plaintiff states that he appealed for, and received, overtime
10 pay for hours worked in excess of 150 hours in December and January, but has been denied other
11 overtime backpay by the state Victim Compensation and Government Claims Board due to
12 claimed untimely filing. Cmp., pp. 6-7. Plaintiff lists the damages amount that he seeks as
13 \$1500.00. Cmp., p. 4.

14 Defendants purport to have removed this action pursuant to 28 U.S.C. § 1441(b),
15 on the basis that "this civil action contains claims which allege violations of Plaintiffs' Federal
16 Constitutional rights...." See Notice of Removal, p. 3. Under § 1441(b), regardless of the
17

18 23, seeking to have the court certify the instant matter as a class action, since he did not
19 commence this action in federal court. Nevertheless, as a non-lawyer proceeding without
20 counsel, it is not likely that this matter could be pursued as a class action. It is well established
21 that a layperson cannot ordinarily represent the interests of a class. See McShane v. United
22 States, 366 F.2d 286 (9th Cir. 1966). This rule becomes almost absolute when, as here, the
23 putative class representative is incarcerated and proceeding pro se. Oxendine v. Williams, 509
24 F.2d 1405, 1407 (4th Cir. 1975). In direct terms, plaintiff cannot "fairly and adequately protect
25 the interests of the class," as required by Rule 23(a)(4) of the Federal Rules of Civil Procedure.
26 See Martin v. Middendorf, 420 F. Supp. 779 (D.D.C. 1976). Plaintiff's privilege to appear in
propria persona is a "privilege ... personal to him. He has no authority to appear as an attorney
for others than himself." McShane v. U. S., 366 F.2d at 288, citing Russell v. United States, 308
F.2d 78, 79 (9th Cir. 1962); Collins v. O'Brien, 93 U.S.App.D.C. 152, 208 F.2d 44, 45 (1953),
cert. denied, 347 U.S. 944, 74 S.Ct. 640 (1954). Therefore, as noted, it is probable that plaintiff
could bring this action only on his own behalf.

² Page numbers referenced correspond to the pagination in the court's electronic docketing system.

1 parties' citizenship or residence, a civil action may be removed from state to federal court if "the
2 district courts have original jurisdiction founded on a claim or right arising under the
3 Constitution, treaties or laws of the United States...." It is not necessary that the complaint
4 explicitly refer to 42 U.S.C. § 1983,³ "the appropriate statutory vehicle for allegations of
5 constitutional violations," Tarr v. Town of Rockport, 405 F. Supp.2d 75, 78 (D. Mass. 2005), but
6 plaintiff's allegations must be "sufficient to state federal claims...." That is not the case here.
7 Plaintiff's allegations center on claimed disparities of wages for prison jobs throughout the
8 California Department of Corrections and Rehabilitation (CDCR), and, as noted, on a claim for
9 backpay due him for overtime. Plaintiff's sole reference to the federal constitution in the
10 complaint is limited to the following: "[t]he Petitioner[s] federal and state constitutional rights
11 are in violation [sic] here." Cmp., p. 5. As to any claims related to prison work, no liberty
12 interest is implicated. Rizzo v. Dawson, 778 F.2d 527, 531 (9th Cir. 1985) (prisoners have no
13 constitutional right to prison employment).

14 " [I]t should be noted that a district court may and should always determine *sua*
15 *sponte* whether its subject matter jurisdiction has been properly invoked." Thomas v. Burlington
16 Industries, Inc., 763 F. Supp. 1570, 1575 (S.D. Fla 1991):

17 Additionally, removal statutes should be strictly
18 construed and if removal appears improper, the trial
19 court, at any time before final judgment, must
20 remand to state court. 28 U.S.C. § 1447(c).⁴
21 Section 1441 of Title 28 grants Defendant the right
22 to timely remove its pending state court action to
23 federal district court, if that court would have

21 ³ Every person who, under color of [state law] . . . subjects, or causes
22 to be subjected, any citizen of the United States . . . to the
23 deprivation of any rights, privileges, or immunities secured by the
24 Constitution . . . shall be liable to the party injured in an action at
25 law, suit in equity, or other proper proceeding for redress.
26 42 U.S.C. § 1983.

⁴ 28 U.S.C. § 1447(c) states, in relevant part, "[i]f at any time before final judgment it
appears that the district court lacks subject matter jurisdiction, the case shall be remanded."

1 original jurisdiction. Original jurisdiction can be
2 based on diversity of citizenship, 28 U.S.C. §
3 1441(a), or, [...]as in this instance, “arising under”
4 federal question jurisdiction, 28 U.S.C. § 1441(b).

5 Thomas, supra, at 1575.

6 _____ In Thomas, supra, the court further noted that “removal based on the existence of
7 a federal question , nevertheless, must allege all facts essential to the existence of the federal
8 question.” Id., at 1576. Finding that defendants had removed an action on the basis that the
9 court had original jurisdiction based on a federal statute, ERISA,⁵ but that defendants had failed
10 to provide the requisite factual support, the court remanded the case for defendants to meet their
11 burden to establish federal jurisdiction.

12 As the Ninth Circuit has made clear, in order to remove a case to federal court on
13 the basis of federal question jurisdiction, the defendant “must establish that at least one claim
14 alleged in the complaint ‘arises under’ federal law.” Ultramar America Ltd. v. Dwelle, 900 F.2d
15 1412, 1413-14 (9th Cir. 1990), citing, inter alia, Salveson v. Western States Bankcard Ass’n.,
16 731 F.2d 1423, 1426 (9th Cir. 1984)⁶(the party seeking removal bears the burden of establishing
17 federal jurisdiction)”; see also, Sullivan v. First Affiliated Securities, Inc., 813 F.2d 1368, 1371
18 (9th Cir. 1987)(“[t]he burden of establishing jurisdiction falls on the party invoking the removal
19 statute, Hunter v. United Van Lines, 746 F.2d 635, 639 (9th Cir. 1984), cert. denied, [474] U.S.
20 [863], 106 S. Ct. 180 [(1985), which is strictly construed against removal, Salveson, 731 F.2d at
21 1426.”)

22 Ordinarily, the existence of federal question jurisdiction is
23 determined from the face of the complaint. Whether the complaint
24 states a claim “arising under” federal law must “ ‘be ascertained by
25 the legal construction of [the plaintiff’s] allegations, and not by the

26 ⁵ Employee Retirement Income Security Act of 1974, 29 U.S.C. § § 1001-1461.

⁶ Salveson, supra, was superseded in part, on another ground, the doctrine of “derivative jurisdiction,” by 28 U.S.C. § 1441(e) in 1986. Ethridge v. Harbor House Restaurant, 861 F.2d 1389, 1392 n. 3 (9th Cir. 1988).

1 effect attributed to those allegations by the adverse party.’ ”
2 Tennessee v. Union & Planters’ Bank, 152 U.S. 454, 460, 14 S.Ct.
3 654, 656, 38 L.Ed. 511 (1894) (quoting Central R.R. v. Mills, 113
4 U.S. 249, 257, 5 S.Ct. 456, 459, 28 L.Ed. 949 (1985)). The plaintiff
is the “master” of his complaint; where he may pursue state and
federal claims, he is free to pursue either or both, so long as fraud
is not involved. Salveson, 731 F.2d at 1426-27.

5 On the other hand, jurisdiction must be determined by reference to
6 the “well-pleaded” complaint. Franchise Tax Bd. [v. Construction
7 Laborers Vac. Trust], 463 U.S. [1] at 9-10, 103 S.Ct. [2841] at
8 2846 [1983]. Claims brought under state law may “arise under”
9 federal law if vindication of the state right necessarily turns upon
10 construction of a substantial question of federal law, i.e., if federal
11 law is a necessary element of one of the well-pleaded claims. Id. at
12 13, 27-28, 103 S.Ct. at 2848, 2855-56; see also Merrell Dow
13 Pharmaceuticals, 478 U.S. [804] at 808, 106 S.Ct. [3329] at 3232
14 [1986].

15 Ultrammar, supra, at 1414. If there is an alternative theory of relief for the claims of a complaint,
16 independent of federal law, that in itself constitutes “grounds to defeat federal question
17 jurisdiction.” Id. While this pro se complaint is less than “well-pleaded,” it is evident that the
18 plaintiff does not invoke a “right to relief [that] necessarily depends upon construction of a
19 substantial question of federal...law.” Id. On the face it, the claims plaintiff sets forth, and the
20 relief he seeks, does not even implicate a plausible federal ground for relief, such that any relief
21 available to him is likely to arise at all only under state law.

22 Here defendants simply have not met their burden based upon the claims as set
23 forth by this pro se plaintiff. Because defendants have not met their burden to demonstrate that a
24 federal question is implicated by plaintiff’s pleading, the court now recommends, sua sponte, that
25 this matter be remanded to state court.

26 Accordingly, IT IS HEREBY RECOMMENDED that this action be remanded to
state court as improperly removed.

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1 These findings and recommendations are submitted to the United States District
2 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty
3 days after being served with these findings and recommendations, any party may file written
4 objections with the court and serve a copy on all parties. Such a document should be captioned
5 “Objections to Magistrate Judge's Findings and Recommendations.” Any reply to the objections
6 shall be served and filed within ten days after service of the objections. The parties are advised
7 that failure to file objections within the specified time may waive the right to appeal the District
8 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

9 DATED: 5/2/07

/s/ Gregory G. Hollows

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GREGORY G. HOLLOWS
UNITED STATES MAGISTRATE JUDGE

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