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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

PENNEY SUE DREWER,  
  
  Plaintiff,  
  
  v.  
  
CAROLYN W. COLVIN,  
  
  Defendant.

NO: 13-CV-0383-TOR  
  
ORDER GRANTING DEFENDANT’S  
MOTION FOR SUMMARY  
JUDGMENT

BEFORE THE COURT are the parties’ cross-motions for summary judgment (ECF Nos. 11, 12). Dana C. Madsen represents Plaintiff. Nicole A. Jabaily represents Defendant. The Court has reviewed the administrative record and the parties’ completed briefing and is fully informed. For the reasons discussed below, the Court denies Plaintiff’s motion and grants Defendant’s motion.

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1 **JURISDICTION**

2 The Court has jurisdiction over this case pursuant to 42 U.S.C. § 405(g).

3 **STANDARD OF REVIEW**

4 A district court’s review of a final decision of the Commissioner of Social  
5 Security is governed by 42 U.S.C. § 405(g). The scope of review under §405(g) is  
6 limited: the Commissioner’s decision will be disturbed “only if it is not supported  
7 by substantial evidence or is based on legal error.” *Hill v. Astrue*, 698 F.3d 1153,  
8 1158-59 (9th Cir. 2012) (citing 42 U.S.C. § 405(g)). “Substantial evidence” means  
9 relevant evidence that “a reasonable mind might accept as adequate to support a  
10 conclusion.” *Id.* at 1159 (quotation and citation omitted). Stated differently,  
11 substantial evidence equates to “more than a mere scintilla[,] but less than a  
12 preponderance.” *Id.* (quotation and citation omitted). In determining whether this  
13 standard has been satisfied, a reviewing court must consider the entire record as a  
14 whole rather than searching for supporting evidence in isolation. *Id.*

15 In reviewing a denial of benefits, a district court may not substitute its  
16 judgment for that of the Commissioner. If the evidence in the record “is  
17 susceptible to more than one rational interpretation, [the court] must uphold the  
18 ALJ’s findings if they are supported by inferences reasonably drawn from the  
19 record.” *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district  
20 court “may not reverse an ALJ’s decision on account of an error that is harmless.”

1 *Id.* at 1111. An error is harmless “where it is inconsequential to the [ALJ’s]  
2 ultimate nondisability determination.” *Id.* at 1115 (quotation and citation omitted).  
3 The party appealing the ALJ’s decision generally bears the burden of establishing  
4 that it was harmed. *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

### 5 **FIVE-STEP SEQUENTIAL EVALUATION PROCESS**

6 A claimant must satisfy two conditions to be considered “disabled” within  
7 the meaning of the Social Security Act. First, the claimant must be “unable to  
8 engage in any substantial gainful activity by reason of any medically determinable  
9 physical or mental impairment which can be expected to result in death or which  
10 has lasted or can be expected to last for a continuous period of not less than twelve  
11 months.” 42 U.S.C. § 423(d)(1)(A). Second, the claimant’s impairment must be  
12 “of such severity that he is not only unable to do his previous work[,] but cannot,  
13 considering his age, education, and work experience, engage in any other kind of  
14 substantial gainful work which exists in the national economy.” 42 U.S.C. §  
15 423(d)(2)(A).

16 The Commissioner has established a five-step sequential analysis to  
17 determine whether a claimant satisfies the above criteria. *See* 20 C.F.R. §  
18 404.1520(a)(4)(i)-(v). At step one, the Commissioner considers the claimant’s  
19 work activity. 20 C.F.R. § 404.1520(a)(4)(i). If the claimant is engaged in  
20

1 “substantial gainful activity,” the Commissioner must find that the claimant is not  
2 disabled. 20 C.F.R. § 404.1520(b).

3 If the claimant is not engaged in substantial gainful activities, the analysis  
4 proceeds to step two. At this step, the Commissioner considers the severity of the  
5 claimant’s impairment. 20 C.F.R. § 404.1520(a)(4)(ii). If the claimant suffers  
6 from “any impairment or combination of impairments which significantly limits  
7 [his or her] physical or mental ability to do basic work activities,” the analysis  
8 proceeds to step three. 20 C.F.R. § 404.1520(c). If the claimant’s impairment  
9 does not satisfy this severity threshold, however, the Commissioner must find that  
10 the claimant is not disabled. *Id.*

11 At step three, the Commissioner compares the claimant’s impairment to  
12 several impairments recognized by the Commissioner to be so severe as to  
13 preclude a person from engaging in substantial gainful activity. 20 C.F.R. §  
14 404.1520(a)(4)(iii). If the impairment is as severe or more severe than one of the  
15 enumerated impairments, the Commissioner must find the claimant disabled and  
16 award benefits. 20 C.F.R. § 404.1520(d).

17 If the severity of the claimant’s impairment does meet or exceed the severity  
18 of the enumerated impairments, the Commissioner must pause to assess the  
19 claimant’s “residual functional capacity.” Residual functional capacity (“RFC”),  
20 defined generally as the claimant’s ability to perform physical and mental work

1 activities on a sustained basis despite his or her limitations (20 C.F.R. §  
2 404.1545(a)(1)), is relevant to both the fourth and fifth steps of the analysis.

3 At step four, the Commissioner considers whether, in view of the claimant's  
4 RFC, the claimant is capable of performing work that he or she has performed in  
5 the past ("past relevant work"). 20 C.F.R. § 404.1520(a)(4)(iv). If the claimant is  
6 capable of performing past relevant work, the Commissioner must find that the  
7 claimant is not disabled. 20 C.F.R. § 404.1520(f). If the claimant is incapable of  
8 performing such work, the analysis proceeds to step five.

9 At step five, the Commissioner considers whether, in view of the claimant's  
10 RFC, the claimant is capable of performing other work in the national economy.  
11 20 C.F.R. § 404.1520(a)(4)(v). In making this determination, the Commissioner  
12 must also consider vocational factors such as the claimant's age, education and  
13 work experience. *Id.* If the claimant is capable of adjusting to other work, the  
14 Commissioner must find that the claimant is not disabled. 20 C.F.R. §  
15 404.1520(g)(1). If the claimant is not capable of adjusting to other work, the  
16 analysis concludes with a finding that the claimant is disabled and is therefore  
17 entitled to benefits. *Id.*

18 The claimant bears the burden of proof at steps one through four above.  
19 *Lockwood v. Comm'r of Soc. Sec. Admin.*, 616 F.3d 1068, 1071 (9th Cir. 2010). If  
20 the analysis proceeds to step five, the burden shifts to the Commissioner to

1 establish that (1) the claimant is capable of performing other work; and (2) such  
2 work “exists in significant numbers in the national economy.” 20 C.F.R. §  
3 404.1560(c); *Beltran v. Astrue*, 700 F.3d 386, 389 (9th Cir. 2012).

#### 4 **ALJ’S FINDINGS**

5 Plaintiff applied for disability insurance benefits on June 29, 2009. Tr. 291-  
6 97. Her application was denied initially and upon reconsideration, Tr. 168-70,  
7 172-73, and Plaintiff requested a hearing. Tr. 177-78. Plaintiff appeared before an  
8 administrative law judge (“ALJ”) on October 22, 2010. Tr. 101-44. The ALJ  
9 issued a decision denying Plaintiff benefits on November 15, 2010. Tr. 149-62.  
10 Plaintiff requested review of the ALJ’s decision. Tr. 218. The Appeals Council  
11 ordered a remand, and another hearing was held on March 29, 2012. Tr. 73-100.  
12 Plaintiff appeared for additional testimony on August 2, 2012. Tr. 40-72. The  
13 ALJ issued a decision again denying Plaintiff benefits on August 17, 2012. Tr. 18-  
14 38.

15 The ALJ found that Plaintiff met the insured status requirements of Title II  
16 of the Social Security Act through December 31, 2011. Tr. 23. At step one, the  
17 ALJ found that Plaintiff had not engaged in substantial gainful activity since June  
18 23, 2008, the alleged onset date, through December 31, 2011, her date last insured.  
19 Tr. 23. At step two, the ALJ found that Plaintiff had the following severe  
20 impairments: right rotator cuff tear, cervical degenerative disc disease, adjustment

1 disorder with depression and anxiety, and pain disorder secondary to general  
2 medical condition and psychological factors. Tr. 23-27. At step three, the ALJ  
3 found that Plaintiff's severe impairments did not meet or medically equal a listed  
4 impairment through the date last insured. Tr. 27-28. The ALJ then determined  
5 that Plaintiff had the RFC to

6 perform light work as defined in 20 CFR 404.1567(b) that does not  
7 require frequent or prolonged pushing/pulling of arm controls with the  
8 right dominant arm. She can occasionally climb ramps or stairs, but  
9 should avoid climbing ladders, ropes, or scaffolds. She can  
10 occasionally engage in stooping, crouching, or crawling. She should  
11 avoid concentrated exposure to strong vibration, extreme cold, and  
12 hazards such as machinery and unprotected heights. She should avoid  
13 overhead reaching with the right upper extremity, but is capable of  
14 occasional reaching in all other directions. She has mild to  
occasionally moderate limitations in the ability to maintain attention  
and concentration for extended periods and in the ability to respond  
appropriately to changes in the work setting. The claimant takes  
prescription medications for her physical and mental symptomatology,  
including mild to moderate, occasional to frequent pain; however, she  
would be able to remain reasonably attentive and responsive in a work  
setting and would be able to carry out normal work assignments  
satisfactorily.

15 Tr. 28. At step four, the ALJ found that Plaintiff was able to perform past relevant  
16 work as a flagger. Tr. 31. Alternatively, at step five, after considering the  
17 Plaintiff's age, education, work experience, and RFC, the ALJ found Plaintiff  
18 could perform other work existing in significant numbers in the national economy  
19 in representative occupations, such as parking lot attendant and survey worker. Tr.

1 31-32. Thus, the ALJ concluded that Plaintiff was not disabled and denied her  
2 claims on that basis. Tr. 32-33.

3 The Appeals Council denied Plaintiff's request for review on September 12,  
4 2013, Tr. 1-5, making the ALJ's decision the Commissioner's final decision for  
5 purposes of judicial review. 42 U.S.C. § 405(g); 20 C.F.R. § 404.981.

### 6 ISSUES

7 Plaintiff seeks judicial review of the Commissioner's final decision denying  
8 her disability insurance benefits under Title II of the Social Security Act. ECF No.  
9 11. From Plaintiff's brief, the Court has discerned the following four issues for  
10 review:

- 11 1. Whether the ALJ erred in assessing Plaintiff's credibility;
- 12 2. Whether the ALJ erred in rejecting the opinions of Dr. Vicki Short  
13 and Dr. Dennis Pollack;
- 14 3. Whether the ALJ erred at step three when she did not find that  
15 Plaintiff's condition met or equaled a listed impairment; and
- 16 4. Whether the ALJ failed to pose a legally sufficient hypothetical  
17 question to the vocational expert.

17 *Id.* at 13-20.

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## DISCUSSION

### A. Adverse Credibility Finding

In social security proceedings, a claimant must prove the existence of physical or mental impairment with “medical evidence consisting of signs, symptoms, and laboratory findings.” 20 C.F.R. §§ 416.908, 416.927. A claimant’s statements about his or her symptoms alone will not suffice. 20 C.F.R. §§ 416.908, 416.927. Once an impairment has been proven to exist, an ALJ “may not reject a claimant’s subjective complaints based solely on a lack of objective medical evidence to fully corroborate the alleged severity of pain.” *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9th Cir. 1991) (en banc). As long as the impairment “could reasonably be expected to produce [the] symptoms,” the claimant may offer a subjective evaluation as to the severity of the impairment. *Id.* This rule recognizes that the severity of a claimant’s symptoms “cannot be objectively verified or measured.” *Id.* at 347 (quotation and citation omitted).

In order to find Plaintiff’s testimony unreliable, the ALJ is required to make “a credibility determination with findings sufficiently specific to permit the court to conclude that the ALJ did not arbitrarily discredit claimant's testimony.” *Thomas v. Barnhart*, 278 F.3d 947, 958 (9th Cir. 2002). An ALJ must perform a two-step analysis when deciding whether to accept a claimant's subjective symptom testimony. *Smolen v. Chater*, 80 F.3d 1273, 1281 (9th Cir. 1996). The

1 first step is a threshold test from *Cotton v. Bowen* requiring the claimant to  
2 “produce medical evidence of an underlying impairment which is reasonably likely  
3 to be the cause of the alleged pain.” 799 F.2d 1403, 1407 (9th Cir. 1986); *see also*  
4 *Bunnell v. Sullivan*, 947 F.2d 341, 343 (9th Cir. 1991). “Once a claimant meets the  
5 *Cotton* test and there is no affirmative evidence suggesting she is malingering, the  
6 ALJ may reject the claimant’s testimony regarding the severity of her symptoms  
7 only if [the ALJ] makes specific findings stating clear and convincing reasons for  
8 doing so.” *Smolen*, 80 F.3d at 1283-84 (citing *Dodrill v. Shalala*, 12 F.3d 915, 918  
9 (9th Cir. 1993)). In weighing the claimant’s credibility, the ALJ may consider  
10 many factors, including “(1) ordinary techniques of credibility evaluation, such as  
11 the claimant’s reputation for lying, prior inconsistent statements concerning the  
12 symptoms, and other testimony by the claimant that appears less than candid; (2)  
13 unexplained or inadequately explained failure to seek treatment or to follow a  
14 prescribed course of treatment; and (3) the claimant's daily activities.”  
15 *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008) (quoting *Smolen*, 80  
16 F.3d at 1284). If the ALJ's finding is supported by substantial evidence, the court  
17 may not engage in second-guessing. *Id.* “Contradiction with the medical record is  
18 a sufficient basis for rejecting the claimant’s subjective testimony.” *Carmickle v.*  
19 *Comm'r, Soc. Sec. Admin.*, 533 F.3d 1155, 1161 (9th Cir. 2008).

20

1 Here, Plaintiff contends the ALJ improperly discounted her credibility. ECF  
2 No. 11 at 15-18. This Court finds the ALJ provided specific, clear, and convincing  
3 reasons supported by substantial evidence for finding Plaintiff's subjective  
4 statements "only partially credible." Tr. 29. The ALJ based her adverse credibility  
5 finding on the following: (1) Plaintiff's statements were inconsistent with the  
6 objective medical evidence, and (2) Plaintiff's conservative treatment was  
7 inconsistent with the disabling symptoms and limitations alleged. Tr. 27-30.

8 First, the ALJ found that Plaintiff's statements concerning the severity of her  
9 symptoms and limitations were inconsistent with the objective medical evidence.  
10 Tr. 29-30. Although Plaintiff testified to disabling shoulder and arm pain, a  
11 medical examination demonstrated normal strength and sensation, an MRI showed  
12 merely "mild" spondylitic disease, and Plaintiff was prescribed conservative  
13 treatment only. Tr. 29-30, 458, 541-42. Further, despite Plaintiff's claims that her  
14 fist would "freeze up" when she grips, an evaluation demonstrated Plaintiff was  
15 able to repetitively grip and release an object with her hand. Tr. 30, 455, 459.  
16 Finally, although Plaintiff complained of worsening shoulder conditions from her  
17 2004 injury, the ALJ noted the longitudinal record did not support total disability;  
18 more appropriately, the evidence demonstrated that Plaintiff should be limited to  
19 light work. Tr. 30, 47-48, 458, 470. These inconsistencies between Plaintiff's  
20

1 alleged limitations and objective medical evidence provided a permissible and  
2 legitimate reason for discounting Plaintiff's credibility. *Thomas*, 278 F.3d at 958.

3 Second, the ALJ found Plaintiff's conservative treatment inconsistent with  
4 Plaintiff's allegedly debilitating condition. Tr. 24-26, 30 (discussing the  
5 conservative treatment prescribed and followed by Plaintiff, including Plaintiff's  
6 mere use of muscle relaxers for her pain); *see also* 87-88, 128, 470, 542. These  
7 inconsistencies between Plaintiff's alleged limitations and her conservative  
8 treatment provided a permissible and legitimate reason for discounting Plaintiff's  
9 credibility. *Thomas*, 278 F.3d at 958; *see Parra v. Astrue*, 481 F.3d 742, 751 (9th  
10 Cir. 2007) (“[E]vidence of conservative treatment is sufficient to discount a  
11 claimant's testimony regarding the severity of an impairment.”).

12 Accordingly, because the ALJ provided specific, clear, and convincing  
13 reasons based on substantial evidence for discounting Plaintiff's credibility, this  
14 Court does not find error.

### 15 **B. Opinion Evidence**

16 There are three types of physicians: “(1) those who treat the claimant  
17 (treating physicians); (2) those who examine but do not treat the claimant  
18 (examining physicians); and (3) those who neither examine nor treat the claimant  
19 [but who review the claimant's file] (nonexamining [or reviewing] physicians).”  
20 *Holohan*, 246 F.3d at 1201-02 (citations omitted). “Generally, a treating

1 physician's opinion carries more weight than an examining physician's, and an  
2 examining physician's opinion carries more weight than a reviewing physician's."  
3 *Id.* at 1202. "In addition, the regulations give more weight to opinions that are  
4 explained than to those that are not . . . and to the opinions of specialists  
5 concerning matters relating to their specialty over that of nonspecialists." *Id.*  
6 (citations omitted). A physician's opinion may be entitled to little, if any, weight  
7 when it is an opinion on a matter not related to her or his area of specialization. *Id.*  
8 at 1203 n. 2 (citation omitted).

9 A treating physician's opinions are generally entitled to substantial weight in  
10 social security proceedings. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219,  
11 1228 (9th Cir.2009). If a treating or examining physician's opinion is  
12 uncontradicted, an ALJ may reject it only by offering "clear and convincing  
13 reasons that are supported by substantial evidence." *Bayliss v. Barnhart*, 427 F.3d  
14 1211, 1216 (9th Cir. 2005). "However, the ALJ need not accept the opinion of any  
15 physician, including a treating physician, if that opinion is brief, conclusory and  
16 inadequately supported by clinical findings." *Bray*, 554 F.3d at 1228 (quotation  
17 and citation omitted). "If a treating or examining doctor's opinion is contradicted  
18 by another doctor's opinion, an ALJ may only reject it by providing specific and  
19 legitimate reasons that are supported by substantial evidence." *Bayliss*, 427 F.3d at  
20 1216 (citing *Lester v. Chater*, 81 F.3d 821, 830-31 (9th Cir. 1995)). An ALJ may

1 also reject a treating physician's opinion which is "based to a large extent on a  
2 claimant's self-reports that have been properly discounted as incredible."  
3 *Tommasetti*, 533 F.3d at 1041 (internal quotation and citation omitted).

4 **1. Dr. Vicki Short**

5 Plaintiff first contends the ALJ erred by failing to give proper weight to the  
6 opinion of her treating physician, Dr. Short. ECF No. 11 at 18-19. Specifically,  
7 Plaintiff points to Dr. Short's November 2011 evaluation in which she opined  
8 Plaintiff was unable to work. *Id.* at 18; Tr. 637.

9 This Court finds the ALJ properly rejected the opinion of Dr. Short.  
10 Because Dr. Short's opinion was contradicted, *see* Tr. 30 (noting that although Dr.  
11 Short opined Plaintiff was unable to work, examinations by Drs. Gruber, Green,  
12 and Farwell opined that Plaintiff was capable of light exertion work), the ALJ need  
13 only have given specific and legitimate reasoning supported by substantial  
14 evidence to reject it. *Bayliss*, 427 F.3d at 1216.

15 First, the ALJ found inconsistencies within Dr. Short's own examination.  
16 Although Dr. Short opined Plaintiff was unable to return to work, her treatment  
17 notes did not suggest total disability. Tr. 30, 608. Because inconsistencies  
18 between a doctor's opinion and her own reports, as well as other objective  
19 evidence, provide specific and legitimate reasoning for rejecting even a treating  
20 doctor's opinion, *see Bayliss*, 427 F.3d at 1216 (finding a discrepancy between a

1 doctor's opinion and his other recorded observations and opinions provided a clear  
2 and convincing reason for not relying on that doctor's opinion), the ALJ properly  
3 rejected Dr. Short's opinion.

4 Second, the ALJ found Dr. Short's opinion was influenced by Plaintiff's  
5 subjective opinion that she was not ready to return to work. Tr. 30, 608. As  
6 explained above, the ALJ determined Plaintiff's self-reporting was not credible.  
7 Because the ALJ need not accept a medical opinion based on a claimant's non-  
8 credible self-reporting, *Tomasetti*, 533 F.3d at 1041, the ALJ properly rejected this  
9 diagnosis. Accordingly, the ALJ did not err in rejecting Dr. Short's opinion.

## 10 **2. Dr. Dennis Pollack**

11 Plaintiff also contends the ALJ erred by failing to give proper weight to the  
12 opinion of Dr. Dennis Pollack. ECF No. 11 at 19-20. Specifically, Plaintiff points  
13 to Dr. Pollack's October 2010 evaluation, in which he opined Plaintiff suffered  
14 from marked limitations in "the ability to perform activities within a schedule,  
15 maintain regular attendance, and be punctual within customary tolerances" and  
16 "the ability to complete a normal workday and workweek without interruptions  
17 from psychologically-based symptoms and to conform to a consistent pace without  
18 an unreasonable number and length of rest periods." *Id.*; Tr. 578.

19 This Court finds the ALJ properly rejected the opinion of Dr. Pollack.  
20 Because Dr. Pollack's opinion was contradicted, *see* Tr. 30-31 (noting that Dr.

1 Pollack's report is inconsistent with the longitudinal record, which indicated  
2 Plaintiff engages in a variety of activities of daily living, has normal intelligence,  
3 and no neuropsychological impairments), the ALJ need only have given specific  
4 and legitimate reasoning supported by substantial evidence to reject it. *Bayliss*,  
5 427 F.3d at 1216.

6 First, the ALJ found inconsistencies between Dr. Pollack's narrative and  
7 ultimate assessment. Although Dr. Pollack opined Plaintiff would have difficulty  
8 performing activities within a schedule, maintaining regular attendance, and being  
9 punctual within customary tolerances; he noted in his narrative that Plaintiff woke  
10 daily at 5:00 am, prepared breakfast for her daughter, and brought her daughter to  
11 school. Tr. 30-31, 574. Further, although Dr. Pollack opined that Plaintiff's ability  
12 to complete a work day would be seriously affected by her psychologically-based  
13 symptoms, besides Plaintiff's anxiety attacks that Plaintiff testified occur several  
14 times a month, the ALJ found nothing else in Dr. Pollack's narrative that supported  
15 this opinion. Tr. 30-31, 572-74, 577. Because inconsistencies between a doctor's  
16 opinion and his own reports, as well as other objective evidence, provides a  
17 specific and legitimate reason for rejecting a doctor's opinion, *see Bayliss*, 427  
18 F.3d at 1216 (finding a discrepancy between a doctor's opinion and his other  
19 recorded observations and opinions provided a clear and convincing reason for not  
20 relying on that doctor's opinion), the ALJ properly rejected Dr. Pollack's opinion.



1 Finally, the ALJ questioned the reliability of Dr. Pollack’s report because  
2 Plaintiff’s attorney requested the evaluation. Tr. 30. While this reason alone is  
3 insufficient to invalidate a report, the ALJ highlighted the doubt Dr. Pollack’s  
4 often sought-after “favorable reporting” cast on his ultimate opinion. Tr. 30. As  
5 the Ninth Circuit has clarified, “*in the absence of other evidence* to undermine the  
6 credibility of a medical report, the purpose for which the report was obtained does  
7 not provide a legitimate basis for rejecting it.” *Reddick v. Chater*, 157 F.3d 715,  
8 726 (9th Cir. 1998) (emphasis added). Here, because the ALJ noted this reason for  
9 undermining the credibility of Dr. Pollack’s report was bolstered by the reason  
10 identified above, this Court does not find error.

### 11 **C. Step Three Analysis**

12 At step three of the sequential evaluation process, the ALJ must evaluate the  
13 claimant’s impairments to determine whether they meet or medically equal any of  
14 the impairments listed in 20 C.F.R. Part 404, Subpart P, Appendix 1. *See* 20 C.F.R.  
15 § 416.920(d); *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). The claimant  
16 bears the initial burden of proving that his or her impairments meet or equal a  
17 Listing. *See Sullivan v. Zebley*, 493 U.S. 521, 530-33 (1990). “To *meet* a listed  
18 impairment, a claimant must establish that he or she meets each characteristic of a  
19 listed impairment relevant to his or her claim.” *Tackett*, 180 F.3d at 1099. “To  
20 *equal* a listed impairment, a claimant must establish symptoms, signs and

1 laboratory findings ‘at least equal in severity and duration’ to the characteristics of  
2 a relevant listed impairment, or, if a claimant's impairment is not listed, then to the  
3 listed impairment ‘most like’ the claimant’s impairment.” *Id.* (citing 20 C.F.R. §  
4 404.1526). A determination of medical equivalence “must be based on medical  
5 evidence only.” *Lewis v. Apfel*, 236 F.3d 503, 514 (9th Cir. 2001) (citing 20  
6 C.F.R. § 404.1529(d)(3)); *see also Bowser v. Comm’r of Soc. Sec.*, 121 F. App’x  
7 231, 234 (9th Cir. 2005) (“Step three . . . directs the adjudicator to determine  
8 whether, in light of the objective medical evidence, the claimant has a severe  
9 impairment or combination of impairments that meets or equals the criteria in the  
10 Listing of Impairments . . .”). If a claimant’s impairments meet or medically  
11 equal a Listing, the claimant is “conclusively presumed to be disabled,” and is  
12 entitled to an award of benefits. *Bowen v. Yuckert*, 482 U.S. 137, 141 (1987); *see*  
13 *also Lester v. Chater*, 81 F.3d 821, 828 (9th Cir. 1995) (“Claimants are  
14 conclusively disabled if their condition either meets or equals a listed  
15 impairment.”) (emphasis omitted).

16 Here, Plaintiff argues that the ALJ erred in finding that her impairments did  
17 not meet or medically equal listed impairments under §1.02(B) or §1.04(A). ECF  
18 No. 11 at 13. Specifically, Plaintiff argues that, based on Dr. Francis’ testimony,  
19 the ALJ should have found that Plaintiff’s impairments either meet or equal these  
20 Listings and thus that Plaintiff is presumptively disabled. *Id.*

1           The Court rejects this argument. Dr. Francis, the medical expert, never  
2 stated that Plaintiff’s limitations met these Listings; rather, Dr. Francis opined that  
3 Plaintiff’s limitations should be evaluated under these listings. Tr. 26-27, 85-86.  
4 Quite the opposite, Dr. Francis opined that Plaintiff was capable of light work,  
5 rather than presumptively disabled and incapable of work. Tr. 26-27, 87-88.  
6 Because there was not enough evidence to find that Plaintiff’s limitations met or  
7 equaled these Listings, Plaintiff did not meet her burden at step three. *Sullivan*,  
8 493 U.S. at 530-33. Accordingly, the ALJ did not err.

9           **D. Hypothetical Question Posed to Vocational Expert**

10           “Hypothetical questions posed to the vocational expert must set out *all* the  
11 limitations and restrictions of the particular claimant . . .” *Embrey v. Bowen*, 849  
12 F.2d 418, 422 (9th Cir. 1988). “Unless the record indicates that the ALJ had  
13 specific and legitimate reasons for disbelieving a claimant’s testimony as to  
14 subjective limitations such as pain, those limitations must be included in the  
15 hypothetical in order for the vocational expert's testimony to have any evidentiary  
16 value.” *Embrey*, 849 F.2d at 423. “If the assumptions in the hypothetical are not  
17 supported by the record, the opinion of the vocational expert that claimant has a  
18 residual working capacity has no evidentiary value.” *Gallant v. Heckler*, 753 F.2d  
19 1450, 1456 (9th Cir. 1984).

1 Plaintiff contends the ALJ's hypothetical question posed to the vocational  
2 expert did not adequately express the full extent of her physical and mental  
3 limitations. ECF No. 11 at 13-14. Specifically, Plaintiff contends the question  
4 posed did not adequately portray the psychological limitations as diagnosed by Dr.  
5 Pollack and Plaintiff's physical limitations regarding her inability to reach. *Id.*

6 The Court disagrees. First, regarding Plaintiff's mental limitations, this  
7 argument is derivative of Plaintiffs' arguments concerning the ALJ's rejection of  
8 Dr. Pollack's opinion. Given that the ALJ properly rejected this evidence, no error  
9 has been shown. *Batson v. Comm'r Soc. Sec. Admin.*, 359 F.3d 1190, 1197 (9th  
10 Cir. 2004) (finding that it is proper for the ALJ to give little evidentiary weight to  
11 discredited evidence when determining the RFC finding). Second, regarding her  
12 physical limitations, the ALJ *did* include Plaintiff's inability to reach, to the extent  
13 credibly supported by the record. Specifically, the ALJ included the following  
14 physical limitations in his hypothetical:

15 Let's assume I find this individual capable of performing work at the  
16 following exertional and non-exertional levels. Exertionally, this  
17 individual would be able to sit for two hours and stand for two hours,  
18 walk for two hours at a time, sit six hours stand six hours, walk six  
19 hours in an eight our day with normal breaks, lift no more than 20  
20 pounds occasionally, up to carry 10 pounds frequently, no frequent or  
prolonged pushing or pulling of arm controls with the right arm –  
that's the dominant arm. Non-exertionally, would require a job with  
only occasional stooping, crouching, crawling, no frequent ramps or  
stairs, no ladders, ropes, or scaffolds; this individual should avoid  
concentrated exposure to strong, industrial type vibration, should  
avoid concentrated to extreme cold, and avoid concentrated exposure

1 to hazards, such as hazardous machinery and unprotected heights,  
2 things of that nature. And there should be no overhead reaching with  
3 the right upper extremity, and could occasionally reach all direction  
4 with the right upper extremity.

5 Tr. 64-65. In response to this hypothetical, which included Plaintiff's limitations  
6 with her impaired arm, the Vocational Expert determined that Plaintiff would be  
7 able to perform the job of a flagger, in addition to a parking lot attendant and  
8 survey worker, where frequent reaching could be accomplished with the  
9 unimpaired arm. Tr. 67-68. Therefore, given that the hypothetical question  
10 included the extent of Plaintiff's impairments supported by the record, no error has  
11 been shown.

**ACCORDINGLY, IT IS HEREBY ORDERED:**

12 1. Plaintiff's Motion for Summary Judgment (ECF No. 11) is **DENIED**.

13 2. Defendant's Motion for Summary Judgment (ECF No. 12) is

14 **GRANTED.**

15 The District Court Executive is hereby directed to file this Order, enter  
16 **JUDGMENT** for **DEFENDANT**, provide copies to counsel, and **CLOSE** the file.

17 **DATED** November 12, 2014.



*Thomas O. Rice*  
THOMAS O. RICE  
United States District Judge