

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

LEANDRO DEMAND JOHNSON,

Petitioner,

No. CIV S-10-0722 MCE GGH P

vs.

GARY SWARTHOUT,

Respondent.

FINDINGS AND RECOMMENDATIONS

_____ /

I. Introduction

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner was convicted of first degree murder and of robbery on his plea of guilty and sentenced to 27 years to life (a 25-year-to-life term with a two-year weapon enhancement) in Alameda County Superior Court in 1988. Petition, pp. 1-2, 265-266; Answer, docket # 12-1 (RT¹ re: sentence proceedings), pp. 102-103. Petitioner herein challenges the 2009 decision by the California Board of Parole Hearings (BPH) finding him unsuitable for parole. Petition, pp. 19. Petitioner challenges the five-year denial at a subsequent parole consideration hearing as a denial of due process on two grounds: 1) the record contains no

¹ Reporter’s Transcript.

1 evidence that petitioner is a current danger to the public; and 2) the state is not complying with
2 the terms of his plea agreement. See Petition, pp. 5-42, 223, 259-262, 264-267.

3 II. Discussion

4 *A. Alleged Due Process Violation - No Evidence in Record that Petitioner is a*
5 *Current Danger to the Public*

6 As to the petitioner's first ground challenging the parole denial, by Order, filed on
7 February 3, 2011, the parties were directed to provide simultaneous supplemental briefing, in
8 light of Swarthout v. Cooke, ___ U.S. ___, 131 S. Ct. 859, 861 (2011),² why this claim should
9 not be dismissed. The parties submitted the additional briefing timely.

10 The United States Supreme Court in a per curiam decision found that the Ninth
11 Circuit erred in commanding a federal review of the state's application of state law in applying
12 the "some evidence" standard in the parole eligibility habeas context. Swarthout v. Cooke, ___
13 U.S. ___, 131 S. Ct. 859, 861 (2011). Quoting, inter alia, Estelle v. McGuire, 502 U.S. 62, 67
14 (1991), the Supreme Court re-affirmed that "'federal habeas corpus relief does not lie for errors
15 of state law.'" Id. While the high court found that the Ninth Circuit's holding that California
16 law does create a liberty interest in parole was "a reasonable application of our cases" (while
17 explicitly not reviewing that holding),³ the Supreme Court stated:

18 When, however, a State creates a liberty interest, the Due Process

19 _____
20 ² The citation in the order was to Swarthout v. Cooke [___] U.S. ___, ___ S. Ct. ___,
2011 WL 197627 *2 (Jan. 24, 2011).

21 ³ While not specifically overruling Hayward v. Marshall, 603 F.3d 546 (9th Cir. 2010) (en
22 banc), the Supreme Court instead referenced Pearson v. Muntz, 606 F.3d 606 (9th Cir. 2010),
23 which further explained Hayward. Thus, the Supreme Court's decision in Swarthout, essentially
24 overruled the general premise of Hayward. When circuit authority is overruled by the Supreme
25 Court, a district court is no longer bound by that authority, and need not wait until the authority is
26 also expressly overruled. See Miller v. Gammie, 335 F.3d 889, 899-900 (9th Cir. 2003) (en
banc). Furthermore, "circuit precedent, authoritative at the time it was issued, can be effectively
overruled by subsequent Supreme Court decisions that 'are closely on point,' even though those
decisions do not expressly overrule the prior circuit precedent." Miller, 335 F.3d at 899 (quoting
Galbraith v. County of Santa Clara, 307 F.3d 1119, 1123 (9th Cir. 2002)). Therefore, this court
is not bound by Hayward.

1 Clause requires fair procedures for its vindication-and federal
2 courts will review the application of those constitutionally required
3 procedures. In the context of parole, we have held that the
4 procedures required are minimal.

4 Swarthout v. Cooke, at 862.

5 Citing Greenholtz,⁴ the Supreme Court noted it had found under another state's
6 similar parole statute that a prisoner had "received adequate process" when "allowed an
7 opportunity to be heard" and "provided a statement of the reasons why parole was denied."
8 Swarthout v. Cooke, at 862. Noting their holding therein that "[t]he Constitution [] does not
9 require more," the justices in the instances before them, found the prisoners had "received at least
10 this amount of process: They were allowed to speak at their parole hearings and to contest the
11 evidence against them, were afforded access to their records in advance, and were notified as to
12 the reasons why parole was denied." Id.

13 The Supreme Court was emphatic in asserting "[t]hat should have been the
14 beginning and the end of the federal habeas courts' inquiry...." Swarthout v. Cooke, at 862. "It
15 will not do to pronounce California's 'some evidence' rule to be 'a component' of the liberty
16 interest...." Id., at 863. "No opinion of ours supports converting California's "some evidence"
17 rule into a substantive federal requirement." Id., at 862. Thus, it appears there is no federal due
18 process requirement for a "some evidence" review and it also appears that federal courts are
19 precluded from review of the state court's application of its "some evidence" standard.⁵ A
20 review of the transcript of petitioner's March 3, 2009, subsequent parole suitability hearing,

21 ⁴ Greenholtz v. Inmates of Neb. Penal and Correctional Complex, 442 U.S. 1, 16 (1979).

22 ⁵ The court notes some perversity in the result here. Loss of good-time credits, even for a
23 day, pursuant to decision at a prison disciplinary hearing, must be supported by "some evidence."
24 Superintendent v. Hill, 472 U.S. 445, 455, 105 S.Ct. 2768 (1985). Assignment to administrative
25 segregation requires the same "some evidence" before such an assignment can be justified.
26 Bruce v. Ylst, 351 F.3d 1283, 1288 (9th Cir.2003). However, a denial of parole eligibility after
sometimes decades in prison, and where another opportunity for parole can be delayed for as
long as fifteen more years, requires no such protection from the federal due process standpoint.
Nevertheless, such is the state of the law.

1 demonstrates that petitioner had an opportunity to be heard and was provided with a statement of
2 reasons for the parole denial. Petition, Exhibit (Ech.) B, BPH 3/03/09 hearing transcript, pp. 57-
3 158⁶; Answer, Ech. 1, Docket # 11-2, BPH transcript. 45-139, Docket # 11-3, pp. 1-8, see also
4 Ech. 3. Petitioner appears to concede that the Supreme Court's ruling in Swarthout spells the
5 end of any claim based on "some evidence" in federal court if a petitioner is afforded an
6 opportunity to be heard and reasons for the parole denial and he does not contend that he was
7 denied either. See petitioner's Supplemental Briefing (docket # 16), p. 2. Therefore, the petition
8 on this ground should be denied.

9 *B. Alleged Due Process Violation - State Not in Compliance with Plea*
10 *Agreement*

11 As to petitioner's ground two, petitioner divides this claim into three subpart.
12 Petition, pp. 7, 31-41.

13 1) State misled petitioner into believing that the California Department of
14 Corrections Would Issue/Set a Parole Date as a Result of the Plea
15 Agreement

16 Petitioner argues that in order to determine whether a plea bargain has been
17 breached, a court must look to what the party reasonably understood the terms of the agreement
18 to be when he pled guilty. Petition, p. 32, citing United States v. Anderson, 970 F.2d 602, 607
19 (9th Cir. 1992) as amended 990 F.2d 1163 (9th Cir. 1993); United States v. Packwood, 848 F.2d
20 1009, 1011 (9th Cir. 1988). Petitioner points to an exchange between himself and the trial court
21 during the plea proceedings wherein the following exchange occurred:

21 The Court: All right. Now my question is: has anyone made you
22 any promises in connection with pleading guilty to these charges
23 today that I haven't heard about right here in open court this
24 afternoon on the record?

24 The Witness : That I would have a release date?

25 The Court: Well, you will, indeed. That is an automatic with the

26 ⁶ The court's electronic pagination is referenced.

1 Department of Corrections. They will set that date.

2 Petition, p. 32, Ech. G, Transcript of Guilty Plea, pp. 230-231;⁷ Answer, Ech. 1c, Docket # 11-4,
3 pp. 8-9, also, Ech. 3. Petitioner contends that he was 18 years old when he entered the plea and
4 he believed that the CD-R (CDCR) would automatically set a release date. Petition, p. 33;
5 Traverse, pp. 9, 11; petitioner's supplemental briefing (docket # 16), p. 3.

6 On this claim the state court reasoned as follows:

7 Petitioner also contends that the Board's denial violated his
8 plea agreement. In support, Petitioner alleges that the state misled
9 him to believe that the Department of Corrections and
10 Rehabilitation ("CD-R") would issue a parole date as a result of the
11 plea agreement. A habeas petitioner may not abuse the writ by
12 alleging the same claims in a subsequent habeas petition. (*In re*
13 *Clark* (1993) 5 Cal.4th 750, 797 (*Clark*.) The court will not
14 consider a successive petition that realleges claims that previously
15 have been rejected. (*Clark, supra*, 5 Cal.4th at p. 767.) Petitioner
16 has already unsuccessfully asserted this as a ground for relief with
17 this court in a habeas petition that he filed in 2006. Petitioner's
18 attempt to relitigate the same claim constitutes an abuse of the writ.
19 (*Clark, supra*, 5 Cal.4th at p. 797.) Assuming that the claim was
20 not procedurally barred and could be entertained on the merits,
21 relief would be nonetheless denied for failure to state a prima facie
22 case for relief.

23 "A plea agreement violation claim depends upon the
24 actual terms of the agreement, not the subjective understanding of
25 the defendant or deficient advice provided by his attorney." (*In re*
26 *Honesto* (2005) 130 Cal. App. 4th 81, 92.) Petitioner agreed to a
guilty plea to first degree murder, and admitted the personal use of
a shotgun in the murder. In addition, he pled guilty to two counts
of robbery. In exchange, Petitioner agreed to a sentence of 27
years to life in prison and the prosecution agreed to dismiss other
charges and enhancements, as well as the special circumstance
clause. A sentence of 25 years to life is an indeterminate sentence,
with a maximum potential of life imprisonment. (See *In re Jeanice*
D. (1980) 28 Cal.3d 210, 215-219; *People v. Yates* (1983) 34
Cal.3d 644, 648-652; *People v. Smith* (1984) 35 Cal.3d 798, 808-
809.) Thus, an indeterminate sentence is, in legal effect, a sentence
for the maximum term unless the parole authority acts to fix a
shorter term. (See *In re Dannenberg* (2005) 34 Cal.4th 1061,
1097-1098; *In re Honesto, supra*, at pp. 92-93.) The relevant
statutes and regulations that govern parole do not entitle a prisoner
to release on parole, regardless of the amount of time served,
unless the petitioner is found suitable for parole. (*In re Honesto*,

⁷ Within the document copy itself the text is at page 9, line 24 through page 10, line 4.

1 *supra*, at pp. 92-93.) The record provided by Petitioner does not
2 contain any promises by the prosecutor that Petitioner would serve
3 a specific term of imprisonment. Petitioner’s counsel made it very
4 clear on the record that the actually [sic] term of imprisonment was
5 not up to the court, but up to the CDCR. Further, Petitioner’s
6 counsel explained that Petitioner would be released in due course
7 as determined by the CDCR, and it would depend on the sentence
8 and Petitioner’s conduct in prison. Petitioner puts undue emphasis
9 on a brief exchange between him and the sentencing court just
10 following the explanation by his counsel discussed *ante*. In
11 response to the court’s question of whether anyone had made any
12 promises in connection with pleading guilty to the charges,
13 Petitioner *asked* “That I would have a release date?” The court
14 then said, “[w]ell indeed, that is an automatic with the department
of corrections. They will set a date.” Nothing in this exchange
promised Petitioner that he would be released by a certain date, or
change Petitioner’s counsel [sic] explanation that Petitioner’s
release would not be determined by the court or his plea
agreement. The plea agreement does not preclude the Board or the
Governor from exercising their statutorily authorized discretion to
deny Petitioner parole based on the statutory and regulatory
criteria. Petitioner is parole eligible, is receiving parole
consideration hearings, and the Board’s decision to deny Petitioner
release on parole complies with due process as discussed *ante*.
Therefore, the plea agreement is being honored, and his
punishment has not been increased. Therefore, Petitioner has
failed in his burden and has not state a prima facie case for relief.

15 Petition, Exh. A, state court denial of writ of habeas corpus, pp. 50-52⁸; Answer, Exh. 2, docket #
16 11-5, pp. 2-9, and Exh. 3.

17 Respondent echoes the state superior court ruling, noting, inter alia, that the lower
18 state court rejected petitioner’s plea bargain claim as successive, and also as lacking merit due to
19 his indeterminate life sentence without a specific promise by either the prosecutor or the court
20 that petitioner would be released within a certain period of time; respondent denies petitioner’s
21 plea agreement is being violated by his being subjected to an excessive prison term. Answer, pp.

22 ⁸ When the California Supreme Court is silent as to why petitioner’s habeas petition was
23 denied, it is permissible to “look through” its decision to the last reasoned state court decision,
24 which in this case was that of the Alameda County Superior Court. *Ylst v. Nunnemaker*, 501
25 U.S. 797, 803-04, 111 S. Ct. 2590 (1991) (“Where there has been one reasoned state judgment
26 rejecting a federal claim, later unexplained orders upholding that judgment or rejecting the same
claim rest upon the same ground.”); *Shackleford v. Hubbard*, 234 F.3d 1072, 1079 n. 2 (9th Cir.
2000). The state appellate and Supreme Court issued postcard habeas corpus denials without
citation. See Petition, Exh. A, pp. 54-55.

1 2, 4. The court would first address the procedural bar issue raised in the state court’s analysis,
2 however, respondent has expressly waived any argument with respect to any claim in the federal
3 petition being procedurally barred by having stated, in the responsive pleading, after conceding
4 that petitioner’s claims are both timely and exhausted, the following: “[r]espondent admits that
5 Johnson’s⁹ claims are not subject to any other¹⁰ procedural bar.” Id., at 3. Morrison v.
6 Mahoney, 399 F.3d 1042, 1046 (9th Cir. 2005)(holding “that the defense of procedural default
7 should be raised in the first responsive pleading in order to avoid waiver”). To implicate the
8 burden shifting mechanism the Ninth Circuit formulated to assess procedural bar claims, the
9 respondent must first have made the claim. See Bennett v. Mueller, 322 F.3d 573, 583 (9th Cir.
10 2006). In other words, as a threshold matter, respondent must plead the default. Id., at 585 (“it is
11 the obligation of the state ‘to raise procedural default as a defense, or lose the right to assert the
12 defense thereafter’”[internal citation omitted]). Therefore, this court must proceed to the merits
13 determination.

14 A criminal defendant has a due process right to enforce the terms of agreement.
15 See Santobello v. New York, 404 U.S. 257, 261-62, 92 S. Ct. 495 (1971). However, the
16 violation of a plea agreement turns on contract law – the law on which plea bargains are based.
17 “Plea agreements are contractual in nature and are measured by contract law standards.” Brown
18 v. Poole, 337 F.3d 1155, 1159 (9th Cir. 2003) (quoting United States v. De la Fuente, 8 F.3d
19 1333, 1337 (9th Cir. 1993)).

20 The contract law applicable to this California state defendant’s making a plea
21 agreement in California state court is, of course, California law. Under that law, it is clear that
22 one convicted of murder with the possibility of parole has an expectation of being eligible for
23

24 ⁹ Petitioner herein.

25 ¹⁰ Notwithstanding that neither timeliness or exhaustion questions implicate a procedural
26 bar, it is clear that respondent has waived the procedural bar of successiveness identified by the
state superior court by expressly waiving it in this court.

1 parole *if that defendant would not pose an unreasonable risk to society when released.* Cal.
2 Penal Code § 3401; In re Lawrence, 44 Cal. 4th 1181, 1204-1205 (2008). The possibility of
3 parole requires a determination about one’s danger to society by the BPH, and that
4 decision is guided by a number of factors set forth in regulations. Id. Finding a defendant
5 sentenced to a term that includes the possibility of parole not to be a danger if released is
6 anything but routine; at times, it has almost approached zero possibility.

7 Thus, petitioner’s sincere subjective expectation here, based on his brief exchange
8 with the state superior court judge presiding over the plea-entry proceeding that a specific release
9 date would be set by CDCR, was simply a misapprehension of the law. And, under California
10 law – absent two exceptions, a mistake of law will not trump what an objective determination of
11 that law would be. Hedging Concepts v. First Alliance Mort., 41 Cal. App. 4th 1410, 1421, 49
12 Cal. Rptr. 2d 191, 198-199 (2d Dist. 1996). The two exceptions explained by Hedging are
13 derived from Cal. Civil Code § 1578. They are: (1) all parties share the same mistaken belief
14 about the law; or (2) one side misunderstands the law, *and* the other party understands that a
15 mistake is being made, but does not rectify that misunderstanding. Id. The undersigned has
16 reviewed the transcript of the April 4, 1988, plea proceedings. Petition, pp. 221- Answer, plea
17 transcript, docket # 11-3, 69 - dkt. # 11-45, and Exh. 3. As the state court noted, the record
18 petitioner has produced does not show petitioner was made any promise by the prosecutor that he
19 would be released from prison on a date certain. The state court further correctly noted that
20 petitioner’s counsel made it very clear on the record the term of imprisonment was up to the
21 CDCR, not the court. This court notes the following exchange:

22 The Court: Has anyone made you any promises in connection with
23 your plea to these charges that have not been stated here in open
24 court and in front of me this afternoon and on the record?

24 The Witness: Certain circumstances would be dropped?

25 The Court: They would move the court to drop the charges.

26 The Witness: Yeah.

1 The Court: Have any promises at all been made to you which I
2 haven't heard about this afternoon on the record?

3 The Witness: No, not really. How many years would I do of that?

4 The Court: Your attorney can advise you.

5 Mr. Cole:¹¹ That essentially is up to the Department of Corrections.
6 And we discussed that currently what the rough estimate is.
7 But the court is not in charge of that. So, that is something that is
8 between you and the Department of Corrections once you enter that
9 system.

10 And I believe you know as much about that as anybody because
11 that is something the court can't address because it's not up to the
12 judge.

13 And you will be released in due course of parole essentially, and
14 that would be up to the Department of Corrections. And that
15 would depend on your sentence and conduct in prison.

16 The Witness: All right.

17 Petition, pp. 229-230.

18 This exchange immediately preceded the excerpt upon which petitioner focuses
19 set forth above. That excerpt is repeated here with the additional statements made between the
20 court and petitioner at the time:

21 The Court: All right. Now my question is: has anyone made you any promises in
22 connection with pleading guilty to these charges today that I haven't heard about
23 right here in open court this afternoon on the record?

24 The Witness : That I would have a release date?

25 The Court: Well, you will, indeed. That is an automatic with the
26 Department of Corrections. They will set that date.

I want to know if anyone has made any promises to you to get you
to plead guilty to these charges that I haven't been told about here
in open court and on the record?

The Witness: No.

The Court: Has anyone threatened you in any manner to make you

¹¹ Petitioner's defense attorney.

1 change your plea?

2 The Witness: No.

3 The Court: Are you entering into this plea freely and voluntarily?

4 The Witness: Yes.

5 Petition, pp. 230-231.

6 There is also no evidence that the judge was mistaken as to the law, although there
7 is some ambiguity when the judge asserts that the date would be “automatically” set by the
8 Department of Corrections.¹² Moreover, no evidence has been presented, outside of the entire
9 transcript, that the prosecutor would have had reason to believe that petitioner misunderstood the
10 law.

11 But, in the final analysis, and perhaps in the initial, this is an AEDPA case and the
12 undersigned’s *de novo* conclusions may not count for much. The state court decision has to be
13 an unreasonable application of Supreme Court authority, 28 U.S.C. § 2254(d)(1), or an
14 unreasonable determination of the facts in light of the state record, § 2254(d)(2).¹³ With respect
15

16 ¹² In a sense the judge was correct in using the word. Once parole eligibility is approved,
17 the setting of the actual parole date by means of matrices is “automatic.”

18 ¹³ The Supreme Court has set forth the operative standard for federal habeas review of
19 state court decisions under AEDPA as follows: “For purposes of § 2254(d)(1), ‘an *unreasonable*
20 application of federal law is different from an *incorrect* application of federal law.’” Harrington
21 v. Richter, 131 S. Ct. 770, 785 (2011), citing Williams v. Taylor, 529 U.S. 362, 410 (2000). “A
22 state court’s determination that a claim lacks merit precludes federal habeas relief so long as
23 ‘fairminded jurists could disagree’ on the correctness of the state court’s decision.” Id. at 786,
24 citing Yarborough v. Alvarado, 541 U.S. 652, 664 (2004).

25 Accordingly, “a habeas court must determine what arguments or theories supported or . . .
26 could have supported[] the state court’s decision; and then it must ask whether it is possible
fairminded jurists could disagree that those arguments or theories are inconsistent with the
holding in a prior decision of this Court.” Id. “Evaluating whether a rule application was
unreasonable requires considering the rule’s specificity. The more general the rule, the more
leeway courts have in reaching outcomes in case-by-case determinations.” Id. Emphasizing the
stringency of this standard, which “stops short of imposing a complete bar of federal court
relitigation of claims already rejected in state court proceedings[,]” the Supreme Court has
cautioned that “even a strong case for relief does not mean the state court’s contrary conclusion
was unreasonable.” Id., citing Lockyer v. Andrade, 538 U.S. 63, 75 (2003).

The undersigned also finds that the same deference is paid to the factual determinations

1 to the state law which underlies the federal issues in this case, the federal habeas court is bound
2 by the state law pronouncement absent an arbitrariness designed to avoid the federal issue. See
3 Robinson v. Schiro, 595 F.3d 1086, 1104-1105 (9th Cir. 2010). As noted earlier, the Superior
4 Court issued the last reasoned decision, and the undersigned has looked to that decision as the
5 reasoning of the state supreme court. Ylst v. Nunnemaker, 501 U.S. at 803, 111 S. Ct. 2590.
6 Under California law, petitioner’s plea agreement was not breached and therefore no federal
7 claim arises on the basis of violating a term of the agreement. This portion of petitioner’s claim
8 should be denied.

9 *2) Proposition 9 as applied to petitioner breaches his plea agreement by*
10 *violating state and federal Ex Post Facto Clauses*

11 Petitioner alleges that he has a constitutional right to annual or two-year minimum
12 parole hearing deferrals and that Prop. 9, Marsy’s Law, which increases the minimum and
13 maximum deferral periods, constitutes an Ex Post Facto violation as applied to him when he was
14 given a five-year deferral. Petition, pp. 34-39; Traverse, pp. 7-8, 11, 14-15; see also, petitioner’s
15 Supplemental Briefing, pp. 1, 4 (docket # 16). Respondent argues that Marsy’s Law “creates
16 only the most speculative and attenuated possibility of producing the prohibited effect of
17 increasing the measure of punishment for covered crimes, and such conjectural effects are
18 insufficient under any threshold the court might establish under the Ex Post Facto Clause.”
19 Answer, p. 13, citing California Department of Corrections v. Morales, 514 U.S. 499, 507, [514],
20 115 S. Ct. 1597 (1995) and analogizing to that case. In Morales, *id.*, the Supreme Court

21 of state courts. Under § 2254(d)(2), factual findings of the state courts are presumed to be
22 correct subject only to a review of the record which demonstrates that the factual finding(s)
23 “resulted in a decision that was based on an unreasonable determination of the facts in light of
24 the evidence presented in the state court proceeding.” It makes no sense to interpret
25 “unreasonable” in § 2254(d)(2) in a manner different from that same word as it appears in
26 § 2254(d)(1) – i.e., the factual error must be so apparent that “fairminded jurists” examining the
same record could not abide by the state court factual determination. A petitioner must show
clearly and convincingly that the factual determination is unreasonable. See Rice v. Collins, 546
U.S. 333, 338 (2006).

1 determined that a 1981 amendment to California parole procedures did not violate the Ex Post
2 Facto Clause in decreasing the frequency of parole hearings under certain circumstances when
3 applied to prisoners convicted of second degree murder before the amendment was enacted: the
4 punishment for second degree murder was an indeterminate sentence of 15 years to life before
5 and after the 1981 amendment and the substantive formula for any sentence reduction in this
6 range was unchanged; the only change was that after the initial parole hearing, the BP[H] could
7 defer the next hearing beyond a year or two if there was not a reasonable probability that the
8 inmate would be deemed in the interim suitable for parole. Respondent notes accurately that the
9 state superior court relied on Morales. Answer, pp. 13-14. The state court also cited Garner v.
10 Jones, 529 U.S. 244, 250, 120 S. Ct. 1362 (2000), for the principle that a state’s retroactive
11 changes to its parole laws in some instances may violate the Ex Post Facto Clause. Answer, Exh.
12 3, state court order denying petition, Exh. 3, p. 39.

13 However, while acknowledging some similarity with the statutes in Morales and
14 Garner, the Ninth Circuit has recently noted significant differences between those and Prop. 9,
15 i.e., Marsy’s Law entails more extensive changes to the frequency of parole hearings; it increased
16 the minimum deferral period from one to three years; it altered the default deferral period from
17 one year to fifteen years; it changed the burden for imposing a deferral period other than the
18 default period. Gilman v. Schwarzenegger, 638 F.3d 1101, 1107-08 (9th Cir. 2011). “Neither
19 Morales nor Garner involved a change to the minimum deferral period, the default deferral
20 period, or the burden to impose a deferral period other than the default period.” Id., at 1108.
21 Quoting Garner, 529 U.S. at 251, 120 S. Ct. 1362 [], the Appellate Court observed, “the changes
22 required by Proposition 9 appear to ‘create[] a significant risk of prolonging [Plaintiffs’]
23 incarceration.’” Id. In any event, however, this portion of petitioner’s claim should be dismissed

24 ///

25 ///

26 ///

1 in light of the class action, Gilman v. Brown,¹⁴ CIV-S-05-0830 LKK GGH. The parameters of
2 the Gilman class, as is made clear in the Order certifying the class, include petitioner. Order,
3 filed on March 4, 2009, in Gilman v. Brown, CIV-S-05-0830 LKK GGH.¹⁵

4 The Gilman class is made up of:

5 California state prisoners who: “(i) have been sentenced to a term
6 that includes life; (ii) are serving sentences that include the
7 possibility of parole; (iii) are eligible for parole; and (iv) have been
8 denied parole on one or more occasions.”

8 Id., p. 9.¹⁶

9 What is at issue in the suit are: “the procedures used in determining suitability for
10 parole: the factors considered, the explanations given, and the frequency of the hearings.” Id., p.
11 7 [emphasis in original]. The “frequency of the hearings” is precisely what is at issue in the
12 portion of the second claim of the instant petition.

13 Moreover, recently, the district court judge assigned to this case found as follows
14 with respect to an ex post facto challenge to “Marsy’s Law” in a § 2254 action:

15 A member of a class action seeking equitable relief cannot raise
16 those same claims in a separate equitable action. Crawford v. Bell,
17 599 F.2d 890, 892-93 (9th Cir. 1979). See also McNeil v. Guthrie,
18 945 F.2d 1163, 1165 (10th Cir. 1991) (“Individual suits for
19 injunctive relief from alleged unconstitutional prison conditions
20 cannot be brought where there is an existing class action. To
21 permit them would allow interference with the ongoing class
22 action.”); Gillespie v. Crawford, 858 F.2d 1101, 1103 (5th Cir.
23 1988) (“To allow individual suits would interfere with the orderly
24 administration of the class action and risk inconsistent
25 adjudication.”). Indeed, “[a] district court has inherent power to

21 ¹⁴ This case has been variously denominated throughout the on-going litigation, i.e.,
22 Gilman v. Perez, Gilman v. Fisher and Gilman v. Schwarzenegger. Gilman v. Schwarzenegger,
23 638 F.3d 1101, was a decision reversing, on an interlocutory appeal by defendants, a district
24 court decision imposing a preliminary injunction in the class action currently captioned Gilman
25 v. Brown.

25 ¹⁵ See Docket # 182 of Case No. 05-CV-0830.

26 ¹⁶ As noted in the October 18, 2010, Order, at p. 3, the Ninth Circuit affirmed the Order,
certifying the class. See Docket # 258 in Case No. 05-CV-0830.

1 choose among its broad arsenal of remedies when confronted with
2 situations where, as here, continued litigation of a matter would
3 create undue hardship on the litigating parties, or would
4 improvidently circumscribe the actions of another court handling a
5 prior certified action.” Crawford, 599 F.2d at 892 (quoting Tate v.
Werner, 68 F.R.D. 513, 520 (E.D. Pa. 1975). Moreover,
“increasing calender congestion in the federal courts makes it
imperative to avoid concurrent litigation in more than one forum
whenever consistent with the rights of the parties.

6 A court may choose not to exercise its jurisdiction when another
7 court having jurisdiction over the same matter has entertained it
8 and can achieve the same result. Id. at 893. Pursuant to the above
9 authorities, Petitioner’s Ex Post Facto claim is thus precluded.^{1 [17]}

10 Order in Cook v. Swarthout, CIV-S-10-2744 MCE GGH P, filed on May 4, 2011 (docket # 22).¹⁸

11 As to petitioner’s contention that Marsy’s Law violates his plea agreement, the state court’s
12 finding that such a claim “fails as the plea agreement did not involve any promises regarding the
13 frequency of parole suitability hearings, or how suitability determination would be conducted,”
14 Exh. 3 to Ans., p.39, is not an unreasonable application of clearly established Supreme Court
15 authority. This subpart of petitioner’s claim should be dismissed.

16 *3) Due Process Violation when presiding commissioner allowed
17 prosecutor in attendance to make cumulative statements*

18 Petitioner contends that at the 2009 parole hearing, the prosecutor in attendance
19 “was allowed to re-weigh the evidence of petitioner’s commitment offense, re-try the case[,]”
20 including asking questions that did not involve clarification,” taking no account of the fact that

21 ¹⁷ [footnote] 1 If Petitioner wishes to pursue his ex post facto challenge individually, he
22 may attempt to opt out of the Gilman class action. McReynolds v. Richards-Cantave, 588 F.3d
23 790, 800 (2d Cir. 2009) (recognizing that district courts have discretion to grant opt-out rights);
24 Penon v. Terminal Transp. Co., Inc., 634 F.2d 989, 993 (5th Cir. 1981) (“[A]lthough a member
of a class certified under [Federal Rule of Civil Procedure] 23(b) has no absolute right to opt out
of the class, a district court may mandate such a right pursuant to its discretionary power under
Rule 23.”).

25 ¹⁸ Moreover, there are adverse-to-petitioner consequences in having the frequency of
26 parole hearings issue submitted in habeas. AEDPA with its “unreasonableness” of interpretation
of Supreme Court authority controls in habeas proceedings, while civil rights proceedings are
determined de novo.

1 petitioner had been sentenced pursuant to a plea bargain. Petition, pp. 40-41; see also,
2 petitioner's Supplemental Briefing, pp. 4-5. Petitioner quotes CAL. CODE REGS. tit.XV, § 2030(d)(1)
3 & (2):

4 (1) Procedures. The presiding hearing officer shall specify the
5 hearing procedures and order in which testimony will be taken. The
6 hearing officer shall ensure throughout the hearing that
unnecessary, irrelevant or cumulative oral testimony and
statements are excluded.

7 (2) Role of the Prosecutor. The role of the prosecutor is to
8 comment on the facts of the case and present an opinion about the
9 appropriate disposition. In making comments, supporting
10 documentation in the file should be cited. The prosecutor may be
permitted to ask clarifying questions of the hearing panel, but may
not render legal advice.

11 Petition, p. 40.

12 Petitioner's argument appears to be that the presiding hearing officer did not
13 adequately curtail the prosecutor's comments, but petitioner does not specify precisely how the
14 prosecutor's conduct was a violation of the state regulation cited or of federal constitutional law.
15 Petitioner points to Morton v. Ruiz, 415 U.S. 199, 94 S. Ct. 1055 (1974), and Long Island Care
16 at Home, LTD. v. Coke, 551 U.S. 158 (2007), for the proposition that an agency is to follow its
17 own procedures even if the procedure is more rigorous than the constitution requires. Petition ,
18 p. 41. However, these cases are concerned with narrow questions implicating federal agencies
19 and are not remotely related to petitioner's claim against a state entity such as the BPH.
20 Petitioner contends that the BPH neglected its own regulation and further that the alleged
21 arbitrariness of this activity implicates the Equal Protection Clause, citing Powers v. Ohio, 499
22 U.S. 400, 111 S. Ct. 1364 (1991); Miller-El v. Dretke, 545 U.S. 231 (2005). Id. However,
23 Powers is concerned a criminal defendant's right to object to race-based juror exclusions by way
24 of peremptory challenges and Miller-El also has as its subject the discriminatory race-based
25 striking of prospective jurors. Neither of these cases have anything to do with the prosecutor's
26 questions or commentary at petitioner's 2009 parole hearing.

1 The court has reviewed the portions of the BPH hearing which petitioner
2 identified as having subjected him to an unfair procedure. Petitioner’s Supp. Brf., p. 5, citing
3 Petition, Exh. B, pp. 53-60.¹⁹ Nothing in the questioning or commentary appears to be violative
4 of the regulation cited, nor can it be said in any way to rise to the level of a constitutional
5 violation.

6 Primarily, however, the court cannot even reach the issue raised because a writ of
7 habeas corpus is available under 28 U.S.C. § 2254(a) only on the basis of some transgression of
8 federal law binding on the state courts. Middleton v. Cupp, 768 F.2d 1083, 1085 (9th Cir. 1985);
9 Gutierrez v. Griggs, 695 F.2d 1195, 1197 (9th Cir. 1983). It is unavailable for alleged error in
10 the interpretation or application of state law. Middleton v. Cupp, 768 F.2d at 1085; see also
11 Lincoln v. Sunn, 807 F.2d 805, 814 (9th Cir. 1987); Givens v. Housewright, 786 F.2d 1378, 1381
12 (9th Cir. 1986). Habeas corpus cannot be utilized to try state issues de novo. Milton v.
13 Wainwright, 407 U.S. 371, 377, 92 S. Ct. 2174, 2178 (1972). This portion of the claim should
14 be denied.

15 Accordingly, IT IS RECOMMENDED that the petition be denied.

16 If petitioner files objections, he shall also address if a certificate of appealability
17 should issue and, if so, as to which issues. A certificate of appealability may issue under 28
18 U.S.C. § 2253 “only if the applicant has made a substantial showing of the denial of a
19 constitutional right.” 28 U.S.C. § 2253(c)(2). The certificate of appealability must “indicate
20 which specific issue or issues satisfy” the requirement. 28 U.S.C. § 2253(c)(3).

21 These findings and recommendations are submitted to the United States District
22 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen
23 days after being served with these findings and recommendations, any party may file written
24 objections with the court and serve a copy on all parties. Such a document should be captioned
25

26 ¹⁹ Pages 111-118 by the court’s electronic pagination.

1 “Objections to Magistrate Judge’s Findings and Recommendations.” Any reply to the objections
2 shall be served and filed within fourteen days after service of the objections. The parties are
3 advised that failure to file objections within the specified time may waive the right to appeal the
4 District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

5 DATED: September 14, 2011

6 /s/ Gregory G. Hollows
7 UNITED STATES MAGISTRATE JUDGE

8 GGH:009
9 john0722.f&r

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26