

United States District Court
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

RICHARD DENT, JEREMY NEWBERRY,
ROY GREEN, J.D. HILL, KEITH VAN
HORNE, RON STONE, RON PRITCHARD,
JAMES MCMAHON, MARCELLUS
WILEY, and JONATHAN REX HADNOT,
on behalf of themselves and all others
similarly situated,

No. C 14-02324 WHA

Plaintiffs,

**ORDER GRANTING
MOTION TO DISMISS**

v.

NATIONAL FOOTBALL LEAGUE,
a New York unincorporated association,

Defendant.

_____ /

INTRODUCTION

In this putative class action alleging improper administration of pain medications to professional football players, defendant moves to dismiss plaintiffs’ third amended complaint. For the reasons stated below, the motion is **GRANTED**.

STATEMENT

This motion is the latest in a series of lawsuits arising out of defendant National Football League’s (NFL) alleged “return-to-play” scheme in which former players were given painkillers indiscriminately in an effort to quickly return players back to the game and maximize profits, despite the players’ lingering physical injuries.¹

¹ A separate putative class action against the individual clubs involving the same alleged conduct, *Evans v. Arizona Cardinals Football Club, LLC*, No. C 16-01030 WHA, was filed on May 21, 2015 (and transferred to the undersigned judge on March 1, 2016) while the instant action was on appeal.

1 A prior order set forth in detail the well-pled background facts, assumed to be true for
2 purposes of the present motion (Dkt. No. 106). In brief, the NFL is an unincorporated
3 association of thirty-two separately-owned and independently-operated professional football
4 “clubs” or teams across the country. Named plaintiffs are ten retired players who played for a
5 number of those individual football teams at various points in time.

6 In May 2014, plaintiffs filed the instant putative class action, alleging that they were
7 supplied an endless stream of powerful pain medications, such as opioids, Toradol, local
8 anesthetics, and combinations thereof, in order to return players back to the game as quickly as
9 possible instead of allowing them to rest and heal properly from serious football-related injuries.
10 The medications were distributed, plaintiffs alleged, without proper prescription, documentation,
11 or disclosure of the medical risks and side effects, in violation of various laws. Plaintiffs then
12 asserted nine claims against the NFL in their second amended complaint — including claims for
13 negligent misrepresentation, negligence *per se*, negligent hiring and retention, fraud, and
14 fraudulent concealment (Dkt. No. 65).

15 The essence of the then-operative second amended complaint was that the NFL had been
16 negligent in not doing enough to police the alleged problem of the individual clubs abusing pain
17 medications in order to quickly return their injured players to the field. But in order to evaluate
18 the reasonableness of the NFL’s conduct towards the players, it would have been necessary to
19 consider the long history of provisions agreed to by the NFL in collective bargaining agreements
20 (CBA) intended to protect the players. In turn, this consideration of the CBAs triggered the
21 preemption rule within our circuit, as exemplified by *Cramer v. Consolidated Freightways, Inc.*,
22 255 F.3d 683, 689–93 (9th Cir. 2001) (en banc). Accordingly, in December 2014, the Court
23 found that the theory of liability was barred as preempted by Section 301 of the Labor
24 Management Relations Act, 29 U.S.C. § 185(a) (Dkt. No. 106).

25 In September 2018, our court of appeals reversed and remanded, holding that plaintiffs’
26 claims neither arose from the CBAs nor required their interpretation and were thus not
27 preempted by Section 301. It so ruled based on plaintiffs’ pitch to our court of appeals that the
28 second amended complaint alleged a “pyramidal conspiracy” — specifically, by using the club

1 doctors, “the NFL control[led] and direct[ed] a pyramidal scheme for the distribution of
2 controlled substances and prescription drugs in flagrant disregard of federal and state law” (Dkt.
3 No. 131-1 at 1–2). Plaintiffs argued that “the duty at issue [was] to obey the law” and that
4 “[o]nce the illegality exemption [to Section 301 preemption] [was] applied, the rest of the duties
5 flow[ed] to obey the law. You then just follow the drugs” (*id.* at 5, 8). In other words, plaintiffs
6 argued that they alleged that the NFL *itself* supplied and distributed the endless stream of drugs,
7 thereby violating the relevant federal and state statutes governing controlled substances.

8 Our court of appeals agreed with plaintiffs’ reading of the second amended complaint, as
9 demonstrated by the following exchange during oral argument between the appellate court and
10 counsel for the NFL (*id.* at 11 (emphasis added)):

11 THE COURT: Counsel, what do we do with the allegation in the
12 complaint that the NFL directly gave drugs to athletes?

13 MR. CLEMENT: Well, I think what you do is you read it in the context
14 of the entire complaint, so with respect to every one of
15 the ten plaintiffs, the specific allegations are that they
16 were given injections by the team doctors, the doctors . . .

17 THE COURT: I’m looking at paragraph 17 of the complaint. This is the
18 second amended complaint, the NFL directly? *I’m going*
19 *to put some ellipses in here. The NFL directly supplied*
20 *players with opioids.*

21 This reading of the complaint drove our court of appeals’ analysis. It construed the
22 second amended complaint as “not merely alleging that the NFL failed to prevent medication
23 abuse by the teams, but that the NFL *itself* illegally distributed controlled substances, and
24 therefore its actions directly injured players.” *Dent v. Nat’l Football League*, 902 F.3d 1109,
25 1118 (9th Cir. 2018) (emphasis in original). “With that reading of the complaint in mind,” our
26 court of appeals held that “to the extent the NFL is involved in the distribution of controlled
27 substances, it has a duty to conduct such activities with reasonable care” and that the “minimum
28 standards [of care] are established by statute,” such as the Controlled Substances Act (CSA), the
Food, Drugs, and Cosmetics Act (FDCA), and the California Pharmacy Laws. *Ibid.* To the
extent the NFL violated those laws, a ruling on plaintiffs’ claims did not require interpretation of
the CBAs. *Id.* at 1118–19.

1 Based on the foregoing, our court of appeals held that plaintiffs’ “negligence claim
2 regarding *the NFL’s alleged violation of federal and state laws* governing controlled substances
3 [was] not preempted by [Section] 301.” *Id.* at 1121 (emphasis added). Specifically, its decision
4 noted the following.

5 [Plaintiffs] alleged that since 1969, *the NFL has distributed controlled*
6 *substances and prescription drugs* to its players *in violation of both state*
7 *and federal laws*, and that the manner in which these drugs were
administered left the players with permanent injuries and chronic medical
conditions.

8 Each team hires doctors and trainers who attend to players’ medical
9 needs. Those individuals are employees of the teams, not the NFL. But
10 the players’ Second Amended Complaint [] asserts that *the NFL itself*
11 *directly provided medical care and supplied drugs to players.*

12 The players argue that they were injured by *the NFL’s “provision and*
13 *administration” of controlled substances* without written prescriptions,
14 proper labeling, or warnings regarding side effects and long-term risks,
15 and that *this conduct violated the Controlled Substances Act, 21 U.S.C. §*
16 *801 et seq.; the Food, Drugs, and Cosmetics Act, 21 U.S.C. § 301 et seq.;*
17 *and the California Pharmacy Laws, Cal. Bus. & Prof. Code § 4000 et seq.*

18 [A]s we read the complaint, the plaintiffs are not merely alleging that the
19 NFL failed to prevent medication abuse by the teams, but that *the NFL*
20 *itself illegally distributed controlled substances*, and therefore its actions
21 *directly injured players.*

22 [Plaintiffs] are not arguing that the NFL violated the CBAs at all, but that
23 it *violated state and federal laws* governing prescription drugs.

24 But when it comes to the distribution of potentially dangerous drugs,
25 minimum standards are established by statute. The Controlled
26 Substances Act, 21 U.S.C. § 801 *et seq.*; the Food, Drugs, and Cosmetics
27 Act, 21 U.S.C. § 301 *et seq.*; and the California Pharmacy Laws, Cal.
28 Bus. & Prof. Code § 4000 *et seq.*, set forth requirements governing how
drugs are to be prescribed and labeled. Therefore, under the plaintiffs’
negligence per se theory, *whether the NFL breached its duty to handle*
drugs with reasonable care can be determined by comparing the conduct
of the NFL to the requirements of the statutes at issue.

As for causation, whether *the NFL’s alleged violation of the statutes*
caused the plaintiffs’ injuries is a purely factual question that does not
require a court to interpret any term of a collective-bargaining agreement.

But the *teams’ obligations* under the CBAs are irrelevant to the question
of *whether the NFL breached an obligation to players by violating the*
law. The parties to a CBA cannot bargain for what is illegal. Therefore,
liability for a negligence claim alleging *violations of federal and state*
statutes does not turn on how the CBAs allocated duties among the NFL,
the teams, and the individual doctors.

1 Regardless of what (if anything) the CBAs say about those issues, if the
2 NFL had any role in distributing prescription drugs, it was required to
3 follow the laws regarding those drugs. *To the extent that the plaintiffs*
 allege they were injured by the NFL’s violation of those laws, their
 claims can be assessed without any interpretation of the CBAs.

4 We express no opinion regarding the merits of the plaintiffs’ negligence
5 claim, which will require the players to *establish that the relevant*
6 *statutes apply to the NFL, the NFL violated those statutes, and the*
7 *alleged violations caused the players’ injuries*. Perhaps plaintiffs can
8 prove these elements; perhaps not. That must await completion of
 discovery. We hold only that the plaintiffs’ negligence claim regarding
 the NFL’s alleged violation of federal and state laws governing
 controlled substances is not preempted by § 301.

9 In other words, the fact that the CBAs require team doctors to advise
10 players in writing if a medical condition could be significantly
 aggravated by continued performance does not address *the NFL’s*
 liability for injuring players by illegally distributing prescription drugs.

11 *Id.* at 1114–15, 1118–21 (citations and internal quotation marks omitted) (original alterations
12 omitted) (emphasis added).

13 Our court of appeals explicitly limited its holding to the issue of preemption and further
14 observed that it was still to be determined on remand “whether the plaintiffs have pleaded facts
15 sufficient to support” their negligence claim. *Id.* at 1121. And, it warned plaintiffs against
16 “conflat[ing]” actions by the club doctors and trainers from those of the NFL, as plaintiffs are
17 “limited to claims arising from the conduct of the NFL and NFL personnel.” *Ibid.*

18 In October 2018, after remand, plaintiffs were granted leave to amend their complaint
19 (Dkt. No. 118). In December 2018, plaintiffs filed a third amended complaint (Dkt. No. 119).
20 This constitutes their “best and final” pleading (Dkt. Nos. 117 at 1; 118). Now that the dust has
21 settled after a trip to our court of appeals and the completion of the *Evans* litigation, only a single
22 claim for negligence remains (Third Amd. Compl. ¶¶ 298–310).²

23 The NFL now moves to dismiss under Rule 12(b)(6) on the grounds that (1) plaintiffs fail
24 to adequately plead their sole negligence claim, and (2) the claim is time-barred. Plaintiffs
25 counter by asserting that they “have identified three common law duties owed by the NFL”
26 (Opp. 12). This order follows full briefing and oral argument.

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28 ² The last leg plaintiffs stand on at this point in the instant litigation is a negligence claim, despite
 having “conceded from the very beginning that the intentional torts are [their] strongest argument” and that the
 negligence claims “are the weaker argument” (Dkt. No. 131-1 at 22).

1 ANALYSIS

2 “To state a claim for negligence in California, a plaintiff must establish the following
3 elements: (1) the defendant had a duty, or an ‘obligation to conform to a certain standard of
4 conduct for the protection of others against unreasonable risks,’ (2) the defendant breached that
5 duty, (3) that breach proximately caused the plaintiff’s injuries, and (4) damages.” *Dent*, 902
6 F.3d at 1117 (citing *Corales v. Bennett*, 567 F.3d 554, 572 (9th Cir. 2009)).

7 In sum, plaintiffs now allege as follows (Third Amd. Compl. ¶ 16):

8 The NFL was required to, or voluntarily undertook the duty to, comply
9 with federal and state laws regulating the manner in which these
10 Medications were administered and distributed. It failed to do so,
11 consistently and repeatedly, from the 1970s through at least 2014 and
12 that failure directly and proximately caused the injuries for which
13 Plaintiffs seek damages.

14 As noted above, plaintiffs previously convinced our court of appeals that the “essence” of
15 their claims in the second amended complaint was not only the NFL’s alleged failure to prevent
16 medication abuse, *but also that the NFL itself handled, distributed, and administered the*
17 *medications.* *Dent*, 902 F.3d at 1118. Under this reading of plaintiffs’ negligence *per se* theory,
18 our court of appeals held that the NFL’s *direct* involvement in the handling, distribution, and
19 administration of the controlled substances gave rise to a duty of care. *See id.* at 1119.

20 Plaintiffs now argue that they have plausibly alleged conduct showing that the NFL owed
21 an independent duty to plaintiffs based on (1) the nature of the NFL’s alleged conduct; (2) the
22 NFL’s alleged voluntary undertaking of the drug program created to monitor the handling and
23 distribution of the controlled substances; and (3) the “special relationship” allegedly existing
24 between the NFL and its players (Opp. 8–11). This order disagrees and finds that plaintiffs have
25 failed to adequately plead the requisite elements of negligence under Rule 12(b)(6).

26 **1. NATURE OF THE ACTIVITY AT ISSUE.**

27 Here, plaintiffs primarily rely on a negligence *per se* theory to support their negligence
28 claim (as they did in their second amended complaint), which is “a presumption of negligence
aris[ing] from the violation of a statute which was enacted to protect a class of persons of which
the plaintiff is a member against the type of harm which the plaintiff suffered as a result of the
violation of the statute.” *Hoff v. Vacaville Unified Sch. Dist.*, 19 Cal. 4th 925, 938 (1998); *see*

1 also CAL. EVID. CODE § 669. “[A]n underlying claim of ordinary negligence must be viable
2 before the presumption of negligence . . . can be employed.” *Cal. Serv. Station & Auto. Repair*
3 *Ass’n v. Am. Home Assurance Co.*, 62 Cal. App. 4th 1166, 1178 (1998). The “presumption of
4 negligence applies only after determining that the defendant owes the plaintiff an independent
5 duty of care.” *Id.* at 1180.

6 As plaintiffs acknowledge, our court of appeals held that “the NFL owed a duty to
7 [p]laintiffs because [of] the general character of the conduct at issue, *assuming, in fact*, the NFL
8 engaged in the handling, distribution and administration of [m]edications” (Opp. 8 (citing *Dent*,
9 902 F.3d at 1119) (emphasis added)). Plaintiffs argue that they have adequately alleged such
10 conduct by the NFL. This order disagrees.

11 Plaintiffs now explicitly base their claim that the NFL was directly involved in the
12 handling, distribution, and administration of controlled substances on the NFL’s supposed “role
13 in the creation, implementation and maintenance of” an alleged “Return to Play Business Plan”
14 (*id.* at 2). The “general character of” the NFL’s maintenance of this “Business Plan” and “its
15 involvement in the distribution of the Medications violate the” CSA and FDCA, according to
16 plaintiffs (*id.* at 13). Specifically, they argue that but for the supposed “Business Plan,” “*Club*
17 *doctors and trainers would not have violated drug laws and regulations* regarding controlled
18 substances and prescription drugs” (*id.* at 2 (emphasis added)).

19 By their own admission then, plaintiffs do not allege that the NFL *itself* violated the
20 relevant drug laws and regulations governing the medications at issue — that violation is
21 specifically attributed to the club doctors and trainers.³ Plaintiffs attempt to handwave this
22

23 ³ Yet plaintiffs continue to conflate conduct by the NFL and the individual clubs by sporadically
24 labeling relevant actors as “NFL doctors and trainers” throughout the third amended complaint, despite our
25 court of appeals’ warning. *See Dent*, 902 F.3d at 1121 (“We do note that at many points in the [second
26 amended complaint], the plaintiffs appear to conflate the NFL and the teams. But the plaintiffs are pursuing a
27 theory of direct liability, not vicarious liability. And they have attempted to vindicate virtually identical claims
28 against the clubs themselves in separate litigation. Therefore, on remand, any further proceedings in this case
should be limited to claims arising from the conduct of the NFL and NFL personnel — not the conduct of
individual teams’ employees.”).

28 The totality of the allegations renders this conclusory label implausible. That is, even taking all
allegations as true, it cannot be reasonably inferred that the doctors and trainers directly distributing the drugs
allegedly in violation of the relevant statutes were NFL personnel. For example, plaintiffs allege that the NFL

1 deficiency as a mere technicality, asserting that “it matters not who actually put a pill in a
2 player’s hand, but rather, how it came to be that, despite a comprehensive and thorough
3 regulatory scheme designed to prevent the abuses at issue, those abuses nonetheless occurred”
4 (*ibid.*). They try to shore up a direct liability claim by pointing to various allegations within the
5 third amended complaint to argue that the NFL is “controlling all of this” (Dkt. No. 134 at
6 44:10–11; Opp. 3–4). For example, plaintiffs allege that the NFL “compiled weekly injury
7 reports and required reports from teams on the volume of drugs they [the clubs] were distributing
8 to their players”; it “mandated that the Clubs have locked drug storage areas at their facilities”; it
9 “approved Club financial arrangements with doctors and medical groups”; it “established
10 committees to discuss and oversee the distribution of Medications”; it “conduct[ed] on-site
11 inspections of the Clubs’ facilities to ostensibly determine compliance with the laws identified
12 in” the third amended complaint; and it “sponsored League-wide studies on the effects of certain
13 Medications” (Opp. 2–3; Third Amd. Compl. ¶¶ 159, 163–72, 178–80, 188–96). Plaintiffs thus
14 contend that the NFL was involved in “every aspect” of the players’ medical care — except for
15 the actual distribution of the controlled substances (Dkt. No. 134 at 44:14–15).

16 As the NFL points out, however, this distinction is dispositive. Significantly, plaintiffs
17 do not make any specific, plausible allegation that the relevant statutes apply to the NFL, let

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19 “has distributed these controlled substances and prescription medications with little to no regard for the law or
20 the players’ health” and label the relevant actors “NFL doctors and trainers” (Third Amd. Compl. ¶ 7). To
21 support this contention they allege in the very next sentence that “*Raiders owner Al Davis* routinely pressured
22 players and doctors to do anything to get a player back on the field, regardless of the risks” and that “as the
23 position of *Club* doctor and trainer have become increasingly lucrative, the pressures on the medical personnel
24 to return players to the field have only increased” (*ibid.* (emphasis added)). But these factual allegations refer to
25 an *individual team’s* actions, *not* the NFL’s. The third amended complaint is replete with numerous other
26 factual allegations similarly undercutting plaintiffs’ conclusory allegations of the NFL’s direct distribution of
27 the medications (*see, e.g., id.* ¶¶ 21, 30, 39, 47, 58, 67, 77, 86, 96). Nor can plaintiffs’ reliance during oral
28 argument on Dr. Pellman’s alleged dual role as New York Jets’ doctor and NFL medical advisor give rise to this
inference, as his alleged violations of the relevant laws and regulations were made in his “capacity as a *team*
doctor” (*id.* ¶ 216 (emphasis added)).

26 The conflation is made all the more evident by plaintiffs’ contention that the “relationship [between
27 the NFL and the clubs] is complicated and, without discovery, it will not be entirely clear . . . what exactly that
28 relationship is, and concomitantly, what that may mean for this case” and their musing that “although the NFL
and the clubs are independent legal entities, there is more to the puzzle” (Opp. 4). At bottom, the fact remains
that the NFL and the clubs, while closely related, *are* separate entities, and plaintiffs’ plain assertion that the
NFL is the clubs’ “super-employer” is insufficient to conjure up a direct (rather than a vicarious) liability claim
(*see* Opp. 5).

1 alone that the NFL violated those statutes. Despite ninety pages of allegations (largely directed
2 to the clubs' conduct), nowhere in the third amended complaint do plaintiffs allege, as they
3 previously pitched before our court of appeals, that the NFL undertook to provide direct medical
4 care and treatment to players such that its conduct violated any relevant drug laws. Though
5 plaintiffs generally contend that the NFL controlled and directed the distribution of the players'
6 medication via the "Business Plan," nowhere in the third amended complaint do plaintiffs
7 specifically allege any facts as to how the NFL instructed the club doctors' handling,
8 distribution, and administration of the drugs or otherwise forced the club doctors to violate any
9 relevant drug laws. (In fact, the conduct by the NFL that plaintiffs *do* specifically allege largely
10 relate to its efforts to help the clubs *comply* with those statutes (*see, e.g.*, Third Amd. Compl. ¶¶
11 159–82)).

12 Our court of appeals previously found that the NFL owed a duty (and the claims were not
13 preempted) where plaintiffs alleged that the NFL was directly "involved in the distribution of
14 controlled substances" based on "the general character of [that] activity." *Dent*, 902 F.3d at
15 1119 (citation and quotations omitted) (alterations in original). It further explained that
16 plaintiffs' negligence claim "will require the players to *establish that the relevant statutes apply*
17 *to the NFL, the NFL violated those statutes*, and the alleged violations caused the players'
18 injuries" and that it "[le]ft it to the district court to determine whether the plaintiffs have pleaded
19 facts sufficient to support their negligence claim against the NFL." *Id.* at 1121 (emphasis
20 added). Plaintiffs now acknowledge in their third amended complaint and briefing that the NFL
21 did not itself provide medical care for or distribute medications to the players, and the operative
22 complaint contains only conclusory allegations related to conduct by the NFL that would give
23 rise to a duty of care. This order therefore finds that plaintiffs failed to plead sufficient facts that,
24 if proved, would support their negligence claim against the NFL.

25 Instead, plaintiffs ultimately accuse the NFL of doing nothing despite awareness of the
26 alleged conduct by club doctors and trainers (Third Amd. Compl. ¶¶ 66–71). For example, the
27 first sentence of the section titled "Despite the Foregoing, the League Did Nothing" states as
28 follows: "One of the most striking aspects of the audit program described above is that, despite

1 the thousands of pages of documented *illegal violations engaged in by all the clubs*, there [was]
2 no follow up from the League” (*id.* ¶ 213 (emphasis added)). But the NFL’s alleged failure to
3 act echoes the exact theory already found preempted by Section 301 — namely, that the NFL
4 failed to intervene in the *club’s* implementation of the supposed “Business Plan” (a ruling which
5 our court of appeals left undisturbed). As the Court previously held,

6 To determine what the scope of this supervisory duty was, and whether
7 the NFL breached it, the Court would need to determine . . . what the
8 NFL, through the CBAs, required of the individual clubs and club
9 physicians. The NFL’s overarching duty would then depend on the
10 extent to which the various CBAs required the clubs to protect the
11 players’ medical interests. It would thus be impossible for the Court to
12 analyze whether the NFL acted negligently, and whether the NFL’s
13 conduct caused the players’ injuries, without consulting the specific
14 CBA provisions that cover the individual clubs’ duties to the players.

15 (Dkt. No. 106 at 13–14). This was effectively confirmed when the Court asked plaintiffs’
16 counsel directly for an instance when the NFL violated a relevant statute during oral argument
17 (Dkt. No. 134 at 48:16–49:11):

18 THE COURT: Give me what you would say is your best example of an
19 NFL employee violating the Controlled Substances Act.

20 MR. CLOSIUS: When they are telling people to distribute it illegally.
21 Your Honor, the —

22 THE COURT: Where is that —

23 MR. CLOSIUS: Let me rephrase that. The Third Amended Complaint is
24 replete with people telling the NFL that what’s
25 happening is illegal. The DEA is doing it. The Matava
26 report is doing it. They are being told all through about
27 illegal dispensation of controlled substances.

28 THE COURT: By who? By the Clubs?

MR. CLOSIUS: By the Clubs.

THE COURT: Okay. That’s not the same though. Let’s say that the
— let’s say the — somebody is telling the NFL, Hey,
the Clubs are violating the Controlled Substances Act.
That’s not the same as the NFL itself violating
anything.

MR. CLOSIUS: Well, they never did anything to remedy it.

THE COURT: Well, that’s the original theory.

1 Counsel then tried to pivot by next arguing that an NFL associate stated that the NFL had “joint
2 culpability on this” issue (Dkt. No. 134 at 49:12–50:12; Third. Amd. Compl. ¶ 11). This general
3 “admission” of joint culpability, however, could easily refer to some general moral sense of
4 culpability, not necessarily a legal culpability under the CSA or other relevant drug law. And,
5 mere allegations that the NFL paid for surveys and reports and did nothing despite being told of
6 the illegal distribution of medications by the clubs do not raise the reasonable inference that the
7 NFL *mandated* their illegal distribution such that the NFL itself is culpable under the relevant
8 statutes. The NFL’s alleged pressure on the clubs to return players to play as soon as possible
9 does not sufficiently support plaintiffs’ allegation that the NFL was directly involved in the
10 clubs’ distribution of the medications such that it owed a duty to plaintiffs or violated any
11 relevant statutes. To repeat, plaintiffs escaped preemption before our court of appeals by
12 asserting the NFL’s *proactive* involvement with medication distribution. Having convinced our
13 court of appeals that they were alleging that the NFL itself directly provided medical care and
14 supplied drugs to players, plaintiffs may not bob and weave back to old theories of negligence
15 that, in essence, amount to the NFL’s failure to intervene.

16 Plaintiffs complain that the NFL focuses only on our court of appeals’ use of the word
17 “distribute” as literally defined in the CSA. They suggest that because our court of appeals used
18 the word “handle” elsewhere in *Dent* (a word that plaintiffs assert is *not* used in either the CSA
19 or FDCA), the appellate court’s choice of words “may not have been that precise” because it
20 “us[ed] all kinds of phrases” (Dkt. No. 134 at 46:15–25). As such, plaintiffs aim for a broader
21 interpretation of liability allowed under *Dent* to include the NFL’s alleged activities beyond
22 those governed by the relevant drug statutes, such as recordkeeping and storage. Not so.
23 Though *Dent* arguably leaves room to find the NFL liable even if it is not physically handing out
24 drugs to players itself, the clear import of *Dent* centers on the NFL’s alleged provision of
25 medical care to the players and *direct distribution* (at least, under the NFL’s specific direction)
26 of the medications *in violation of the relevant statutes*.

27 To repeat, as our court of appeals understood the second amended complaint, plaintiffs
28 were “not merely alleging that the NFL failed to prevent medication abuse by the teams, but that

1 *the NFL itself* illegally distributed controlled substances, and therefore its actions directly injured
2 players.” *Dent*, 902 F.3d at 1118 (emphasis added). Plaintiffs were “not arguing that the NFL
3 violated the CBAs at all, but that *it violated state and federal laws governing prescription*
4 *drugs.*” *Ibid.* Again, it noted that “[t]he players argue[d] that they were injured by *the NFL’s*
5 *‘provision and administration’ of controlled substances* without written prescriptions, proper
6 labeling, or warnings regarding side effects and long-term risks, and that *this conduct violated*
7 *the Controlled Substances Act, . . . the Food, Drugs, and Cosmetics Act, . . . and the California*
8 *Pharmacy Laws. . . .*” *Id.* at 1118 (emphasis added).

9 In answering the question of whether plaintiffs’ negligence claim in the second amended
10 complaint required interpretation of the CBAs, our court of appeals noted, *inter alia*, that “when
11 it comes to the distribution of potentially dangerous drugs, *minimum standards are established*
12 *by statute,*” such as the CSA, FDCA, and California Pharmacy Laws, which “set forth
13 requirements governing how drugs are to be prescribed and labeled.” *Id.* at 1119 (emphasis
14 added). As such, under plaintiffs’ negligence claim, “whether the NFL breached its duty to
15 handle drugs with reasonable care can be determined by *comparing the conduct of the NFL to*
16 *the requirements of the statutes at issue.*” *Ibid.* (emphasis added). Our court of appeals further
17 noted that “[a]s for causation, whether the NFL’s alleged *violation of the statutes* caused the
18 plaintiffs’ injuries” is a question that does not require the interpretation of the CBAs. *Id.* at
19 1119–20 (emphasis added). The clubs’ “obligations under the CBAs [we]re irrelevant to the
20 question of whether *the NFL breached an obligation to players by violating the law*” and
21 therefore “liability for a negligence claim alleging *violations of federal and state statutes* d[id]
22 not turn on how the CBAs allocated duties among the NFL, the teams, and the individual
23 doctors.” *Id.* at 1121 (emphasis added). “[I]f the NFL had any role in *distributing prescription*
24 *drugs, it was required to follow the laws regarding those drugs.*” *Ibid.* (emphasis added).

25 Our court of appeals thus clearly reversed (on the preemption issue) based on plaintiffs’
26 prior representations related to the NFL’s direct involvement in the handling, distribution, and
27 administration of the medications *within the meaning of the relevant drug statutes.* Now that
28 plaintiffs have apparently abandoned these allegations in the third amended complaint —

1 perhaps in response to discovery in the *Evans* litigation — this order finds that they have failed
2 to sufficiently allege that the general character of the NFL’s conduct gave rise to a duty of care
3 owed to the players (or that the NFL breached its duty).

4 **2. VOLUNTARY ASSUMPTION.**

5 Plaintiffs next argue that the NFL voluntarily assumed a duty to ensure the clubs’
6 compliance with the aforementioned drug laws (Opp. 9). This order disagrees.

7 “The negligent undertaking theory of liability holds that a person who has no affirmative
8 duty to act but voluntarily acts to protect another has a duty to exercise due care if certain
9 conditions are satisfied.” *Univ. of S. California v. Superior Court*, 30 Cal. App. 5th 429, 448
10 (2018) (citing *Delgado v. Trax Bar & Grill*, 36 Cal. 4th 224, 249 (2005); *Paz v. State of*
11 *California*, 22 Cal. 4th 550, 558 (2000)). “The undertaking must be to render services that the
12 defendant should recognize as necessary for the plaintiff’s protection. . . . [T]he plaintiff also
13 must satisfy one of two conditions: either (a) the defendant’s failure to exercise reasonable care
14 increased the risk of harm to the plaintiff, or (b) the plaintiff reasonably relied on the undertaking
15 and suffered injury as a result.” *Id.* at 448–49 (citations omitted).

16 Plaintiffs assert that “the NFL voluntarily involved itself in the distribution of
17 Medications to its players” by setting up a drug program designed to monitor the clubs’
18 compliance with the aforementioned drug laws (Opp. 9). Notwithstanding plaintiffs’
19 simultaneous insistence that the NFL did *nothing* to protect the players (*see, e.g.*, Third. Amd.
20 Compl. ¶¶ 213–29), plaintiffs contend they have adequately pled the assumption of the NFL’s
21 duty based on the “various means” alleged, such as “the imposition of Club audits, League-wide
22 policies related to Toradol, and the security and handling of Medications” (Opp. 9). But
23 plaintiffs have not specifically alleged how the NFL’s conduct *increased* the risk of harm to
24 plaintiffs. “A defendant does not increase the risk of harm by merely failing to eliminate a
25 preexisting risk.” *Univ. of S. California*, 30 Cal. App. 5th at 450. Nor have plaintiffs alleged
26 any specific facts as to their reasonable reliance on the NFL’s supposed assumption of duty or
27 their suffering of injury as a result of the NFL’s conduct. Accordingly, plaintiffs have failed to
28 adequately plead an assumption of duty by the NFL.

1 **3. SPECIAL RELATIONSHIP.**

2 Finally, plaintiffs argue that the NFL owed a duty because of the “ ‘special relationship’
3 that intertwines the NFL, the Clubs, and players” (Opp. 10).

4 “Special relationships” giving rise to a duty may exist where there is an “aspect of
5 dependency in which one party relies to some degree on the other for protection.” *Regents of*
6 *Univ. of California v. Superior Court*, 4 Cal. 5th 607, 620 (2018) (citing *Baldwin v. Zoradi*, 123
7 Cal. App. 3d 275, 283 (1981); *Mann v. State of California*, 70 Cal. App. 3d 773, 779–80 (1977)).
8 “The corollary of dependence in a special relationship is control. Whereas one party is
9 dependent, the other has superior control over the means of protection.” *Id.* at 621.
10 Furthermore, “a typical setting for the recognition of a special relationship is where ‘the plaintiff
11 is particularly vulnerable and dependent upon the defendant who, correspondingly, has some
12 control over the plaintiff’s welfare.’ ” *Ibid.* (quoting *Giraldo v. Dep’t of Corrs. & Rehab.*, 168
13 Cal. App. 4th 231, 245–46 (2008)). Classic examples include jailer-prisoner and common
14 carrier-passenger relationships. *Ibid.* (citing *Giraldo*, 168 Cal. App. 4th at 245–46; *Lopez v.*
15 *Southern Cal. Rapid Transit Dist.*, 40 Cal. 3d 780, 789 (1985)).

16 As an initial matter, plaintiffs fail to allege this “special relationship” in their third
17 amended complaint. This order thus need not consider this argument.

18 Moreover, plaintiffs fail to sufficiently persuade that the relationship between the former
19 players — who were compensated adult professional athletes with collectively bargained rights
20 over their medical care — and the NFL is analogous to that of the relationship between students
21 and their colleges. Plaintiffs’ reliance on *Regents of University of California*, is therefore
22 unavailing. There, the California Supreme Court held that colleges “have a special relationship
23 with students while they are engaged in activities that are part of the school’s curriculum.” *Id.* at
24 624–25. In so holding, it considered “the unique features of the college environment,” such as
25 the provision of “social, athletic, and cultural opportunities” to students who are still “learning
26 how to navigate the world as adults” and are “dependent on their college communities to provide
27 structure, guidance, and a safe learning environment.” *Ibid.* Moreover, colleges “have superior
28 control over the environment and the ability to protect students,” as “[t]hrough [their] providing

1 of food, housing, security, and a range of extracurricular activities” and their administrators and
2 educators “hav[ing] the power to influence students’ values, their consciousness, their
3 relationships, and their behaviors.” *Id.* at 625 (quoting *Furek v. Univ. of Delaware*, 594 A.2d
4 506, 516 (Del. 1991); Helen Hickey de Haven, *The Elephant in the Ivory Tower: Rampages in*
5 *Higher Education and the Case for Institutional Liability*, 35 J.C. & U.L. 503, 611 (2009)).

6 While sympathetic to plaintiffs’ position, this order is not sufficiently persuaded that the
7 medical care plaintiffs argue the NFL provided to them renders them “particularly vulnerable” in
8 the sense that “the unique features of the college environment” do for college students. *See id.* at
9 620, 624. Accordingly, plaintiffs have not sufficiently pled a special relationship giving rise to a
10 duty owed by the NFL.

11 * * *

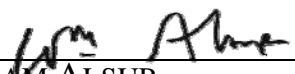
12 Based on the foregoing, this order finds that plaintiffs have failed to sufficiently allege
13 any duty owed by the NFL to plaintiffs.⁴

14 **CONCLUSION**

15 This order again reiterates that the ruling against plaintiffs’ theories herein does not
16 minimize the underlying societal issue and the need to protect the health and safety of our
17 professional athletes. That being said, plaintiffs failed to adequately plead their sole negligence
18 claim and thus for the foregoing reasons, the NFL’s motion to dismiss is **GRANTED**. Because
19 this was plaintiffs’ “best and final” pleading, the instant action is **DISMISSED** without further
20 leave to amend. Judgment will follow.

21
22 **IT IS SO ORDERED.**

23
24 Dated: April 18, 2019.

25 
26 _____
27 WILLIAM ALSUP
28 UNITED STATES DISTRICT JUDGE

28 ⁴ Because this order finds that plaintiffs failed to adequately plead a negligence claim, it need not and does not reach the NFL’s statute of limitations argument.