Collins v. Kijakazi	Col	lins	٧.	K	ja	kazi
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ollins v. Kij		filed 06/05/23 PageID.767 Page 1 of 22			
	Case 2.22-00-00197-RMP ECF NO. 15				
1		FILED IN THE U.S. DISTRICT COURT			
2		EASTERN DISTRICT OF WASHINGTON			
3		SEAN F. MCAVOY, CLERK			
4					
5	UNITED STATES	DISTRICT COURT			
6	EASTERN DISTRIC	CT OF WASHINGTON			
7	ADRIENNE M. C.,				
-		NO: 2:22-CV-197-RMP			
8	Plaintiff,	ORDER DENYING JUDGMENT TO			
9	V.	PLAINTIFF AND GRANTING JUDGMENT IN FAVOR OF THE			
10	COMMISSIONER OF SOCIAL SECURITY,	COMMISSIONER			
11	Defendant.				
12					
13	BEFORE THE COURT, without or	ral argument, are cross-motions for			
14	summary judgment from Plaintiff Adrient	ne M. C. ¹ , ECF No. 10, and Defendant the			
15	Commissioner of Social Security (the "Commissioner"), ECF No. 11. Plaintiff				
16	seeks judicial review, pursuant to 42 U.S.C. §§ 405(g) of the Commissioner's partial				
17	denial of her claim for Social Security Income ("SSI") under Title XVI of the Social				
18	Security Act (the "Act"). See ECF No. 10	0 at 1–2.			
19					
20	¹ In the interest of protecting Plaintiff's pr	rivacy, the Court uses Plaintiff's first			
21	name and middle and last initials.				
- 1					

ORDER DENYING JUDGMENT TO PLAINTIFF AND GRANTING JUDGMENT IN FAVOR OF THE COMMISSIONER ~ 1

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Having considered the parties' briefs, the administrative record, and the applicable law, the Court is fully informed. For the reasons set forth below, the Court denies Plaintiff's request for judgment and remand in her Opening Brief and 4 directs entry of judgment in favor of the Commissioner.

BACKGROUND

General Context

Plaintiff applied for SSI on approximately July 18, 2019, alleging an onset date of September 1, 2018. Administrative Record ("AR")² 15, 247–53. Plaintiff was 32 years old on the alleged disability onset date and asserted that she was unable to work due anxiety and borderline personality disorder. See AR 276. Plaintiff's application was denied initially and upon reconsideration, and Plaintiff requested a hearing. See AR 176.

On March 23, 2021, Plaintiff appeared by telephone, represented by her attorney Jay Manon, at a hearing held by Administrative Law Judge ("ALJ") MaryAnn Lunderman from Wenatchee, Washington. AR 60-63. The ALJ heard from Plaintiff as well as vocational expert ("VE") Michael Swanson. AR 60-92. ALJ Lunderman issued an unfavorable decision on May 21, 2021, and the Appeals Council denied review. AR 1-6, 15-26.

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² The Administrative Record is filed at ECF No. 10.

ORDER DENYING JUDGMENT TO PLAINTIFF AND GRANTING JUDGMENT IN FAVOR OF THE COMMISSIONER ~ 2

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ALJ's Decision

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Applying the five-step evaluation process, ALJ Lunderman found:

Step one: Plaintiff has not engaged in substantial gainful activity since July 18, 2019, the application date. AR 17.

Step two: Plaintiff has the following severe impairments: borderline personality disorder; posttraumatic stress disorder; moderate major depressive disorder; and anxiety disorder. AR 18 (citing 20 C.F.R. § 416.920(c)). The ALJ found that Plaintiff has the following medically determinable, but non-severe, impairments: gastroesophageal reflux disease; lumbago; and cervical dysplasia; and obesity. AR 18.

Step three: The ALJ concluded that Plaintiff does not have an impairment, or 11 combination of impairments, that meets or medically equals the severity of one of 12 the listed impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1 (20 C.F.R. §§ 13 416.920(d), 416.925, and 416.926). AR 19. In reaching this conclusion, the ALJ 14 addressed the "paragraph B" criteria with respect to listings 12.04 (depressive, 15 bipolar, and related disorders), 12.06 (anxiety and obsessive-compulsive disorders), 16 12.08 (personality and impulse-control disorders), and 12.15 (trauma- and stressor-17 18 related disorders) and found that Plaintiff's impairments do not result in one extreme 19 limitation or two marked limitations in a broad area of functioning. AR 19.

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The ALJ found that Plaintiff is moderately limited in: understanding, remembering, or applying information; interacting with others; concentrating, persisting, or maintaining pace; and in adapting or managing oneself. AR 19. The ALJ cited to portions of the record explaining her findings. AR 19.

5 The ALJ also memorialized her finding that the evidence in Plaintiff's record fails to satisfy the "paragraph C" criteria. AR 20.3 The ALJ reasoned that, first, "the 6 evidence does not show that the claimant relies, on an ongoing basis, upon medical treatment, mental health therapy, psychosocial support(s), or a highly structured setting(s), to diminish the symptoms and signs of the claimant's mental disorder; and, second, "the evidence does not show that, despite any diminished symptoms 10 and signs, [Plaintiff] has achieved only marginal adjustment or minimal capacity to adapt to changes in environment or to demands not part of daily life." AR 20.

Residual Functional Capacity ("RFC"): The ALJ found that Plaintiff can perform "a full range of work at all exertional levels, but with the following nonexertional limitations: assigned work must be limited to simple, unskilled tasks

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³ The Paragraph C criteria requires: a "serious and persistent" mental disorder with 17 a "medically documented history" of at least two years, and evidence of (1) ongoing medical treatment that diminishes the symptoms and signs of your 18 disorder; and (2) marginal adjustment, meaning the claimant has "minimal capacity 19 to adapt to changes in [their] environment or to demands that are not already part of [their] daily life." 20 C.F.R. § 404, Subpt. P. App. 1 §§ 12.02C, 12.04C, 20 12.06C.

with a SVP of 1 or 2 and a Reasoning Level of 1 or 2; assigned tasks must be 1 learned in 30 days or less or by brief demonstration; there must be minimal change 2 3 in the task as assigned; there must be minimal change in work locations and 4 procedures; while tasks must be performed primarily individually, they can 5 occasionally be performed with a limited number of coworkers, but the limit should be no more than three other coworkers; the assigned work may require occasional 6 7 contact with the public; and there must be no more than occasional change in the 8 work setting and the assigned tasks must require no independent goal setting." AR 9 20.

10 In determining Plaintiff's RFC, the ALJ found that Plaintiff's "medically determinable impairments could reasonably be expected to cause the alleged symptoms; however, [Plaintiff's] statements concerning the intensity, persistence 12 and limiting effects of these symptoms are not entirely consistent with the medical 13 evidence and other evidence in the record for the reasons explained in this decision." 14 AR 21. 15

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Step four: The ALJ found that Plaintiff has no past relevant work. AR 24 (citing 20 C.F.R. § 416.965).

1	Step five: The ALJ found that Plaintiff has at least a high school education
2	and was 33 years old ⁴ , which is defined as a younger individual (age 18-49), on the
3	alleged disability onset date. AR 24 (citing 20 C.F.R. § 416.963). The ALJ found
4	that transferability of job skills is not an issue because Plaintiff does not have past
5	relevant work. AR 24 (citing 20 C.F.R. § 416.968). The ALJ found that given
6	Plaintiff's age, education, work experience, and RFC, Plaintiff can make a
7	successful adjustment to other work that exists in significant numbers in the national
8	economy. AR 24. Specifically, the ALJ recounted that the vocational expert
9	identified the following representative occupations that Plaintiff would be able to
10	perform with the RFC:
11	A. Kitchen Helper (DOT1 No. 318.687-010), which is considered
12	medium exertional work that is performed at the unskilled level with a SVP2 of two, a Reasoning Level of two, and of which there are
13	approximately 498,000 jobs nationwide; B. Laundry Worker (DOT No. 361.685-018), which is considered
14	medium exertional work that is performed at the unskilled level with a SVP of two, a Reasoning Level of two, and of which there are
15	approximately 198,000 jobs nationwide; C. Auto Detailer (DOT No. 915.687-034), which is considered medium
16	exertional work that is performed at the unskilled level with a SVP of two, a Reasoning Level of two, and of which there are approximately
17	72,000 jobs nationwide; D. Electrical Accessories Assembler (DOT No. 729.687-010), which is
18	considered light exertional work that is performed at the unskilled level with a SVP of two, a Reasoning Level of two, and of which there are
19	approximately 7,400 jobs nationwide;
20	⁴ By the Court's calculation, Plaintiff was 32 years old, but this difference in age is immaterial as both 32 years and 33 years are within the same category.
	infinatorial as both 52 years and 55 years are within the same category.

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17		Case 2:22-cv-00197-RMP ECF No. 13 filed 06/05/23 PageID.773 Page 7 of 22
 unfavorable decision in this Court. ECF No. 1. LEGAL STANDARD Standard of Review Congress has provided a limited scope of judicial review of the Commissioner's decision. 42 U.S.C. § 405(g). A court may set aside the Commissioner's denial of benefits only if the ALJ's determination was based on legal error or not supported by substantial evidence. See Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985) (citing 42 U.S.C. § 405(g)). "The [Commissioner's] determination that a claimant is not disabled will be upheld if the findings of fact are supported by substantial evidence." Delgado v. Heckler, 722 F.2d 570, 572 (9th Cir 1983) (citing 42 U.S.C. § 405(g)). Substantial evidence is more than a mere scintilla, but less than a preponderance. Sorenson v. Weinberger, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975); McCallister v. Sullivan, 888 F.2d 599, 601–02 (9th Cir. ORDER DENYING JUDGMENT TO PLAINTIFF AND GRANTING 	2 3 4 5 6 7	 exertional work that is performed at the unskilled level with a SVP of two, a Reasoning Level of two, and of which there are approximately 60,000 jobs nationwide; and F. Marketing Clerk (DOT No. 209.587-034), which is considered light exertional work that is performed at the unskilled level with a SVP of two, a Reasoning Level of two, and of which there are approximately 311,000 jobs nationwide. AR 25. The ALJ concluded that Plaintiff had not been disabled within the meaning of the Act at any time since filing her application on July 18, 2019. AR 25.
 Standard of Review Congress has provided a limited scope of judicial review of the Commissioner's decision. 42 U.S.C. § 405(g). A court may set aside the Commissioner's denial of benefits only if the ALJ's determination was based on legal error or not supported by substantial evidence. See Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985) (citing 42 U.S.C. § 405(g)). "The [Commissioner's] determination that a claimant is not disabled will be upheld if the findings of fact are supported by substantial evidence." Delgado v. Heckler, 722 F.2d 570, 572 (9th Cir 1983) (citing 42 U.S.C. § 405(g)). Substantial evidence is more than a mere scintilla, but less than a preponderance. Sorenson v. Weinberger, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975); McCallister v. Sullivan, 888 F.2d 599, 601–02 (9th Cir. ORDER DENYING JUDGMENT TO PLAINTIFF AND GRANTING 		
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ORDER DENYING JUDGMENT TO PLAINTIFF AND GRANTING	12 13 14 15 16 17 18 19	Commissioner's decision. 42 U.S.C. § 405(g). A court may set aside the Commissioner's denial of benefits only if the ALJ's determination was based on legal error or not supported by substantial evidence. <i>See Jones v. Heckler</i> , 760 F.2d 993, 995 (9th Cir. 1985) (citing 42 U.S.C. § 405(g)). "The [Commissioner's] determination that a claimant is not disabled will be upheld if the findings of fact are supported by substantial evidence." <i>Delgado v. Heckler</i> , 722 F.2d 570, 572 (9th Cir. 1983) (citing 42 U.S.C. § 405(g)). Substantial evidence is more than a mere scintilla, but less than a preponderance. <i>Sorenson v. Weinberger</i> , 514 F.2d 1112,
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1989). Substantial evidence "means such evidence as a reasonable mind might
 accept as adequate to support a conclusion." *Richardson v. Perales*, 402 U.S. 389,
 401 (1971) (citations omitted). "[S]uch inferences and conclusions as the
 [Commissioner] may reasonably draw from the evidence" also will be upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9th Cir. 1965). On review, the court considers the
 record, not just the evidence supporting the decisions of the Commissioner.
 Weetman v. Sullivan, 877 F.2d 20, 22 (9th Cir. 1989).

A decision supported by substantial evidence still will be set aside if the
proper legal standards were not applied in weighing the evidence and making a
decision. *Brawner v. Sec'y of Health and Human Servs.*, 839 F.2d 432, 433 (9th Cir.
1988). Thus, if there is substantial evidence to support the administrative findings,
or if there is conflicting evidence that will support a finding of either disability or
nondisability, the finding of the Commissioner is conclusive. *Sprague v. Bowen*,
812 F.2d 1226, 1229–30 (9th Cir. 1987).

Definition of Disability

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The Social Security Act defines "disability" as the "inability to engage in any
substantial gainful activity by reason of any medically determinable physical or
mental impairment which can be expected to result in death, or which has lasted or
can be expected to last for a continuous period of not less than 12 months." 42
U.S.C. § 423(d)(1)(A). The Act also provides that a claimant shall be determined to

be under a disability only if the impairments are of such severity that the claimant is
not only unable to do their previous work, but cannot, considering the claimant's
age, education, and work experiences, engage in any other substantial gainful work
which exists in the national economy. 42 U.S.C. § 423(d)(2)(A). Thus, the
definition of disability consists of both medical and vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001).

Sequential Evaluation Process

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The Commissioner has established a five-step sequential evaluation process for determining whether a claimant is disabled. 20 C.F.R. § 416.920. Step one determines if they are engaged in substantial gainful activities. If the claimant is engaged in substantial gainful activities, benefits are denied. 20 C.F.R. § 416.920(a)(4)(i).

If the claimant is not engaged in substantial gainful activities, the decision maker proceeds to step two and determines whether the claimant has a medically severe impairment or combination of impairments. 20 C.F.R. § 416.920(a)(4)(ii). If the claimant does not have a severe impairment or combination of impairments, the disability claim is denied.

18 If the impairment is severe, the evaluation proceeds to the third step, which
19 compares the claimant's impairment with listed impairments acknowledged by the
20 Commissioner to be so severe as to preclude any gainful activity. 20 C.F.R. §

416.920(a)(4)(iii); see also 20 C.F.R. § 404, Subpt. P, App. 1. If the impairment meets or equals one of the listed impairments, the claimant is conclusively presumed to be disabled.

If the impairment is not one conclusively presumed to be disabling, the evaluation proceeds to the fourth step, which determines whether the impairment prevents the claimant from performing work that they have performed in the past. If the claimant can perform their previous work, the claimant is not disabled. 20 C.F.R. § 416.920(a)(4)(iv). At this step, the claimant's RFC assessment is considered.

If the claimant cannot perform this work, the fifth and final step in the process 10 determines whether the claimant is able to perform other work in the national economy considering their residual functional capacity and age, education, and past work experience. 20 C.F.R. § 416.920(a)(4)(v); Bowen v. Yuckert, 482 U.S. 137, 142 (1987).

The initial burden of proof rests upon the claimant to establish a prima facie 15 case of entitlement to disability benefits. Rhinehart v. Finch, 438 F.2d 920, 921 (9th 16 Cir. 1971); Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999). The initial burden 17 18 is met once the claimant establishes that a physical or mental impairment prevents 19 them from engaging in their previous occupation. Meanel, 172 F.3d at 1113. The 20 burden then shifts, at step five, to the Commissioner to show that (1) the claimant

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can perform other substantial gainful activity, and (2) a "significant number of jobs
exist in the national economy" that the claimant can perform. *Kail v. Heckler*, 722
F.2d 1496, 1498 (9th Cir. 1984).

ISSUES ON APPEAL

The parties' motions raise the following issues regarding the ALJ's decision:

1. Did the ALJ erroneously discount Plaintiff's subjective complaints?

2. Did the ALJ erroneously assess the medical source opinions?

3. If the Court finds error by the ALJ, what is the appropriate remedy?

Subjective Symptom Testimony

Plaintiff argues that the ALJ failed to provide specific, clear, and convincing reasons for discounting Plaintiff's testimony and instead merely summarized the medical evidence. ECF Nos. 10 at 4–5; 12 at 2 (citing AR 21–22).

The Commissioner responds that an ALJ is permitted to find a claimant's allegations unreliable based on inconsistency with the medical record. ECF No. 11 at 6 (citing *Smartt v. Kijakazi*, 53 F.4th 489, 498 (9th Cir. 2022)). The Commissioner continues, "The ALJ thus explained that Plaintiff's allegations were unreliable because they were 'inconsistent with the objective medical evidence." *Id.* (quoting AR 21). The Commissioner argues that the ALJ legitimately discounted Plaintiff's allegation that she struggles with memory, concentration, and task completion by "point[ing] out that mental status examinations were largely benign

and contradicted these allegations." Id. (citing AR 21-22, 403 (clinician reporting 1 Plaintiff was alert and oriented, with normal thought content), 471 (reporting fair 2 3 memory), 486 (reporting "grossly normal memory" and "appropriate interactions 4 and questions"), 641 ("Memory: Good"), and 691 (reporting fair memory). The 5 Commissioner further submits that the ALJ relied on evidence in the record to discount Plaintiff's allegation that she has problems getting along with others. Id. 6 7 (citing AR 21, 22, 403 ("normal" affect and "good eye contact"), 471 ("Behavior: 8 Appropriate"), 486 ("normal affect, appropriate interactions and question"), 540 9 ("[c]ooperative, appropriate mood and affect."), 558 (showing cooperative behavior), 641 (displaying appropriate behavior), 691 (same). The Commissioner 10 11 further cites to portions of the record that support the ALJ's finding that the record showed Plaintiff's symptoms were effectively controlled with medication. Id. at 7 12 (citing AR 21–22, 373, 474, 693). The Commissioner asserts that "[e]ven when an 13 agency explains its decision with less than ideal clarity, [the reviewing court] must 14 uphold it if the agency's path may reasonably be discerned." Id. at 8 (citing Molina 15 16 v. Astrue, 674 F.3d 1104, 1121 (9th Cir. 2012)).

Plaintiff replies that the Commissioner "makes no attempt to identify any
factual finding in the ALJ's decision about the effectiveness of treatment
undermining Plaintiff's testimony." ECF No. 12 at 2. Plaintiff concludes, "Indeed,
there is none." *Id.* (citing AR 21–22).

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1	In deciding whether to accept a claimant's subjective pain or symptom
2	testimony, an ALJ must perform a two-step analysis. Smolen v. Chater, 80 F.3d
3	1273, 1281 (9th Cir. 1996). First, the ALJ must evaluate "whether the claimant has
4	presented objective medical evidence of an underlying impairment 'which could
5	reasonably be expected to produce the pain or other symptoms alleged."
6	Lingenfelter v. Astrue, 504 F.3d 1028, 1036 (9th Cir. 2007) (quoting Bunnell v.
7	Sullivan, 947 F.2d 341, 344 (9th Cir. 1991)). Second, if the first test is met and there
8	is no evidence of malingering, "the ALJ can reject the claimant's testimony about
9	the severity of [his] symptoms only by offering specific, clear and convincing
10	reasons for doing so." Smolen, 80 F.3d at 1281.

There is no allegation of malingering in this case. Plaintiff alleged the

following impairment, as summarized in the ALJ's decision:

The claimant alleges disability due to anxiety; borderline personality disorder; mood fluctuation; depression; posttraumatic stress disorder; intellectual disability; paranoia; and bipolar disorder. She advised that her conditions affect her abilities to lift, squat, bend, stand, reach, sit, kneel, talk, hear, see, remember, complete tasks, concentrate, understand, follow instructions, and get along with others. She stated that she has a hard time remembering and is unable to concentrate or understand verbal direction-has to have written directions. She stated that she could pay attention for maybe "one-hour maximum," and does The claimant struggles with retaining not finish what she starts. information, completing work tasks on time, understanding Math, and the ability to read and understand what is written/follow directions. She reported taking a variety of medications, including: aripiprazole; bupropion; Buspirone; clonidine; gabapentin; gabapentin; naproxen; omeprazole; sertraline; and Tylenol.

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AR 21 (citing AR 277, 281, 288, 318, 329, 340, 348, 350).

2 The ALJ found that "Plaintiff's statements about the intensity, persistence, 3 and limiting effects of his [sic] symptoms, ... are inconsistent with the objective medical evidence of record as a whole." AR 21. The ALJ then discussed Plaintiff's 4 5 treatment records from February 2019 through March 2021 and noted that the records documented improvement with medications, decompensation when Plaintiff 6 7 ceased her medications, and an unremarkable presentation by Plaintiff at 8 appointments. AR 22-23 (citing AR 399, 403, 471, 474, 480, 484, 486, 540, 557-9 58, 641–42, 691, and 693).

10 First, the ALJ cited treatment records supporting that Plaintiff's symptoms 11 improved with adherence to her medication regimen and worsened when Plaintiff ran out of her medication. See AR 484-86 (Plaintiff reporting in March 2020 that 12 her mood was "fine," presenting in no acute distress, and reporting that she was 13 current on her medications and was seeing a counselor), AR 540-41 (at July 2020 14 appointment where Plaintiff presented in no acute distress, with un unremarkable 15 16 mood and affect, clinician finding that Plaintiff's "[a]nxiety and depression scales are better" and adjusting medication dosage to achieve "better control"); 557–58 17 18 (reporting an "acute exacerbation of her depression anxiety" after ceasing her 19 medication) (as written in original); 693 (at March 2021 appointment, Plaintiff reported doing "better' with her medications and that she is being able to sleep 20

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better"). A good response to medication, as documented by longitudinal medical
 records, is an accepted reason for discounting a claimant's subjective symptom
 testimony. *See Warre v. Comm'r of Soc. Sec.*, 439 F.3d 1001, 1006 (9th Cir. 2006)
 ("[i]mpairments that can be controlled effectively with medication are not disabling
 for the purpose of determining eligibility for SSI benefits."). Accordingly, the Court
 does not fault the ALJ's treatment of Plaintiff's allegations on this ground.

Secondly, the ALJ cited to Plaintiff's treatment records showing that she
presented at appointments during the relevant period in no acute distress and with
generally unremarkable psychiatric findings, apart from reporting a depressed mood. *See* AR 22–23 (citing AR 399, 403, 471, 474, 480, 484, 486, 540, 557–58, 641–42,
691, and 693). The objective examination evidence cited by the ALJ generally does
not support Plaintiff's subjective allegations that her symptoms are so severe that
they prevent her from working under the conditions set forth in the RFC.

14To recount, the ALJ found that Plaintiff has severe impairments in the form of15depression, anxiety, PTSD, and borderline personality disorder and formulated an16RFC with several limitations relating to social interactions and managing stress. AR1718, 20. However, the ALJ discounted Plaintiff's allegations that her impairments are18so severe that she cannot work in any capacity. AR 21; see Fair v. Bowen, 885 F.2d19597, 603 (9th Cir. 1989) (holding that individuals do not need to be symptom free to20work as disability benefits are intended for "people who are unable to work;

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awarding benefits in cases of nondisabling pain would expand the class of recipients
far beyond that contemplated in the statute"). Although the ALJ's analysis is sparse,
the ALJ provided two legitimate reasons, supported by substantial evidence, for not
fully crediting Plaintiff's subjective complaints. The Court, therefore, concludes
that the ALJ did not err in discounting Plaintiff's subjective complaints as
unsupported by Plaintiff's longitudinal medical record.

Medical Source Opinion

8 Plaintiff contends that the ALJ did not provide legally sufficient reasons, 9 supported by substantial evidence in finding the opinion of examining psychologist Jenna Yun, PhD to be unpersuasive. ECF No. 10 at 5–7. Plaintiff argues that an 10 11 ALJ may not discount a medical source opinion merely because it may have been based primarily on Plaintiff's self-reports and posits that "psychological evaluations 12 should not be rejected because of the relative imprecision of their methodology, 13 which will always rely on an individual's self-reports, at least in part." Id. at 7-8 14 (citing Buck v. Berryhill, 869 F.3d 1040, 1049 (9th Cir. 2017)). 15

The Commissioner responds that the ALJ addressed the two most important
factors in determining the persuasiveness of Dr. Yun's opinion, supportability, and
consistency. ECF No. 11 at 11. The Commissioner argues that the ALJ
appropriately noted that Dr. Yun did not cite to any examination findings in her
report and appeared to rely primarily on Plaintiff's subjective reports for her

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1 diagnosis. Id. (citing AR 23, 360). With respect to the consistency factor, the Commissioner argues that the ALJ cited to the opinion of Dr. Jan Lewis, "who had 2 3 the ability to view the majority of objective medical evidence in the record, 4 including Dr. Yun's report prior to offering an opinion." Id. at 12 (citing AR 23). 5 The Commissioner notes that Dr. Lewis "opined that Plaintiff could perform simple tasks, work with a limited number of co-workers, interact with briefly and 6 7 superficially with the public, and perform a job that did not change frequently." Id. 8 at 12 (citing AR 149-50). The Commissioner further submits that "the ALJ cited 9 evidence showing that Plaintiff's mental status examination reports were generally appropriate or unremarkable, including normal mood, appropriate behavior, and 10 11 normal memory." Id. (citing AR 21-22).

The regulations that took effect on March 27, 2017, provide a new framework 12 for the ALJ's consideration of medical opinion evidence and require the ALJ to 13 articulate how persuasive she finds all medical opinions in the record, without any 14 15 hierarchy of weight afforded to different medical sources. See Rules Regarding the 16 Evaluation of Medical Evidence, 82 Fed. Reg. 5844-01, 2017 WL 168819 (Jan. 18, 2017). Instead, for each source of a medical opinion, the ALJ must consider several 17 18 factors, including supportability, consistency, the source's relationship with the 19 claimant, any specialization of the source, and other factors such as the source's

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familiarity with other evidence in the claim or an understanding of Social Security's
 disability program. 20 C.F.R. § 404.1520c(c)(1)-(5).

3 Supportability and consistency are the "most important" factors, and the ALJ must articulate how she considered those factors in determining the persuasiveness 4 5 of each medical opinion or prior administrative medical finding. 20 C.F.R. § 6 404.1520c(b)(2). With respect to these two factors, the regulations provide that an 7 opinion is more persuasive in relation to how "relevant the objective medical 8 evidence and supporting explanations presented" and how "consistent" with 9 evidence from other sources the medical opinion is. 20 C.F.R. § 404.1520c(c)(1). The ALJ may explain how she considered the other factors, but is not required to do 10 11 so, except in cases where two or more opinions are equally well-supported and consistent with the record. 20 C.F.R. § 404.1520c(b)(2), (3). Courts also must 12 continue to consider whether the ALJ's finding is supported by substantial evidence. 13 See 42 U.S.C. § 405(g) ("The findings of the Commissioner of Social Security as to 14 any fact, if supported by substantial evidence, shall be conclusive"). 15

Prior to revision of the regulations, the Ninth Circuit required an ALJ to
provide clear and convincing reasons to reject an uncontradicted treating or
examining physician's opinion and provide specific and legitimate reasons where the
record contains a contradictory opinion. *See Revels v. Berryhill*, 874 F.3d 648, 654
(9th Cir. 2017). However, the Ninth Circuit has held that the Social Security

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regulations revised in March 2017 are "clearly irreconcilable with [past Ninth 1 Circuit] caselaw according special deference to the opinions of treating and 2 3 examining physicians on account of their relationship with the claimant." Woods v. 4 Kijakazi, No. 21-35458, 2022 U.S. App. LEXIS 10977, at *14 (9th Cir. Apr. 22, 5 2022). The Ninth Circuit continued that the "requirement that ALJs provide 'specific and legitimate reasons' for rejecting a treating or examining doctor's 6 7 opinion, which stems from the special weight given to such opinions, is likewise 8 incompatible with the revised regulations." Id. at *15 (internal citation omitted).

Accordingly, as Plaintiff's claim was filed after the new regulations took effect, the Court refers to the standard and considerations set forth by the revised rules for evaluating medical evidence. *See* AR 15, 247–53.

Dr. Yun examined Plaintiff on May 17, 2019, and completed an evaluation 12 form for the Washington State Department of Social and Health Services ("DSHS"). 13 AR 358–59. Dr. Yun did not indicate any records that she reviewed in evaluating 14 Plaintiff's condition. AR 358. Dr. Yun opined with respect to basic work activities 15 16 that Plaintiff is markedly impaired in seven, moderately impaired in four, and mildly 17 or not impaired in two. AR 361. Dr. Yun opined that the overall severity based on 18 the combined impact of all diagnosed mental impairments is marked. AR 361. Dr. 19 Yun's mental status examination findings recited that Plaintiff presented with 20 unremarkable appearance; slow, but clear speech; cooperative attitude and good eye

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1 contact; and a flat affect. AR 362. Dr. Yun recorded that Plaintiff described her current mood as "depressed, anxious". AR 362. Dr. Yun further found that Plaintiff 2 3 responded outside of normal limits to several examination prompts, including that: 4 Plaintiff's thoughts "appeared slowed and blocked at times"; Plaintiff could recall 5 what she ate for dinner the night before, but recalled zero of three words after a fiveminute delay; and Plaintiff "demonstrated impaired judgement [sic] and lack of 6 7 insight into her current conditions." AR 362-63. Dr. Yun also found that Plaintiff Plaintiff's concentration was outside of normal limits but did not note any abnormal 8 9 responses by Plaintiff to examination questions. AR 363.

10 ALJ Lunderman reasoned that Dr. Yun examined Plaintiff on only one 11 occasion and did not review any of the objective medical evidence in Plaintiff's record. AR 23. ALJ Lunderman continued, "In her opinion, Dr. Yun determined 12 some of the claimant's mental diagnoses were provisional or suspected, which 13 suggests the doctor's opinion may be based more on subjective reports during the 14 time assessment, rather than the longitudinal view of overall mental functioning." 15 16 AR 23 (as written in original). Furthermore, ALJ Lunderman found Dr. Yun's conclusions to be inconsistent with the opinions of another medical source, Dr. 17 18 Lewis, whose 2020 opinion ALJ Lunderman had found to be supported and 19 consistent with Plaintiff's longitudinal record. AR 23.

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As the ALJ's reasoning sets forth, the ALJ did not merely discount Dr. Yun's 1 opinion for being based on Plaintiff's self-reports, as Plaintiff suggests. See AR 23; 2 3 ECF No. 11 at 11. Rather, the ALJ appropriately considered the nature of Dr. Yun's 4 encounter with Plaintiff and whether her opinion was sufficiently supported to be 5 persuasive. AR 23. The ALJ further considered whether Dr. Yun's opinion is consistent with evidence from other sources and articulated why she considered Dr. 6 7 Yun's opinion to be less persuasive than other sources. AR 23. As these are the relevant factors from the governing framework, and the ALJ referred to substantial 8 9 evidence in applying the factors, the Court finds no error in the ALJ's treatment of 10 Dr. Yun's opinion.

As the Court finds no error in the two issues raised by Plaintiff, the Court need not resolve the parties' disagreement regarding an appropriate remedy.

CONCLUSION

Having reviewed the record and the ALJ's findings, this Court concludes that the ALJ's decision is supported by substantial evidence and free of harmful legal error. Accordingly, **IT IS HEREBY ORDERED** that:

- 1. Plaintiff's Opening Brief, ECF No. 10, is DENIED.
- 2. Defendant's Brief, ECF No. 11, is GRANTED.

4. Judgment shall be entered for Defendant.

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IT IS SO ORDERED. The District Court Clerk is directed to enter this Order, enter judgment as directed, provide copies to counsel, and **close the file** in this case.

DATED June 5, 2023.

s/ Rosanna Malouf Peterson ROSANNA MALOUF PETERSON Senior United States District Judge