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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA**

DOMINIC FONTALVO, a minor,
by and through his Guardian ad
litem, NORMA FONTALVO,
individually and as successor in
interest to Alexis Fontalvo, deceased
Plaintiff,

vs.

SIKORSKY AIRCRAFT
CORPORATION; SIKORSKY
SUPPORT SERVICES, INC.;
UNITED TECHNOLOGIES
CORPORATION; G.E. AVIATION
SYSTEMS, LLC; DUPONT
AEROSPACE CO.; DUPONT DE
NEMOURS AND COMPANY LLC;
E.I. DUPONT DE NEMOURS AND
COMPANY; PKL SERVICES INC.
; and DOES 1 through 100,

Defendants.

Civil Action No. 13-cv-0331-GPC-KSC

**ORDER DENYING PLAINTIFF'S
MOTION TO REMAND**

[DKT. NO. 7]

1 On January 25, 2013, Plaintiff Dominic Fontalvo, a minor, by and through
2 his guardian ad litem, Norma Fontalvo, filed a products liability complaint in the
3 Superior Court of San Diego against numerous Defendants. On February 11,
4 2013, Defendant Sikorsky Aircraft Corporation (“Sikorsky”) removed the case to
5 this Court. (Dkt. No. 1, “Notice of Removal.”) On March 6, 2013, Plaintiff filed a
6 motion to remand the action to state court. (Dkt. No. 7, “Pl. Mtn.”) On May 3,
7 2013, Defendant Sikorsky filed an opposition to the motion to remand. (Dkt. No.
8 19, “Def. Opp.”) Defendant E.I. Dupont de Nemours and Company (“Dupont”)
9 also filed an opposition to Plaintiff’s motion to remand, supporting and
10 elaborating on Sikorsky’s arguments. (Dkt. No. 23.) Plaintiff filed a reply to both
11 oppositions. (Dkt. Nos. 24, 25.) The Court finds the matter suitable for resolution
12 without oral argument pursuant to Local Civil Rule 7.1(d)(1). Based on the
13 briefing, supporting documentation and applicable law, the Court **DENIES**
14 Plaintiff’s motion to remand.

15 **BACKGROUND**

16 This action arises from the death of United States Marine Corps Staff
17 Sergeant Alexis Fontalvo that occurred during a helicopter accident on March 17,
18 2011 at the Marine Corps Air Station Miramar. (Dkt. No. 1, Ex. A, “Compl.”)
19 The accident occurred when a faulty wiring harness of a CH-53E helicopter
20 caused the landing gear to unexpectedly retract while Sgt. Fontalvo was beneath
21 the aircraft. (Pl. Mtn. at 1, Ex. A, “JAGMAN Final Report.”) Sgt. Fontalvo was
22 killed by the weight of the helicopter. (*Id.*) The JAGMAN Final Report, issued
23 following the Judge Advocate General’s investigation of the accident, states the
24 wiring in the landing gear control panel was in disrepair, and caused the landing
25 gear to unexpectedly retract on top of Sgt. Fontalvo. (*Id.*) The Final Report
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1 further found that the overall design of the wiring harness exacerbated the danger
2 posed by the exposed wires. (Id.)

3 Plaintiff Dominic Fontalvo (“Plaintiff”), the minor son and sole heir of
4 Alexis Fontalvo, filed the instant action on January 25, 2013 in the Superior Court
5 of San Diego by through his guardian ad litem, Norma Fontalvo. (Compl. ¶¶ 3-4.)
6 Plaintiff brings the action as the decedent’s successor in interest pursuant to Cal.
7 Code Civ. P. § 377.11. (Compl. ¶ 38.) Plaintiff alleges strict and negligent
8 product liability, negligence and breach of warranty against Defendants as the
9 designers and manufacturers of the CH-53E helicopter. (Compl. pp. 2-8.)

10 **DISCUSSION**

11 Defendant Sikorsky removed this action pursuant to the federal officer
12 removal statute, 28 U.S.C. § 1442(a)(1); federal question jurisdiction, 28 U.S.C. §
13 1441; and diversity jurisdiction, 28 U.S.C. § 1441. (Notice of Removal.) Plaintiff
14 seeks to remand based on Defendant Sikorsky’s failure to meet the requirements
15 of the federal officer removal statute, lack of complete diversity, inapplicability of
16 the federal enclave statute, and pre-service removal defect. In opposition to
17 Plaintiff’s motion to remand, Defendant Sikorsky asserts that it has met all the
18 requirements of the federal officer removal statute, and, moreover, removal is
19 appropriate because of the existence of a federal question and complete diversity
20 of the parties.

21 **1. Removal**

22 Pursuant to 28 U.S.C. § 1441(a), a defendant may remove to federal court a
23 claim filed in state court that could have initially been brought in federal court. 28
24 U.S.C. § 1441(a); Caterpillar Inc. v. Williams, 482 U.S. 386, 392 (1987). As
25 federal courts have limited jurisdiction, they are presumed to lack jurisdiction
26 unless the contrary is established. Gen. Atomic Co. v. United Nuclear Corp., 655
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1 F.2d 968, 968-69 (9th Cir.1981). Removal statutes are to be strictly construed and
2 any doubts are to be resolved in favor of state court jurisdiction and remand. See
3 Gaus v. Miles, 980 F.2d 564, 566 (9th Cir.1992).

4 **A. Federal Officer Removal Statute**

5 28 U.S.C. § 1442(a)(1), also known as the federal officer removal statute,
6 provides that an action may be removed by “[a]ny officer . . . of the United States
7 or any agency thereof, in an official or individual capacity, for or relating to any
8 act under color of such office” 28 U.S.C. § 1442(a)(1). Removal under §
9 1442(a)(1) requires the moving party to show “that (a) it is a ‘person’ within the
10 meaning of the statute; (b) there is a causal nexus between its actions, taken
11 pursuant to a federal officer’s directions, and plaintiff’s claims; and (c) it can
12 assert a “colorable federal defense.” Durham v. Lockheed Martin Corp., 445 F.3d
13 1247, 1251 (9th Cir. 2006) (citing Jefferson Count Alabama v. Acker, 527 U.S.
14 423, 431 (1999)); Mesa v. California, 489 U.S. 121, 124–25 (1989).

15 Generally, there is a strong presumption against removal jurisdiction and
16 the defendants always have the burden of establishing that removal is proper.
17 Gaus, 980 F.2d at 566. However, the federal officer removal statute is an
18 exception to this general rule and § 1442 is interpreted broadly in favor of
19 removal. Durham, 445 F.3d at 1252; Ballenger v. Agco, No. 06-2271 CW, 2007
20 WL 1813821, at *2 (N.D. Cal. June 22, 2007). For example, under § 1442,
21 federal officers can remove both civil and criminal cases while § 1441 only
22 provides for civil removal; a federal officer can remove a case even if the plaintiff
23 couldn’t have filed the case in federal court in the first instance; removals under §
24 1441 are subject to the well-pleaded complaint rule while those under § 1442 are
25 not; and where all defendants must consent to removal under § 1441, a federal
26 officer or agency defendant can unilaterally remove a case under § 1442.

1 Durham, 445 F.3d at 1253. Under the federal officer removal statute, cases
2 against federal officers “may be removed despite the nonfederal cast of the
3 complaint; the federal-question element is met if the defense depends on federal
4 law.” Acker, 527 U.S. at 431.

5 **1. Person**

6 As corporations, Defendants meet the preliminary requirement that the
7 party seeking removal is a person within the meaning of § 1442(a)(1). See Fung v.
8 Abex Corp., 816 F. Supp. 569, 572 (N.D. Cal. 1992) (concluding that corporations
9 qualify as a “person” under § 1442(a)(1)). The parties do not dispute this factor.

10 **2. Defendants Acted Under the Direction of a Federal Officer**

11 To show that it was acting under the direction of a federal officer,
12 Defendant must show that a federal officer had “direct and detailed” control over
13 it. Fung, 816 F. Supp. at 573 (citing Ryan v. Dow Chemical Co., 781 F. Supp.
14 934, 946 (E.D.N.Y. 1992)). If Defendants establish ““only that the relevant acts
15 occurred under the general auspices of” a federal officer, such as being a
16 participant in a regulated industry, they are not entitled § 1442(a)(1) removal.” Id.
17 (quotations omitted).

18 In its removal, Defendant Sikorsky asserts that all of its activities related to
19 the design and manufacturing of the CH-53E helicopter were “performed under
20 close government supervision pursuant to comprehensive and detailed contract
21 specifications provided by the U.S. Government and its officers.” (Notice of
22 Removal at 4.) In its opposition briefing, Sikorsky provides evidence showing
23 Defendants manufactured the CH-53E under close supervision of the United
24 States Department of the Navy (“U.S. Navy”). (Def. Opp., Exs. A-D.) Plaintiff
25 does not assert any arguments on this prong, and rather focuses, as discussed
26 below, on the sufficiency of Defendant’s government contractor defense.
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1 The Court concludes Defendants “acted under” the direction of the U.S.
2 government. In the complaint, Plaintiff alleges Defendants designed and
3 manufactured component parts and equipment for the CH-53E helicopter. (FAC ¶
4 10.) The Navy procurement and specification documents provided by Sikorsky
5 suggest that the helicopter, wiring components, and landing gear were designed
6 according to detailed government specifications. (Def. Opp. Exs. A-D; Dkt. No.
7 30, “Supplemental Ex. A”.) The over 200 page specification report goes into
8 minute technical detail as to not only overall design, but also particular
9 specifications for each component of the helicopter. (Supplemental Ex. A.) As
10 noted by Defendants, the development of military aircraft requires regular
11 interaction with the U.S. Navy in status meetings, conferences, technical reviews
12 and program reviews. (Def. Opp. n. 3.)

13 Moreover, the accident occurred due to a malfunction of the wires and
14 landing gear. The Final Report produced by the Judge Advocate General
15 indicates the helicopter landing gear unexpectedly retracted as a result of a wiring
16 issue. (JAGMAN Final Report, Findings of Fact.) The Report cites “widespread
17 Kapton wire degradation” in the CH-53E. (Id. at 64.) The Report further states
18 “several portions of the wire leading from the landing gear control panel to the
19 utility module had exposed wires.” (Id. at 60.) The Report states that upon
20 government performing testing, it found the exposed wires when energized caused
21 the landing gear to retract. (Id. at 62.) The U.S. Navy Detail Specification Report
22 for the manufacture of the CH-53E shows the government required specifications
23 for the particular design of the components at issue here – the landing gear,
24 retraction system, and the specific type of wiring to be used in the system.
25 (Supplemental Ex. A ¶ 3.8.2-3.8.3, 3.16, 3.16.5.)
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1 The Court refrains from making a determination as to the precise role of the
2 U.S. government in the design of the CH-53E. However, based on the above
3 evidence, the Court finds a sufficient showing that the government, under the
4 direction of the Navy, was not just a participant but exercised “direct and detailed”
5 control over the design and construction of the CH-53E helicopter and, more
6 specifically, the wiring specifications and landing gear utilized in the helicopter.
7 As such, Sikorsky has made a sufficient required showing that it “acted under” the
8 direction of the U.S. government officers in the manufacture and design of the
9 CH-53E helicopter.

10 **3. Causal Nexus Between Plaintiff’s Claims and Acts it**
11 **Performed Under Color of Federal Office**

12 Defendants seek removal under the government contractor defense which
13 “protects a government contractor from liability for acts done by him while
14 complying with government specifications during execution of [sic] performance
15 of a contract with the United States.” McKay v. Rockwell Int’l Corp., 704 F.2d
16 444, 448 (9th Cir. 1983); In re Hanford Nuclear Reserv. Litig., 534 F.3d 986,
17 1000 (9th Cir. 2008). In a removal action, Defendants must demonstrate a
18 colorable federal defense. See Mesa, 489 U.S. at 128. In construing the colorable
19 federal defense requirement, the United States Supreme Court has rejected a
20 “‘narrow, grudging interpretation’ of the statute, recognizing that ‘one of the most
21 important reasons for removal is to have the validity of the defense of official
22 immunity tried in a federal court.’ . . . We therefore do not require the officer
23 virtually to ‘win his case before he can have it removed.’” Acker, 527 U.S. at 431
24 (quoting Willingham v. Morgan, 395 U.S. 402, 407 (1969)). A defendant does
25 not need to show a meritorious or likely successful federal defense but merely a
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1 colorable one. Fung, 816 F. Supp. at 573 (asserting government contractor
2 immunity was sufficient to assert a colorable federal defense under § 1442(a)(1)).

3 To invoke the government contractor defense, the contractor must show
4 three elements: “(1) the United States approved reasonably precise specifications;
5 (2) the equipment conformed to those specifications; and (3) the supplier warned
6 the United States about the dangers in the use of the equipment that were known
7 to the supplier but not to the United States.” Boyle v. United Tech. Corp.,
8 487 U.S. 500, 512 (1988). The Boyle court explained that the procurement of
9 military equipment involved “uniquely federal interests” that sometimes preempt
10 a plaintiff’s product liability claims against government contractors. Id. at 504.
11 The parties dispute whether Defendant has sufficiently shown a “colorable” claim
12 to the government contractor defense.

13 Plaintiff contends Defendant has failed to satisfy Boyle’s first prong, that
14 the government approved reasonably precise specifications. Relying on Snell v.
15 Bell Helicopter Textron, Inc., 107 F.3d 744, 746 (9th Cir. 1997), and similar cases,
16 Plaintiff asserts government approval requires more than a “rubber stamp,” and
17 Defendant must provide more supportive evidence to show the government
18 approved the specifications. (Pl. Mtn at 19.) Plaintiff further argues Defendant
19 Sikorsky’s representation that the CH-53E helicopter was designed and
20 manufactured in conformance with specifications approved by the U.S.
21 government is both unsubstantiated and insufficient to raise a colorable defense.
22 (Pl. Mtn. at 20.)

23 Plaintiff cites cases wherein courts have found contractors failed to
24 establish the first Boyle prong. In one such case, the Court found that while the
25 contractor/defendant “had produced extensive evidence that there was a close
26 working relationship between it and the Navy during the overall design and
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1 creation of the [defective product],” it was insufficient to satisfy the first Boyle
2 prong. Gray v. Lockheed Aeronautical Sys. Co., a Div. of Lockheed Corp., 880 F.
3 Supp. 1559, 1566 (N.D. Ga. 1995) aff’d and remanded sub nom. Gray v.
4 Lockheed Aeronautical Sys. Co., 125 F.3d 1371 (11th Cir. 1997) cert. granted,
5 judgment vacated, 524 U.S. 924 (1998). “Boyle makes clear that the
6 requirements of ‘*reasonably precise specifications*’ and conformity with them
7 refer to the particular feature of the product claimed to be defective.” Id. (quoting
8 Bailey v. McDonnell Douglas Corp., 989 F.2d 794, 799 (5th Cir.1993)). The
9 Court concluded the contractor/defendant had not produced evidence that the
10 Navy reviewed and approved specific engineering drawings of the allegedly
11 defective feature in the product (the “servo”). As such, the Navy’s overall
12 acceptance of the product following the various reviews, audits, and tests was,
13 with regard to the servo, merely a “rubber stamp” approval that did not satisfy the
14 first Boyle prong. Id. (citing Trevino v. General Dynamics Corp. 865 F.2d 1474,
15 1480 (5th Cir. 1989), cert. denied, 493 U.S. 935 (1989)). In affirming the district
16 court’s finding on this particular point, the Eleventh Circuit further stated that to
17 meet the first Boyle requirement, the contractor must show that the Navy “actually
18 participated in discretionary design decisions, either by designing [the servo] itself
19 or approving specifications that the contractor prepared. Gray v. Lockheed
20 Aeronautical Sys. Co., 125 F.3d 1371, 1377 (11th Cir. 1997) cert. granted,
21 judgment vacated, 524 U.S. 924 (1998) (internal quotations omitted). Plaintiff
22 argues Defendant has failed to show such specificity that the U.S. Navy
23 participated in the discretionary design decisions of the features that caused the
24 CH-53E helicopter to malfunction. (Pl. Mtn. at 20-21.)

25 Defendant argues the U.S. Government has approved reasonably precise
26 specifications for the CH-53E helicopter. The U.S. Navy Material Inspection and
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1 Receiving Report confirms acceptance of a CH-53E helicopter according to
2 government specifications SD-552-3-9. (Def. Opp. Ex. A.) The Detail
3 Specification Report specifies every design detail, including its landing gear
4 system and electrical system, and states “wire shall have polyimide insulation in
5 accordance with MIL-W-81381.” (Supplemental Ex. A, ¶ 3.16.5.) Additionally,
6 Defendant argues the JAGMAN Final Report shows the government had
7 knowledge of the Kapton MIL-W-81381 wire chaffing issue. Defendant asserts
8 this evidence shows the U.S. government participated in the discretionary design
9 features, including the design of the alleged faulty wiring at issue, to raise a
10 colorable defense.

11 As a preliminary matter, Plaintiff’s reliance on decisions on summary
12 judgment motions is inapplicable at this stage of the litigation. At removal, the
13 removing party is required to only raise a “colorable” claim to a federal defense.
14 In other words, for removal purposes, the party is not required to prove success on
15 the defense. See Mesa, 489 U.S. at 133; Magnin v. Teledyne Continental Motors,
16 91 F.3d 1424, 1427 (11th Cir.1996) (“defense need only be plausible; its ultimate
17 validity is not to be determined at the time of removal.”); Jamison v. Wiley, 14
18 F.3d 222, 238 (4th Cir.1994) (“defendant need not prove that he will actually
19 prevail on his federal immunity defense in order to obtain removal”). This is
20 because “one of the most important reasons for removal is to have the validity of
21 the defense of official immunity tried in a federal court.” Mesa, 489 U.S. at 133
22 (emphasis added).

23 In response to Plaintiff’s motion to remand, Defendant has sufficiently
24 shown evidence that the U.S. government approved reasonably precise
25 specifications and knew about the dangers of the MIL-W-81381 Kapton wiring.
26 The Court finds the following evidence establishes a “colorable” claim to the
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1 government contractor defense: (1) The U.S. Navy Detail Specification Report
2 indicates the Navy put forth specific requirements detailing many aspects of the
3 design and manufacture of CH-53E helicopters, including the wiring components
4 at issue in this case (See Supplemental Ex. A.) (2) The JAGMAN Final Report
5 shows the Government’s extensive knowledge and efforts to address the issue of
6 the faulty Kapton wiring in the CH-53E helicopters (See Pl. Mtn., Ex. A.); (3) The
7 letter from the Naval Aviation Maintenance Office shows knowledge of the
8 wiring issue, concluding “the results of various tests [sic] confirm that MIL-W-
9 81381, Kapton insulated wire, exhibits properties that are not acceptable for
10 continued installation in naval aircraft,” and advises an amendment that specifies
11 other acceptable wire types. (See Def. Opp., Ex. C.); and (4) The “Policy
12 Statement on Naval Aerospace Interconnect Wire Requirements” by the U.S.
13 Navy explicitly states a government issued policy restricting the use of Kapton
14 MIL-W-81381 insulated wire in naval aircraft. (See Def. Opp., Ex. D.) At the very
15 least, this evidence establishes a claim to the government contractor defense,
16 sufficient for the third prong for the § 1442(a) removal.

17 Furthermore, the facts and opinions by the Judge Advocate General support
18 the finding that there is a causal nexus between Defendant’s alleged actions and
19 Plaintiff’s claims. The accident took place on MCAS Miramar, a U.S. military
20 base. (JAGMAN Final Report, Finding of Fact 1.) Sergeant Fontalvo died in the
21 line of duty as a military officer. (Id., Recommendation 1.) The investigation
22 report relies heavily upon several voluntary statements by eyewitnesses at MCAS
23 Miramar, including aircrew members, military emergency responders, and other
24 U.S. military personnel. (Id., Finding of Fact 3-5.) The investigation report states
25 that a similar incident regarding inadvertent gear retraction occurred in 2005, and
26 following the full command and engineering investigation of the 2005 mishap,
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1 “the command investigation Convening Authority should have followed up and
2 made appropriate recommendations for corrective action, possibly preventing a
3 future incident.” (Id., Opinion 11.) The report further notes while the source of
4 the electrical signal that caused the landing gear to retract is unknown,

5 There exists a significant training issue with respect to maintenance
6 publications and the landing gear safety pins. While most aircrewman and
7 all Airframes mechanics have come to understand its function through
8 repeated contact and routine maintenance, at no time are aircrewman nor
9 airframes mechanics formally taught the function of the landing gear safety
10 pin, nor the danger and immediate actions if the pin is not functioning
11 properly. (JAGMAN Final Report, Opinions 6, 10)

12 The JAGMAN Final Report suggests there may have been preventative
13 measures that the government could have taken to address the landing gear
14 retraction problem. The “significant training issue” likely refers to government
15 military training that could have prevented future accidents, such as the present
16 one. Notably, the Report states the electrical problem is unknown. This will
17 likely require discovery to determine whether the wiring was faulty due to the
18 Defendants’ design or manufacture defect. Overall, the Court finds there is a
19 causal nexus between the allegations against Defendant as the manufacturer of the
20 CH-53E, performed pursuant to U.S. government direction and particular
21 specifications, and Plaintiff’s product liability claims.

22 In conclusion, Defendants have made a sufficient showing that they are
23 people that acted under the direction of a federal officer, that there is a causal
24 nexus between their action and Plaintiff’s claims and they can assert a colorable
25 federal defense. Therefore “federal officer” jurisdiction is proper under
26 § 1442(a)(1).

27 The Court briefly addresses additional grounds for jurisdiction.

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B. Federal Question Jurisdiction

Defendant also seeks removal pursuant to 28 U.S.C. § 1441(a), which sets forth the jurisdictional basis for removal of cases where the court has original jurisdiction, also known as federal question jurisdiction. 28 U.S.C. § 1441; 28 U.S.C.A. § 1331 (“[D]istrict courts shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States.”)

Defendant asserts removal is appropriate on federal enclave grounds because the accident in question took place on the Marine Corps Air Station (“MCAS”) Miramar, a federal enclave. Federal enclaves include “numerous military bases, federal facilities, and even some national forests and parks.” Azhocar v. Coastal Marine Servs., Inc., 13-CV-155 BEN DHB, 2013 WL 2177784 (S.D. Cal. May 20, 2013)(citing Allison v. Boeing Laser Technical Servs., 689 F.3d 1234, 1235 (10th Cir.2012); see also U.S. Const. art. I, § 8, cl. 1). The Ninth Circuit has indicated that federal courts have federal question jurisdiction over tort claims that arise on “federal enclaves.” Durham v. Lockheed Martin Corp., 445 F.3d 1247, 1250 (9th Cir. 2006) (citing 28 U.S.C. § 1331; Willis v. Craig, 555 F.2d 724, 726 n. 4 (9th Cir.1977) (per curiam); Mater v. Holley, 200 F.2d 123, 125 (5th Cir.1952)).

Plaintiff argues federal enclave jurisdiction does not apply because the tortious conduct occurred not on MCAS Miramar, but during the design and manufacturing of the helicopter and its parts elsewhere. (Pl. Mtn at 23-24.) Defendant responds that Sgt. Fontalvo died while servicing a naval aircraft on a military base, sufficiently satisfying removal on federal enclave grounds. (Def. Mtn. at 20.)

The Court notes neither party raises the procedural defect which ultimately bars removal on federal enclave grounds. Generally, 28 U.S.C. § 1446 sets forth

1 the procedural requirements for removing an action to federal court. Pursuant to
2 these requirements, all defendants must join or consent to a removal petition
3 brought under § 1441. 28 U.S.C. § 1446(b)(2)(A); see Durham, 445 F.3d at 1250
4 (Removal based on federal enclave grounds required consent of all defendants.)
5 Hewitt v. City of Stanton, 798 F.2d 1230, 1232 (9th Cir. 1986) (Removal based on
6 federal question jurisdiction requires consent of all defendants.).

7 The Court finds removal of this action to federal court is improper due to a
8 lack of consent or joinder by all defendants. Defendants Sikorsky and United
9 Technologies Corporation filed the notice of removal. (Dkt. No. 1.) Defendant
10 Dupont timely filed a notice of consent to removal. (Dkt. No. 12.) Two remaining
11 Defendants failed to join or consent to removal. Although Defendant G.E.
12 Aviations has filed a motion before this Court, the filing does not satisfy the
13 requirement for joinder or consent to removal. See Andreshak v. Service Heat
14 Treating, Inc., 439 F.Supp.2d 898 (E.D.Wis.2006)(“A defendant joins a notice of
15 removal by supporting it in writing,” and thus “written support must be
16 communicated to the court within thirty days of the date when the removing
17 defendant was served”).

18 Given the lack of consent, Defendant Sikorsky has failed to meet its burden
19 of proving that removal is proper under § 1441.

20 **C. Diversity Jurisdiction**

21 A district court has diversity jurisdiction over any civil action where all of
22 the parties are citizens of different states, and the amount in controversy exceeds
23 \$75,000. 28 U.S.C. § 1332(a). The burden of establishing that diversity
24 jurisdiction exists, and that an action is removable, rests at all times with the
25 proponent of removal. Abrego v. Dow Chemical Co., 443 F.3d 676, 685 (9th
26 Cir.2006) (per curiam). Complete diversity is required for removal of a state court
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1 action to a federal district court. 28 U.S.C. § 1441(b)(2)(“A civil action otherwise
2 removable solely on the basis of [diversity] jurisdiction . . . may not be removed if
3 any of the parties in interest properly joined and served as defendants is a citizen
4 of the State in which such action is brought”) (italics added).

5 The parties do not dispute the amount in controversy exceeds the
6 jurisdictional requirement. However, Plaintiff argues complete diversity of parties
7 is lacking, and removal is improper because Defendant Sikorsky sought removal
8 prior to Defendant PKL, a citizen of California, receiving service of process. (Pl.
9 Mtn. 3, 5-8.) Plaintiff further argues Defendant PKL is a properly joined
10 defendant and citizen of California, and therefore diversity jurisdiction is
11 destroyed.

12 The Court notes that diversity jurisdiction is not the sole basis for removal
13 here. As previously discussed, the Court has jurisdiction pursuant to federal
14 officer removal statute. The fact that one defendant is a citizen of California does
15 not preclude removal based on other jurisdictional grounds. For these reasons, the
16 Court declines to address whether removal based on diversity jurisdiction is
17 proper. In so doing, the Court also refrains from addressing the split in California
18 federal district courts regarding proper application of the “joined and served”
19 requirement that a plaintiff should be afforded a meaningful opportunity to
20 effectuate service on a resident defendant. See Hoskinson v. Alza Corp., 2010
21 WL 2652467 (E.D. Cal. July 1, 2010)(remanding case to state court before
22 plaintiff had the opportunity to serve resident forum defendant); compare to Regal
23 Stone Ltd. v. Longs Drug Stores California, L.L.C., 881 F. Supp. 2d 1123, 1127
24 (N.D. Cal. 2012), motion to certify appeal granted (May 4, 2012)(noting the split
25 in federal district courts as to whether removal on diversity grounds is proper
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1 when a forum defendant is joined but not served and concluding “the statute only
2 prohibits removal after a properly joined forum defendant has been served.”)

3 **D. Pre-Service Removal Defect**

4 The Court briefly addresses Plaintiff’s argument that Sikorsky’s pre-service
5 removal was improper. Plaintiff asserts Defendant Sikorsky removed this action
6 prior to receiving service of the state summons and complaint, and therefore
7 Sikorsky was not a proper party before any court with the authority to remove the
8 present action. (Pl. Mtn. at 8-10.) Plaintiff relies on Murphy Brothers v. Michetti
9 Pipe Stringing, Inc., 526 U.S. 344 (1999), for the proposition that receipt of a
10 courtesy copy of a complaint, unaccompanied by a summons, does not trigger a
11 defendant's time to remove under § 1446(b). Defendant responds that the notice
12 of removal was proper because the case was pending in the California state court.
13 (Def. Mtn. at 23-24.)

14 The procedural facts are as follows. On January 25, 2013, Plaintiff filed the
15 complaint in the Superior Court of San Diego. (Notice of Removal, Ex. A.) On
16 February 11, 2013, Defendant Sikorsky filed the notice of removal. (Notice of
17 Removal.) On February 14, 2013, Defendant PKL received service of the state
18 summons and complaint. (Pl. Mtn., Ex. E.) As of March 6, 2013, Defendant
19 Sikorsky had not been served with process of the state summons or the complaint.
20 (Pl. Mtn. n 2.)

21 Plaintiff’s reliance on Murphy Brothers is misplaced and the procedural
22 facts support a finding that removal prior to receiving formal service of process
23 was not improper. The Supreme Court in Murphy Brothers addressed whether a
24 defendant could be “obligated” to remove an action prior to formal service, not
25 whether a defendant is permitted to do so. Regal Stone Ltd. 881 F. Supp. 2d at
26 1129 (citing Murphy Brothers, 526 U.S. at 353-354). The purpose of § 1446(b)
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1 “assures defendants adequate time to decide whether to remove an action to
2 federal court.” Murphy Brothers, 526 U.S. at 354. Moreover, removal is
3 appropriate upon commencement of an action. A defendant seeking to remove a
4 civil action from state court shall file in the district court where such action is
5 pending. 28 U.S.C. § 1446(a). “In California, as in the federal courts, a suit is
6 ‘commenced’ upon filing.” Bush v. Cheaptickets, Inc., 425 F.3d 683, 686 (9th
7 Cir. 2005) (citing Cal. Civ. Proc. Code § 350).

8 Here, Defendant Sikorsky filed a notice of removal eleven days after the
9 filing of the state court action. Plaintiff admittedly had not served Defendant
10 Sikorsky with the service of summons or the complaint, but it had successfully
11 served Defendant PKL. There is no indication Plaintiff sought to serve other
12 Defendants in this matter. Although it is unclear how Sikorsky came to receive a
13 notice of the complaint, the action was pending in state court upon time of
14 removal. As such, the action was subject to removal. Under these circumstances,
15 and given the purpose of the removal procedural statutes, the Court concludes the
16 notice of removal was not procedurally defective.

17 CONCLUSION

18 For the above reasons, the Court finds it has jurisdiction over this matter
19 pursuant to the federal officer removal statute. Accordingly, the Court **DENIES**
20 Plaintiff’s motion to remand and **VACATES** the hearing set for Friday, June 21,
21 2013.

22 **IT IS SO ORDERED.**

23 DATE: June 20, 2013

24 
25 _____
26 HONORABLE GONZALO P. CURIEL