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5 UNITED STATES DISTRICT COURT  
6 EASTERN DISTRICT OF WASHINGTON

7 HOLLY JOLENE BOWRON,

8  
9 Plaintiff,

10 v.

11 CAROLYN W. COLVIN,  
12 Commissioner of Social Security,

13  
14 Defendant.

No. 2:13-CV-03061-JTR

ORDER GRANTING PLAINTIFF'S  
MOTION FOR SUMMARY  
JUDGMENT

15  
16 **BEFORE THE COURT** are cross-motions for summary judgment. ECF  
17 No. 20, 21. Attorney D. James Tree represents Plaintiff; Special Assistant United  
18 States Attorney Benjamin J. Groebner represents the Commissioner of Social  
19 Security (Defendant). The parties have consented to proceed before a magistrate  
20 judge. ECF No. 7. After reviewing the administrative record and the briefs filed  
21 by the parties, the Court **GRANTS** Plaintiff's Motion for Summary Judgment and  
22 **DENIES** Defendant's Motion for Summary Judgment.

23 **JURISDICTION**

24 On May 6, 2009, Plaintiff filed a Title II application for a period of disability  
25 and disability insurance benefits, alleging disability beginning January 1, 2007. Tr.  
26 21. Plaintiff reported she could not work due to "ADHD, Bi-Polar, Anxiety,  
27 Mental Disability." Tr. 129. Plaintiff's claim was denied initially and on  
28 reconsideration, and she requested a hearing before an administrative law judge

1 (ALJ). Tr. 73-108. A hearing was held on September 6, 2011, at which medical  
2 expert Larry Kravitz, Ph.D., vocational expert Diane K. Kramer, and Plaintiff, who  
3 was represented by counsel, testified. Tr. 439-479. ALJ Caroline Siderius  
4 presided. Tr. 439. The ALJ denied benefits on October 6, 2011. Tr. 21-29. The  
5 instant matter is before the Court pursuant to 42 U.S.C. § 405(g).

### 6 **STATEMENT OF FACTS**

7 The facts have been presented in the administrative hearing transcript, the  
8 ALJ's decision, and the briefs of the parties and thus, they are only briefly  
9 summarized here. At the time of the hearing, Plaintiff was 41 years old, living  
10 with her three children, ages 14, 11 and 8. Tr. 454, 456. Plaintiff left high school  
11 after the eleventh grade. Tr. 230.

12 Plaintiff last worked as a waitress, and she said she was fired because she  
13 was often late and because she did not get along well with her supervisor. Tr. 454.  
14 She has also worked as a cashier at a convenience store. Tr. 230.

15 Plaintiff testified she has hypoglycemia, back pain, and nerve damage in her  
16 back, but that the "main reason" she cannot work is that she becomes  
17 "overwhelmed." Tr. 459. She said that her three children are special needs: all  
18 three have ADHD, one has a mood disorder, and another has Asperger's. Tr. 459.  
19 Plaintiff's mother helps her care for the children. Tr. 463-464.

20 Plaintiff plans and prepares meals, performs housework and walks the dogs.  
21 Tr. 230. She does not have a driver's license. Tr. 230-231.

22 Plaintiff testified she takes medication to help with her symptoms, and, in  
23 the past, she has responded well to medication. Tr. 231, 461. She estimated that  
24 three to four times per month she experiences a low day, and she stays in bed all  
25 day. Tr. 465.

### 26 **STANDARD OF REVIEW**

27 The ALJ is responsible for determining credibility, resolving conflicts in  
28 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,

1 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed de novo, with  
2 deference to a reasonable construction of the applicable statutes. *McNatt v. Apfel*,  
3 201 F.3d 1084, 1087 (9th Cir. 2000). The decision of the ALJ may be reversed  
4 only if it is not supported by substantial evidence or if it is based on legal error.  
5 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is  
6 defined as being more than a mere scintilla, but less than a preponderance. *Id.* at  
7 1098. Put another way, substantial evidence is such relevant evidence as a  
8 reasonable mind might accept as adequate to support a conclusion. *Richardson v.*  
9 *Perales*, 402 U.S. 389, 401 (1971). If the evidence is susceptible to more than one  
10 rational interpretation, the Court may not substitute its judgment for that of the  
11 ALJ. *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of Social Sec. Admin.*,  
12 169 F.3d 595, 599 (9th Cir. 1999). Nevertheless, a decision supported by  
13 substantial evidence will still be set aside if the proper legal standards were not  
14 applied in weighing the evidence and making the decision. *Brawner v. Secretary*  
15 *of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If substantial  
16 evidence exists to support the administrative findings, or if conflicting evidence  
17 exists that will support a finding of either disability or non-disability, the ALJ's  
18 determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th  
19 Cir. 1987).

## 20 **SEQUENTIAL PROCESS**

21 The Commissioner has established a five-step sequential evaluation process  
22 for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a),  
23 416.920(a); see *Bowen v. Yuckert*, 482 U.S. 137, 140-142 (1987). In steps one  
24 through four, the burden of proof rests upon the claimant to establish a prima facie  
25 case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-1099. This  
26 burden is met once a claimant establishes that a physical or mental impairment  
27 prevents him from engaging in his previous occupation. 20 C.F.R. §§  
28 404.1520(a)(4), 416.920(a)(4). If a claimant cannot do his past relevant work, the

1 ALJ proceeds to step five, and the burden shifts to the Commissioner to show that  
2 (1) the claimant can make an adjustment to other work; and (2) specific jobs exist  
3 in the national economy which claimant can perform. *Batson v. Commissioner of*  
4 *Social Sec. Admin.*, 359 F.3d 1190, 1193-1194 (2004). If a claimant cannot make  
5 an adjustment to other work in the national economy, a finding of “disabled” is  
6 made. 20 C.F.R. §§ 404.1520(a)(4)(i-v), 416.920(a)(4)(i-v).

### 7 **ALJ’S FINDINGS**

8 At step one of the sequential evaluation process, the ALJ found Plaintiff has  
9 not engaged in substantial gainful activity since May 6, 2009, the alleged onset  
10 date. Tr. 23. At step two, the ALJ found Plaintiff suffered from the severe  
11 impairments of attention deficit hyperactivity disorder, bipolar disorder and  
12 depression. Tr. 23. At step three, the ALJ found Plaintiff’s impairments, alone  
13 and in combination, did not meet or medically equal one of the listed impairments.  
14 Tr. 24. The ALJ determined that Plaintiff had the residual functional capacity  
15 (RFC) to perform a full range of work at all exertional levels but with the  
16 following nonexertional limitations, restricting Plaintiff to “simple 1-3 step tasks  
17 but no detailed work.” Tr. 25. Also, Plaintiff was limited to “no more than  
18 occasional changes in work setting” and “routine superficial contact with the  
19 public and no more than occasional contact with coworkers.” Tr. 25.

20 The ALJ found that Plaintiff is unable to perform past relevant work. Tr. 27.  
21 Considering Plaintiff’s age, education, work experience and residual functional  
22 capacity, jobs exist in significant numbers in the national economy that Plaintiff  
23 can perform, such as cleaner and laundry worker. Tr. 27-28. As a result, the ALJ  
24 concluded Plaintiff was not disabled as defined by the Social Security Act. Tr. 28.

### 25 **ISSUES**

26 Plaintiff contends that the ALJ erred in determining credibility, weighing the  
27 medical opinion evidence, and in the Step Five determination. ECF No. 20 at 8.

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1 **DISCUSSION**

2 **A. Credibility**

3 Plaintiff contends the ALJ erred by finding Plaintiff had little credibility.  
4 ECF No. 20 at 15-18.

5 The ALJ is responsible for determining credibility. *Andrews*, 53 F.3d at  
6 1039. Unless affirmative evidence exists indicating that the claimant is  
7 malingering, the ALJ’s reasons for rejecting the claimant’s testimony must be  
8 “clear and convincing.” *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1996). The  
9 ALJ’s findings must be supported by specific, cogent reasons. *Rashad v. Sullivan*,  
10 903 F.2d 1229, 1231 (9th Cir. 1990). “General findings are insufficient; rather, the  
11 ALJ must identify what testimony is not credible and what evidence undermines  
12 the claimant’s complaints.” *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998),  
13 quoting *Lester*, 81 F.3d at 834. If objective medical evidence exists of an  
14 underlying impairment, the ALJ may not discredit a claimant’s testimony as to the  
15 severity of symptoms merely because they are unsupported by objective medical  
16 evidence. See *Bunnell v. Sullivan*, 947 F.2d 341, 347-348 (9th Cir. 1991).

17 To determine whether the claimant’s testimony regarding the severity of the  
18 symptoms is credible, the ALJ may consider, for example: (1) ordinary techniques  
19 of credibility evaluation, such as the claimant’s reputation for lying, prior  
20 inconsistent statements concerning the symptoms, and other testimony by the  
21 claimant that appears less than candid; (2) unexplained or inadequately explained  
22 failure to seek treatment or to follow a prescribed course of treatment; and (3) the  
23 claimant’s daily activities. See, e.g., *Fair v. Bowen*, 885 F.2d 597, 602-604 (9th  
24 Cir. 1989); *Bunnell*, 947 F.2d at 346-347.

25 The ALJ found Plaintiff’s allegations about the severity of her symptoms  
26 were not credible. Tr. 25. The ALJ noted that while Plaintiff reported severe  
27 physical impairments related to her back, knee and more recently, bursitis, no  
28 evidence suggests that these are severe impairments. Tr. 26. Also, the ALJ found

1 no evidence established that Plaintiff’s neck and shoulder impairments, and her  
2 symptoms related to bursitis, were expected to last for more than a 12 month  
3 period. Tr. 26. Additionally, the ALJ found that while Plaintiff had “some”  
4 mental limitations, the allegations of debilitating symptoms are not fully credible  
5 in light of the treatment record. Tr. 26. The ALJ also noted that examining  
6 physician Jay M. Toews, Ed.D., diagnosed probable malingering. Tr. 26.

7 Plaintiff argues the ALJ erred by finding Plaintiff had little credibility based  
8 on a lack of evidence indicating her symptoms would last more than 12 months,  
9 and by finding her claimed mental health limitations were inconsistent with the  
10 treatment record. ECF No. 20 at 15.

11 **1. Symptom Severity and Duration**

12 First, Plaintiff argues that three records establish Plaintiff’s physical  
13 impairments are degenerative in nature, and thus will last more than twelve  
14 months. ECF No. 20 at 16.

15 In order to be considered disabled, a disability must have lasted or be  
16 expected to last for a continuous period of not less than 12 months. 20 C.F.R. §  
17 416.905(a). An impairment is “not severe” if it does not “significantly limit” the  
18 ability to conduct basic work activities. 20 C.F.R. §§ 404.1521(a), 416.921(a).  
19 “An impairment or combination of impairments is not severe when the evidence  
20 establishes a slight abnormality that has “no more than a minimal effect on an  
21 individual[’]s ability to work.” Smolen v. Chater, 80 F.3d 1273, 1290 (9th Cir.  
22 1996) (quoting SSR 85-28) (citing Yuckert v. Bowen, 841 F.2d 303, 306 (9th Cir.  
23 1988)).

24 The first record Plaintiff cites is the first page of a two-page radiologist  
25 report dated June 26, 2011. The report indicated that Plaintiff had “mild to  
26 moderate diffuse kyphotic angulation of the mid and upper cervical spine.  
27 Presumed position/spasm” and “minimal degenerative changes of the lower [.]”  
28 Tr. 437. The sentence is not finished on the first page, and Plaintiff failed to

1 provide the second page. However, it is apparent that this record, as provided,  
2 does not support Plaintiff's position that she has a severe back impairment. The  
3 findings reveal mild to moderate abnormalities, and minimal degenerative changes.  
4 As such, the evidence supports the ALJ's interpretation that this impairment is not  
5 severe.

6 The second record Plaintiff cites is a medical imaging report dated June 30,  
7 2011, relating to Plaintiff's bursitis. Tr. 295. The record provides one page of a  
8 two-page report, that reveals Plaintiff had "mild degenerative changes of C5-6 with  
9 mild central canal stenosis and cord impingement and mild left foraminal  
10 stenosis," and "C6-7 right-sided disc bulging with annular tear, which mildly  
11 narrows the central canal and effaces the . . . ." Tr. 295. Again, the sentence is not  
12 finished on the first page, and the record does not contain page two. While  
13 Plaintiff accurately characterizes the condition as degenerative, it is apparent from  
14 the record that the impairment is not severe, and the changes are characterized as  
15 "mild." Tr. 295. Thus, the ALJ's conclusion that bursitis was not a severe  
16 impairment is supported by the record.

17 The third record Plaintiff cites is a chart note from an August 12, 2011,  
18 office visit with George F. Gade, M.D. Tr. 420-422. Plaintiff was examined for  
19 neck and right arm pain, tingling and numbness, and headache. Tr. 420. Dr.  
20 Gade's exam revealed:

21 external rotators of the shoulder were 4+/5 bilaterally; pronator to the  
22 forearm was 4-/5 on the right side versus 4+/5 on the left; biceps  
23 versus 5/5 on the left; triceps 4/5 on the left versus 5/5 on the right;  
24 finger flexors 5/5 bilaterally; intrinsics 4-/5 bilaterally; all groups 5/5  
25 and symmetric in the lower extremities.

26 Tr. 421. Dr. Gade assessed Plaintiff with possible cervical disc herniation without  
27 myelopathy, and with cervical radiculopathy. Tr. 421. Dr. Gade ordered cervical  
28 spine x-rays and a CT scan. Tr. 421.

1 Plaintiff fails to explain how Dr. Gade’s examination results reveal a severe  
2 impairment, and as the ALJ noted, no evidence exists that these symptoms are  
3 expected to last more than twelve months. Moreover, assuming arguendo that  
4 Plaintiff’s impairments are degenerative, establishing that fact fails to establish that  
5 the condition, at the time of the alleged onset date, constituted a severe  
6 impairment. The ALJ’s conclusion that Plaintiff did not have a severe impairment  
7 that would last for more than twelve months is a reasonable interpretation of the  
8 record.

## 9 **2. Treatment Record**

10 Plaintiff also argues the ALJ erred by finding Plaintiff’s credibility was  
11 diminished because her claims about her mental health limitations were  
12 inconsistent with the treatment record. ECF No. 20 at 15.

13 In determining credibility, the ALJ may consider inconsistencies in the  
14 claimant’s testimony and inconsistencies between the testimony and the claimant’s  
15 conduct, her inadequately explained failure to seek treatment, and whether the  
16 claimant’s daily activities are inconsistent with the alleged symptoms. See  
17 *Tommasetti*, 533 F.3d at 1039; *Lingenfelter*, 504 F.3d at 1040.

18 In support of her argument, Plaintiff cites symptoms that she contends  
19 support her claims. ECF No. 20 at 17-18. The ALJ’s analysis is supported by the  
20 record that indicates Plaintiff’s complaints often were related to situational  
21 stressors, such as acting as the primary caregiver to three special needs children.  
22 Tr. 26, 194, 202, 213, 216, 225, 305.

23 Also, as the ALJ noted, Plaintiff’s objective test scores revealed her memory  
24 and cognition were intact. Tr. 26, 194, 202, 232, 255. The record also supports the  
25 ALJ’s reliance upon several mental status exams that indicated Plaintiff’s affect  
26 was pleasant, appropriate, and her mood was stable. Tr. 26, 202, 224, 231, 261.  
27 Moreover, the record reveals in March, 2010, Jay M. Toews, Ph.D., interpreted  
28 Plaintiff’s test results to indicate “significant tendency to exaggerate symptoms,”

1 and he diagnosed, in part, “malingering, probable.” Tr. 232. Finally, the record  
2 supports the ALJ’s finding that two of Plaintiff’s treating providers opined that it  
3 would be therapeutic for Plaintiff to work. Tr. 26, 308.<sup>1</sup> In sum, in finding  
4 Plaintiff had little credibility, the ALJ provided specific, clear and convincing  
5 reasons, supported by substantial evidence. The ALJ did not err.

## 6 **B. Medical Opinions**

7 In weighing medical source opinions in Social Security cases, the Ninth  
8 Circuit distinguishes among three types of physicians: (1) treating physicians, who  
9 actually treat the claimant; (2) examining physicians, who examine but do not treat  
10 the claimant; and (3) non-examining physicians, who neither treat nor examine the  
11 claimant. Lester, 81 F.3d at 830. Generally, more weight should be given to the  
12 opinion of a treating physician than to the opinions of non-treating physicians. *Id.*

13 Although a treating physician’s opinion is generally afforded the greatest  
14 weight in disability cases, it is not binding on an ALJ with respect to the existence  
15 of an impairment or the ultimate determination of disability. *Tonapetyan v. Halter*,  
16 242 F.3d 1144, 1148 (9th Cir. 2001). When a conflict exists between the opinions  
17 of a treating physician and an examining physician, the ALJ may disregard the  
18 opinion of the treating physician only if he sets forth “specific and legitimate  
19 reasons supported by substantial evidence in the record for doing so.” Lester, 81  
20 F.3d at 830. While the contrary opinion of a non-examining medical expert does  
21 not alone constitute a specific, legitimate reason for rejecting a treating or  
22 examining physician’s opinion, a non-examining physician’s opinion may  
23 constitute substantial evidence when consistent with other independent evidence in  
24 the record. *Magallanes v. Bowen*, 881 F.2d 747, 752 (9th Cir. 1989).

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25  
26 <sup>1</sup>On April 26, 2011, Peggy Champoux, M.S.W., noted in Plaintiff’s chart: “Lisa  
27 Vickers ARNP and I agree that Holly can work. Holly working would be  
28 therapeutic.” Tr. 308.

1           **1. Larry Kravitz, Ph.D.**

2           Plaintiff contends that the ALJ erred by failing to adopt all the assessments  
3           opined by testifying, non-examining clinical psychologist Larry Kravitz, Ph.D.  
4           ECF No. 20 at 14-15.

5           At the hearing, Dr. Kravitz testified that in his opinion, Plaintiff’s  
6           impairments did not meet or equal a listing. Tr. 450. He concluded that Plaintiff  
7           had mild restrictions in daily living, and mild to moderate limitations in social  
8           function, as well as in concentration, persistence and pace. Tr. 450-451. Citing  
9           Plaintiff’s daily activities, Dr. Kravitz opined that Plaintiff would be “able to  
10          manage most aspects of the daily routine” in job-related responsibilities. Tr. 451.  
11          Dr. Kravitz explained Plaintiff had limitations related to contact with co-workers  
12          and supervisors:

13                   In terms of interpersonal relations in the workplace, I think claimant  
14                   does have an issue with tending to overreact to critiquing, that she can  
15                   be rude, blunt, aggressive – particularly when stressed. So I would  
16                   limit her to brief and superficial interactions with the public, and I  
17                   would put her in a work environment where she only has limited  
18                   interactions with coworkers. I would not put her in a work  
19                   environment where she needed – which required involved or complex  
20                   interpersonal contact. I think from the supervisory point-of-view, it  
21                   would be best if supervision was occasional and primarily task-  
22                   instructive. And I think claimant with those parameters would be  
23                   capable of handling ordinary levels of work stress with limited  
24                   changes in the work routine. I would not put her in a work  
25                   environment with high levels of stress or unpredictable levels of  
26                   stress. Those would be my limitations, judge.

24          Tr. 451-452. In response to a question from Plaintiff’s counsel, Dr. Kravitz  
25          elaborated regarding the frequency of supervision that Plaintiff would be able to  
26          tolerate:

27                   Primarily I would have the supervisory contact task-instructive.  
28                   Pretty much, here’s what she needs to do, here’s how to do the task, if

1 you have any questions how to go about it let me know, I'll come  
2 back and check with you to see how you are doing. But certainly not  
3 something where a supervisor is watching her closely, where a  
4 supervisor is correcting her frequently or modifying her performance.  
5 I think that would tend to become overwhelming, and then she might  
6 tend to overreact to that sort of frequent critiquing.

6 Tr. 452-453.

7 The ALJ determined that Dr. Kravitz's testimony was "consistent with the  
8 treatment record and is accepted as credible." Tr. 26. Plaintiff's RFC did not  
9 include limits related to supervisor contact. Tr. 25.

10 K. Diane Kramer, vocational expert, testified at the hearing that, for a  
11 hypothetical worker who could perform with the limitations mirroring Plaintiff's  
12 RFC, the hypothetical worker could work as a cleaner or laundry worker. Tr. 473-  
13 474.

14 Plaintiff's counsel questioned Ms. Kramer about the limitations specifically  
15 related to the supervisor:

16 Q. Now as far as the limitations to the supervision only being  
17 occasional, where the supervisor would not, you know, watch closely  
18 or modify, make suggestions to modify work performance, are there  
19 any jobs where a supervisor wouldn't do that or wouldn't be within  
20 the [purview] of the supervisor to do that?

21 A. So it's just occasional interaction with the supervisor?

22 Q. Well, only occasional interaction with the supervisor. And then  
23 also only direct supervision where the, you know, "Here's your  
24 duties," that's it; nothing where they'd watch the person or make  
25 suggestions to modify work performance. Is it due to an individual  
26 getting stressed out, perceived, you know, high internal stress and  
27 overreacts to situations. And I guess my question is would that flows  
28 [phonetic] [sic] with competitive work environment or not?

///

1 A. I don't think so because I think even when you don't have a  
2 constant or even occasional supervision – the supervisor will always  
3 make suggestions and maybe even corrections. But, you know, I  
4 think you're – if I'm understanding you correctly, you're trying to get  
me to identify a position where there would be none of that.

5 Q. Well, I just say – yeah, if, I'm just saying if that would work  
6 with competitive in [sic] work force or if that would be something  
7 more of a sheltered work environment or something like that. Or –

8 A. Yeah. And even in a sheltered work environment, they, you've  
9 got somebody there, you have a supervisor there. Way more in a  
10 sheltered workshop environment than you would in a normal work  
11 setting. So I guess my answer would be that she would not, or this  
individual would not be able to participate in competitive work.

12 Tr. 476-477.

13 Plaintiff argues that while the ALJ indicated that Dr. Kravitz's opinion was  
14 entitled to great weight, the ALJ failed to include the supervisory limitations  
15 assessed by Dr. Kravitz in Plaintiff's RFC, and when included, those limitations  
16 preclude employment. ECF No. 20 at 14-15.

17 In this case, the wording of counsel's hypothetical to Ms. Kramer did not  
18 closely track the language Dr. Kravitz used to describe Plaintiff's limitations  
19 related to supervision. Tr. 451-452, 476. Dr. Kravitz opined that Plaintiff would  
20 not do well with a supervisor "watching her closely" and "correcting her frequently  
21 or modifying her performance." Tr. 452. By contrast, Plaintiff's counsel omitted  
22 the critical term "frequently" from his hypothetical and essentially described a  
23 supervisor who was completely precluded from managing the employee:

24 where the supervisor would not . . . watch closely or . . . make  
25 suggestions to modify work performance . . . nothing where they'd  
26 watch the person and make suggestions to modify work performance.

27 Tr. 476-477. In essence, counsel's hypothetical would limit Plaintiff to  
28 work that was wholly unsupervised. But Dr. Kravitz's testimony described a

1 more narrow circumstance – Plaintiff cannot work in positions where the  
2 supervision is close, frequent or constant. As such, counsel’s hypothetical to  
3 Ms. Kramer’s was not applicable to Plaintiff.

4 Defendant argues that Dr. Kravitz merely described Plaintiff’s best working  
5 conditions, and his discussion about supervision was a recommendation, not an  
6 imperative. ECF No. 21 at 9. An ALJ may omit a medical source’s  
7 recommendation, as opposed to an imperative, from an RFC. *Carmickle v.*  
8 *Comm’r, SSA*, 533 F.3d 1155, 1165 (9th Cir. 2008). However, in this case the  
9 Court is unable to find that Dr. Kravitz’s opinion related to Plaintiff’s need for a  
10 job with infrequent or occasional supervision was simply a recommendation.  
11 While Dr. Kravitz introduced the limitations about occasional contact with a  
12 supervisor with the words “it would be best,” he later explained: “But certainly not  
13 something where a supervisor is watching her closely, where a supervisor is  
14 correcting her frequently or modifying her performance.” Tr. 452-453 (emphasis  
15 added). In this context, the words “certainly not” cannot reasonably be construed  
16 as a mere recommendation, and thus Defendant’s assertion fails.

17 Further, an ALJ’s findings need only be consistent with a doctor’s assessed  
18 limitations, not identical to the limitations. *Turner v. Comm’r of Soc. Sec.*, 613  
19 F.3d 1271, 1223 (9th Cir. 2010). But in this case, the limitation relating to  
20 occasional supervision was entirely omitted. As a result, the RFC fails to reflect a  
21 significant portion of Dr. Kravitz’s opinion. In this case, Ms. Kramer did not  
22 address a hypothetical that contained all of Dr. Kravitz’s functional limitations,  
23 specifically including the limitation that the position must be limited to occasional  
24 contact with a supervisor.

25 In sum, the ALJ adopted Dr. Kravitz’s assessments, but did not provide a  
26 reason for rejecting the limitation relating to the frequency of contact with  
27 supervisors. While an ALJ need not discuss all evidence presented, the ALJ must  
28 explain why significant probative evidence has been rejected. *Vincent v. Heckler*,

1 739 F.2d 1393, 1394-1395 (9th Cir. 1984). In the absence of an explanation for  
2 rejecting the supervisory limitation, the case must be remanded to reconsider Dr.  
3 Kravitz's testimony and if necessary, provide reasons for rejecting this limitation,  
4 or in the alternative, modify Plaintiff's RFC to reflect the limitations relating to  
5 supervision.

6 **2. Peggy Champoux, M.S.W.**

7 Plaintiff contends the ALJ erred by rejecting an opinion from Peggy  
8 Champoux, MSW. ECF No. 20 at 10-14.

9 In evaluating the weight to be given to the opinion of medical providers,  
10 Social Security regulations distinguish between "acceptable medical sources" and  
11 "other sources." Acceptable medical sources include, for example, licensed  
12 physicians and psychologists, while other non-specified medical providers are  
13 considered "other sources." 20 C.F.R. § 404.1513(a), (e); 20 C.F.R. § 416.913(a),  
14 (e); and SSR 06-03p. However, an ALJ is required to consider observations by  
15 non-acceptable medical sources as to how an impairment affects a claimant's  
16 ability to work. Sprague, 812 F.2d at 1232. An ALJ must give reasons germane to  
17 "other source" testimony before discounting it. *Dodrill v. Shalala*, 12 F.3d 915  
18 (9th Cir. 1993). To qualify as germane, a reason for disregarding the testimony of  
19 a lay witness must be more than a wholesale dismissal of all such witnesses as a  
20 group, but rather must be specific to the lay witness. *Smolen*, 80 F.3d at 1288.

21 Plaintiff challenges the ALJ's rejection of Ms. Champoux's November 9,  
22 2010 opinion that Plaintiff can work a maximum of ten hours per week. ECF No.  
23 20 at 12-13. On November 9, 2010, Ms. Champoux completed a DSHS form  
24 entitled Documentation Request for Medical or Disability Condition. Tr. 275-276.  
25 On the form, Ms. Champoux indicated Plaintiff had Bi-polar disorder, NOS, and  
26 ADHD "combine type." Tr. 275. Ms. Champoux checked a box indicating that  
27 those diagnoses were not supported by "testing, lab results, etc." Tr. 275. In the  
28 space for describing Plaintiff's limitations, Ms. Champoux wrote "some difficulty

1 likely for extended periods of time,” and “plan initial 1-10 hours then increase as  
2 Holly adjusts to working.” Tr. 275. Ms. Champoux indicated that Plaintiff’s  
3 condition did not prevent her from preparing for and looking for work. Tr. 275.  
4 Ms. Champoux did not answer question number six, that asked “how long will this  
5 person’s condition likely limit their ability to work, look for work, or train to  
6 work?” Tr. 276.

7 Ms. Champoux opined in July 2008, that Plaintiff was unable to work for  
8 three months. Tr. 27. The ALJ gave this opinion little weight, because these  
9 limitations were temporary, and Ms. Champoux provided no findings to support  
10 the limitations. Tr. 27. Also, the ALJ gave little weight to Ms. Champoux’s  
11 November 2010 opinion that Plaintiff could work only one to ten hours per week,  
12 because this opinion was based upon Plaintiff’s self-report of her symptoms, which  
13 was not fully credible. Tr. 27.

14 Plaintiff argues that Ms. Champoux reasonably relied upon Plaintiff’s  
15 subjective complaints because she was credible, and because substantial evidence  
16 in the record supports Plaintiff’s symptoms. ECF No. 20 at 13-14. A medical  
17 opinion may be rejected if it is based on a claimant’s subjective complaints which  
18 were properly discounted. *Tonapetyan*, 242 F.3d at 1149 (rejecting physician  
19 opinion that lacked supporting objective evidence and was premised upon  
20 claimant’s subjective complaints).

21 In this case, as analyzed above, the ALJ properly found that Plaintiff’s report  
22 about the severity of her symptoms lacked credibility. Additionally, the report did  
23 not limit Plaintiff to ten hours of work per week permanently, but instead  
24 contemplated that Plaintiff would increase the number of hours as she adjusted to  
25 working. Tr. 275. Significantly, five months after the November 2010 opinion,  
26 Ms. Champoux indicated that Plaintiff “can work” and working “would be  
27 therapeutic” for her. Tr. 308. The ALJ’s reasons for discounting Ms. Champoux’s  
28 opinion provided in November 2010 were germane. The ALJ did not err.

1 **C. Step Five**

2 Plaintiff contends the ALJ erred by relying upon the vocational expert's  
3 testimony that was premised on an incomplete hypothetical. ECF No. 20 at 18-19.

4 The hypothetical that ultimately served as the basis for the ALJ's  
5 determination, i.e., the hypothetical that is predicated on the ALJ's final RFC  
6 assessment, must account for all of the limitations and restrictions of the particular  
7 claimant. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir.  
8 2009). "If an ALJ's hypothetical does not reflect all of the claimant's limitations,  
9 then the expert's testimony has no evidentiary value to support a finding that the  
10 claimant can perform jobs in the national economy." *Id.* (citation and quotation  
11 marks omitted). In this case, the ALJ's hypothetical to the vocational expert failed  
12 to include the limitations related to the frequency of contact with supervisors  
13 opined by Dr. Kravitz. As such, remand is required.

14 **CONCLUSION**

15 Having reviewed the record and the ALJ's findings, the Court concludes the  
16 ALJ's decision is based on legal error, and requires remand. On remand, the ALJ  
17 is directed to reconsider Dr. Kravitz's opinion, and if necessary, provide valid  
18 reasons for rejecting his opinion related to Plaintiff's limitations on the frequency  
19 of interaction with a supervisor. In the alternative, the ALJ should reconsider  
20 Plaintiff's RFC, and after incorporating Dr. Kravitz's limitations relating to  
21 supervision, obtain additional vocational expert testimony that addresses Plaintiff's  
22 revised RFC. The decision is therefore **REVERSED** and the case is  
23 **REMANDED** for further proceedings consistent with this opinion.

24 Accordingly, **IT IS HEREBY ORDERED:**

25 1. Plaintiff's Motion for Summary Judgment, **ECF No. 20**, is  
26 **GRANTED**. The matter is remanded to the Commissioner for additional  
27 proceedings pursuant to sentence four 42 U.S.C. 405(g).

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