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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

STANLEY P. BERMAN,

Plaintiff,

No. 2:11-cv-000635 MCE KJN PS

v.

JULIE A. MCMANUS, LEANE RENEE,  
MARY HENZIE, LESLIE SCOTT,  
CAROLINE SHELLER, CHUCK  
ECKERMAN, GEORGE A. ROBERTS  
and DOES 1-10,

Defendants.

ORDER and FINDINGS AND  
RECOMMENDATIONS

Presently before the court<sup>1</sup> are the following motions: (1) defendants Leslie Scott and Caroline Sheller’s motion to dismiss plaintiff’s claims against them pursuant to Federal Rule of Civil Procedure 12(b)(6) (Dkt. No. 7); (2) defendant Leane Renee’s motion to dismiss and/or specially strike plaintiff’s claims against her pursuant to Federal Rule of Civil Procedure 12(b)(6)

<sup>1</sup> This action proceeds before the undersigned pursuant to Eastern District of California Local Rule 302(c)(21) and 28 U.S.C. § 636(b)(1). Although plaintiff is proceeding without counsel, documents filed with the court by plaintiff indicate that plaintiff is an attorney. (See, e.g., Proof of Service, Mar. 25, 2011, Dkt. No. 4.) During the May 19, 2011 hearing on the pending motions, plaintiff confirmed that he is a practicing attorney and member of the California bar.

1 and California's anti-SLAPP<sup>2</sup> statute, Cal. Civ. Proc. Code § 425.16 (Dkt. No. 12); (3) defendant  
2 Honorable Julie A. McManus' ("Judge McManus") motion to dismiss plaintiff's claims against  
3 her pursuant to Federal Rules of Civil Procedure 12(b)(1) and 12(b)(6) (Dkt. No. 15); and (4)  
4 defendant George A. Roberts's motion to dismiss and/or specially strike plaintiff's claims against  
5 him pursuant to Federal Rules of Civil Procedure 12(b)(1) and 12(b)(6) and California's anti-  
6 SLAPP statute (Dkt. No. 9).<sup>3</sup>

7           The court heard these motions on its law and motion calendar on May 19, 2011.  
8 (Dkt. No. 31.) Attorneys Carl Fessenden and Katherine L.M. Mola appeared on behalf of  
9 defendants Scott and Sheller. Attorney Jeffrey J.A. Hinrichsen appeared on behalf of defendant  
10 Renee. Deputy Attorney General Kevin W. Reager appeared on behalf of Judge McManus.  
11 Attorney Joseph F. Zellmer, III appeared on behalf of defendant Roberts. Plaintiff, who is an  
12 attorney, appeared on his own behalf.

13           The undersigned has considered the briefs, oral arguments, and the appropriate  
14 portions of the record in this case and, for the reasons stated below, recommends that: (1) Scott  
15 and Sheller's motion to dismiss be granted in part and that all claims against Scott and Sheller be  
16 dismissed with prejudice; (2) Renee's motion to dismiss and anti-SLAPP motion be granted in  
17 part and that all claims against Renee be dismissed with prejudice; (3) Judge McManus's motion  
18 to dismiss be granted in part and that the court either abstain from hearing plaintiff's claims  
19 against Judge McManus or, alternatively, dismiss those claims with prejudice; and (4) Roberts'  
20 motion to dismiss be granted in part, and that the court decline to exercise supplemental  
21 jurisdiction over plaintiff's claims against Roberts.

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23           <sup>2</sup> The acronym "SLAPP" stands for "strategic lawsuit against public participation." Jarrow  
24 Formulas, Inc. v. La Marche, 31 Cal. 4th 728, 732 n.1, 74 P.3d 737, 739 n.1 (2003).

25           <sup>3</sup> The two other defendants named in this action are defendant Mary Henzie and defendant  
26 Chuck Eckerman, who is Henzie's attorney. Henzie filed an answer to the complaint. (Verified  
Answer, Dkt. No. 18; see also Order, May 10, 2011, Dkt. No. 27.) The docket does not reflect  
whether plaintiff has served Eckerman with the summons and complaint.

1           Additionally, the undersigned addresses plaintiff’s fourth claim for relief, which  
2 alleges that defendant Mary Henzie violated plaintiff’s constitutional rights. The undersigned is  
3 inclined to dismiss any such constitutional claim, and in this order provides plaintiff with notice  
4 of the court’s intent to recommend the dismissal of plaintiff’s fourth claim and an opportunity to  
5 file a written opposition to such *sua sponte* dismissal.

6 I.       BACKGROUND

7           Plaintiff filed his verified complaint on March 8, 2011. (Compl., Dkt. No. 1.)  
8 Plaintiff alleges that this court has subject matter jurisdiction over his claims pursuant to  
9 28 U.S.C. §§ 1331, 1332, and 1343. (Id. ¶ 1.)

10           Underlying this action is what can be fairly characterized as contentious divorce  
11 and custody proceedings in the Nevada County Superior Court (“Superior Court”). Plaintiff  
12 alleges that he is a resident of Nevada City, California, who at all relevant times had joint legal  
13 custody of his two biological, minor children, ages ten and eleven, with defendant Mary Henzie,  
14 who is plaintiff’s ex-wife and the children’s biological mother. (Compl. ¶¶ 1, 7, 8, 9.)

15 Implicated by some of plaintiff’s claims is a recommendation allegedly made in the custody  
16 proceedings by “Dr. Eugene Roeder, PhD, a non-party and the duly appointed Parenting Plan  
17 Coordinator.” (See id. ¶ 7.) Plaintiff alleges that on or about January 8, 2010, Dr. Roeder, who  
18 had served as the appointed Parenting Plan Coordinator for eight months, “recommended to the  
19 court that plaintiff be given 50% physical custody of the minor children as a therapeutic remedy  
20 for Parental Alienation Syndrome also referred to as Reluctance/Refusal To Visit, all of which  
21 symptoms and diagnostic indicators were exhibited by the minor children.” (Id.)

22           Relevant here, plaintiff alleges claims against the following individuals: (1) Judge  
23 McManus, who is alleged to be a judge of the Superior Court and was assigned to the custody  
24 action underlying this case in or around January 2010 (see Compl. ¶¶ 4, 10); (2) Leslie Scott,  
25 who is alleged to be a supervisor with Nevada County Child Protective Services (“Nevada  
26 County CPS”) (id. ¶ 15); (3) Caroline Sheller, who is alleged to be the social worker assigned to

1 the Superior Court custody case at issue here (id.); (4) Leane Renee, who is alleged to be an  
2 attorney that was appointed in May 2008 as counsel for the two minor children at issue in the  
3 Superior Court proceedings (id. ¶ 11); (5) Mary Henzie, who is plaintiff’s ex-wife and the  
4 children’s mother; and (6) George A. Roberts, an attorney with whom plaintiff engaged in a  
5 physical altercation and who is alleged to have acted as a “consultant” to Henzie’s attorney in  
6 connection with the plaintiff and Henzie’s family law action (see id. ¶¶ 20-22). Because of the  
7 nature of plaintiff’s claims and the manner in which they are pled, the following recounting of  
8 the allegations in plaintiff’s complaint proceeds claim-by-claim, rather than in a strict,  
9 chronological manner.

10 A. Plaintiff’s First Claim for Relief

11 Plaintiff’s first claim for relief, brought pursuant to 42 U.S.C. § 1983, alleges that  
12 Judge McManus violated plaintiff’s “rights to substantive due process and a familial relationship  
13 with his daughters,” which are provided by the Due Process Clauses of the Fifth and Fourteenth  
14 Amendments to the United States Constitution. (See Compl. ¶ 12.) This claim is alleged only  
15 against Judge McManus, who plaintiff has sued in her “individual and personal capacity” and  
16 who plaintiff alleges “acted in her individual capacity and outside the scope and color of any  
17 legal authority.”<sup>4</sup> (Id. ¶¶ 4, 12-13.) In connection with this claim, plaintiff seeks monetary  
18 damages “in excess of \$1,000,000.00,” punitive damages, and declaratory relief “adjudging” that  
19 Judge McManus violated plaintiff’s civil rights. (Id. ¶ 13; see also id. at p. 15.)

20 Specifically, plaintiff alleges that on October 18, 2010, Judge McManus  
21 “orchestrated a sham proceeding” in the family court that ultimately deprived plaintiff of contact  
22 with his daughters for an extended period of time. (See Compl. ¶¶ 12-13.) Plaintiff alleges that  
23 Judge McManus announced at the October 18, 2010 hearing that she was removing the children  
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25 <sup>4</sup> In paragraph 10 of his complaint, plaintiff makes multiple *ad hominem* attacks on Judge  
26 McManus. (See Compl. ¶ 4.) Because these allegations are not material to the resolution of the  
pending motions, they are not repeated here.

1 from the custody of both parents and ordered that plaintiff and Henzie meet with two Nevada  
2 County CPS social workers to discuss the potential placement of the children with friends or  
3 relatives instead of in foster care. (Id. ¶ 13.) Plaintiff alleges that after the meetings, Judge  
4 McManus ordered that the children be placed in foster care, but that the children were never  
5 placed in foster care. (Id.) He alleges that Judge McManus denied ever making such an order.  
6 (Id.) Plaintiff alleges that as a direct result of the “sham” proceeding, plaintiff “has been allowed  
7 absolutely no contact with the minor children to date, a period now approaching five months.”  
8 (Id.)

9           Additionally, plaintiff alleges that at the October 18, 2010 hearing, Judge  
10 McManus informed Henzie’s attorney that Henzie would be provided with an attorney qualified  
11 to act in dependency actions upon request. (Id.) Plaintiff alleges that he requested such counsel  
12 at that time. (Id.) He alleges that Judge McManus “de facto” terminated his parental rights  
13 without due process and without affording him “assistance of counsel coincident to a California  
14 Welfare and Institutions Code Section 300 et. seq. dependency action and thereby acted outside  
15 and contrary to the scope of her official capacity in depriving him of his constitutional rights.”<sup>5</sup>  
16 (Id.)

17           Plaintiff also alleges a lengthy list of additional acts by Judge McManus that were  
18 “contrary to and outside the scope of her authority as a family court judge making her subject to  
19 personal liability in this action”:

20                     [1] destroying evidence of an email communication by plaintiff, [2] lying  
21                     in denying several statements in the family law case, [3] using intimidation  
22                     tactics and threats of sanctions and incarceration, [4] communicating  
23                     outside of court hearings in her individual capacity ex parte with CPS and  
24                     minors’ counsel to orchestrate deprivation of plaintiff’s familial rights  
25                     regarding his minor daughters, [5] signing orders submitted by the parties  
26                     that contain terms not addressed in any proceedings before the court and/or

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<sup>5</sup> California Welfare and Institutions Code § 317 provides for the appointment of counsel for a parent or guardian in dependency actions “[w]hen it appears to the court that a parent or guardian of the child desires counsel but is presently financially unable to afford and cannot for that reason employ counsel.” See Cal. Welf. & Inst. Code § 317(a)(1).

1 failing to completely read orders that she eventually signed.

2 (Compl. ¶ 13.)

3 B. Plaintiff's Second Claim for Relief

4 Plaintiff's second claim for relief, brought pursuant to 42 U.S.C. § 1983, is  
5 alleged only against Leslie Scott, a Nevada County CPS supervisor, and Caroline Sheller, a  
6 Nevada County CPS social worker. (See Compl. ¶¶ 14-15.) Plaintiff alleges that Scott and  
7 Sheller violated plaintiff's "rights to substantive due process and a familial relationship with his  
8 daughters" provided by the Fifth and Fourteenth Amendments. (Id. ¶ 14.) He seeks monetary  
9 damages "in excess of \$500,000.00 per defendant" and declaratory relief "adjudging" that Scott  
10 and Sheller violated plaintiff's civil rights. (Id.; see also id. at p. 15.)

11 Specifically, plaintiff alleges that beginning on December 5, 2010, he informed  
12 Scott that "he wanted to exercise his rights to visit his minor daughters the maximum amount of  
13 times as soon as possible." (Compl. ¶ 15.) He alleges that although Scott informed plaintiff that  
14 Sheller would arrange visits, he has been "stonewalled" by Sheller and "afforded absolutely no  
15 contact with his minor daughters." (Id.)

16 Plaintiff further alleges that his "requests to learn the identity of the therapist  
17 ostensibly assigned to reunite plaintiff with his daughters have been ignored," and that "[n]o  
18 information of any kind has been provided plaintiff regarding his daughters." (Compl. ¶ 15.)  
19 Plaintiff alleges that Sheller has stated to him that "she is taking directions from the court," but  
20 has refused to answer any of his inquiries. (Id.) He also alleges that plaintiff has not been  
21 "informed of any properly noticed court proceedings regarding the minor children subsequent to  
22 December 1, 2010." (Id.)

23 C. Plaintiff's Third, Fifth, and Sixth Claims for Relief

24 Plaintiff's third, fifth, and sixth claims for relief are only alleged against Leane  
25 Renee, who was appointed by the Superior Court as minors' counsel in May 2008. (See Compl.  
26 ¶¶ 16, 18-19.) Plaintiff's third claim alleges that Renee "committed fraudulent actions in order to

1 deprive plaintiff of his constitutional rights to a familial relationship with his daughters.” (Id.  
2 ¶ 16.) Plaintiff specifically alleges that Renee effectuated this violation through the following  
3 eleven acts:

4 [1] misrepresenting to Judge Thomas Anderson in August 2008 that she  
5 was entitled to seek abridgment of plaintiff’s parenting time ex parte  
6 without notice to plaintiff, [2] misrepresenting to the court that there  
7 existed a restraining order against plaintiff, [3] misrepresenting to the  
8 court that she was attending a conference on the day she had been ordered  
9 to appear for hearing on Dr. Roeder’s recommendation in January 2010,  
10 [4] misrepresenting to the court that the order of the court regarding  
11 parenting time did not conform to the terms ordered in open court,  
12 [5] misrepresenting to the minor children that the parenting plan order  
13 signed by the court was not accurate, [6] falsely denying to the court that  
14 she stated that Dr. Dugan<sup>6</sup> would testify about the role of a parenting plan  
15 coordinator, [7] misrepresenting to the court that the minor children had  
16 made declarations submitted in support of a restraining order,  
17 [8] misrepresenting to the court plaintiff’s actions by editing documents  
18 provided to the court in a clearly misleading fashion, [9] falsely denying to  
19 the court that she had been engaged to appeal a termination of parental  
20 rights by a client that subsequently contacted plaintiff, [10] inserting terms  
21 limiting plaintiff’s contact with his daughters into a proposed order that  
22 were not addressed in the underlying hearing, [11] and amassing a billing  
23 and being paid by the [Superior Court] over \$50,000 at \$70 per hour for  
24 work on the case that was many times more than was warranted by the  
25 circumstances of the case.

16 (Compl. ¶ 16.) Although not part of plaintiff’s express claims against Renee, plaintiff also  
17 alleges that Renee “attempted to prohibit testimony by Dr. Roeder on parental alienation citing  
18 the National Organization of Women party line that Parental Alienation cannot be recognized in  
19 court as it is not yet listed in the DSM-IV.”<sup>7</sup> (Id. ¶ 11.) Plaintiff seeks monetary damages “in  
20 excess of \$1,000,000.00” and declaratory relief “adjudging” that Renee violated plaintiff’s civil  
21 rights. (Id. ¶ 16; see also id. at p. 15.)

22 Plaintiff’s fifth claim for relief alleges that Renee is liable for intentional infliction

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24 <sup>6</sup> Elsewhere in the complaint, plaintiff alleges that “Dr. Dugan” was a “court appointed  
25 evaluator incident to a supplemental custody evaluation conducted in March 2009.” (Compl. ¶ 17.)

26 <sup>7</sup> Plaintiff’s reference to the DSM-IV is an apparent reference to the American Psychiatric  
Association’s Diagnostic and Statistical Manual of Mental Disorders (4th ed. 1994).

1 of emotional distress, and seeks monetary damages “in excess of \$1,000,000.00.” (Compl. ¶ 18.)  
2 Plaintiff alleges in his fifth claim that “Renee read to [plaintiff’s] minor children the statements  
3 she submitted and attributed to them that were contained in the pleading filed seeking a  
4 restraining order against plaintiff.” (Id.)

5 Plaintiff’s sixth claim for relief alleges that Renee is liable for negligent infliction  
6 of emotional distress, and seeks monetary damages “in excess of \$1,000,000.00.” (Compl. ¶ 19.)  
7 As with plaintiff’s fifth claim, plaintiff’s sixth claim alleges that “Renee read to [plaintiff’s]  
8 minor children the statements she submitted and attributed to them that were contained in the  
9 pleading filed seeking a restraining order against plaintiff.” (Id.)

10 D. Plaintiff’s Fourth Claim for Relief

11 Plaintiff’s fourth claim, alleged only against Henzie, is for “fraud in depriving  
12 plaintiff of his rights to a familial relationship.” (Compl. at p. 11.) Specifically, plaintiff alleges  
13 that Henzie “fraudulently deprived him of his constitutional right to a familial relationship with  
14 his daughters by making false accusations of spousal abuse against plaintiff to his minor  
15 daughters and to Dr. Dugan, the court appointed evaluator incident to a supplemental custody  
16 evaluation conducted in March 2009.” (Id. ¶ 17; see also id. ¶ 9 (alleging “false accusations of  
17 spousal abuse leveled by Defendant Mary Henzie”).) Plaintiff seeks damages “in excess of  
18 \$1,000,000.00” and declaratory relief “adjudging” that Henzie violated plaintiff’s civil rights.  
19 (Id. ¶ 17; see also id. at p. 15.)

20 E. Plaintiff’s Seventh and Eighth Claims for Relief

21 Plaintiff’s seventh and eighth claims for relief are alleged only against George A.  
22 Roberts. Both claims arise from a physical altercation that allegedly took place between plaintiff  
23 and Roberts, which is largely unrelated to the Superior Court custody case. However, plaintiff  
24 alleges that Roberts was a “consultant” to Henzie’s attorney in the divorce proceedings (Compl.  
25 ¶ 22), and judicially noticeable documents discussed below refer to the fact that Roberts testified  
26 as an expert witness in plaintiff’s and Henzie’s family law proceedings.



1 Plaintiff's seventh claim alleges that Roberts assaulted and battered plaintiff and  
2 seeks damages "in excess of \$50,000.00." (Compl. ¶ 20.) Plaintiff alleges that "on July 20, 2010  
3 Defendant George A. Roberts committed assault and battery against [plaintiff] by striking  
4 plaintiff in the face and jumping him and forcefully holding him down for approximately one  
5 minute." (Id.)

6 Plaintiff's eighth claim for relief alleges that Roberts slandered plaintiff on two  
7 occasions and seeks "in excess of \$1,000,000.00" in monetary damages. (See Compl. ¶ 21.)  
8 First, plaintiff alleges that on August 23, 2010, Roberts filed a false declaration in a United States  
9 Bankruptcy Court that accused "plaintiff of punching [Roberts] in the area of his pacemaker and  
10 thereby injuring him and causing him to seek medical treatment." (Id.) Second, plaintiff alleges  
11 that "Roberts further slandered plaintiff by falsely stating that [plaintiff] punched him to Jeffrey  
12 Guyton,<sup>[8]</sup> Charles Eckerman, and Leane Renee as well as to other persons presently unknown to  
13 plaintiff." (Id.) Plaintiff alleges damage to his reputation and that "the false allegations were  
14 used against him in connection with his custody litigation regarding his minor daughters." (Id.)

15 F. Plaintiff's Ninth Claim for Relief

16 Plaintiff's ninth claim for relief is a claim for "conspiracy to slander" and is  
17 alleged against Roberts, Renee, Eckerman, and Henzie. (Compl. ¶ 22.) Plaintiff seeks "in excess  
18 of \$1,000,000.00 per defendant." (Id.) Plaintiff alleges that Roberts "acted as a consultant to  
19 Defendant Chuck Eckerman in connection with advising Defendant Eckerman in his capacity as  
20 attorney of record for Defendant Henzie in her family law action." (Id.) Plaintiff alleges that  
21 following the July 20, 2010 altercation between plaintiff and Roberts, Roberts falsely informed  
22 Eckerman that plaintiff had assaulted and injured him. (Id.) Plaintiff further alleges that  
23 Eckerman "passed the slanderous accusation" to Henzie and Renee, and that Henzie and Renee  
24 later "passed the slanderous accusation to Lynette Weiss, the therapist treating the minor children

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25 <sup>8</sup> At the hearing, plaintiff represented that Guyton is an attorney who has no official  
26 connection with the proceedings in the Superior Court that underlie this action.

1 for effects of parental alienation syndrome.” (Id.)

2 II. LEGAL STANDARDS

3 A. Motion to Dismiss For Lack of Federal Subject Matter Jurisdiction

4 A motion to dismiss pursuant to Federal Rules of Civil Procedure 12(b)(1)  
5 or 12(h)(3) challenges the court’s subject matter jurisdiction. Federal district courts are courts of  
6 limited jurisdiction that “may not grant relief absent a constitutional or valid statutory grant of  
7 jurisdiction,” and “[a] federal court is presumed to lack jurisdiction in a particular case unless the  
8 contrary affirmatively appears.” A-Z Int’l v. Phillips, 323 F.3d 1141, 1145 (9th Cir. 2003)  
9 (citations and quotation marks omitted); see also Fed. R. Civ. P. 12(h)(3) (“If the court  
10 determines at any time that it lacks subject matter jurisdiction, the court must dismiss the  
11 action.”). When ruling on a motion to dismiss for lack of subject matter jurisdiction, the court  
12 takes the allegations in the complaint as true. Wolfe v. Strankman, 392 F.3d 358, 362 (9th Cir.  
13 2004). However, the court is not restricted to the face of the pleadings and “may review any  
14 evidence, such as affidavits and testimony, to resolve factual disputes concerning the existence of  
15 jurisdiction.” McCarthy v. United States, 850 F.2d 558, 560 (9th Cir. 1988), cert. denied, 489  
16 U.S. 1052 (1989); see also Warren v. Fox Family Worldwide, Inc., 328 F.3d 1136, 1139 (9th Cir.  
17 2003) (“A jurisdictional challenge under Rule 12(b)(1) may be made either on the face of the  
18 pleadings or by presenting extrinsic evidence.”). “When subject matter jurisdiction is challenged  
19 under Federal Rule of Procedure 12(b)(1), the plaintiff has the burden of proving jurisdiction in  
20 order to survive the motion.” Tosco Corp. v. Cmtys. for a Better Env’t., 236 F.3d 495, 499 (9th  
21 Cir. 2001) (per curiam), abrogated on other grounds by Hertz Corp v. Friend, 130 S. Ct. 1181  
22 (2010); see also Colwell v. Dep’t of Health & Human Servs., 558 F.3d 1112, 1121 (9th Cir.  
23 2009) (“In support of a motion to dismiss under Rule 12(b)(1), the moving party may submit  
24 ‘affidavits or any other evidence properly before the court . . . . It then becomes necessary for the  
25 party opposing the motion to present affidavits or any other evidence necessary to satisfy its  
26 burden of establishing that the court, in fact, possesses subject matter jurisdiction.” (citation

1 omitted, modification in original)).

2 B. Motion to Dismiss For Failure to State A Claim On Which Relief Can Be Granted

3 A motion to dismiss brought pursuant to Federal Rule of Civil Procedure 12(b)(6)  
4 challenges the sufficiency of the pleadings set forth in the complaint. Vega v. JPMorgan Chase  
5 Bank, N.A., 654 F. Supp. 2d 1104, 1109 (E.D. Cal. 2009). Under the “notice pleading” standard  
6 of the Federal Rules of Civil Procedure, a plaintiff’s complaint must provide, in part, a “short and  
7 plain statement” of plaintiff’s claims showing entitlement to relief. Fed. R. Civ. P. 8(a)(2); see  
8 also Paulsen v. CNF, Inc., 559 F.3d 1061, 1071 (9th Cir. 2009), cert. denied, 130 S. Ct. 1053  
9 (2010). “A complaint may survive a motion to dismiss if, taking all well-pleaded factual  
10 allegations as true, it contains ‘enough facts to state a claim to relief that is plausible on its  
11 face.’” Coto Settlement v. Eisenberg, 593 F.3d 1031, 1034 (9th Cir. 2010) (quoting Ashcroft v.  
12 Iqbal, 129 S. Ct. 1937, 1949 (2009)). “‘A claim has facial plausibility when the plaintiff pleads  
13 factual content that allows the court to draw the reasonable inference that the defendant is liable  
14 for the misconduct alleged.’” Caviness v. Horizon Cmty. Learning Ctr., Inc., 590 F.3d 806, 812  
15 (9th Cir. 2010) (quoting Iqbal, 129 S. Ct. at 1949). The court accepts all of the facts alleged in  
16 the complaint as true and construes them in the light most favorable to the plaintiff. Corrie v.  
17 Caterpillar, 503 F.3d 974, 977 (9th Cir. 2007). The court is “not, however, required to accept as  
18 true conclusory allegations that are contradicted by documents referred to in the complaint, and  
19 [the court does] not necessarily assume the truth of legal conclusions merely because they are  
20 cast in the form of factual allegations.” Paulsen, 559 F.3d at 1071 (citations and quotation marks  
21 omitted).

22 The court must construe a pro se pleading liberally to determine if it states a claim  
23 and, prior to dismissal, tell a plaintiff of deficiencies in his complaint and give the plaintiff an  
24 opportunity to cure them if it appears at all possible that the plaintiff can correct the defect. See  
25 Lopez v. Smith, 203 F.3d 1122, 1130-31 (9th Cir. 2000) (en banc); see also Balistreri v. Pacifica  
26 Police Dep’t, 901 F.2d 696, 699 (9th Cir. 1990) (stating that “pro se pleadings are liberally

1 construed, particularly where civil rights claims are involved”). In ruling on a motion to dismiss  
2 pursuant to Rule 12(b)(6), the court “may generally consider only allegations contained in the  
3 pleadings, exhibits attached to the complaint, and matters properly subject to judicial notice.”  
4 Outdoor Media Group, Inc. v. City of Beaumont, 506 F.3d 895, 899 (9th Cir. 2007) (citation and  
5 quotation marks omitted). Additionally, under the “incorporation by reference” doctrine, a court  
6 may also review documents “whose contents are alleged in a complaint and whose authenticity  
7 no party questions, but which are not physically attached to the [plaintiff’s] pleading.” Knievel  
8 v. ESPN, 393 F.3d 1068, 1076 (9th Cir. 2005) (citation omitted and modification in original).<sup>9</sup>

9 C. Anti-SLAPP Special Motion to Strike, Cal. Civ. Proc. Code § 425.16

10 Two of the motions before the court have been brought, in part, pursuant to  
11 California’s anti-SLAPP statute, California Code of Civil Procedure § 425.16. The Ninth Circuit  
12 Court of Appeals has summarized the purpose and general mechanics of California’s anti-SLAPP  
13 statute as follows:

14 The anti-SLAPP statute establishes a procedure to expose and  
15 dismiss meritless and harassing claims that seek to chill the exercise of  
16 petitioning or free speech rights in connection with a public issue.  
17 Analysis of an anti-SLAPP motion to strike involves a two-step process.  
18 First, the defendant must show that the cause of action arises from any “act  
19 of that person in furtherance of the person’s right of petition or free speech  
20 under the United States or California Constitution in connection with a  
21 public issue. . . .” Cal. Code Civ. P. § 425.16(b)(1).

19 If the court determines that the defendant has met this burden, it  
20 must then determine whether the plaintiff has demonstrated a probability  
21 of prevailing on the merits. To establish a probability of prevailing, the  
22 plaintiff must show that “the complaint is both legally sufficient and  
23 supported by a sufficient prima facie showing of facts to sustain a  
24 favorable judgment if the evidence submitted by the plaintiff is credited.”

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23 <sup>9</sup> Although the court may not consider a memorandum in opposition to a defendant’s motion  
24 to dismiss to determine the propriety of a Rule 12(b)(6) motion, see, e.g., Schneider v. Cal. Dep’t  
25 of Corr., 151 F.3d 1194, 1197 n.1 (9th Cir. 1998), it may consider allegations raised in opposition  
26 papers in deciding whether to grant leave to amend, see, e.g., Broam v. Bogan, 320 F.3d 1023, 1026  
n.2 (9th Cir. 2003) (“Facts raised for the first time in plaintiff’s opposition papers should be  
considered by the court in determining whether to grant leave to amend or to dismiss the complaint  
with or without prejudice.”) (citing Orion Tire Corp. v. Goodyear Tire & Rubber Co., 268 F.3d 1133,  
1137-38 (9th Cir. 2001)).

1 Kearny v. Foley & Lardner, LLP, 590 F.3d 638, 648 (9th Cir. 2009) (footnote and citations  
2 omitted); accord Metabolife Int’l, Inc. v. Wornick, 264 F.3d 832, 839-40 (9th Cir. 2001); Jarrow  
3 Formulas, Inc., 31 Cal. 4th at 733, 74 P.3d at 740. “The [anti-SLAPP] statute is to be ‘construed  
4 broadly.’” Mindys Cosmetics, Inc. v. Dakar, 611 F.3d 590, 595 (9th Cir. 2010) (citing Cal. Civ.  
5 Proc. Code § 425.16(a), Vess v. Ciba-Geigy Corp. USA, 317 F.3d 1097, 1109 (9th Cir. 2003)).

6           Generally, a party may bring an anti-SLAPP special motion to strike in federal  
7 court. Thomas v. Fry’s Elecs., Inc., 400 F. 3d 1206, 1206 (9th Cir. 2005) (per curiam); Vess, 317  
8 F.3d at 1109. But such a motion has limited reach. A party may seek to specially strike state law  
9 claims brought in federal court on the basis of the court’s diversity subject matter jurisdiction and  
10 state law claims that are supplemental to federal claims in a federal question jurisdiction matter.  
11 See Hilton v. Hallmark Cards, 599 F.3d 894, 900 n.2 (9th Cir. 2010) (stating that “we have long  
12 held that the anti-SLAPP statute applies to state law claims that federal courts hear pursuant to  
13 their diversity jurisdiction”) (citing United States ex rel. Newsham v. Lockheed Missiles & Space  
14 Co., 190 F.3d 963, 970-73 (9th Cir. 1999)); Globetrotter Software, Inc. v. Elan Computer Group,  
15 Inc., 63 F. Supp. 2d 1127, 1130 (N.D. Cal. 1999) (“[I]t appears that under the *Erie* analysis set  
16 forth in *Lockheed* the anti-SLAPP statute may be applied to state law claims which, as in this  
17 case, are asserted pendent to federal question claims.”). However, a party may not use an anti-  
18 SLAPP special motion to strike to seek the dismissal of claims based on federal law, such as  
19 claims brought pursuant to 42 U.S.C. § 1983. See Hilton, 599 F.3d at 901 (stating that “a federal  
20 court can only entertain anti-SLAPP special motions to strike in connection with state law  
21 claims”); accord Restaino v. Bah (In re Bah), 321 B.R. 41, 46 (B.A.P. 9th Cir. 2005) (holding  
22 that the anti-SLAPP statute does not apply to federal claims); Summit Media LLC v. City of Los  
23 Angeles, 530 F. Supp. 2d 1084, 1094 (C.D. Cal. 2008) (“Several District Courts have determined  
24 that the Anti-SLAPP statute does not apply to federal question claims in federal court because  
25 such application would frustrate substantive federal rights.”); Sonoma Foods, Inc. v. Sonoma  
26 Cheese Factory, LLC, 634 F. Supp. 2d 1009, 1016 (N.D. Cal. 2007) (same).

1 III. DISCUSSION

2 A. This Court Lacks Diversity Jurisdiction Over Any of Plaintiff's Claims

3 Plaintiff alleges that this court has subject matter jurisdiction over his claims  
4 pursuant to 28 U.S.C. §§ 1331, 1332, and 1343. (Compl. ¶ 1.) At the outset, the undersigned  
5 makes clear that this court lacks federal subject matter jurisdiction over plaintiff's claims to the  
6 extent that plaintiff alleges that diversity jurisdiction, as provided in 28 U.S.C. § 1332, permits  
7 the court to hear any of plaintiff's claims. Briefly stated, a lack of complete diversity among the  
8 parties deprives the court of diversity jurisdiction.

9 Federal district courts have diversity jurisdiction over "all civil actions where the  
10 matter in controversy exceeds the sum or value of \$75,000, exclusive of interest and costs," and  
11 the action is between: "(1) citizens of different States; (2) citizens of a State and citizens or  
12 subjects of a foreign state; (3) citizens of different States and in which citizens or subjects of a  
13 foreign state are additional parties; and (4) a foreign state . . . as plaintiff and citizens of a State or  
14 of different States." 28 U.S.C. § 1332; see also Geographic Expeditions, Inc. v. Estate of Lhotka,  
15 599 F.3d 1102, 1106 (9th Cir. 2010). Generally, in an action where subject matter jurisdiction is  
16 premised on the diversity statute, there must be complete diversity of the parties, which means  
17 that all of the plaintiffs have a different state of citizenship than all of the defendants. See, e.g.,  
18 Cook v. AVI Casino Enters., Inc., 548 F.3d 718, 722 (9th Cir. 2008).

19 Here, plaintiff alleges that he is a resident of California and that all of the  
20 defendants named in this action, except for defendant Eckerman, are residents of California.  
21 (Compl. ¶¶ 1, 4.) He alleges that Eckerman is a resident of Arizona. (Id.) Plaintiff's own  
22 allegations substantiate that complete diversity of the parties does not exist in this action because  
23 plaintiff and most of the defendants are residents of the same state. Accordingly, this court lacks  
24 diversity jurisdiction over any of plaintiff's claims. As a result, this court's federal subject matter  
25  
26

1 jurisdiction must be premised on 28 U.S.C. §§ 1331, 1343, and 1367(a).<sup>10</sup>

2 B. The Court Need Not, and Does Not, Convert the Motions to Dismiss and Special  
3 Motions to Strike Into Motions for Summary Judgment

4 One of plaintiff’s primary arguments in opposition to the pending motions is that  
5 the motions should be or must be converted into motions for summary judgment because the  
6 moving defendants rely on matters outside of the pleadings. Plaintiff contends that because the  
7 pending motions should be treated as motions for summary judgment, he should be afforded an  
8 opportunity to conduct discovery before the court rules on the motions.

9 The undersigned declines plaintiff’s invitation to convert the pending motions into  
10 motions for summary judgment. Because the undersigned relies herein on material that may  
11 properly be considered in the context of motions to dismiss pursuant to Federal Rules of Civil  
12 Procedure 12(b)(1) and 12(b)(6), the court need not convert any of the pending motions into a  
13 motion for summary judgment.

14 C. Scott’s and Sheller’s Motion to Dismiss (Claim 2)

15 Only plaintiff’s second claim for relief is alleged against Nevada County CPS  
16 employees Scott and Sheller. In short, plaintiff alleges that Scott and Sheller violated plaintiff’s  
17 “rights to substantive due process and a familial relationship with his daughters” provided by the  
18 Fifth and Fourteenth Amendments. (Compl. ¶ 14.) Scott and Sheller move to dismiss plaintiff’s  
19 second claim for relief on the grounds that: (1) plaintiff cannot allege a cognizable Fifth  
20 Amendment claim because Scott and Sheller are not federal actors; (2) their alleged failure to  
21 assist plaintiff with scheduling visitation with his daughters, or their refusal to facilitate such  
22 visitation, does not constitute a violation of plaintiff’s Fourteenth Amendment rights; (3) their

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24 <sup>10</sup> Plaintiff does not expressly allege that supplemental jurisdiction over his state law claims  
25 exists pursuant to 28 U.S.C. § 1367(a). (See Compl. ¶ 1.) However, it is readily apparent that  
26 subject matter jurisdiction over plaintiff’s state law claims potentially exists by virtue of 28 U.S.C.  
§ 1367(a). As discussed below, Roberts challenges any reliance on supplemental jurisdiction as to  
the state law claims alleged against him.

1 alleged failure to disclose the identity of plaintiff’s daughters’ therapist does not constitute a  
2 violation of plaintiff’s Fourteenth Amendment rights; and (4) they are entitled to absolute quasi-  
3 judicial immunity or, alternatively, qualified immunity.

4 1. Scott’s and Sheller’s Request for Judicial Notice

5 Along with their motion to dismiss, Scott and Sheller filed a request for judicial  
6 notice that asks the court to take judicial notice of a Restraining Order After Hearing (Order of  
7 Protection), signed by Judge McManus and filed in Nevada County Superior Court case number  
8 FL05612 on December 14, 2010 (the “Order of Protection”). (See Req. for Judicial Notice at 1  
9 & Ex. 1.) The Order of Protection, which expires on December 1, 2015, imposes several  
10 restrictions on plaintiff, including a stay-away order that requires plaintiff to stay at least 100  
11 yards away from Henzie, the minor children, and the children’s dog. The undersigned grants the  
12 request for judicial notice because the court may take judicial notice of filings in state court  
13 actions where the state court proceedings have a direct relation to the matters at issue. See, e.g.,  
14 Betker v. U.S. Trust Corp. (In re Heritage Bond Litig.), 546 F.3d 667, 670 n.1, 673 n.8 (9th Cir.  
15 2008) (citing U.S. ex rel. Robinson Rancheria Citizens Council v. Borneo, Inc., 971 F.2d 244,  
16 248 (9th Cir. 1992)); Bias v. Moynihan, 508 F.3d 1212, 1225 (9th Cir. 2007); Cactus Corner,  
17 LLC v. U.S. Dep’t of Agric., 346 F. Supp. 2d 1075, 1092 (E.D. Cal. 2004); see also Reyn’s Pasta  
18 Bella, LLC v. Visa USA, Inc., 442 F.3d 741, 746 n.6 (9th Cir. 2006) (“We may take judicial  
19 notice of court filings and other matters of public record.”).

20 2. Plaintiff Fails To State A Claim For A Violation of the Fifth Amendment

21 Scott and Sheller first argue that plaintiff has not, and cannot, successfully allege  
22 that his Fifth Amendment due process rights were violated by them because they are social  
23 workers employed by Nevada County CPS, and are thus not federal actors. Plaintiff offers no  
24 substantive opposition to this argument, and Scott and Sheller’s argument is well-taken.

25 The Ninth Circuit Court of Appeals has plainly held that “[t]he Due Process  
26 Clause of the Fifth Amendment . . . [applies] only to actions of the federal government—not to



1 those of state or local governments.” Lee v. City of L.A., 250 F.3d 668, 687 (9th Cir. 2001); see  
2 also Bingue v. Prunchak, 512 F.3d 1169, 1174 (9th Cir. 2008) (“The Fifth Amendment’s due  
3 process clause only applies to the federal government.”); Castillo v. McFadden, 399 F.3d 993,  
4 1002 n.5 (9th Cir. 2005) (“The Fifth Amendment prohibits the federal government from  
5 depriving persons of due process, while the Fourteenth Amendment explicitly prohibits  
6 deprivations without due process by the several States.”).

7           Here, plaintiff’s complaint alleges that Scott and Sheller are employed by Nevada  
8 County CPS (Compl. ¶¶ 14-15), and plaintiff does not allege that Scott and Sheller are federal  
9 actors. Accordingly, plaintiff’s second claim for relief is subject to dismissal for failure to state a  
10 claim to the extent that it relies on the Fifth Amendment to substantiate a Section 1983 claim.  
11 Nothing in plaintiff’s complaint suggests that he can cure this pleading deficiency through  
12 amendment, and, therefore, the undersigned recommends that such dismissal be with prejudice.

13           3.       Scott and Sheller Are Not Entitled to Absolute Quasi-Judicial Immunity

14           Scott and Sheller also argue that they are entitled to absolute quasi-judicial  
15 immunity from any Section 1983 claim because they were simply acting in conformity with the  
16 Order of Protection. Plaintiff opposes this immunity argument in part, arguing that “[c]learly, if  
17 Defendants were relying on the Order attached, there is absolutely nothing therein that prohibits  
18 them from revealing the identity of the therapist.” (Pl.’s Opp’n to Scott & Sheller’s Mot. to  
19 Dismiss at 3.) The undersigned concludes that Scott and Sheller are not entitled to absolute  
20 quasi-judicial immunity.

21           “Parties to section 1983 suits are generally entitled only to immunities that existed  
22 at common law.” Beltran v. Santa Clara County, 514 F.3d 906, 908 (9th Cir. 2008) (en banc)  
23 (per curiam). The Ninth Circuit Court of Appeals has held that “[j]udges and those performing  
24 judge-like functions are absolutely immune from damage liability for acts performed in their  
25 official capacities.” Ashelman v. Pope, 793 F.2d 1072, 1075 (9th Cir. 1986) (en banc).  
26 “Absolute immunity is extended to state officials, such as social workers, when they are

1 performing quasi-prosecutorial and quasi-judicial functions.” Tamas v. Dep’t of Social & Health  
2 Servs., 630 F.3d 833, 842 (9th Cir. 2010). “However, social workers are not afforded absolute  
3 immunity for their investigatory conduct, discretionary decisions or recommendations.” Id.

4           Scott and Sheller only argue that they are entitled to absolute immunity because  
5 plaintiff “seeks to hold [them] liable for actions they took pursuant to the Order of Protection  
6 issued by the Nevada County Superior Court.” (Scott & Sheller’s Memo. of P. & A. In Supp. of  
7 Mot. to Dismiss at 8.) They contend, relying on Coverdell v. Department of Social & Health  
8 Services, 834 F.2d 758 (9th Cir. 1987), that they are immune from plaintiff’s Section 1983  
9 claims because their actions were performed in execution of the Order of Protection.

10           In Coverdell, the Ninth Circuit Court of Appeals held that a child protective  
11 services worker was entitled to absolutely immunity from liability in a civil rights action when  
12 she executed a court order by apprehending a newborn child from a hospital where the child and  
13 the mother were recuperating, and then placing the child in temporary shelter care. See  
14 Coverdell, 834 F.2d at 765. The Court of Appeals held that the child protective services worker  
15 enjoyed absolute quasi-judicial immunity because the worker’s acts were “plainly authorized by  
16 the court’s order.” Id.

17           Here, nothing in the Order of Protection plainly authorizes the conduct about  
18 which plaintiff complains. First, plaintiff alleges that Scott and Sheller have prevented all  
19 contact between plaintiff and his daughters, despite an assurance by Scott that Sheller would  
20 arrange visits between plaintiff and the children. (Compl. ¶ 15.) The Order of Protection does  
21 not authorize the prevention of visits or contact between plaintiff and his daughters; to the  
22 contrary, it authorizes supervised visits under specific conditions and permits contact “via email,  
23 letters, telephone or messages.” (See Attachment to DV-130, appended to Order of Protection.)  
24 Additionally, the Order of Protection does not expressly or impliedly authorize any person or  
25 agency to withhold the identity of the children’s therapist. Thus, neither Scott nor Shelley plainly  
26 acted pursuant to the Order of Protection in denying plaintiff visitation or other contact with his

1 children and in withholding the identity of the children’s therapist from plaintiff. Accordingly,  
2 the undersigned concludes that Coverdell is distinguishable from this case, and Scott and Shelley  
3 are not entitled to absolute quasi-judicial immunity. If Scott or Sheller are entitled to any form of  
4 immunity, it must be qualified immunity.

5 4. Scott and Sheller Are Entitled to Qualified Immunity

6 Scott and Sheller further move to dismiss plaintiff’s claims against them on the  
7 ground that they are entitled to qualified immunity. They argue that plaintiff failed to allege facts  
8 sufficient to establish a claim of deprivation of his familial association rights with his daughters  
9 by reason of: (1) Scott and Sheller’s interference with plaintiff’s visitation rights, and (2) Scott  
10 and Sheller’s withholding of the children’s therapist’s identity. They further argue that even  
11 assuming plaintiff has alleged a violation of his substantive due process rights, they are entitled  
12 to qualified immunity because the rights at issue were not clearly established at the time of the  
13 alleged violations.

14 “The doctrine of qualified immunity protects government officials ‘from liability  
15 for civil damages insofar as their conduct does not violate clearly established statutory or  
16 constitutional rights of which a reasonable person would have known.’” Pearson v. Callahan,  
17 129 S. Ct. 808, 815 (2009) (citing Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982)). The United  
18 States Supreme Court has stated that “[b]ecause qualified immunity is ‘an immunity from suit  
19 rather than a mere defense to liability . . . it is effectively lost if a case is erroneously permitted to  
20 go to trial.’”<sup>11</sup> Id. (modification in original) (citing Mitchell v. Forsyth, 472 U.S. 511, 526  
21 (1985)). Prior to the Supreme Court’s decision in Pearson v. Callahan, “courts considering an  
22 official claim of qualified immunity followed the two-step protocol established in Saucier v.

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23  
24 <sup>11</sup> The Supreme Court has “repeatedly . . . stressed the importance of resolving immunity  
25 questions at the earliest possible stage in litigation.” Hunter v. Bryant, 502 U.S. 224, 227 (1991);  
26 see also Dunn v. Castro, 621 F.3d 1196, 1199 (9th Cir. 2010) (finding it “particularly appropriate”  
to address qualified immunity at the pleading stage in light of the Supreme Court’s guidance that  
qualified immunity be addressed at as early a stage in the litigation as possible).

1 Katz, 533 U.S. 194 . . . (2001), which required [courts] first to determine whether the defendant  
2 violated a constitutional right and then to determine whether that right was clearly established.”  
3 See James v. Rowlands, 606 F.3d 646, 650-51 (9th Cir. 2010) (citing Pearson, 129 S. Ct. at 818).  
4 In Pearson, however, “the Supreme Court reversed this earlier rule and gave courts discretion to  
5 grant qualified immunity on the basis of the ‘clearly established’ prong alone, without deciding  
6 in the first instance whether any right had been violated.” Id. at 651 (citing Pearson, 129 S. Ct.  
7 at 818.)

8 To determine whether a right is clearly established, “the court must determine  
9 whether the preexisting law provided the defendants with ‘fair warning’ that their conduct was  
10 unlawful.” Dunn, 621 F.3d at 1199-1200 (citation and quotation marks omitted). The Ninth  
11 Circuit Court of Appeals has “stress[ed] that ‘the right allegedly violated must be defined at the  
12 appropriate level of specificity before a court can determine if it was clearly established.’” Id.  
13 at 1201 (citing Wilson v. Layne, 526 U.S. 603, 615 (1999)). “Whether the law was clearly  
14 established is an objective standard; the defendant’s subjective understanding of the  
15 constitutionality of his or her conduct is irrelevant.” Clairmont v. Sound Mental Health, 632  
16 F.3d 1091, 1109 (9th Cir. 2011). “The plaintiff bears the burden of proving that the rights [he]  
17 claims were ‘clearly established’ at the time of the alleged violation.” Robinson v. York, 566  
18 F.3d 817, 826 (9th Cir. 2009) (citation and quotation marks omitted; modification in original).  
19 And although the “contours of the right must be sufficiently clear that a reasonable official would  
20 understand that what he is doing violates that right, . . . closely analogous preexisting case law is  
21 not required to show that a right was clearly established.” Id. (citations and quotation marks  
22 omitted).

23 “The Fourteenth Amendment’s Due Process Clause protects parents’  
24 well-established liberty interest in the ‘companionship, care, custody, and management of [their]  
25 children.’” James, 606 F.3d at 651 (modification in original) (citing Lassiter v. Dep’t of Soc.  
26 Servs., 452 U.S. 18, 27 (1981)). Parents with no legal or physical custody, but merely visitation

1 rights, still have a liberty interest in the companionship, care, custody, and management of their  
2 children. Id. (citing Brittain v. Hansen, 451 F.3d 982, 992 (9th Cir. 2006)). However, “such a  
3 parent’s right is ‘unambiguously lesser in magnitude than that of a parent with full legal  
4 custody.’” Id. (citing Brittain, 451 F.3d at 992). The Ninth Circuit Court of Appeals has drawn  
5 this distinction between the rights possessed by parents with physical custody of children and  
6 parents with shared legal custody and only court-ordered visitation rights on the basis of “the  
7 obvious reality that visitation is a lesser interest than legal custody.” Brittain, 451 F.3d at 992.

8           Here, there can be no dispute that plaintiff has some liberty interest in the  
9 companionship, care, custody, and management of his daughters under the Fourteenth  
10 Amendment. However, even assuming that this liberty interest would support plaintiff’s  
11 substantive due process claims premised on the failures to schedule visitation and to provide the  
12 identity of the daughters’ therapist to plaintiff, such substantive due process rights were not  
13 clearly established at the time of the alleged violations. Accordingly, as discussed below, Scott  
14 and Sheller are entitled to qualified immunity in regards to plaintiff’s Fourteenth Amendment  
15 substantive due process claims under the “clearly established” prong of the qualified immunity  
16 test.

17           In regards to his claims that he has a substantive due process right to be both free  
18 from interference with his visitation rights by Nevada County CPS’s social workers and to obtain  
19 the identity of his children’s therapist’s identity, plaintiff cites James v. Rowlands, 606 F.3d 646  
20 (9th Cir. 2010), which addressed, in part, a non-custodial parent’s substantive due process right  
21 to be notified of certain information pertaining to a child abuse investigation involving that  
22 parent’s child. In Rowlands, the Ninth Circuit Court of Appeals held that a parent with shared  
23 legal custody, but no physical custody, has a Fourteenth Amendment right to: (1) notification, in  
24 most instances, that a suspected child abuse victim has been taken into protective custody and  
25 placed with another relative; and (2) notification “of a transfer in a minor’s physical custody  
26 when the officials have encouraged and facilitated the transfer,” unless such notification would

1 put the child in imminent danger of serious bodily injury. See id. at 653-55. The Court of  
2 Appeals also noted in James that “officials have a duty to notify parents when an abuse  
3 investigation involves a medical exam,” and, consistent with the Fourth Amendment, “must in  
4 some circumstances notify parents when they detain a suspected child abuse victim.” See id. at  
5 652 n.2.<sup>12</sup>

6 None of the Fourteenth Amendment rights established, or referred to, in James  
7 provides plaintiff with a clearly established substantive due process right to assistance with  
8 arranging visitation with his daughters. Those rights relate to notification when a child is placed  
9 in protective custody or when physical custody is transferred, and the basis for those notification  
10 rights is a parent’s right to an opportunity to seek physical custody of the child under such  
11 circumstances. See James, 606 F.3d at 654-55. Although the Order of Protection here provides  
12 for visitation supervised by Nevada County CPS under certain circumstances, James does not  
13 provide plaintiff with a *constitutional* right to facilitated visitation. And the undersigned has not  
14 uncovered any other mandatory legal authority that even loosely provides such a constitutional  
15 right grounded in substantive due process. Accordingly, Scott and Sheller are entitled to  
16 qualified immunity insofar as plaintiff’s visitation-based substantive due process claim is  
17 concerned.

18 Similarly, James does not establish or refer to a substantive due process right of a  
19 parent with only shared legal, but non-physical, custody rights to notification of a child’s  
20 therapist’s identity. It is clearly established that “[t]he right to family association includes the  
21 right of parents to make important medical decisions for their children, and of children to have  
22 those decisions made by their parents rather than the state.” Wallis v. Spencer, 202 F.3d 1126,

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23  
24 <sup>12</sup> The Court of Appeals specifically declined to resolve whether a parent with shared legal  
25 custody and no physical custody of a child has a Fourteenth Amendment right to: (a) notification of  
26 the existence of allegations of child molestation or a child molestation investigation, and  
the right of parents to make important medical decisions for their children, and of children to have  
those decisions made by their parents rather than the state.” Wallis v. Spencer, 202 F.3d 1126,

1 1141 (9th Cir. 2000). “Moreover, parents have a right arising from the liberty interest in family  
2 association to be with [or at least, nearby] their children while they are receiving medical  
3 attention.” Id. at 1142. Similarly, “children have a corresponding right to the love, comfort, and  
4 reassurance of their parents while they are undergoing medical procedures, including  
5 examinations—particularly those . . . that are invasive or upsetting.” Id.; accord Greene v.  
6 Camreta, 588 F.3d 1011, 1037 (9th Cir. 2009) (stating that “government officials cannot exclude  
7 parents entirely from the location of their child’s physical examination absent parental consent,  
8 some legitimate basis for exclusion, or an emergency requiring immediate medical attention.”),  
9 vacated in part by Camreta v. Greene, No. 09-1454, slip op. (U.S. May 26, 2011). However, as  
10 Scott and Sheller note, these rights have been addressed in the context of intrusive, physical  
11 examinations of children in connection with investigations, not therapeutic counseling. See, e.g.,  
12 Greene, 588 F.3d at 1036-37 (exclusion of parent from an examination room and a nearby  
13 waiting area while child was visually and physically examined in connection with child abuse  
14 investigation violated parent’s clearly established constitutional rights); Wallis, 202 F.3d  
15 at 1141-42 (children subjected to invasive vaginal and anal examinations at the behest of the  
16 police department). It is not clearly established that a parent with visitation rights but without  
17 physical custody has a right to know the identity of a therapist who is treating his or her child,  
18 and plaintiff cites no authority that establishes this proposed constitutional right.

19           Based on plaintiff’s failure to substantiate that Scott and Sheller should have  
20 reasonably been aware of, and violated, plaintiff’s clearly established constitutional rights, Scott  
21 and Sheller are entitled to qualified immunity in regards to plaintiff’s substantive due process  
22 claims. Accordingly, the undersigned recommends that plaintiff’s second claim for relief be  
23 dismissed with prejudice and defendants Scott and Sheller be dismissed from this action.

24           D.     Renee’s Anti-SLAPP Special Motion to Strike and Motion to Dismiss  
25                 (Claims 3, 5, 6, and 9)

26           Renee seeks to specially strike plaintiff’s state law claims and dismiss plaintiff’s

1 claim against her that is potentially premised on federal law. Before turning to the merits of  
2 Renee’s motion, the undersigned briefly addresses Renee’s request for judicial notice.

3 1. Renee’s Request for Judicial Notice

4 Renee asks the court to take judicial notice of: (1) plaintiff’s complaint, (2) an  
5 Order of Appointment of Counsel for Child entered on August 5, 2008, in Nevada County  
6 Superior Court Case No. FL05612 (“Order of Appointment”); (3) a Minor’s Counsel Report filed  
7 in the Superior Court’s Case No. FL05612; and (4) a court hearing transcript prepared in  
8 connection with the Superior Court’s Case No. FL05612.

9 The complaint is already part of this court’s file and, accordingly, the undersigned  
10 need not grant Renee’s request judicial notice of the complaint. However, the undersigned does  
11 grant Renee’s request for judicial notice as to the Order of Appointment, which was filed in the  
12 Superior Court and has a direct relation to the matters at issue. See, e.g., Betker, 546 F.3d at 670  
13 n.1; Bias, 508 F.3d at 1225; Cactus Corner, LLC, 346 F. Supp. 2d at 1092. Because the court  
14 need not review or rely on the Minor’s Counsel Report or the hearing transcript to resolve  
15 Renee’s motions, the undersigned denies Renee’s request for judicial notice as to those two  
16 documents.

17 2. Renee’s Anti-SLAPP Motion

18 Renee’s anti-SLAPP motion is addressed to plaintiff’s state law claims, which  
19 encompass plaintiff’s fifth, sixth, and ninth claims for relief. Those claims seek relief for  
20 intentional infliction of emotional distress, negligent infliction of emotional distress, and  
21 “conspiracy to slander” under California law, respectively, and are the proper subjects of an anti-  
22 SLAPP motion. Plaintiff’s third claim is somewhat ambiguous in that it alleges “fraud in  
23 depriving plaintiff of his rights to a familial relationship”; it alleges acts of fraud and arguably a  
24 violation of plaintiff’s civil rights. (See Compl. ¶ 16.) Although it appears that the third claim is  
25 one for fraud under California law, the undersigned broadly construes this claim, out of an  
26 abundance of caution, as seeking relief pursuant to California law and 42 U.S.C. § 1983.



1 Therefore, only the state law fraud aspect of plaintiff's third claim for relief is the proper subject  
2 of an anti-SLAPP motion.

3 With respect to the first step of the anti-SLAPP analysis, Renee has demonstrated  
4 that plaintiff's state law claims arise from protected activity, i.e., the acts of which plaintiff  
5 complains were taken in furtherance of Renee's right of petition or free speech under the United  
6 States Constitution or the California Constitution in connection with a public issue. See Cal.  
7 Civ. Proc. Code § 425.16(b)(1); see also Jarrow Formulas, Inc., 31 Cal. 4th at 733, 74 P.3d  
8 at 740. Such protected activity expressly includes the following:

9 (1) any written or oral statement or writing made before a legislative,  
10 executive, or judicial proceeding, or any other official proceeding  
11 authorized by law, (2) any written or oral statement or writing made in  
12 connection with an issue under consideration or review by a legislative,  
13 executive, or judicial body, or any other official proceeding authorized by  
14 law, (3) any written or oral statement or writing made in a place open to  
the public or a public forum in connection with an issue of public interest,  
or (4) any other conduct in furtherance of the exercise of the constitutional  
right of petition or the constitutional right of free speech in connection  
with a public issue or an issue of public interest.

15 Cal. Civ. Proc. Code § 425.16(e). The California Supreme Court has held that “[u]nder section  
16 425.16, a defendant moving to strike a cause of action arising from a statement made before, or  
17 in connection with an issue under consideration by, a legally authorized official proceeding need  
18 *not* separately demonstrate that the statement concerned an issue of public significance.” Briggs  
19 v. Eden Council for Hope & Opportunity, 19 Cal. 4th 1106, 1123, 969 P.2d 564, 575 (1999); see  
20 also Flatly v. Mauro, 39 Cal. 4th 299, 323, 139 P.3d 2, 17 (2006).

21 The allegations contained in plaintiff's complaint reflect that Renee's conduct of  
22 which plaintiff complains relates solely to her legal representation of the minor children in  
23 matters before the Superior Court. Plaintiff's third, fifth, and sixth claims for relief are premised  
24 on Renee's alleged legal arguments, representations, or misrepresentations to the Superior Court,  
25 or Renee's alleged representations or misrepresentations to her clients regarding matters pending  
26 before the Superior Court. (See Compl. ¶¶ 11, 16, 18-19.) Plaintiff's ninth claim for relief

1 alleges that Renee conspired to slander plaintiff by passing along a representation to the therapist  
2 treating plaintiff's children in connection with the Superior Court proceedings. (See id. ¶ 22.)  
3 California courts have held that claims against an attorney arising out of that attorney's  
4 representation of his or her client are the proper subject of an anti-SLAPP special motion to  
5 strike. See, e.g., Rusheen v. Cohen, 37 Cal. 4th 1048, 1056, 128 P.3d 713, 717-18 (2006) ("A  
6 cause of action 'arising from' defendant's litigation activity may appropriately be the subject of a  
7 section 425.16 motion to strike. . . . This includes qualifying acts committed by attorneys in  
8 representing clients in litigation." (citations and quotation marks omitted).); Jarrow Formulas,  
9 Inc., 31 Cal. 4th at 733, 74 P.3d at 740 (holding that a malicious prosecution claim arising from  
10 attorney's representation of client was subject to anti-SLAPP statute); Zamos v. Stroud, 32 Cal.  
11 4th 958, 965, 87 P.3d 802, 806 (2004) (same); Daniels v. Robbins, 182 Cal. App. 4th 204, 214-  
12 15, 105 Cal. Rptr. 3d 683, 691 (Ct. App. 2010) (noting lack of dispute that attorney's oral and  
13 written statements in prior judicial proceedings that gave rise to claims of malicious prosecution,  
14 abuse of process, negligence, and intentional infliction of emotional distress were protected  
15 activity under the anti-SLAPP statute); Cabral v. Martins, 177 Cal. App. 4th 471, 479-83, 99 Cal.  
16 Rptr. 3d 394, 401-04 (Ct. App. 2009) (claims arising from attorney's revision of a will, lodging  
17 of a will with the probate court, and representation of clients in judicial proceedings were subject  
18 to anti-SLAPP motion); Dowling v. Zimmerman, 85 Cal. App. 4th 1400, 1418-20, 103 Cal. Rptr.  
19 2d 174, 188-90 (Ct. App. 2001) (attorney's representation of clients in prior unlawful detainer  
20 action that gave rise to plaintiff's claims of defamation, misrepresentation, and intentional and  
21 negligent infliction of emotional distress against the attorney constituted protected activity).  
22 Accordingly, Renee has met the threshold requirement in regards to her special motion to strike.<sup>13</sup>

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24 <sup>13</sup> Plaintiff argues that the family law and custody proceedings in the Superior Court involve  
25 private matters and are thus outside of the scope of the anti-SLAPP statute's reach because those  
26 proceedings do not involve public issues. This argument lacks merit in light of the definition of  
protected activity provided in California Code of Civil Procedure § 425.16(e) and the California  
Supreme Court's decision in Briggs, 19 Cal. 4th at 1123, 969 P.2d at 575, which provides that "a

1           Because Renee has met her initial burden under the anti-SLAPP framework, the  
2 burden would ordinarily shift to plaintiff to demonstrate a probability of prevailing on the merits,  
3 i.e., that his complaint is “both legally sufficient and supported by a sufficient prima facie  
4 showing of facts to sustain a favorable judgment if the evidence submitted by the plaintiff is  
5 credited.” Kearny, 590 F.3d at 648. Here, however, plaintiff is unable to make such a showing  
6 as a matter of law because Renee’s conduct, insofar as plaintiff’s state law claims are concerned,  
7 is protected by California’s litigation privilege.

8           California’s litigation privilege, found at California Civil Code § 47(b), provides,  
9 in part, that a publication or broadcast made as part of a judicial proceeding is privileged. See  
10 also Action Apartment Ass’n, Inc. v. City of Santa Monica, 41 Cal. 4th 1232, 1241, 163 P.3d 89,  
11 95 (2007). The California Supreme Court summarized this litigation privilege as follows:

12           This privilege is absolute in nature, applying to all publications,  
13 irrespective of their maliciousness. The usual formulation is that the  
14 privilege applies to any communication (1) made in judicial or  
15 quasi-judicial proceedings; (2) by litigants or other participants authorized  
16 by law; (3) to achieve the objects of the litigation; and (4) that [has] some  
17 connection or logical relation to the action. The privilege is not limited to  
18 statements made during a trial or other proceedings, but may extend to  
19 steps taken prior thereto, or afterwards.

20 Id. (citations and internal quotation marks omitted, modification in original). California’s  
21 highest court has given this privilege “a broad interpretation” in furtherance of the purpose of the  
22 privilege, which is “to afford litigants and witnesses . . . the utmost freedom of access to the  
23 courts without fear of being harassed subsequently by derivative tort actions.” Id. Although the  
24 privilege was originally enacted with reference to claims of defamation, ““the privilege is now  
25 held applicable to any communication, whether or not it amounts to a publication . . . , and all  
26 torts except malicious prosecution.”” Rusheen, 37 Cal. 4th at 1057, 128 P.3d at 718 (quoting

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defendant moving to strike a cause of action arising from a statement made before, or in connection  
with an issue under consideration by, a legally authorized official proceeding need *not* separately  
demonstrate that the statement concerned an issue of public significance.”

1 Silberg v. Anderson, 50 Cal. 3d 205, 212, 786 P.2d 365 (1990)); see also Action Apartment  
2 Ass’n, Inc., 41 Cal. 4th at 1241-42 (noting that the litigation privilege applies to claims of  
3 slander, libel, and abuse of process, among other types of claims). The privilege applies  
4 “regardless whether the communication was made with malice or the intent to harm” and “does  
5 not depend on the publisher’s motives, morals, ethics or intent.” Kashian v. Harriman, 98 Cal.  
6 App. 4th 892, 913, 120 Cal. Rptr. 2d 576, 592 (Ct. App. 2002) (citation and quotation marks  
7 omitted). Moreover, “where the gravamen of the complaint is a privileged communication . . .  
8 the privilege extends to necessarily related noncommunicative acts. . . .” Rusheen, 37 Cal. 4th at  
9 1062, 128 P.3d at 722. “Any doubt as to whether the privilege applies is resolved in favor of  
10 applying it.” Adams v. Superior Court, 2 Cal. App. 4th 521, 529, 3 Cal. Rptr. 2d 49, 53 (Ct.  
11 App. 1992); accord Lambert v. Carneghi, 158 Cal. App. 4th 1120, 1138, 70 Cal. Rptr. 3d 626,  
12 639 (Ct. App. 2008).

13           Here, plaintiff’s claims of fraud, intentional infliction of emotional distress,  
14 negligent infliction of emotional distress, and slander are tort claims covered by the litigation  
15 privilege. See Action Apartment Ass’n, Inc., 41 Cal. 4th at 1241-42 (noting that the litigation  
16 privilege has been applied to claims of, among others, slander, intentional infliction of emotional  
17 distress, and fraud); Walker v. Kiouisis, 93 Cal. App. 4th 1432, 1440, 114 Cal. Rptr. 2d 69, 76  
18 (Ct. App. 2001) (holding that the litigation privilege applies to claims of intentional and  
19 negligent infliction of emotional distress). And the allegations in plaintiff’s complaint reflect  
20 that all of plaintiff’s state law claims alleged against Renee arise out of Renee’s representation of  
21 the minor children as court-appointed counsel in, or in connection with, court proceedings. Thus,  
22 Renee’s actions are absolutely privileged. As a result, plaintiff cannot demonstrate that he is  
23 entitled to a favorable judgment on his state law claims against Renee. Accordingly, the  
24 undersigned recommends that all of plaintiff’s state law claims against Renee be dismissed with  
25  
26

1 prejudice because Renee is absolutely immune under California’s litigation privilege.<sup>14</sup>

2 3. Renee’s Motion to Dismiss Plaintiff’s Section 1983 Claim

3 As discussed above, plaintiff’s third claim for relief arguably alleges a claim that  
4 Renee violated plaintiff’s Fifth and Fourteenth Amendment rights, and implicates 42 U.S.C.  
5 § 1983. Recognizing this possibility, Renee moves to dismiss any Section 1983 claim potentially  
6 alleged by plaintiff on the ground that Renee, as court-appointed counsel for the minors, was not  
7 acting under color of state law. Plaintiff does not substantively oppose this aspect of Renee’s  
8 motion.

9 Generally, with respect to individual defendants, “Section 1983 imposes civil  
10 liability upon an individual who under color of state law subjects or causes, any citizen of the  
11 United States to the deprivation of any rights, privileges or immunities secured by the  
12 Constitution and laws.” Franklin v. Fox, 312 F.3d 423, 444 (9th Cir. 2002) (citing 42 U.S.C.  
13 § 1983). “To state a claim under § 1983, a plaintiff must allege two essential elements: (1) that a  
14 right secured by the Constitution or laws of the United States was violated, and (2) that the  
15 alleged violation was committed by a person acting under the color of State law.” Long v.  
16 County of L.A., 442 F.3d 1178, 1185 (9th Cir. 2006) (citing West v. Atkins, 487 U.S. 42, 48  
17 (1988)); accord Karim-Panahi v. L.A. Police Dep’t, 839 F.2d 621, 624 (9th Cir. 1988) (“To make  
18 out a cause of action under section 1983, plaintiffs must plead that (1) the defendants acting  
19 under color of state law (2) deprived plaintiffs of rights secured by the Constitution or federal  
20 statutes” (citation omitted).).

21 Here, plaintiff alleges in his third claim that Renee deprived him of his rights to  
22 familial association, which plaintiff alleges elsewhere are provided by the Fifth and Fourteenth  
23

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24 <sup>14</sup> Because the undersigned concludes that California’s litigation privilege applies to all of  
25 plaintiff’s state law claims against Renee, the court does not reach Renee’s immunity argument  
26 premised on the Noerr-Pennington doctrine. Generally, “[u]nder the Noerr-Pennington doctrine,  
those who petition all departments of the government for redress are generally immune from  
liability.” Empress LLC v. City & County of San Francisco, 419 F.3d 1052, 1056 (9th Cir. 2005).

1 Amendments to the United States Constitution.<sup>15</sup> (Compl. ¶ 16.) However, plaintiff has not  
2 alleged that Renee was acting under color of state law, and cannot successfully amend his  
3 complaint to remedy this pleading deficiency.

4 Renee is a private attorney and thus a private actor. To determine whether a  
5 private actor acts under color of state law, the court evaluates whether the alleged infringement of  
6 federal rights is “fairly attributable” to the government even though committed by private actors.  
7 Kirtley v. Rainey, 326 F.3d 1088, 1092 (9th Cir. 2003). Insofar as the alleged constitutional  
8 violations are concerned, Renee acted as court-appointed counsel for the minor children and  
9 represented them in connection with court proceedings. Such representation does not support an  
10 allegation that Renee’s acts were fairly attributable to the state such that she was acting under  
11 color of state law. See, cf., Polk County v. Dodson, 454 U.S. 312, 325 (1981) (holding that a  
12 public defender does not act under color of state law when performing traditional functions as  
13 counsel in a criminal proceeding); accord Miranda v. Clark County, Nev., 319 F.3d 465, 468 (9th  
14 Cir. 2003) (en banc) (same); see also Kirtley, 326 F.3d at 1092-96 (holding that a private attorney  
15 appointed by the state to represent a minor in court proceedings as guardian ad litem does not act  
16 under color of state law for the purpose of a Section 1983 claim); Malachowski v. City of Keene,  
17 787 F.2d 704, 710 (1st Cir. 1986) (per curiam) (holding that a private attorney appointed by court  
18 to represent minor in state court juvenile delinquency proceedings does not act under color of  
19 state law for the purpose of a Section 1983 claim), cert. denied, 479 U.S. 828 (1986); accord  
20 Deluz v. Law Offices of Frederick S. Cohen, No. CIV S-10-0809 GEB DAD PS, 2011 WL  
21 677914, at \*4-5 (E.D. Cal. Feb. 17, 2011) (unpublished) (collecting cases and concluding that

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22  
23 <sup>15</sup> As with defendants Scott and Sheller, a claim against Renee premised on the Due Process  
24 Clause of the Fifth Amendment is subject to dismissal. As noted above, “[t]he Due Process Clause  
25 of the Fifth Amendment . . . [applies] only to actions of the federal government—not to those of state  
26 or local governments.” Lee, 250 F.3d at 687; accord Bingue, 512 F.3d at 1174; Castillo, 399 F.3d  
at 1002 n.5. Plaintiff has not alleged that Renee is a federal actor. Moreover, plaintiff specifically  
alleges that Renee was appointed by the Superior Court. (Compl. ¶ 11; see also Order of  
Appointment.) Accordingly, plaintiff’s third claim cannot proceed to the extent that it alleges a  
violation of plaintiff’s Fifth Amendment rights.

1 attorney appointed to represent minor in child custody proceedings did not act under color of  
2 state law). Insofar as her individual acts are concerned, Renee acted as private individual, not a  
3 state actor. Accordingly, the undersigned recommends that plaintiff's third claim for relief be  
4 dismissed with prejudice to the extent that it alleges a claim under 42 U.S.C. § 1983. Moreover,  
5 for all of the reasons set forth above, the undersigned recommends that defendant Renee be  
6 completely dismissed from this action.

7 E. Judge McManus' Motion to Dismiss (Claim 1)

8 Judge McManus moves to dismiss plaintiff's first claim for relief, which  
9 encompasses numerous allegations of wrongdoing committed by Judge McManus in the Superior  
10 Court proceedings. Specifically, Judge McManus moves to dismiss plaintiff's claims on the  
11 grounds that: (1) this court lacks jurisdiction over plaintiff's claims against her under the Rooker-  
12 Feldman doctrine; (2) this court lacks jurisdiction under the domestic relations exception to  
13 federal jurisdiction; (3) this court should abstain from hearing plaintiff's claims under the  
14 abstention doctrine announced in Younger v. Harris, 401 U.S. 37 (1971); (4) on the merits,  
15 Eleventh Amendment immunity bars all of plaintiff's claims against her; and (5) on the merits,  
16 she is entitled to absolute judicial immunity.

17 1. The Rooker-Feldman Doctrine Does Not Currently Apply to this Action

18 Judge McManus first argues that the Rooker-Feldman doctrine bars plaintiff's  
19 claims. In short, she argues that plaintiff is improperly attempting to either directly appeal the  
20 Superior Court's rulings to this federal court or seek review of issues that are "inextricably  
21 intertwined" with those rulings. The undersigned concludes that the Rooker-Feldman doctrine  
22 does not currently apply here.

23 "Under Rooker-Feldman, a federal district court does not have subject matter  
24 jurisdiction to hear a direct appeal from the final judgment of a state court." Noel v. Hall, 341  
25 F.3d 1148, 1154 (9th Cir. 2003). The Supreme Court has stated that the Rooker-Feldman  
26 doctrine occupies "narrow ground," Skinner v. Switzer, 131 S. Ct. 1289, 1297 (2011), and has

1 held that it is “confined to cases of the kind from which the doctrine acquired its name: cases  
2 brought by state-court losers complaining of injuries caused by state-court judgments rendered  
3 before the district court proceedings commenced and inviting district court review and rejection  
4 of those judgments,” Exxon Mobile Corp. v. Saudi Basic Indus. Corp., 544 U.S. 280, 284  
5 (2005).<sup>16</sup> “[W]hen a losing plaintiff in state court brings a suit in federal district court asserting  
6 as legal wrongs the allegedly erroneous legal rulings of the state court and seeks to vacate or set  
7 aside the judgment of that court, the federal suit is a forbidden de facto appeal.” Noel, 341 F.3d  
8 at 1156. “Once a federal plaintiff seeks to bring a forbidden de facto appeal . . . , that federal  
9 plaintiff may not seek to litigate an issue that is ‘inextricably intertwined’ with the state court  
10 judicial decision from which the forbidden de facto appeal is brought.” Id. at 1158.

11 As a fundamental matter, the Rooker-Feldman doctrine does not currently apply  
12 here because there is no evidence that plaintiff is attempting to directly or indirectly appeal a  
13 *judgment* of the Superior Court entered before plaintiff commenced this federal court action. The  
14 Supreme Court’s most recent formulations of this narrow doctrine require that the appeal sought  
15 by the “state-court loser” be of a state-court judgment rendered before the district court  
16 proceedings commenced. See Exxon Mobile Corp., 544 U.S. at 284; accord Skinner, 131 S. Ct.  
17 at 1297. Here, neither plaintiff’s complaint nor Judge McManus’ motion evidences a state court

18  
19 <sup>16</sup> In Noel v. Hall, the Ninth Circuit Court of Appeals provided the following “general  
20 formulation” of the Rooker-Feldman doctrine:

21 If a federal plaintiff asserts as a legal wrong an allegedly erroneous decision  
22 by a state court, and seeks relief from a state court judgment based on that  
23 decision, Rooker-Feldman bars subject matter jurisdiction in federal district  
24 court. If, on the other hand, a federal plaintiff asserts as a legal wrong an  
25 allegedly illegal act or omission by an adverse party, Rooker-Feldman does  
26 not bar jurisdiction. If there is simultaneously pending federal and state court  
litigation between the two parties dealing with the same or related issues, the  
federal district court in some circumstances may abstain or stay proceedings;  
or if there has been state court litigation that has already gone to judgment,  
the federal suit may be claim-precluded under § 1738. But in neither of these  
circumstances does Rooker-Feldman bar jurisdiction.

Noel, 341 F.3d at 1164.



1 judgment that is the subject of any de facto appeal and, moreover, every indication from the  
2 complaint and plaintiff's representations at the hearing is that the Superior Court proceedings are  
3 not yet complete. See H.C. ex. rel. Gordon v. Koppel, 203 F.3d 610, 612-13 (9th Cir. 2000)  
4 (holding that the Rooker-Feldman doctrine did not bar claims where state court custody  
5 proceedings were ongoing, no final state court judgment had been entered, and the Court of  
6 Appeals was not asked to review a state judgment of an interlocutory nature). Accordingly,  
7 Rooker-Feldman does not apply.

8           Additionally, plaintiff represents in his written opposition that he is not asking this  
9 court to review any judgment of the Superior Court that would be subject to review by the  
10 California Court of Appeal. (See Pl.'s Opp'n to Judge McManus' Mot. to Dismiss at 4 (stating  
11 that "plaintiff does not seek in this action to affect any state court judgments or rulings, those  
12 being the subject of appellate jurisdiction").) Rather, he asserts that his "complaint puts into  
13 issue the damages suffered by plaintiff as a proximate result of the actions of Defendant  
14 McManus as an individual when she was acting in clear excess of jurisdiction." (Id.)

15           Nevertheless, Judge McManus argues that "even though Plaintiff is not asking this  
16 court to directly overrule the superior court, his claim for monetary damages is 'inextricably  
17 intertwined' with [*sic*] underlying action and is barred by the Rooker-Feldman doctrine." (Judge  
18 McManus' Reply Br. at 3.) However, the Rooker-Feldman doctrine's "inextricably intertwined"  
19 rule does not apply when, as in this case, there has been no "de facto" appeal. As summarized in  
20 Noel:

21           The premise for the operation of the "inextricably intertwined" test in  
22 Feldman is that the federal plaintiff is seeking to bring a forbidden de facto  
23 appeal. The federal suit is not a forbidden de facto appeal because it is  
24 "inextricably intertwined" with something. Rather, it is simply a  
25 forbidden de facto appeal. Only when there is already a forbidden de facto  
26 appeal in federal court does the "inextricably intertwined" test come into  
play: Once a federal plaintiff seeks to bring a forbidden de facto appeal, as  
in Feldman, that federal plaintiff may not seek to litigate an issue that is  
"inextricably intertwined" with the state court judicial decision from  
which the forbidden de facto appeal is brought.

1 Noel, 341 F.3d at 1158; accord Manufactured Home Cmtys. Inc. v. City of San Jose, 420 F.3d  
2 1022, 1030 (9th Cir. 2005); Maldonado v. Harris, 370 F.3d 945, 950 (9th Cir. 2004). Here, the  
3 parties appear to agree that plaintiff’s claims against Judge McManus do not constitute a de facto  
4 appeal. Accordingly, a condition precedent to application of the “inextricably intertwined” rule  
5 is absent. Therefore, for this additional reason, the Rooker-Feldman doctrine does not provide a  
6 jurisdictional bar to plaintiff’s claims against Judge McManus.

7 2. The Domestic Relations Exception Does Not Deprive This Court of  
8 Jurisdiction Over Plaintiff’s Claims

9 In another jurisdiction-based argument, Judge McManus argues that the domestic  
10 relations exception to federal jurisdiction bars plaintiff’s claims against her because plaintiff’s  
11 claims pertain to decisions affecting the marital and custody relationships of plaintiff, Henzie,  
12 and their two children. The undersigned concludes that the domestic relations exception does not  
13 apply in this action.

14 As noted by the Ninth Circuit Court of Appeals, the “Supreme Court has long  
15 recognized that, when the relief sought relates primarily to domestic relations, a doctrine referred  
16 to as the domestic relations exception divests federal courts of jurisdiction.” Atwood v. Fort  
17 Peck Tribal Court Assiniboine, 513 F.3d 943, 947 (9th Cir. 2008). However, the Court of  
18 Appeals has held that “the domestic relations exception applies only to the diversity jurisdiction  
19 statute.” Id.

20 Here, plaintiff’s claims against Judge McManus are alleged pursuant to 42 U.S.C.  
21 § 1983, and federal subject matter jurisdiction is premised on the court’s federal question  
22 jurisdiction provided in 28 U.S.C. § 1331. Although plaintiff attempted to invoke the diversity  
23 jurisdiction statute as to his claims against a single defendant, Roberts, the undersigned  
24 concluded above that this court lacks diversity jurisdiction to hear any of plaintiff’s claims.  
25 Because this action is not, and cannot be, premised on diversity jurisdiction, the domestic  
26 relations exception does not apply here.

1                   3.       Younger Abstention Is Appropriate Here

2                   Judge McManus further argues that the court should abstain from hearing  
3 plaintiff's claims against her based on the abstention doctrine derived from the matter of  
4 Younger v. Harris, 401 U.S. 37 (1971). The undersigned recommends that the court abstain from  
5 hearing plaintiff's claims against Judge McManus.

6                   Abstention under Younger, through which a federal court seeks to avoid  
7 interference with state court proceedings, "is a jurisprudential doctrine rooted in overlapping  
8 principles of equity, comity, and federalism." San Jose Silicon Valley Chamber of Commerce  
9 Political Action Comm. v. City of San Jose, 546 F.3d 1087, 1091-92 (9th Cir. 2008) (footnote  
10 omitted). A federal court "must abstain under Younger if four requirements are met: (1) a  
11 state-initiated proceeding is ongoing; (2) the proceeding implicates important state interests;  
12 (3) the federal plaintiff is not barred from litigating federal constitutional issues in the state  
13 proceeding; and (4) the federal court action would enjoin the proceeding or have the practical  
14 effect of doing so, i.e., would interfere with the state proceeding in a way that Younger  
15 disapproves." Id. at 1092 (citing Gilbertson v. Albright, 381 F.3d 965, 978 (9th Cir. 2004) (en  
16 banc)). Younger abstention applies not only where a federal action would interfere with a state  
17 criminal proceeding, but also "to federal cases that would interfere with state civil cases and state  
18 administrative proceedings." Id. (citing Ohio Civil Rights Comm'n v. Dayton Christian Sch.,  
19 Inc., 477 U.S. 619, 627 (1986)). Abstention under Younger is the "exception rather than the  
20 rule." Id. (citing AmerisourceBergen Corp. v. Roden, 495 F.3d 1143, 1148 (9th Cir. 2007)  
21 ("[W]hen each of an abstention doctrine's requirements are not strictly met, the doctrine should  
22 not be applied.")).

23                   Here, the allegations in plaintiff's complaint and plaintiff's representations at the  
24 hearing indicate that state court-initiated proceedings were ongoing at the time plaintiff filed his  
25 federal action and are still proceeding in the Superior Court. Accordingly, the first condition  
26 precedent to application of Younger abstention has been satisfied.

1           It is also beyond dispute that the divorce and custody proceedings in the Superior  
2 Court implicate important state interests, i.e., domestic and family relations. “Family relations  
3 are a traditional area of state concern.” Koppel, 203 F.3d at 613 (quoting Moore v. Sims, 442  
4 U.S. 415 (1979)). Additionally, “a state has a vital interest in protecting ‘the authority of the  
5 judicial system, so that its orders and judgments are not rendered nugatory.’” Id. (quoting Judice  
6 v. Vail, 430 U.S. 327, 336 n.12 (1977)). As a result, the second requirement of the abstention  
7 doctrine has been met.

8           Next, neither plaintiff’s complaint nor his representations at the hearing provides  
9 the court with any reason to believe that plaintiff would be barred from litigating his  
10 constitutional claims in the California state courts. Indeed, plaintiff noted at the hearing that he  
11 already pursued one appeal to the California Court of Appeal, although that appeal was dismissed  
12 on the grounds that plaintiff appealed a non-appealable order. See Berman v. Berman (In re  
13 Marriage of Berman), No. C062998, 2010 WL 4948488 (Cal. Ct. App. Dec. 7, 2010)  
14 (unpublished). Based on plaintiff’s comments at the hearing, his concern appears to be that he  
15 will be unsuccessful in state court. That reason is not sufficient to preclude application of an  
16 otherwise appropriate abstention doctrine. Thus, the third Younger abstention requirement has  
17 been met.

18           Additionally, the final Younger abstention requirement favors abstention because  
19 plaintiff’s federal court action would have the practical effect of enjoining the proceedings in the  
20 Superior Court. Plaintiff does not seek an express injunction that directs the hand of the Superior  
21 Court. However, plaintiff seeks damages and a declaration that Judge McManus violated  
22 plaintiff’s civil rights, both of which would have the effect of effectively invalidating the  
23 decisions of the Superior Court and directing the future proceedings in that court. See  
24 Gilbertson, 381 F.3d at 977-80 (noting that the Supreme Court “extended Younger beyond  
25 injunctions to declaratory judgments because a declaration has the same practical effect on a state  
26 court proceeding as an injunction,” and holding that Younger applies to damages actions). Based

1 on the foregoing, all of the requirements upon which application of Younger abstention is  
2 conditioned are met in this case, and, accordingly, the undersigned recommends that the court  
3 abstain from hearing plaintiff's claims against Judge McManus.

4           Should the district judge assigned to this case differ in his view of abstention in  
5 this case, however, the undersigned addresses Judge McManus' remaining, merits-based  
6 arguments below. Although not framed conditionally, the discussion below addressing Judge  
7 McManus' potential Eleventh Amendment immunity and absolute judicial immunity doctrine is  
8 an alternative to abstention.

9           4.       The Eleventh Amendment Bars Plaintiff's Damages Claims Against Judge  
10                   McManus, But Only to the Extent that Those Claims Are Alleged Against  
11                   Judge McManus In Her Official Capacity

12           Judge McManus argues that if the court reaches the merits of plaintiff's claims  
13 against her, the immunity from suit provided in the Eleventh Amendment warrants the dismissal  
14 of all of plaintiff's claims against her. Although the undersigned agrees that Judge McManus is  
15 entitled to Eleventh Amendment immunity, that immunity only reaches plaintiff's claims alleged  
16 against Judge McManus in her official capacity. Because plaintiff alleges his claims against  
17 Judge McManus in her individual and personal capacities, the Eleventh Amendment is of limited  
18 reach.

19           The Eleventh Amendment prohibits federal courts from hearing suits brought  
20 against a state by its own citizens or citizens of other states. See Brooks v. Sulphur Springs  
21 Valley Elec. Coop., 951 F.2d 1050, 1053 (9th Cir. 1991). State officials sued for monetary  
22 damages in their official capacities are not "persons" within the meaning of Section 1983 and,  
23 therefore, are generally entitled to the Eleventh Amendment immunity because such a suit is  
24 viewed as one against the state itself. Flint v. Dennison, 488 F.3d 816, 824-25 (9th Cir. 2007);  
25 see also Hafer v. Melo, 502 U.S. 21, 25 (1991) ("Although state officials literally are persons, an  
26 official-capacity suit against a state officer is not a suit against the official, but rather is a suit  
against the official's office. As such it is no different from a suit against the State itself" (citation

1 and quotation marks omitted).). In addition, claims seeking relief premised solely on a state’s  
2 compliance with state law are barred by the Eleventh Amendment. See Suever v. Connell, 439  
3 F.3d 1142, 1148 (9th Cir. 2006). However, Eleventh Amendment immunity for state officials  
4 acting in their official capacities is limited to claims for damages and does not apply to a claim  
5 for prospective injunctive relief to remedy ongoing violations of federal law. See id.; Flint, 488  
6 F.3d at 825 (citing Will v. Mich. Dep’t of State Police, 491 U.S. 58, 71 n.10 (1989)).

7 Here, plaintiff has sued Judge McManus in “her individual and personal capacity”  
8 (Compl. ¶ 4), and it is unclear whether he intended to sue Judge McManus in her official  
9 capacity. As a state official, Judge McManus is plainly immune from suit under the Eleventh  
10 Amendment to the extent that plaintiff has alleged claims for damages against Judge McManus  
11 in her official capacity.<sup>17</sup>

12 However, Judge McManus is not immune from suit to the extent that plaintiff  
13 sued her in her individual or personal capacities. The Supreme Court has held that “state  
14 officials, sued in their individual capacities, are ‘persons’ within the meaning of § 1983.” Hafer,  
15 502 U.S. at 31. Thus, “the Eleventh Amendment does not erect a barrier against suits to impose  
16 ‘individual and personal liability’ on state officials under § 1983.” Id. at 30-31 (citation  
17 omitted). The Ninth Circuit Court of Appeals has held that to succeed on the merits of a claim  
18 seeking to impose personal liability on a state official, “a plaintiff must show only that ‘the  
19 official, acting under color of state law, caused the deprivation of a federal right.’” Suever v.  
20 Connell, 579 F.3d 1047, 1061 (9th Cir. 2009) (citing Hafer, 502 U.S. at 25). In such a case, “the  
21 Eleventh Amendment is not implicated, because the claim is truly against the individual, not the  
22 State.” Id.<sup>18</sup>

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24 <sup>17</sup> Plaintiff does not seek prospective injunctive relief insofar as Judge McManus is  
25 concerned.

26 <sup>18</sup> Judge McManus argues that she is immune from liability under the Eleventh Amendment  
because, despite the manner in which plaintiff pled his claim, she was *acting* in her official capacity

1 As noted above, plaintiff has sued Judge McManus in “her individual and  
2 personal capacity.” (Compl. ¶ 4.) Plaintiff’s claims alleged against Judge McManus personally  
3 or individually do not implicate Eleventh Amendment immunity. Accordingly, although the  
4 undersigned concludes that Judge McManus is entitled to Eleventh Amendment immunity to the  
5 extent that she has been sued for damages in her official capacity, she is not entitled to Eleventh  
6 Amendment immunity to the extent that she has been sued in her personal or individual capacity.

7 5. Judge McManus Is Entitled To Absolute Judicial Immunity As to Some of  
8 Her Alleged Actions

9 Finally, Judge McManus argues that if the court reaches the merits of the claims  
10 against her, she is entitled to absolute judicial immunity. The undersigned agrees.

11 “Absolute immunity is generally accorded to judges and prosecutors functioning  
12 in their official capacities.” Olsen v. Idaho State Bd. of Med., 363 F.3d 916, 922 (9th Cir. 2004)  
13 (citing Stump v. Sparkman, 435 U.S. 349, 364 (1978); see also Simmons v. Sacramento County  
14 Superior Court, 318 F.3d 1156, 1161 (9th Cir. 2003) (“Plaintiff cannot state a claim under § 1983  
15 against the judge who entered the default, because the judge is absolutely immune for judicial  
16 acts.”). “[J]udicial immunity is an immunity from suit, not just from ultimate assessment of  
17 damages.” Mireles v. Waco, 502 U.S. 9, 11 (1991) (per curiam). As a result, “judicial immunity  
18 is not overcome by allegations of bad faith or malice, the existence of which ordinarily cannot be  
19 resolved without engaging in discovery and eventual trial.” Id. “This immunity reflects the  
20 long-standing ‘general principle of the highest importance to the proper administration of justice  
21 that a judicial officer, in exercising the authority vested in him, shall be free to act upon his own  
22 convictions, without apprehension of personal consequences to himself.’” Olsen, 363 F.3d  
23 at 922 (citing Bradley v. Fisher, 13 Wall. 335, 347, 20 L. Ed. 646 (1871)).

24 \_\_\_\_\_  
25 when plaintiff was allegedly injured. (See Judge McManus’s Memo. of P. & A. In Supp. of Mot.  
26 to Dismiss at 9-10.) The Supreme Court squarely rejected this argument in Hafer, 502 U.S. at 27-28.  
See also Suever, 579 F.3d at 1060-61.

1           There are two primary exceptions to the absolute judicial immunity: first, where  
2 the judge’s action is “not taken in the judge’s judicial capacity”; and second, where the judge’s  
3 action, “though judicial in nature, is taken in the complete absence of all jurisdiction.”<sup>19</sup> See  
4 Mireles, 502 U.S. at 11-12; accord Sadoski v. Mosley, 435 F.3d 1076, 1079 (9th Cir. 2006);  
5 Meek v. County of Riverside, 183 F.3d 962, 965 (9th Cir. 1999). As to the first exception to  
6 judicial immunity, the Supreme Court has “made clear that whether an act by a judge is a  
7 ‘judicial’ one relate[s] to the nature of the act itself, i.e., whether it is a function normally  
8 performed by a judge, and to the expectations of the parties, i.e., whether they dealt with the  
9 judge in his judicial capacity.” Mireles, 502 U.S. at 12 (citing Stump, 435 U.S. at 362) (internal  
10 quotation marks omitted, modification in original). The following are factors relevant to the  
11 determination of whether a particular act is judicial in nature: “(1) the precise act is a normal  
12 judicial function; (2) the events occurred in the judge’s chambers; (3) the controversy centered  
13 around a case then pending before the judge; and (4) the events at issue arose directly and  
14 immediately out of a confrontation with the judge in his or her official capacity.” Ashelman, 793  
15 F.2d at 1075-76; accord Duvall, 260 F.3d at 1133.

16           Regarding whether a judge performed a judicial act in the complete absence of  
17 jurisdiction, the Supreme Court has held that “the scope of the judge’s jurisdiction must be  
18 construed broadly where the issue is the immunity of the judge,” and that “[a] judge will not be  
19 deprived of immunity because the action he took was in error, was done maliciously, or was in  
20 excess of his authority.” Stump, 435 U.S. at 356. In determining questions of judicial immunity,  
21 courts distinguish “between acts ‘in excess of jurisdiction’ and acts ‘in the clear absence of  
22 jurisdiction’ by looking to the subject-matter jurisdiction of the judge: ‘[a] clear absence of all  
23

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24           <sup>19</sup> “[A]bsolute judicial immunity does not apply to non-judicial acts, i.e. the administrative,  
25 legislative, and executive functions that judges may on occasion be assigned to perform.” Duvall  
26 v. County of Kitsap, 260 F.3d 1124, 1133 (9th Cir. 2001) (citing Forrester v. White, 484 U.S. 219,  
227 (1988)). Additionally, “judicial immunity is not a bar to prospective injunctive relief against  
a judicial officer acting in her judicial capacity.” Pulliam v. Allen, 466 U.S. 522, 541-42 (1984).



1 jurisdiction means a clear lack of all subject matter jurisdiction.” Miller v. Davis, 521 F.3d  
2 1142, 1147 (9th Cir. 2008).

3           Here, the undersigned concludes that all of Judge McManus’s acts at issue in  
4 plaintiff’s complaint were “judicial in nature.” Plaintiff alleges, in part, that Judge McManus:  
5 “orchestrated a sham proceeding” in the family court; ordered that plaintiff and Henzie meet with  
6 two Nevada County CPS social workers to discuss the potential placement of the children;  
7 ordered that the children be placed in foster care, although plaintiff claims that the children were  
8 never placed in foster care; informed Henzie’s attorney that Henzie would be provided with an  
9 attorney qualified to act in dependency actions upon request, and that he was denied such  
10 counsel; lied in denying several statements in the family law case; used intimidation tactics and  
11 threats of sanctions and incarceration in the course of the family law action; and signed orders  
12 submitted by the parties that contained terms not addressed in any proceedings before the court  
13 and/or failed to completely read orders that she signed. (See Compl. ¶ 13.) Additionally,  
14 plaintiff alleges that Judge McManus “destroy[ed] evidence of an email communication by  
15 plaintiff.” (Id.) At the hearing, plaintiff clarified that Judge McManus lost or deleted an emailed  
16 proposed order from plaintiff that had also been served on other parties. Plaintiff also alleges  
17 that Judge McManus “communicat[ed] outside of court hearings in her individual capacity ex  
18 parte with CPS and minors’ counsel to orchestrate deprivation of plaintiff’s familial rights  
19 regarding his minor daughters” (id.); at the hearing, plaintiff clarified that those communications  
20 were made to the CPS employees charged with administering visitation. The acts alleged are  
21 judicial in nature, the controversy centered on a case then pending before Judge McManus, and  
22 the events at issue arose directly and immediately out of a confrontation with Judge McManus  
23 while she was acting in her official capacity as a judge. As to all of these acts, the undersigned  
24 concludes that Judge McManus is entitled to absolute judicial immunity so long as the  
25 jurisdictional exception to judicial immunity does not apply.

26           The undersigned further concludes that Judge McManus did not perform these

1 judicial acts in the complete absence of jurisdiction. Plaintiff argues that Judge McManus acted  
2 in the complete absence of all jurisdiction because, under the California Welfare and Institutions  
3 Code, the “jurisdiction of minors described by Section 300 is under the jurisdiction of the  
4 juvenile court.” In essence, plaintiff contends that Judge McManus improperly performed acts,  
5 judicial or otherwise, reserved to the juvenile court. See Cal. Welf. & Inst. Code §§ 245, 245.5  
6 (describing the jurisdiction of the “juvenile court” over the care, supervision, custody, conduct,  
7 maintenance, and support of the minor). Judge McManus counters that the juvenile court is a  
8 superior court entity exercising broad, original superior court jurisdiction.<sup>20</sup> Regardless of the  
9 precise interaction between the juvenile court and the broader superior courts under California  
10 law, the undersigned concludes that based on plaintiff’s allegations, Judge McManus, as a judge  
11 of the Superior Court, at most acted in excess of her jurisdiction and not in the clear absence of  
12 jurisdiction. See Shucker v. Rockwood, 846 F.2d 1202, 1204 (9th Cir. 1988) (per curiam)  
13 (holding that a judge whose misinterpretation of a statute allegedly led to the wrongful exercise  
14 of jurisdiction was immune because he acted only in excess of his jurisdiction, but not in “the  
15 clear absence of jurisdiction”), cert. denied, 488 U.S. 995 (1988). Accordingly, Judge McManus  
16 is entitled to absolute judicial immunity for all of the acts alleged by plaintiff. Therefore,

17 \_\_\_\_\_  
18 <sup>20</sup> Judge McManus relies on the broad grant of original jurisdiction conferred to the superior  
19 courts of California in Article 6, Section 10 of the California Constitution:

20 Sec. 10. The Supreme Court, courts of appeal, superior courts, and their  
21 judges have original jurisdiction in habeas corpus proceedings. Those courts  
22 also have original jurisdiction in proceedings for extraordinary relief in the  
23 nature of mandamus, certiorari, and prohibition. The appellate division of the  
24 superior court has original jurisdiction in proceedings for extraordinary relief  
25 in the nature of mandamus, certiorari, and prohibition directed to the superior  
26 court in causes subject to its appellate jurisdiction.

Superior courts have original jurisdiction in all other causes.

The court may make any comment on the evidence and the testimony and  
credibility of any witness as in its opinion is necessary for the proper  
determination of the cause.

1 whether under the Younger abstention doctrine or based upon absolute judicial immunity, the  
2 undersigned recommends that Judge McManus be dismissed from this action.

3 F. Roberts’s Motion to Dismiss and Anti-SLAPP Special Motion to  
4 Strike (Claims 7, 8, and 9)

5 The three claims for relief alleged by plaintiff against Roberts are premised on  
6 California state law. Those claims are plaintiff’s: (1) seventh claim for assault and battery;  
7 (2) eighth claim for slander premised on Roberts’s filing of a declaration in a bankruptcy court  
8 proceeding, and conveying facts about the alleged fight between plaintiff and Roberts to Jeffrey  
9 Guyton and defendants Eckerman and Renee; and (3) ninth claim for “conspiracy to slander” as a  
10 result of Roberts’s alleged conveyance of facts about the fight to Eckerman, who passed those  
11 facts along to Henzie and Renee, who in turn passed those facts along to the minor children’s  
12 therapist. (See Compl. ¶¶ 20-22.) Roberts moves to dismiss plaintiff’s claims against him for  
13 lack of subject matter jurisdiction and for failure to state a claim on which relief can be granted.  
14 He further moves to specially strike plaintiff’s claims pursuant to California’s anti-SLAPP  
15 statute.

16 1. Roberts’s Request for Judicial Notice

17 Before turning to the merits of Roberts’s motions, the undersigned addresses the  
18 documents appended to Roberts’s declaration as Exhibits A and B. Roberts asks the court to take  
19 judicial notice, or seeks incorporation by reference, of two documents: (1) Roberts’s declaration  
20 filed on July 29, 2010, in the matter of In re Curtis Niccum and Elisa Niccum, No. 2010-30995-  
21 A-7 (E.D. Cal. Bankr.) (the “Bankruptcy Declaration”), which relates facts about the alleged  
22 assault and battery and is referred to in plaintiff’s complaint (see Roberts Decl., Ex. A; Compl.  
23 ¶ 21); and (2) a partial set of civil minutes from the U.S. Bankruptcy Court for the Eastern  
24 District of California regarding motions filed by Roberts on his clients’ behalf in the bankruptcy  
25 proceedings cited above, which refer to the fact that Roberts testified as an expert witness in  
26 plaintiff’s and Henzie’s family law action (id., Ex. B). The undersigned grants Roberts’s request

1 for judicial notice as to the Bankruptcy Declaration because it is part of another court's file that  
2 bears directly on the plaintiff's claims in this action. See, e.g., Reyn's Pasta Bella, LLC, 442  
3 F.3d at 746 n.6; Shaw v. Hahn, 56 F.3d 1128, 1129 n.1 (9th Cir. 1995). The court may also  
4 consider the Bankruptcy Declaration under the "incorporation by reference" doctrine because  
5 plaintiff refers to the contents of that declaration in the complaint, and no party questions the  
6 authenticity of that document. See Knievel, 393 F.3d at 1076. The court also grants Robert's  
7 request for judicial notice as to the civil minutes from the unrelated bankruptcy action because it  
8 is part of a court's file that bears directly on the plaintiff's claims in this action. The court may  
9 also consider these exhibits in resolving Roberts's Rule 12(b)(1) motion regardless of any request  
10 for judicial notice or incorporation by reference. See McCarthy, 850 F.2d at 560; Warren, 328  
11 F.3d at 1139.

12 2. The Court Should Decline To Exercise Supplemental Jurisdiction Over  
13 Plaintiff's Claims Against Roberts

14 Roberts moves to dismiss the claims alleged against him, all of which are  
15 premised on state law, on the grounds that diversity jurisdiction does not exist over these claims  
16 pursuant to 28 U.S.C. § 1332, and supplemental jurisdiction does not exist over these claims  
17 pursuant to 28 U.S.C. § 1367(a). As to the issue of diversity jurisdiction, the undersigned  
18 concluded above that the diversity statute does not provide this court with subject matter  
19 jurisdiction over any of plaintiff's claims. Accordingly, the court's subject matter jurisdiction  
20 over plaintiff's state law claims must be premised, if at all, on 28 U.S.C. § 1367(a).

21 In regards to supplemental jurisdiction, 28 U.S.C. § 1367(a) provides, in relevant  
22 part:

23 **(a)** Except as provided in subsections (b) and (c) or as expressly provided  
24 otherwise by Federal statute, in any civil action of which the district courts  
25 have original jurisdiction, the district courts shall have supplemental  
26 jurisdiction over all other claims that are so related to claims in the action  
within such original jurisdiction that they form part of the same case or  
controversy under Article III of the United States Constitution. . . .

1 The Ninth Circuit Court of Appeals has stated that “[d]istrict courts have discretion to hear  
2 pendent state claims where there is a substantial federal claim arising out of a common nucleus  
3 of operative fact.” Hoeck v. City of Portland, 57 F.3d 781, 785 (9th Cir. 1995). The Supreme  
4 Court has made clear that supplemental jurisdiction “is a doctrine of discretion, not of plaintiff’s  
5 right, and that district courts can decline to exercise jurisdiction over pendent claims for a  
6 number of valid reasons.” See City of Chicago v. Int’l College of Surgeons, 522 U.S. 156, 172  
7 (1997) (citations and quotation marks omitted).<sup>21</sup> Some valid reasons are expressly contained in  
8 28 U.S.C. § 1367(c), which provides:

9           The district courts may decline to exercise supplemental jurisdiction over a  
10           claim under subsection (a) if--

11           (1) the claim raises a novel or complex issue of State law,

12           (2) the claim substantially predominates over the claim or claims over  
13           which the district court has original jurisdiction,

14           (3) the district court has dismissed all claims over which it has original  
15           jurisdiction, or

16           (4) in exceptional circumstances, there are other compelling reasons for  
17           declining jurisdiction.

18 The Supreme Court has further “indicated that district courts [should] deal with cases involving  
19 pendent claims in the manner that best serves the principles of economy, convenience, fairness,  
20 and comity which underlie the pendent jurisdiction doctrine.” Int’l College of Surgeons, 522  
21 U.S. at 172-73 (citation and quotation marks omitted, modification in original).

22           The undersigned recommends that the court decline to exercise supplemental  
23 jurisdiction over plaintiff’s state law claims against Roberts. As recommended in these findings  
24 and recommendations, all of the claims over which this court has original jurisdiction, i.e.,  
25 plaintiff’s Section 1983 claims, should be dismissed either on the merits or as a result of an

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26 <sup>21</sup> Accord Voda v. Cordis Corp., 476 F.3d 887, 893, 897-98 (Fed. Cir. 2007); Groce v. Eli Lilly & Co., 193 F.3d 496, 500-01 (7th Cir. 1999); Royal Towing, Inc. v. City of Harvey, 350 F. Supp. 2d 750, 756 (N.D. Il. 2004).

1 abstention doctrine. The one potential exception is plaintiff’s fourth claim for relief against  
2 Henzie, which alleges that Henzie committed “fraud” in depriving plaintiff of his constitutional  
3 rights. (See Compl. ¶ 17.) However, as discussed below, the undersigned intends to recommend  
4 the dismissal of that claim *sua sponte*, after providing plaintiff with an opportunity to file written  
5 opposition to such dismissal. Accordingly, it is appropriate for the district court to decline to  
6 exercise supplemental jurisdiction pursuant to 28 U.S.C. § 1367(c)(3). Moreover, declining the  
7 exercise of supplemental jurisdiction over the three, purely state law claims serves the principles  
8 of economy, convenience, fairness, and comity. For the reasons stated above, the undersigned  
9 recommends that the court not exercise supplemental jurisdiction over plaintiff’s claims against  
10 Roberts.

11 G. *Sua Sponte* Dismissal of Plaintiff’s Fourth Claim for Relief

12 Finally, the undersigned addresses plaintiff’s fourth claim for relief, which  
13 appears to allege a federal claim against defendant Mary Henzie pursuant to 42 U.S.C. § 1983.  
14 As noted above, plaintiff’s fourth claim arguably alleges a claim that Henzie violated plaintiff’s  
15 Fourteenth Amendment rights; it alleges that Henzie “fraudulently deprived [plaintiff] of his  
16 constitutional right to a familial relationships with his daughters by making false accusations of  
17 spousal abuse against plaintiff to his minor daughters and to Dr. Dugan, the court appointed  
18 evaluator incident to a supplemental custody evaluation conducted in March 2009.” (Compl.  
19 ¶ 17.) Thus, this claim arguably implicates 42 U.S.C. § 1983.

20 Because it plainly appears from the face of plaintiff’s complaint that no  
21 cognizable Section 1983 claim lies against Henzie, the undersigned is inclined to recommend *sua*  
22 *sponte* that plaintiff’s fourth claim for relief be dismissed. As noted above, “[t]o state a claim  
23 under § 1983, a plaintiff must allege two essential elements: (1) that a right secured by the  
24 Constitution or laws of the United States was violated, and (2) that the alleged violation was  
25 committed by a person acting under the color of State law.” Long, 442 F.3d at 1185. Here,  
26 plaintiff alleges a violation of one of his constitutional rights, but does not allege that Henzie

1 acted under color of state law. Thus, this claim is subject to dismissal. And given that Henzie is  
2 by all accounts a private individual who is merely alleged to have given a statement to a court-  
3 appointed “evaluator” in the context of divorce and custody proceedings of which she was a part,  
4 plaintiff cannot cure the pleading deficiency if given leave to amend. Accordingly, the  
5 undersigned is inclined to recommend the dismissal of this claim with prejudice.

6 The court may dismiss plaintiff’s claim *sua sponte* for failure to state a claim on  
7 which relief can be granted if it grants plaintiff an opportunity to file a written opposition to such  
8 dismissal. See Lee, 250 F.3d at 683 n.7 (noting that a trial court may dismiss a claim *sua sponte*  
9 for failure to state a claim if it gives notice of its intention to dismiss and affords the plaintiff an  
10 opportunity to at least submit a written memorandum in opposition to such a motion) (citing  
11 Omar v. Sea-Land Serv., Inc., 813 F.2d 986, 991 (9th Cir. 1987), and Wong v. Bell, 642 F.2d  
12 359, 362 (9th Cir. 1981)); accord R.K., ex. rel. T.K. v. Hayward Unified Sch. Dist., No. C 06-  
13 07836, 2007 WL 2778730, at \*7 (N.D. Cal. Sept. 21, 2007) (unpublished) (raising the sufficiency  
14 of a claim *sua sponte*, but permitting the parties to file supplemental briefs); Miller v. Davis, 420  
15 F. Supp. 2d 1108, 1115 (C.D. Cal. 2006) (dismissing with prejudice claim asserted against party  
16 who had been served but had not yet appeared in the action), aff’d by 521 F.3d 1142 (9th Cir.  
17 2008), and 272 Fed. Appx. 628 (9th Cir. 2008), cert. denied, 129 S. Ct. 306 (2008). Accordingly,  
18 plaintiff is ordered to file a written opposition to the proposed dismissal of his fourth claim for  
19 relief or a statement of non-opposition to the proposed dismissal.

20 IV. CONCLUSION

21 For the reasons stated above, IT IS HEREBY ORDERED that:

- 22 1. Defendant’s Leslie Scott and Caroline Sheller’s request for judicial notice  
23 is granted.
- 24 2. Defendant’s Leane Renee’s request for judicial notice is granted in part  
25 and denied in part as moot.
- 26 3. Defendant George A. Roberts’s request for judicial notice and

1 incorporation of documents by reference is granted.

2           4.       *Within 21 days of the date of this order*, plaintiff shall file a written  
3 opposition to the proposed *sua sponte* dismissal of his fourth claim for relief alleged against  
4 defendant Mary Henzie. If plaintiff does not oppose the dismissal of the fourth claim for relief,  
5 he must file a statement of non-opposition to such dismissal.

6           It is FURTHER RECOMMENDED that:

7           1.       Defendants Leslie Scott and Caroline Sheller’s motion to dismiss (Dkt.  
8 No. 7) be granted, that plaintiff’s second claim for relief be dismissed with prejudice as to Scott  
9 and Sheller, and that Scott and Sheller be dismissed from this action with prejudice.

10           2.       Defendant Leane Renee’s special motion to strike and motion to dismiss  
11 (Dkt. No. 12) be granted, that all of plaintiff’s claims alleged against Renee be dismissed with  
12 prejudice, and that Renee be dismissed from this action with prejudice.

13           3.       Defendant Julie McManus’ motion to dismiss (Dkt. No. 15) be granted,  
14 and that the court abstain from hearing plaintiff’s claims against Judge McManus or,  
15 alternatively, dismiss those claims with prejudice, and that Judge McManus be dismissed from  
16 this action.

17           4.       Defendant George A. Roberts’s motion to dismiss (Dkt. No. 9) be granted  
18 in part, and that the court decline to exercise supplemental jurisdiction over plaintiff’s claims  
19 against Roberts.

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