

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

FRANK ALEO,  
Plaintiff,  
v.  
C. SMITH, et al.,  
Defendants.

No. 2:13-cv-1673 GEB KJN P

FINDINGS AND RECOMMENDATIONS

I. Introduction

Plaintiff is a state prisoner, proceeding without counsel. Plaintiff raises Eighth Amendment claims against defendants Barnett, Fong, Heatley, Zamora, and Smith. Defendants’ motion for summary judgment is before the court. As set forth more fully below, the undersigned concludes that defendants’ motion for summary judgment should be granted.

II. Plaintiff’s Amended Complaint

In his verified complaint, plaintiff alleges the following. Since an “advanced sling” procedure was performed, he has suffered serious complications, including failure of the advanced sling, and contends that defendants have done nothing to alleviate his pain and suffering. Defendants allegedly denied him an “artificial urinary sphincter,” which plaintiff claims was ordered by Dr. Beck. Plaintiff’s primary care physician, defendant Dr. Barnett, allegedly refused to provide plaintiff with medical treatment for his pain, chronic condition, and

1 problems which affected his daily life. (ECF No. 1 at 6.) Defendant Heatley allegedly refused to  
2 provide plaintiff medical care to alleviate his pain and suffering. Defendants Fong and Zamora  
3 reviewed plaintiff's medical file and appeals and allegedly denied plaintiff medical care,  
4 including the necessary surgery that prior surgeons and specialists agreed was required. (ECF  
5 No. 1 at 7.) Plaintiff contends that he was left with a hernia, severe pain, and the serious inability  
6 to perform basic daily functions. Plaintiff argues that defendants are part of the Medical  
7 Authorization Review ("MAR") Committee, which on two separate occasions denied plaintiff the  
8 "artificial urinary sphincter" based on "cost, prison policy, or simple indifference." (ECF No. 1 at  
9 5.) Plaintiff included state law claims of negligence, refusal to treat, and medical malpractice.  
10 (ECF No. 1 at 8.)

### 11 III. Defendants' Motion for Summary Judgment

12 Defendants move for summary judgment on the grounds that plaintiff has not met the  
13 objective or subjective components of a claim for deliberate indifference to medical care, and that  
14 the risks of the artificial urinary sphincter surgery outweighed the unlikely-to-be-realized  
15 benefits. Further, defendants contend that they are entitled to qualified immunity. As to the state  
16 law claims, defendants argue that plaintiff has failed to show compliance with the Government  
17 Claims Act; that the court should decline to exercise supplemental jurisdiction if the Eighth  
18 Amendment claim is dismissed; and that in any event, there is no actionable negligence, refusal to  
19 treat, or medical malpractice claims.

20 In opposition, plaintiff complains that defendant Heatley cannot claim he was willing to  
21 provide the artificial urinary sphincter surgery, and then be part of the MCSP MAR Committee  
22 that denied the surgery because it demonstrates bias. Plaintiff also objects that defendants Fong  
23 and Zamora are not medical professionals and should not be responsible for addressing medical  
24 appeals, but because the CDCR allows them to, argues that they are equally responsible for  
25 denying plaintiff the needed medical care. Moreover, plaintiff disputes defendants' position that  
26 he does not have pain or a significant disability, and cites defendants' Exhibit 8 (ECF No. 36-9 at  
27 2-4) as evidence that defendants were aware of his pain and suffering. (ECF No. 39 at 4.)  
28 Plaintiff adds that his hernia was never addressed. Plaintiff further contends that defendant Dr.

1 Barnett was required to authorize the artificial urinary sphincter surgery because plaintiff met the  
2 applicable criteria: (1) health care decided by the attending physician to be reasonable and  
3 needed to prevent pain, suffering, or significant disability; and (2) supported by health outcome  
4 data as effective medical care. (ECF No. 39 at 5.) Plaintiff argues that defendant Dr. Barnett  
5 based her denial on complete speculation as to what might happen in the future, citing “risks of  
6 erosion, infection, and nerve compression.” (ECF No. 39 at 6.) Plaintiff points out that he  
7 already suffers from infection and pain. Plaintiff contends that defendants are merely engaging in  
8 semantics and asserts that plaintiff’s primary care physician, as well as two other specialists, Dr.  
9 Beck and Dr. Fawcett, recommended that plaintiff receive the artificial urinary sphincter  
10 procedure.

11 Plaintiff argues that defendants are not entitled to qualified immunity because the Eighth  
12 Amendment right to medical care was well-established, and each disregarded plaintiff’s obvious  
13 pain and suffering. Finally, with regard to his state law claims, plaintiff contends that his  
14 deposition testimony confirms that plaintiff filed a Government Tort Claim based on the letter he  
15 received from the Board stating that the Board would act on plaintiff’s claim at the August 16,  
16 2012 hearing, and would reject the claim on that date. (ECF No. 39 at 7, citing to Pl.’s Dep. at  
17 85-86 (ECF No. 36-2 at 65-66).) Plaintiff argues that defendants must now prove that he did not  
18 properly exhaust his state law claims. He contends that his state law claims should be decided on  
19 the evidence, not on the “boilerplate denials by the defendants.” (ECF No. 39 at 8.)

20 Finally, plaintiff contends that a four year refusal to provide plaintiff with the artificial  
21 urinary sphincter surgery, despite the recommendations of at least three doctors constitutes  
22 deliberate indifference to his serious medical needs, and is not a mere difference of opinion due to  
23 the opinions of those three doctors.

24 In reply, defendants argue that following the initial prostate removal surgery by an outside  
25 doctor, which plaintiff claims was “botched,” plaintiff became incontinent. Subsequently, several  
26 medical procedures were performed to attempt to alleviate the incontinence, none of which  
27 worked. Although plaintiff now wants to try yet another procedure, the “artificial urinary  
28 sphincter” procedure, defendants contend that such procedure was evaluated and determined to be

1 unlikely to be successful and could make things worse. Defendants argue that such evaluation  
2 does not demonstrate deliberate indifference. Defendants also dispute plaintiff's characterization  
3 of Dr. Heatley's position. Defendants claim that Dr. Heatley was not willing to provide the  
4 procedure but presented the issue to additional medical personnel. Defendants Fong and Zamora  
5 were not deliberately indifferent because they did not deny the procedure. Defendants point out  
6 that plaintiff attributes his pain to the "botched" surgery and the "many unnecessary surgeries due  
7 to the initial botched procedure." (ECF No. 41 at 2, citing ECF No. 39 at 4.) Defendants note  
8 that plaintiff does not report problems with the catheter, and does not dispute that he has had a  
9 total of three infections in five years at the catheter site, each of which cleared up after two or  
10 three days. (ECF No. 41 at 2, citing Pl.'s Dep. at 25; 32; 84 (ECF No. 36-2 at 4-5; 12-13, 64.)  
11 Defendants argue that plaintiff's pain complaints originate from an unrelated source which would  
12 not be helped by the artificial urinary sphincter. Defendants contend that having to wear a  
13 catheter does not cause significant injury or unnecessary and wanton infliction of pain; thus,  
14 plaintiff fails to meet the objective component of his Eighth Amendment claim.

15 With regard to plaintiff's statement that his hernia has not been addressed, defendants  
16 argue that plaintiff testified that there is no connection between the hernia and receiving an  
17 artificial urinary sphincter. (ECF No. 41 at 3, citing Pl.'s Dep. at 31 (ECF No. 36-2 at 11).)  
18 Thus, the hernia is not at issue here.

19 Defendants dispute plaintiff's position that Dr. Barnett was required to authorize the  
20 procedure because defendants point to evidence demonstrating that the specialists discussed, but  
21 did not recommend, the artificial urinary sphincter procedure, which amounts to a difference of  
22 opinion between health care providers. (ECF No. 41 at 3) Plaintiff does not dispute that the  
23 artificial urinary sphincter "procedure is not supported by health outcome data as effective  
24 medical care in his case." (ECF No. 41 at 3.) It is undisputed that defendant Barnett was part of  
25 a subcommittee, made up of seven board-certified physicians, who reviewed plaintiff's medical  
26 records, evaluated medical literature, and unanimously determined that the risks of the surgery  
27 outweighed the unlikely-to-be-realized benefits. Thus, the decision not to provide the artificial  
28 urinary sphincter procedure is a difference of opinion, not rising to the level of deliberate

1 indifference. Finally, defendants argue they are entitled to qualified immunity because a  
2 reasonable person could not believe that plaintiff had a constitutional right to be given a  
3 problematic and likely ineffective surgery, based on the chance that he might not have to wear a  
4 catheter which, while uncomfortable, does not cause severe pain or lead to further injury. (ECF  
5 No. 41 at 4.)

6 With regard to plaintiff's state law claims, defendants reply that because plaintiff failed to  
7 allege compliance with the Government Tort Claims Act in his complaint, his claims should be  
8 dismissed. In the alternative, defendants argue that the court should decline to exercise  
9 supplemental jurisdiction or find that plaintiff failed to rebut defendants' evidence. (ECF No. 41  
10 at 4.)

#### 11 IV. Legal Standard for Summary Judgment

12 Summary judgment is appropriate when it is demonstrated that the standard set forth in  
13 Federal Rule of Civil procedure 56 is met. "The court shall grant summary judgment if the  
14 movant shows that there is no genuine dispute as to any material fact and the movant is entitled to  
15 judgment as a matter of law." Fed. R. Civ. P. 56(a). "[T]he moving party always bears the  
16 initial responsibility of informing the district court of the basis for its motion, and identifying  
17 those portions of 'the pleadings, depositions, answers to interrogatories, and admissions on file,  
18 together with the affidavits, if any,' which it believes demonstrate the absence of a genuine issue  
19 of material fact." Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986) (quoting then-numbered  
20 Fed. R. Civ. P. 56(c)). "Where the nonmoving party bears the burden of proof at trial, the moving  
21 party need only prove that there is an absence of evidence to support the non-moving party's  
22 case." Nursing Home Pension Fund, Local 144 v. Oracle Corp. (In re Oracle Corp. Sec. Litig.),  
23 627 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp., 477 U.S. at 325); see also Fed. R. Civ. P.  
24 56 advisory committee's notes to 2010 amendments (recognizing that "a party who does not have  
25 the trial burden of production may rely on a showing that a party who does have the trial burden  
26 cannot produce admissible evidence to carry its burden as to the fact"). Indeed, summary  
27 judgment should be entered, after adequate time for discovery and upon motion, against a party  
28 who fails to make a showing sufficient to establish the existence of an element essential to that

1 party's case, and on which that party will bear the burden of proof at trial. Celotex Corp., 477  
2 U.S. at 322. “[A] complete failure of proof concerning an essential element of the nonmoving  
3 party's case necessarily renders all other facts immaterial.” Id. at 323.

4           Consequently, if the moving party meets its initial responsibility, the burden then shifts to  
5 the opposing party to establish that a genuine issue as to any material fact actually exists. See  
6 Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to  
7 establish the existence of such a factual dispute, the opposing party may not rely upon the  
8 allegations or denials of its pleadings, but is required to tender evidence of specific facts in the  
9 form of affidavits, and/or admissible discovery material in support of its contention that such a  
10 dispute exists. See Fed. R. Civ. P. 56(c); Matsushita, 475 U.S. at 586 n.11. The opposing party  
11 must demonstrate that the fact in contention is material, i.e., a fact that might affect the outcome  
12 of the suit under the governing law, see Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248  
13 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir.  
14 1987), and that the dispute is genuine, i.e., the evidence is such that a reasonable jury could return  
15 a verdict for the nonmoving party, see Wool v. Tandem Computers, Inc., 818 F.2d 1433, 1436  
16 (9th Cir. 1987), overruled in part on other grounds, Hollinger v. Titan Capital Corp., 914 F.2d  
17 1564, 1575 (9th Cir. 1990).

18           In the endeavor to establish the existence of a factual dispute, the opposing party need not  
19 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed factual  
20 dispute be shown to require a jury or judge to resolve the parties' differing versions of the truth at  
21 trial.” T.W. Elec. Serv., 809 F.2d at 630. Thus, the “purpose of summary judgment is to ‘pierce  
22 the pleadings and to assess the proof in order to see whether there is a genuine need for trial.’”  
23 Matsushita, 475 U.S. at 587 (quoting Fed. R. Civ. P. 56(e) advisory committee's note on 1963  
24 amendments).

25           In resolving a summary judgment motion, the court examines the pleadings, depositions,  
26 answers to interrogatories, and admissions on file, together with the affidavits, if any. Fed. R.  
27 Civ. P. 56(c). The evidence of the opposing party is to be believed. See Anderson, 477 U.S. at  
28 255. All reasonable inferences that may be drawn from the facts placed before the court must be

1 drawn in favor of the opposing party. See Matsushita, 475 U.S. at 587. Nevertheless, inferences  
2 are not drawn out of the air, and it is the opposing party’s obligation to produce a factual  
3 predicate from which the inference may be drawn. See Richards v. Nielsen Freight Lines, 602 F.  
4 Supp. 1224, 1244-45 (E.D. Cal. 1985), aff’d, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to  
5 demonstrate a genuine issue, the opposing party “must do more than simply show that there is  
6 some metaphysical doubt as to the material facts. . . . Where the record taken as a whole could  
7 not lead a rational trier of fact to find for the nonmoving party, there is no ‘genuine issue for  
8 trial.’” Matsushita, 475 U.S. at 586 (citation omitted).

9 By contemporaneous notice provided on March 13, 2015 (ECF No. 36-15), plaintiff was  
10 advised of the requirements for opposing a motion brought pursuant to Rule 56 of the Federal  
11 Rules of Civil Procedure. See Rand v. Rowland, 154 F.3d 952, 957 (9th Cir. 1998) (*en banc*);  
12 Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988).

13 V. Facts<sup>1</sup>

- 14 1. On July 7, 2008, plaintiff had prostate removal surgery by an outside doctor.
- 15 2. During the 2008 surgery, a needle broke off inside plaintiff.
- 16 3. According to plaintiff, the 2008 surgery was “botched,” and had a devastating effect on  
17 his health, with residual and ongoing problems.
- 18 4. Plaintiff had to be cut open and “cut through a bunch of stuff” to get the needle;  
19 otherwise, the surgery would not have been very invasive because it was to be robotic.
- 20 5. After the operation, plaintiff was gaining control of urination, and then one day he  
21 could not urinate causing him tremendous pain.
- 22 6. As a result, an outside doctor stuck a stainless steel rod in the catheter and shoved it in,  
23 and it was like a weight lifting off plaintiff; later, the outside doctors “roto-rootered” his urethra.  
24 (Pl.’s Dep. at 35-36 (ECF No. 36-2 at 16).)

25 \_\_\_\_\_  
26 <sup>1</sup> For purposes of the pending motion, the following facts are found undisputed, unless otherwise  
27 indicated. Documents submitted as exhibits are considered to the extent that they are relevant,  
28 and despite the fact that they are not authenticated because such documents could be admissible at  
trial if authenticated.

1           7. Plaintiff has suffered pain in his lower stomach ever since the prostate surgery, which  
2 he attributes to the needle breaking off during the surgery.

3           8. Plaintiff has worn a catheter since the 2008 prostate-removal operation due to  
4 incontinence.

5           9. On October 22, 2008, plaintiff had a cystoscopy by an outside doctor to address  
6 incontinence, but it did not work.

7           10. On November 24, 2008, plaintiff received a direct vision internal urethomy [sic],  
8 bladder biopsy, fulguration,<sup>2</sup> and removal of bladder calculi.

9           11. The report from that day indicated that if plaintiff's incontinence did not improve,  
10 plaintiff might need a bulking agent, an artificial urinary sphincter, or a sling procedure.

11           12. On March 9, 2009, plaintiff requested that the catheter he was using be replaced with  
12 a condom catheter.<sup>3</sup>

13           13. Plaintiff received the condom catheter shortly thereafter. In his deposition, plaintiff  
14 states that he must wear the condom catheter 24 hours a day. (Pl.'s Dep. at 83 (ECF No. 36-2 at  
15 63).)

16           14. Sometime before July 21, 2010, plaintiff received a bulking agent to try to help with  
17 incontinence, but that did not work.

18           15. On July 21, 2010, plaintiff received a sling procedure to address incontinence, but it  
19 did not work, and made plaintiff's stomach pain worse for about a month; then the pain returned  
20 to the same level.

21           16. In a September 29, 2010 progress note, Dr. Beck, an outside urologist, wrote on the  
22 form the following (in quotes):

23  
24 \_\_\_\_\_  
25 <sup>2</sup> Fulguration is the destruction of tissue by means of high-frequency electric current. Stedman's  
26 Medical Dictionary 356760 (Nov. 2014). In his deposition, plaintiff explained that he had  
bladder stones and these procedures were used to remove them, and were unrelated to his  
incontinence. (ECF No. 36-2 at 20-21.)

27 <sup>3</sup> "A condom catheter is an external urinary collection device that fits over the penis and is used to  
28 manage urinary incontinence." Cason v. Wexford Health Services, Inc., 2014 WL 6391048, \*3  
n.8 (D. Md. Nov. 14, 2014).



1 Consultation/Treatment Report: “Recent advance sling failed. He  
2 is using a condom cath[eter]. We had discussion about inflatable  
3 artificial sphincter. I would rather he has 2nd opinion & surgery at  
4 UC but am willing to do surgery locally.”

5 Recommendations/Plan: “Refer to UC Davis for inflatable  
6 sphincter.”

7 (ECF No. 36-7 at 2.)

8 17. Dr. Beck did not discuss complications or failure rates for the artificial urinary  
9 sphincter with plaintiff. (Pl.’s Dep. at 50-52 (ECF No. 36-2 at 31-32).)

10 18. Dr. Naseer,<sup>4</sup> plaintiff’s primary care physician at MCSP, requested that plaintiff be  
11 approved for the artificial urinary sphincter surgery to help with incontinence.<sup>5</sup> (Pl.’s Dep. at 60  
12 (ECF No. 36-2 at 40).)

13 19. On May 9, 2011, the artificial urinary sphincter procedure was denied through a  
14 request for treatment/consultation, signed by defendant Dr. Heatley for defendant Dr. Smith, and  
15 which indicated that the MAR Committee at MCSP denied the request. (ECF No. 36-8 at 2.)

16 20. Dr. Heatley was present at this MAR Committee, which is made up of a registered  
17 nurse and all the medical doctors at MCSP who are available to attend the committee meeting.

18 21. The procedure was denied based upon InterQual and California Code of Regulations  
19 title 15, sections 3350 to 3370. (ECF No. 36-8 at 2.)

20 22. Section 3350 outlines medical services for inmates, stating that inmates shall only  
21 receive medical services that are based on medical necessity and supported by outcome data as  
22 effective medical care, where “medical necessity” is defined as: “[H]ealth care services that are

---

23 <sup>4</sup> Both parties refer to plaintiff’s primary care physician as “Dr. Nasser.” (ECF Nos. 36-1 at 7;  
24 39 at 11.) However, defendants provided a copy of the doctor’s first level appeal response, which  
25 reflects “S. Naseer, MD” in two separate places. (ECF No. 36-10 at 2.) The California  
26 Department of Consumer Affairs lists two doctors bearing the name “S. Nasser,” neither of whom  
27 reflect addresses in California (<https://www.breeze.ca.gov>). There are two doctors with the name  
28 “S. Naseer,” one of whom is located in Stockton, Dr. Sahir Naseer, with areas of practice in  
family medicine (primary) and internal medicine (secondary). Dr. Saad Naseer lists an address in  
St. Louis, Missouri, with a primary practice in radiology. *Id.* Thus, the court will refer to Dr. S.  
“Naseer” herein.

<sup>5</sup> It is unclear whether Dr. Naseer’s request was made in writing because the request was not  
provided by the parties.

1 determined by the attending physician to be reasonable and necessary to protect life, prevent  
2 significant disability, or alleviate severe pain, and are supported by health outcome data as  
3 effective medical care.” (ECF No. 36-8 at 2.)

4 23. Dr. Smith has researched whether he was present at the MAR Committee at MCSP  
5 that denied plaintiff’s request for an artificial urinary sphincter and believes he was not -- and that  
6 this is why Dr. Heatley signed for him. (Smith Decl. at 2 (ECF No. 36-17 at 2).)

7 24. The only basis for plaintiff’s claim against Dr. Smith is the May 9, 2011 Request for  
8 Treatment/Consultation that was signed by Dr. Heatley for Dr. Smith. (Pl.’s Dep. at 60-62; 67;  
9 87 (ECF No. 36-2 at 40-42; 47; 67).)

10 25. On or about May 24, 2011, plaintiff submitted a grievance asking that the denial of  
11 the artificial urinary sphincter surgery be reviewed and that the surgery be approved.

12 26. The surgery was to address incontinence and pain from the catheter. (Pl.’s Dep. at 64  
13 (ECF No. 36-2 at 44).)

14 27. In the First Level Appeal Response to that grievance, Dr. S. Naseer indicated that he  
15 had interviewed plaintiff “face to face” on June 29, 2011, and reviewed plaintiff’s Unit Health  
16 Record. Dr. Naseer stated that he had “appealed the decision for denial of urinary artificial  
17 sphincter on [plaintiff’s] [behalf],” and that plaintiff’s request would be discussed during the next  
18 MAR Committee meeting. (ECF No. 36-10.) Dr. Naseer partially granted plaintiff’s request.  
19 (Id.)

20 28. The August 10, 2011 Second Level Appeal Response to the grievance, signed by  
21 defendant Dr. Heatley for defendant Fong, granted the appeal in part, and indicated that plaintiff  
22 would receive the artificial urinary sphincter if the renewed request was approved by the prison’s  
23 MAR Committee. (Pl.’s Dep. at 65, 68 (ECF No. 36-2 at 45; 48); Heatley Decl. (ECF No. 36-21  
24 at 2); (ECF No. 36-11).)

25 29. Defendant Fong is a Chief Executive Officer (“CEO”) hospital administrator with a  
26 Masters in Public Health (“MPH”), not a medical doctor.

27 30. Defendant Fong was not a part of any MAR Committee at MCSP or any other prison.

28 ////

1           31. During the relevant time period, defendant Fong assigned Dr. Heatley, who was Chief  
2 Medical Executive at MCSP and a medical doctor, to review all medical grievances as his  
3 designee. (ECF No. 36-18 at 2.)

4           32. Defendant Fong's name was on the Second Level Response because it was CDCR's  
5 policy to have the CEO's name there, but Fong would not decide the medical issues.

6           33. Defendant Fong would have medical doctors decide the medical issues.

7           34. The only basis for plaintiff's claim against defendant Fong is the Second Level  
8 Response to the grievance that was signed by Dr. Heatley for defendant Fong.

9           35. On August 17, 2011, plaintiff was seen by Dr. Fawcett of Alvarado Hospital in San  
10 Diego, via telemedicine consultation, in response to the MAR Committee's review of whether to  
11 grant plaintiff's renewed request for the artificial urinary sphincter surgery. (ECF No. 36-12.)

12           36. At that appointment, plaintiff was told that the artificial urinary sphincter can fail, that  
13 it can erode or atrophy the urethra and become ineffective, that it could be damaged by passage of  
14 a urethral catheter, that there was at least a 40% redo rate, that the operation should be done by a  
15 urologist with a subspecialty in reconstructive urology and by doctors who have a special interest  
16 in the sphincter implantation, and that the surgery could be complicated. (Pl.'s Dep. at 70-71  
17 (ECF No. 36-2 at 50-51); (ECF No. 36-12 at 3).)

18           37. This above information in paragraph no. 36 was also reflected in Dr. Fawcett's report.  
19 (ECF No. 36-12.)

20           38. In his report, Dr. Fawcett noted that plaintiff

21                   is having problems with the condom where the skin is irritated and  
22                   bleeding at times. It is painful and sore. He continues to work, and  
23                   he really wants to be active and work, and the incontinence problem  
                    is making this almost impossible. He would therefore request  
                    attention so that he may deal with the problem.

24 (ECF No. 36-12 at 2.)

25           39. Dr. Fawcett never told plaintiff that the artificial urinary sphincter would help with  
26 any pain besides that associated with no longer having to use a catheter if the operation was  
27 successful. (Pl.'s Dep. at 73-74 (ECF No. 36-2 at 51, 54).)

28 ////

1           40. In further evaluating plaintiff's renewed request for the artificial urinary sphincter  
2 surgery and from reviewing plaintiff's medical records, it was Dr. Heatley's understanding that  
3 plaintiff was functional in that he could perform activities of daily living, but he had to use a  
4 catheter.

5           41. Dr. Heatley considered that a catheter can cause discomfort, but should not cause  
6 severe pain, and any infections or rashes caused by the catheter can be treated with antibiotics and  
7 topical medications.

8           42. Dr. Heatley also considered that plaintiff had several previous failed procedures and  
9 surgeries to eliminate incontinence, that the artificial urinary sphincter could fail, that it was  
10 complicated and risky, and that it had a fairly high redo rate.

11           43. Dr. Heatley was concerned about subjecting plaintiff to a procedure that would be  
12 unsuccessful and would result in even more discomfort to him.

13           44. There was no medical indication that plaintiff needed the artificial urinary sphincter  
14 surgery to protect his life, to prevent significant disability, or to alleviate severe pain, and the  
15 health outcome data did not show that the surgery would likely be effective in eliminating  
16 plaintiff's incontinence.

17           45. However, the MCSP MAR Committee decided not to deny plaintiff's renewed  
18 request for an artificial urinary sphincter outright, but instead chose to send the request to the  
19 medical review committee in Sacramento, so plaintiff could receive another evaluation to ensure  
20 that the concerns about the surgery were valid, and that the decision could be made at a higher  
21 level with additional medical personnel evaluating the procedure.

22           46. The Third Level Response to the grievance, signed by defendant Zamora, indicated  
23 that the MCSP MAR Committee referred plaintiff's request for the artificial urinary sphincter  
24 surgery to the California Correctional Health Care Services Health Care Review Subcommittee,  
25 and that MCSP was to provide evidence that it received that Subcommittee's determination  
26 regarding whether or not the artificial urinary sphincter surgery would be provided.

27           47. It was plaintiff's understanding that defendant Zamora wanted plaintiff to receive a  
28 response to the referral to the California Correctional Health Care Services Health Care Review

1 Subcommittee in Sacramento to which MCSP referred his request. (Pl.'s Dep. at 75 (ECF No.  
2 36-2 at 55).)

3 48. The only basis for plaintiff's claim against defendant Zamora is the Third Level  
4 Response to the grievance.

5 49. Defendant Zamora is not a medical doctor, and she relied upon the Health Care  
6 Services Health Care Review Subcommittee, which was made up of seven board certified  
7 medical physicians, to determine whether the artificial urinary sphincter surgery was medically  
8 necessary.

9 50. Plaintiff received a response from the Health Care Review Subcommittee, dated  
10 January 9, 2012, signed by defendant Barnett.

11 51. The Health Care Review Subcommittee decision indicated that plaintiff's request for  
12 artificial urinary sphincter surgery was reviewed and denied as not medically necessary,  
13 "medically necessary" meaning health care services that are determined by the attending  
14 physician to be reasonable and necessary to protect life, prevent significant illness or disability, or  
15 alleviate severe pain.

16 52. The decision also noted that plaintiff had symptomatic coronary artery disease which  
17 placed him at a higher risk during surgery.

18 53. The Health Care Review Subcommittee that reviewed plaintiff's request for an  
19 artificial urinary sphincter surgery was made up of seven board certified medical physicians,  
20 including Dr. Barnett.

21 54. The Health Care Review Subcommittee oversees Utilization Management policies,  
22 procedures, criteria selections, and standards, and performs the fourth and final level of review  
23 and appeal for any requests for medical services sent to it.

24 55. In connection with its decision to deny plaintiff artificial urinary sphincter surgery,  
25 the Health Care Review Subcommittee reviewed plaintiff's medical files.

26 56. Plaintiff's medical files indicated that plaintiff could perform activities of daily living  
27 with the use of a catheter, and that plaintiff had several previous procedures and surgeries that had  
28 been unsuccessful in eliminating incontinence.

1           57. The Health Care Review Subcommittee also considered that incontinence itself  
2 should not cause pain, but that a condom catheter can cause episodic skin irritations, but that  
3 these, and infections, can be treated.

4           58. The Health Care Review Subcommittee considered relevant medical literature  
5 regarding the artificial urinary sphincter for someone with several prior failed procedures and  
6 surgeries to eliminate incontinence.

7           59. The medical literature indicated that an artificial urinary sphincter has risks of  
8 erosion, infection, and nerve compression, all of which can be extremely painful, the procedure  
9 could fail, that it was complicated and risky, and that it had a fairly high redo rate, and that if the  
10 artificial urinary sphincter did not work completely, the patient would have to continue using a  
11 condom catheter.

12           60. The Health Care Review Subcommittee also considered that, like any surgery, the  
13 artificial urinary sphincter surgery would expose plaintiff to the risk of infections, further pain, or  
14 even death.

15           61. Additionally, the Health Care Review Subcommittee considered that plaintiff had  
16 symptomatic coronary artery disease, which placed him at a higher risk during surgery.

17           62. The Health Care Review Subcommittee determined that the artificial urinary  
18 sphincter surgery did not meet the requirement of California Code of Regulations, title 15,  
19 § 3350(a), to only provide medical services that are medically necessary and supported by  
20 outcome data as effective medical care because the surgery was not reasonable and necessary to  
21 protect life, prevent significant illness or disability, or alleviate severe pain, and was not  
22 supported by health outcome data as being effective medical care in plaintiff's situation.

23           63. The Health Care Review Subcommittee denied the requested surgery because the  
24 facts clearly showed that the surgery would put plaintiff at substantial risk of injury with very  
25 little likelihood of achieving the benefit he desired -- i.e., no longer needing to use a catheter --  
26 and medically, the catheter could address plaintiff's incontinence.

27           64. In the two years prior to October 16, 2014, the day of plaintiff's deposition, plaintiff  
28 had one infection or problem with blood in his urine due to the catheter, one in the year before

1 those two years, and one over three years ago -- for a total of three in five years -- but each one  
2 cleared up after two or three days.

3 65. Plaintiff claims that wearing the catheter causes him stinging and sometimes  
4 throbbing-like pain in his penis, which causes him to wake up from sleeping about once a month.  
5 (Pl.'s Dep. at 32; 84 (ECF No. 36-2 at 12; 64).)

6 66. However, plaintiff is able to get back to sleep. (Pl.'s Dep. at 84 (ECF No. 36-2 at  
7 64).)

8 67. The pain is sometimes a little less, but it is always there. (Pl.'s Dep. at 32 (ECF No.  
9 36-2 at 12).)

10 68. Plaintiff believes the artificial urinary sphincter would help with his pain because he  
11 would no longer have to wear the catheter. (Pl.'s Dep. at 33 (ECF No. 36-2 at 13).)

12 69. Plaintiff does not recall if doctors told him that an artificial urinary sphincter would  
13 relieve his stomach pain. (Pl.'s Dep. at 30 (ECF No. 36-2 at 10).)

14 70. It was plaintiff's understanding that the surgery would help with incontinence, and  
15 that he would possibly not have to use a catheter. (Id.)

16 71. Plaintiff was diagnosed with coronary artery disease, he received a stent prior to the  
17 prostate operation, and he has nitroglycerine tablets. (Pl.'s Dep. at 77-78 (ECF No. 36-2 at 57-  
18 58).)

19 72. Plaintiff has high blood pressure and gout, and a history of arthritis and Hepatitis C.  
20 (Pl.'s Dep. at 78-80 (ECF No. 36-2 at 58-60).)

21 73. Plaintiff receives pain medication. (Pl.'s Dep. at 83 (ECF No. 36-2 at 63).)

22 74. Plaintiff is not claiming that any of the defendants in this case lied to him. (Pl.'s Dep.  
23 at 87 (ECF No. 36-2 at 67).)

24 75. There is no connection between plaintiff's hernia and receiving artificial urinary  
25 sphincter surgery. (Pl.'s Dep. at 31-32 (ECF No. 36-2 at 11-12).)

26 76. Plaintiff is not claiming any current mental complaints due to the issues in this action.  
27 (Pl.'s Dep. at 32 (ECF No. 36-2 at 12).)

28 77. Plaintiff is 70 years old. (ECF No. 36-12 at 2.)

1 VI. Eighth Amendment Claims

2 A. Legal Standards

3 The Eighth Amendment protects prisoners from inhumane conditions of confinement.  
4 Farmer v. Brennan, 511 U.S. 825, 832, 114 S. Ct. 1970 (1994). To prevail on an Eighth  
5 Amendment claim for deliberate indifference arising out of inadequate medical care, a plaintiff  
6 must show “deliberate indifference” to his “serious medical needs.” Estelle v. Gamble, 429 U.S.  
7 97, 104 (1976). “This includes ‘both an objective standard -- that the deprivation was serious  
8 enough to constitute cruel and unusual punishment -- and a subjective standard -- deliberate  
9 indifference.’” Colwell v. Bannister, 763 F.3d 1060, 1066 (9th Cir. 2014) (citation omitted).

10 To meet the objective element of the standard, a plaintiff must demonstrate the existence  
11 of a serious medical need. Estelle, 429 U.S. at 104. Such a need exists if failure to treat the  
12 injury or condition “could result in further significant injury” or cause “the unnecessary and  
13 wanton infliction of pain.” Jett v. Penner, 439 F.3d 1091, 1096 (9th Cir. 2006) (quoting  
14 McGuckin v. Smith, 974 F.2d 1050, 1059 (9th Cir. 1992), overruled in part on other grounds by  
15 WMX Techs., Inc. v. Miller, 104 F.3d 1133 (9th Cir. 1997) (en banc)) (internal quotation marks  
16 omitted). A serious medical need exists if the failure to treat a prisoner’s condition could result in  
17 further significant injury or the unnecessary and wanton infliction of pain, including “[t]he  
18 existence of an injury that a reasonable doctor or patient would find important and worthy of  
19 comment or treatment; the presence of a medical condition that significantly affects an  
20 individual's daily activities; or the existence of chronic and substantial pain.” McGuckin, 974  
21 F.2d at 1059-60.

22 To satisfy the subjective element of deliberate indifference, the plaintiff must show that  
23 “the official knows of and disregards an excessive risk to inmate health or safety; the official  
24 must both be aware of facts from which the inference could be drawn that a substantial risk of  
25 serious harm exists, and he must also draw the inference.” Farmer, 511 U.S. at 837. A plaintiff  
26 must establish that the course of treatment the doctors chose was “medically unacceptable under  
27 the circumstances” and that they embarked on this course in “conscious disregard of an excessive  
28 risk to plaintiff's health.” Toguchi v. Chung, 391 F.3d 1051, 1058-60 (9th Cir. 2004) (internal



1 citations and quotations omitted). Indifference may appear “when prison officials deny, delay or  
2 intentionally interfere with medical treatment, or it may be shown by the way in which prison  
3 physicians provide medical care.” Hutchinson v. United States, 838 F.2d 390, 392 (9th Cir. 1988)  
4 (citing Estelle, 429 U.S. at 106).

5 “[A] mere difference of medical opinion . . . [is] insufficient, as a matter of law, to  
6 establish deliberate indifference.” Toguchi, 391 F.3d at 1058 (internal quotes and citation  
7 omitted); see also Brown v. Beard, 445 F. App’x. 453, 455 (3rd Cir. 2011) (“A professional  
8 disagreement between doctors as to the best course of treatment does not establish an Eight  
9 Amendment violation.”). “Simply showing that another doctor in similar circumstances might  
10 have ordered different treatment,” however, “only raises questions about medical judgment and  
11 does not show that the physician acted with a culpable mind greater than negligence.” Starbeck  
12 v. Linn County Jail, 871 F.Supp. 1129, 1144 (N.D. Iowa Dec. 12, 1994) (citing Noll v. Petrovsky,  
13 828 F.2d 461, 462 (8th Cir. 1987)). Instead, the plaintiff must not only show that a physician’s  
14 course of treatment “was medically unacceptable under the circumstances,” but that the physician  
15 chose it “in conscious disregard of an excessive risk to [the] plaintiff’s health.” Jackson v.  
16 McIntosh, 90 F.3d 330, 332 (9th Cir. 1996); see also Johnson v. Doughty, 433 F.3d 1001, 1013  
17 (7th Cir. 2006) (“It is not enough to show, for instance, that a doctor should have known that  
18 surgery was necessary; rather, the doctor must know that surgery was necessary and then  
19 consciously disregard that need in order to be held deliberately indifferent.”).

## 20 B. Discussion

### 21 i. Objective Element

22 Defendants contend that plaintiff fails to meet the objective component under the Eighth  
23 Amendment because having to wear a catheter does not cause severe pain or further injury, and  
24 an artificial urinary sphincter is unlikely to eliminate the need for a catheter.

25 However, as set forth above, in order to meet the objective element, plaintiff must  
26 demonstrate the existence of a serious medical need. Colwell, 763 F.3d at 1066. Here, plaintiff  
27 suffers from incontinence, which a reasonable doctor or patient would find important and worthy  
28 of treatment, and would significantly affect an individual’s daily activities. The court finds that

1 plaintiff meets the objective element.

2 ii. Subjective Element

3 a. Artificial Urinary Sphincter

4 Plaintiff's case is unusual. It is undisputed that plaintiff's initial prostate removal surgery  
5 was "botched," but plaintiff is not challenging the initial surgery. Plaintiff had at least two  
6 subsequent procedures, both of which were also unsuccessful, but he is also not challenging those  
7 procedures. At bottom, plaintiff challenges the ultimate decision to deny him artificial urinary  
8 sphincter surgery. Plaintiff contends that the refusal to provide him with this procedure causes  
9 him pain and impacts his activities of daily living because he is required to wear a condom  
10 catheter as a result of his incontinence caused by the initial failed surgery.

11 First, the court acknowledges that it is difficult to have to wear a condom catheter and  
12 cope with the issue of incontinence. The court is sympathetic to plaintiff's plight, and wishes  
13 there were a medical solution to permanently resolve his incontinence and the internal pain he  
14 suffers. But the record reflects that the artificial urinary sphincter procedure is not supported by  
15 health outcome data as effective medical care in plaintiff's case, and the internal pain that  
16 plaintiff concedes is the result of the initial "botched" surgery would not be resolved by the  
17 artificial urinary sphincter. Plaintiff adduced no evidence to the contrary.

18 Second, Dr. Fawcett's report confirms that the artificial urinary sphincter surgery is  
19 complex and poses risks.

20 Further, the evidence shows that after reviewing plaintiff's medical records, including his  
21 history of three unsuccessful procedures, as well as the literature about the artificial urinary  
22 sphincter procedure, seven board certified physicians unanimously determined that the risks  
23 outweighed the benefit of such a procedure. Plaintiff adduced no medical evidence to the  
24 contrary. Plaintiff did not provide declarations or expert medical opinions from Dr. Naseer, Dr.  
25 Beck, or Dr. Fawcett opining that the benefits of the artificial urinary sphincter surgery  
26 outweighed the risks of the procedure, particularly in light of the three prior procedures used in  
27 unsuccessful attempts to resolve plaintiff's incontinence, or that the continued use of a condom  
28 catheter poses an excessive risk to plaintiff's health.

1           Although it appears undisputed that Dr. Naseer requested that plaintiff be provided the  
2 artificial urinary sphincter, and also on plaintiff's behalf appealed the decision to deny the  
3 procedure, plaintiff adduced no evidence demonstrating Dr. Naseer's experience and  
4 qualifications. Plaintiff did not provide a declaration from Dr. Naseer attesting that in his medical  
5 opinion, after studying the procedure and reviewing plaintiff's medical records, including the  
6 three prior unsuccessful procedures, the artificial urinary sphincter procedure was required, or  
7 that the benefit of such procedure outweighed the associated risks. But even assuming that Dr.  
8 Naseer's request was an informed decision that plaintiff should receive the procedure, the opinion  
9 of Dr. Fawcett demonstrates that there was a difference of opinion as to the benefits and risks, as  
10 well as the projected results of such a procedure. Similarly, to the extent that Dr. Beck's progress  
11 note can be interpreted as a recommendation that plaintiff receive the procedure, such  
12 recommendation demonstrates a difference of opinion, particularly where it is undisputed that Dr.  
13 Beck did not discuss the complications and failure rates of the procedure with plaintiff.

14                           b. Defendant Dr. Heatley

15           Plaintiff emphasizes that defendant Dr. Heatley informed plaintiff that he would receive  
16 the procedure if the MAR committee approved it, and argues that having Dr. Heatley serve on the  
17 MAR review committee was a conflict of interest because the MAR denied plaintiff the  
18 procedure. (ECF No. 39 at 11.) Plaintiff argues that defendant Dr. Heatley cannot claim he was  
19 willing to provide the procedure if the MAR committee approves it, but then serve on the MAR  
20 committee and deny the procedure, because it demonstrates that Dr. Heatley was biased.  
21 Defendants respond that Dr. Heatley was not willing to provide the procedure; rather, instead of  
22 denying the procedure outright, he decided to present the issue to additional medical personnel.  
23 (ECF No. 41 at 2.) Thus, defendants argue that rather than showing bias, Dr. Heatley was willing  
24 to give plaintiff an additional chance to obtain the procedure. (Id.)

25           Plaintiff fails to adduce evidence that Dr. Heatley was biased. The record reflects that  
26 despite the initial May 9, 2011 denial of the artificial urinary sphincter procedure, in response to  
27 plaintiff's administrative appeal, Dr. Heatley placed plaintiff's appeal in the Health Care Appeals  
28 Office "to follow up with to ensure that [plaintiff] receive the artificial urinary sphincter

1 procedure, *if* the referral or procedure is approved by the Medical Authorization Review (MAR)  
2 Committee.” (ECF No. 36-11, emphasis added.) Defendants adduced evidence that the MCSP  
3 MAR is made up of a registered nurse and all the medical doctors at MCSP who are available to  
4 attend the committee meeting. (ECF No. 36-17 at 1.) Contrary to plaintiff’s view that the MCSP  
5 MAR’S denial of the procedure demonstrates Dr. Heatley had a conflict of interest, the evidence  
6 shows that Dr. Heatley referred plaintiff’s case to the MCSP MAR for review even after Dr.  
7 Heatley’s initial denial. Then, rather than deny the request outright, the MCSP MAR on which  
8 Dr. Heatley served, referred plaintiff’s request for the procedure to the California Health Care  
9 Services Health Care Review Subcommittee. Such actions, without more, do not constitute a  
10 conflict of interest or bias against plaintiff, but demonstrate a cautious approach to plaintiff’s  
11 health care needs, and a willingness to have plaintiff’s case reviewed by other medical  
12 professionals. Plaintiff adduced no additional facts or evidence suggesting that Dr. Heatley was  
13 biased against plaintiff or had a conflict of interest that would preclude him from serving on the  
14 MCSP MAR committee. Whether or not Dr. Heatley told plaintiff that Dr. Heatley was willing to  
15 perform the procedure if the MAR committee approved it is not a material issue of fact  
16 precluding summary judgment because the MCSP MAR referred the request to another level of  
17 review rather than deny the procedure outright. The elevation of the request does not demonstrate  
18 deliberate indifference. Dr. Heatley’s willingness to perform the procedure if the MAR  
19 committee approved the procedure also cannot be viewed as deliberate indifference to plaintiff’s  
20 serious medical needs.

21 For all of these reasons, defendant Dr. Heatley is entitled to summary judgment.

22 c. Defendant Dr. Barnett

23 Defendant Barnett is the sole defendant who was involved in the final decision to deny  
24 plaintiff the artificial urinary sphincter surgery. In his opposition, plaintiff contends that Dr.  
25 Barnett reviewed all the doctors’ notes and recommendations of the specialists before she denied  
26 plaintiff the artificial urinary sphincter procedure, and argues that because Dr. Barnett is not a  
27 urologist, she is unable to adequately diagnose plaintiff’s medical needs regarding his urinary  
28 problem. (ECF No. 39 at 12.) Defendants dispute plaintiff’s characterization that the specialists

1 recommended that plaintiff have the artificial urinary sphincter procedure, and contend the  
2 specialists simply discussed the procedure with plaintiff. In any event, defendants contend that  
3 the record reflects a difference of opinion as to whether plaintiff should receive the artificial  
4 urinary sphincter procedure.

5 Here, plaintiff failed to rebut defendants' evidence that the artificial urinary sphincter  
6 procedure is not supported by health outcome data as effective medical care for plaintiff.  
7 Moreover, defendant Dr. Barnett was one of seven doctors who unanimously agreed that the  
8 procedure should be denied. The record demonstrates that the Subcommittee reviewed plaintiff's  
9 medical records and evaluated medical literature concerning the procedure before reaching their  
10 unanimous decision. Although Dr. Barnett is not a urologist, she earned her medical degree from  
11 Harvard Medical School, is a licensed physician and surgeon in good standing in the state of  
12 California, and has more than thirty years' experience in the field of medicine with a special  
13 interest in administrative medicine. (ECF No. 36-20 at 1.) Unlike Dr. Naseer, who also is not a  
14 urologist, Dr. Barnett reviewed plaintiff's medical records and, along with the other  
15 Subcommittee members, "considered relevant medical literature regarding the artificial urinary  
16 sphincter procedure for someone with several prior failed procedures and surgeries to eliminate  
17 incontinence." (ECF No. 36-20 at 3.) More significantly, six other doctors who served on the  
18 Subcommittee also agreed that plaintiff should not undergo the procedure. The fact that Dr.  
19 Barnett is not a urologist does not preclude summary judgment.

20 Furthermore, this case is distinguishable from cases in which prison officials and doctors  
21 deliberately ignored the express orders of a prisoner's treating physician. See, e.g., Jett, 439 F.3d  
22 at 1097-98 (finding a triable issue of fact as to whether a prison doctor was deliberately  
23 indifferent to a prisoner's medical needs when he decided not to request an orthopedic  
24 consultation as the prisoner's emergency room doctor had previously ordered); Hamilton v.  
25 Endell, 981 F.2d 1062, 1067 (9th Cir. 1992) (finding a triable issue of fact as to whether prison  
26 officials were deliberately indifferent to prisoner's serious medical needs when they relied on the  
27 opinion of a prison doctor instead of the opinion of the prisoner's treating physician and surgeon),  
28 abrogated in part on other grounds by Estate of Ford v. Ramirez-Palmer, 301 F.3d 1043, 1045

1 (9th Cir. 2002). Here, the progress notes of outside urologist Dr. Beck clearly state his  
2 equivocation: that he would rather have a second opinion. And, despite Dr. Naseer's subsequent  
3 request that plaintiff receive the procedure, Dr. Fawcett advised plaintiff that the artificial  
4 sphincter can fail, "erode the urethra or atrophy the urethra to become ineffective," and has "at  
5 least a 40% re-do rate." (ECF No. 36-12 at 2.) Plaintiff adduced no medical evidence to the  
6 contrary.

7       Importantly, the evidence demonstrates that plaintiff has been provided multiple medical  
8 procedures in an effort to resolve his incontinence, all of which have failed. Plaintiff's  
9 incontinence is presently treated by his use of a condom catheter. Plaintiff now seeks to have  
10 another procedure, which some doctors might pursue. But in light of plaintiff's medical history  
11 and the risks and potential failure of such procedure, Dr. Barnett and her colleagues on the  
12 Subcommittee denied the request, opting instead to continue the more conservative medical  
13 treatment by continuing to provide the condom catheter and pain medications. Although plaintiff  
14 disagrees with such decision, such disagreement does not rise to the level of deliberate  
15 indifference.

16       Therefore, for all of the above reasons, the undersigned finds that plaintiff failed to raise a  
17 genuine dispute of material fact as to whether defendant Dr. Barnett acted with deliberate  
18 indifference. See Toguchi, 391 F.3d at 1057-58, 1060 (deliberate indifference is a high legal  
19 standard, and is met only if each defendant knows of and disregards an excessive risk to the  
20 inmate's health). Dr. Barnett is also entitled to summary judgment.

21                                   d. Defendants Dr. Smith and Fong

22       As conceded in his deposition, the only basis for plaintiff's claims against defendants Dr.  
23 Smith and Fong is that documents bore their names, but were signed on their behalf by Dr.  
24 Heatley. Plaintiff adduced no further evidence that Dr. Smith or defendant Fong were responsible  
25 for denying plaintiff the artificial urinary sphincter surgery or other required medical care.

26       Based on defendant Fong's response to interrogatory no. 2, plaintiff contends that it was  
27 defendant Fong's practice to review inmate appeals with Dr. Heatley on a regular basis. (ECF No  
28 39 at 14.) However, it is undisputed that defendant Fong is not a doctor and would not decide

1 medical issues on review of administrative appeals. See Peralta v. Dillard, 744 F.3d 1076, 1086-  
2 87 (9th Cir. 2014) (en banc) (finding no reasonable jury could find deliberate indifference where  
3 a prison official with no medical expertise in the relevant field, acting in an administrative  
4 capacity, denied an inmate appeal for medical care after it was reviewed by qualified medical  
5 experts).<sup>6</sup>

6 Further, it is undisputed that MAR committees are staffed by all MCSP physicians who  
7 are available at the time of the meeting. Dr. Smith declares that he researched the issue and does  
8 not believe that he was present at the MCSP MAR review committee that addressed plaintiff's  
9 appeal, and that is why Dr. Heatley signed the May 9, 2011 form for Dr. Smith. (ECF No. 36-17  
10 at 2.) Plaintiff adduces no evidence to the contrary.

11 The record reflects that Dr. Heatley signed the second level appeal response, and was  
12 present at the MAR review committee. Thus, Dr. Heatley is the individual responsible for  
13 plaintiff's claims herein, not defendant Dr. Smith or defendant Fong. Defendants Smith and Fong  
14 are entitled to summary judgment.

15 e. Defendant Zamora

16 The only opposition plaintiff offers as to defendant Zamora is that she had the authority to  
17 deny or grant inmate health care appeals and admits she is not a doctor and has no medical  
18 training,<sup>7</sup> and therefore she "cannot claim no knowledge now that there is an admission of the  
19 authority to deny or grant the medical appeals of inmates." (ECF No. 39 at 12.)

20 Defendant Zamora partially granted plaintiff's appeal because the issue of whether to  
21 grant plaintiff the artificial urinary sphincter procedure was elevated to the Health Care Review  
22 Subcommittee, and their decision was still pending at the time Zamora issued the third level  
23

---

24 <sup>6</sup> Plaintiff argues that nonmedical staff should not be tasked to review medical appeals, but that  
25 because they are, they should equally be responsible for denying relief through the administrative  
26 appeals process. However, defendant Fong appropriately delegated review of plaintiff's appeal to  
27 Dr. Heatley, and absent a link or connection to the alleged violation, no § 1983 liability can  
28 attach.

<sup>7</sup> Plaintiff refers to defendant Zamora's answers to interrogatories but did not provide them.  
(ECF No. 39 at 12; *passim*.)

1 decision. Because plaintiff's request was elevated to a higher level of review, there was no  
2 further investigation or action for defendant Zamora to take. In his deposition, plaintiff agreed  
3 that he had no issue with Zamora wanting plaintiff to receive a response from the Subcommittee.  
4 (ECF No. 36-2 at 56.) Moreover, defendant Zamora is not a medical doctor, and she relied on the  
5 seven board certified medical doctors who served on the Health Care Review Subcommittee to  
6 determine whether the artificial urinary sphincter surgery was medically necessary. Such reliance  
7 was appropriate given plaintiff's complex medical history as well as the complexity of the  
8 artificial urinary sphincter procedure. See Peralta, 744 F.3d at 1086-87.

9 To the extent that plaintiff seeks relief against defendant Zamora because plaintiff  
10 disagreed with Zamora's ruling on appeal Log No. MCSP-16-11-11076, this claim must fail.  
11 Prisoners have no absolute constitutional right to have their grievances heard in a prison  
12 administrative appeal system. Although state statutes or regulations may give rise to  
13 constitutionally-protected liberty interests that cannot be taken away without due process of law,  
14 California prison regulations do not create such a liberty interest in an inmate grievance  
15 procedure. The regulations grant prisoners a purely procedural right and set forth no substantive  
16 standards, see Cal. Code Regs. tit. 15, §§ 3084, et seq. (applicable to state prisons), and such  
17 provisions cannot form the basis of a constitutionally cognizable liberty interest. See also Smith  
18 v. Noonan, 992 F.2d 987, 989 (9th Cir. 1993); Mann v. Adams, 855 F.2d 639, 640 (9th Cir.  
19 1988).

20 For all of the above reasons, defendant Zamora is entitled to summary judgment.

21 f. Pain

22 In his deposition, plaintiff confirmed that his medical appeal addressed the issues of his  
23 incontinence and the pain from wearing the catheter. (ECF No. 36-2 at 44.) It is undisputed that  
24 the artificial urinary sphincter would not address or alleviate the internal pain plaintiff suffers  
25 from the initial "botched" surgery.

26 The failure to respond to a prisoner's complaints of pain can be sufficient to support an  
27 Eighth Amendment claim. Snow v. McDaniel, 681 F.3d 978, 990 (9th Cir. 2012), overruled in  
28 part on other grounds, Peralta, 744 F.3d at 1076; Clement v. Gomez, 298 F.3d 898, 904 (9th Cir.



1 2002). However, deliberate indifference must be shown, and it is a high legal standard. Toguchi,  
2 391 F.3d at 1060 (quotation marks omitted). “Under this standard, the prison official must not  
3 only ‘be aware of the facts from which the inference could be drawn that a substantial risk of  
4 serious harm exists,’ but that person ‘must also draw the inference.’” Id. at 1057 (quoting  
5 Farmer, 511 U.S. at 837). “‘If a prison official should have been aware of the risk, but was not,  
6 then the official has not violated the Eighth Amendment, no matter how severe the risk.’” Id.  
7 (quoting Gibson v. Cnty. of Washoe, Nev., 290 F.3d 1175, 1188 (9th Cir. 2002)).

8 In his verified complaint, plaintiff refers to “pain and suffering” and “severe pain” (ECF  
9 No. 1 at 4, 6, 7), but does not specify the location of such pain.

10 Plaintiff disputes defendants’ position that he does not have pain or a significant  
11 disability, and cites defendants’ Exhibit 8 (ECF No. 36-9 at 2-4) as evidence that defendants were  
12 aware of his pain and suffering. (ECF No. 39 at 4.) However, defendants’ exhibit 8 is plaintiff’s  
13 administrative appeal, Log No. MCSP-16-11-11076, in which plaintiff sought the artificial  
14 urinary sphincter procedure. (ECF No. 36-9.) In this appeal, signed May 24, 2011, plaintiff  
15 recounts his medical history of unsuccessful procedures, and states:

16 With the removal of the prostate [plaintiff] was forced to use a  
17 Foley Catheter w/bag connected through his penis. This surgery  
18 was partially effective, however, [plaintiff] had continuous health  
19 problems and complications: severe pain/suffering, bleeding,  
20 abdominal pain and many “EMERGENCY” surgical procedures in  
21 an attempt to offer relief.

22 (ECF No. 36-9 at 2.) Such statements do not make clear that he was suffering from pain from the  
23 condom catheter. On July 8, 2011, in his request for second level review, plaintiff states that he is  
24 “still suffering with severe pain,” (ECF No. 36-9 at 3), but does not indicate where the severe  
25 pain was located. Because plaintiff suffers from internal pain from the “botched” procedure, as  
26 well as discomfort from the condom catheter, it is important to identify the location of plaintiff’s  
27 pain complaints. Moreover, plaintiff’s statements in these appeals are not verified, and he  
28 provided no declaration in support of his opposition to the motion for summary judgment, or

////

////

1 medical records supporting his alleged complaints of pain.<sup>8</sup> Plaintiff only provided answers to  
2 interrogatories by defendants Fong, Barnett, and Heatley, and none of their responses address the  
3 issue of plaintiff's pain related to his catheter. (ECF No. 39 at 13-22.)

4 In his deposition, plaintiff testified that he experiences pain from the condom catheter, but  
5 he fails to demonstrate that there is a question of material fact as to whether any named defendant  
6 acted with deliberate indifference to plaintiff's pain complaints associated with the catheter.  
7 Plaintiff described a stinging in his penis, and sometimes a "throbbing-like pain." (ECF No. 36-2  
8 at 12.) He testified that the pain is constant, sometimes a little less, but always there. (ECF No.  
9 36-2 at 12-13.) But it is undisputed that plaintiff is prescribed pain medications, and he testified  
10 that he receives Tramadol three times a day for pain. (ECF No. 36-2 at 63.) Plaintiff provided no  
11 medical records demonstrating that he presented with pain from the catheter and a defendant  
12 refused or failed to treat such pain. None of the defendants were plaintiff's treating physician or  
13 nurse, and during his deposition, plaintiff concedes he has not talked to or had a conversation  
14 with any of the defendants. (ECF No. 36-2 at 66-67.) Moreover, on those occasions where he  
15 experiences an infection or problems with his skin associated with wearing the catheter, the  
16 record reflects that plaintiff is treated with antibiotics or other appropriate medications. It is  
17 undisputed that plaintiff has had such issues only three times in five years, and each time the  
18 problem cleared up after two or three days.

19 While plaintiff surely experiences discomfort by having to wear the condom catheter, he  
20 fails to demonstrate he suffers severe pain from the catheter, or that the pain medications  
21 provided are inadequate to address pain associated with the catheter. Deliberate indifference is a  
22 high legal standard and even gross negligence is insufficient to establish deliberate indifference to  
23 serious medical needs. See Wood, 900 F.2d at 1334. Plaintiff fails to meet this high burden to  
24 establish that any defendant acted with deliberate indifference to plaintiff's serious medical needs

---

25 <sup>8</sup> On March 13, 2015, plaintiff was provided contemporaneous notice of the requirements for  
26 opposing motions for summary judgment, including the requirement that he could not simply rely  
27 on the complaint, but "must set out specific facts in declarations, depositions, answers, to  
28 interrogatories, or authenticated documents, as provided in Rule 56(c)." (ECF No. 36-15 at 2,  
citing Rand v. Roland, 154 F.3d 952, 962-63 (9th Cir. 1998) (en banc). Earlier, on May 6, 2014,  
the court also provided him notice of the Rand requirements. (ECF No. 24 at 4, 6.)

1 in connection with his pain complaints associated with the wearing of a catheter. Plaintiff must  
2 wear a catheter because of his incontinence and thus the provision of a catheter, which causes  
3 discomfort, does not cause significant injury or the unnecessary and wanton infliction of pain.

4 g. Hernia

5 In his complaint, plaintiff states that after he was referred to qualified specialists, each of  
6 the defendants left him “with a hernia.” (ECF No. 1 at 7.) This is the only mention of a hernia in  
7 the complaint. The pleading contains no factual allegations describing the hernia or the care or  
8 lack of care plaintiff received for the hernia, or specifically connecting such care with a particular  
9 named defendant. (ECF No. 1, *passim*.) In his opposition to the instant motion, plaintiff explains  
10 that he got the hernia “from the [initial] botched procedure,” and now claims, for the first time,  
11 that defendants never addressed his hernia. (ECF No. 39 at 4.) No further factual allegations as  
12 to the hernia, or to each defendant’s involvement therewith, is provided in the opposition. (ECF  
13 No. 39, *passim*.)

14 In his deposition, plaintiff testified that there is no connection between the hernia and  
15 receiving an artificial urinary sphincter. (Pl.’s Dep. at 31 (ECF No. 36-2 at 11).) Review of  
16 plaintiff’s administrative appeal Log No. MCSP-16-11076 confirms that plaintiff sought the  
17 artificial urinary sphincter procedure and did not seek treatment for the hernia. (ECF Nos. 36-9;  
18 36-13.)

19 While, under limited circumstances, a plaintiff may add newly exhausted claims to an  
20 existing action, see Rhodes v. Robinson, 621 F.3d 1002 (9th Cir. 2010) (authorizing amended  
21 complaint containing newly exhausted claims based on related conduct that occurred after the  
22 filing of the original complaint), generally “a prisoner must exhaust his administrative remedies  
23 for the claims contained within his complaint before that complaint is tendered to the district  
24 court,” id. at 1004, citing McKinney v. Carey, 311 F.3d 1198, 1199 (9th Cir. 2002) (per curiam);  
25 and Vaden v. Summerhill, 449 F.3d 1047, 1050 (9th Cir. 2006).

26 Plaintiff’s complaint fails to allege facts demonstrating that any defendant was  
27 deliberately indifferent to medical care for plaintiff’s hernia. Moreover, the gravamen of the  
28 complaint was plaintiff’s efforts to obtain the artificial urinary sphincter procedure and provided

1 no notice either to defendants or to the court that plaintiff challenged the care provided for the  
2 hernia that resulted from the 2008 initial “botched” procedure. In addition, plaintiff concedes that  
3 there is no connection between the hernia and receiving an artificial urinary sphincter. Finally,  
4 it is undisputed that none of the defendants were responsible for treating plaintiff’s medical  
5 ailments. Therefore, the undersigned declines to recommend that plaintiff be granted leave to  
6 amend to raise claims concerning medical care for his hernia.

7 C. Qualified Immunity

8 Because the undersigned finds that all defendants are entitled to summary judgment, the  
9 issue of qualified immunity need not be addressed.

10 D. State Law Claims

11 Under 28 U.S.C. § 1367(c)(3), district courts have discretion to dismiss state law claims  
12 when a plaintiff’s federal claims have been dismissed. “In the unusual case in which federal law  
13 claims are eliminated before trial, the balance of factors . . . will point toward declining to  
14 exercise jurisdiction over the remaining state law claims.” Carnegie-Mellon Univ. v. Cohill, 484  
15 U.S. 343, 350 n.7, 108 S. Ct. 614 (1988); Notrica v. Bd. of Sup’rs of County of San Diego, 925  
16 F.2d 1211, 1213-14 (9th Cir. 1991). District courts are not required to provide an explanation for  
17 such decision. Ove v. Gwinn, 264 F.3d 817, 826 (9th Cir. 2001). Accordingly, the undersigned  
18 finds that the district court should decline to exercise supplemental jurisdiction over plaintiff’s  
19 state law claims. Plaintiff is informed that he may pursue his claim in state court pursuant to the  
20 time limitations set forth in 28 U.S.C. § 1367(d).

21 VII. Conclusion

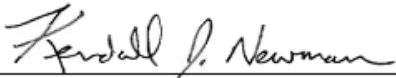
22 In accordance with the above, IT IS HEREBY RECOMMENDED that:

- 23 1. Defendants’ motion for summary judgment (ECF No. 36) be granted; and  
24 2. The court decline to exercise supplemental jurisdiction over plaintiff’s state law claims,  
25 28 U.S.C. § 1367(c)(3), and dismiss such claims without prejudice.

26 These findings and recommendations are submitted to the United States District Judge  
27 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
28 after being served with these findings and recommendations, any party may file written

1 objections with the court and serve a copy on all parties. Such a document should be captioned  
2 “Objections to Magistrate Judge’s Findings and Recommendations.” Any response to the  
3 objections shall be filed and served within fourteen days after service of the objections. The  
4 parties are advised that failure to file objections within the specified time may waive the right to  
5 appeal the District Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

6 Dated: January 15, 2016

7   
8 \_\_\_\_\_  
9 KENDALL J. NEWMAN  
10 UNITED STATES MAGISTRATE JUDGE

11 /aleo1673.msg  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28