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FILED IN THE  
U.S. DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

UNITED STATES DISTRICT COURT **Sep 25, 2018**  
EASTERN DISTRICT OF WASHINGTON SEAN F. McAVOY, CLERK

MARIBEL B.,

Plaintiff,

v.

COMMISSIONER OF SOCIAL  
SECURITY,

Defendant.

No. 4:17-CV-05112-JTR

ORDER GRANTING IN PART  
PLAINTIFF’S MOTION FOR  
SUMMARY JUDGMENT

**BEFORE THE COURT** are cross-motions for summary judgment. ECF Nos. 14, 15. Attorney Chad L. Hatfield represents Maribel B. (Plaintiff); Special Assistant United States Attorney Joseph John Langkamer represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 3. After reviewing the administrative record and the briefs filed by the parties, the Court **GRANTS, in part**, Plaintiff’s Motion for Summary Judgment; **DENIES** Defendant’s Motion for Summary Judgment; and **REMANDS** the matter to the Commissioner for additional proceedings pursuant to 42 U.S.C. § 405(g) and 42 U.S.C. § 1383(c).

1 **JURISDICTION**

2 Plaintiff filed applications for Supplemental Security Income (SSI) and  
3 Disability Insurance Benefits (DIB) on April 1, 2013, Tr. 109, alleging disability  
4 since August 10, 2011, Tr. 247, 253, due to Hepatitis C, left ankle fracture, carpal  
5 tunnel syndrome, depression, anxiety, and a pinched nerve in the left arm, Tr. 315.  
6 The applications were denied initially and upon reconsideration. Tr. 176-80, 183-  
7 88. Administrative Law Judge (ALJ) Tom L. Morris held a hearing on August 21,  
8 2015 and heard testimony from Plaintiff and vocational expert Mark Harrington.  
9 Tr. 31-83. The ALJ issued an unfavorable decision on January 5, 2016. Tr. 14-24.  
10 The Appeals Council denied review on May 27, 2017. Tr. 1-7. The ALJ's January  
11 5, 2016 decision became the final decision of the Commissioner, which is  
12 appealable to the district court pursuant to 42 U.S.C. §§ 405(g), 1383(c). Plaintiff  
13 filed this action for judicial review on July 26, 2017. ECF Nos. 1, 5.

14 **STATEMENT OF FACTS**

15 The facts of the case are set forth in the administrative hearing transcript, the  
16 ALJ's decision, and the briefs of the parties. They are only briefly summarized  
17 here.

18 Plaintiff was 40 years old at the alleged date of onset. Tr. 247. She reported  
19 that she completed her GED in 2005. Tr. 316. Her reported work history includes  
20 the jobs of farm laborer, clothing retail, food processing, hostess, and  
21 housekeeping. Tr. 317, 327. Plaintiff reported that she stopped working on May  
22 31, 2011 due to her conditions. Tr. 316.

23 **STANDARD OF REVIEW**

24 The ALJ is responsible for determining credibility, resolving conflicts in  
25 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,  
26 1039 (9th Cir. 1995). The Court reviews the ALJ's determinations of law de novo,  
27 deferring to a reasonable interpretation of the statutes. *McNatt v. Apfel*, 201 F.3d  
28 1084, 1087 (9th Cir. 2000). The decision of the ALJ may be reversed only if it is

1 not supported by substantial evidence or if it is based on legal error. *Tackett v.*  
2 *Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is defined as  
3 being more than a mere scintilla, but less than a preponderance. *Id.* at 1098. Put  
4 another way, substantial evidence is such relevant evidence as a reasonable mind  
5 might accept as adequate to support a conclusion. *Richardson v. Perales*, 402  
6 U.S. 389, 401 (1971). If the evidence is susceptible to more than one rational  
7 interpretation, the court may not substitute its judgment for that of the ALJ.  
8 *Tackett*, 180 F.3d at 1097. If substantial evidence supports the administrative  
9 findings, or if conflicting evidence supports a finding of either disability or non-  
10 disability, the ALJ's determination is conclusive. *Sprague v. Bowen*, 812 F.2d  
11 1226, 1229-30 (9th Cir. 1987). Nevertheless, a decision supported by substantial  
12 evidence will be set aside if the proper legal standards were not applied in  
13 weighing the evidence and making the decision. *Browner v. Secretary of Health*  
14 *and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988).

### 15 SEQUENTIAL EVALUATION PROCESS

16 The Commissioner has established a five-step sequential evaluation process  
17 for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a),  
18 416.920(a); *see Bowen v. Yuckert*, 482 U.S. 137, 140-142 (1987). In steps one  
19 through four, the burden of proof rests upon the claimant to establish a prima facie  
20 case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-99. This  
21 burden is met once the claimant establishes that physical or mental impairments  
22 prevent her from engaging in her previous occupations. 20 C.F.R. §§  
23 404.1520(a)(4), 416.920(a)(4). If the claimant cannot do her past relevant work,  
24 the ALJ proceeds to step five, and the burden shifts to the Commissioner to show  
25 that (1) the claimant can make an adjustment to other work, and (2) specific jobs  
26 which the claimant can perform exist in the national economy. *Batson v. Comm'r*  
27 *of Soc. Sec. Admin.*, 359 F.3d 1190, 1193-94 (9th Cir. 2004). If the claimant  
28 cannot make an adjustment to other work in the national economy, a finding of

1 “disabled” is made. 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v).

2 **ADMINISTRATIVE DECISION**

3 On January 5, 2016, the ALJ issued a decision finding Plaintiff was not  
4 disabled as defined in the Social Security Act.

5 At step one, the ALJ found Plaintiff had engaged in substantial gainful  
6 activity for the year of 2012. Tr. 17. However, since there had been a continuous  
7 twelve month period during which Plaintiff had not engaged in substantial gainful  
8 activity, the ALJ continued in the sequential evaluation process. Tr. 17.

9 At step two, the ALJ determined Plaintiff had the following severe  
10 impairments: degenerative disc disease and carpal tunnel syndrome. Tr. 17.

11 At step three, the ALJ found Plaintiff did not have an impairment or  
12 combination of impairments that met or medically equaled the severity of one of  
13 the listed impairments. Tr. 19.

14 At step four, the ALJ assessed Plaintiff’s residual function capacity and  
15 determined she could perform a range of light work with the following limitations:

16  
17 except she can stand and/or walk (with normal breaks) for a total of  
18 about six hours in an eight hour workday and sit (with normal breaks)  
19 for a total of about six hours in an eight hour workday. The claimant  
20 would need to periodically alternate sitting with standing, which can be  
21 accomplished by any work task requiring such shifts or can be done in  
22 either position temporarily or longer. The claimant can frequently  
23 climb ramps and stairs. She can frequently handle bilaterally. The  
24 claimant must avoid concentrated exposure to vibrations and hazards  
25 (such as dangerous machinery or unprotected heights). She is capable  
26 of unskilled work tasks with customary breaks and lunch. The claimant  
27 can work in a low stress environment, defined as only occasional  
28 decision making required. No production rate pace work but rather goal  
oriented work. The claimant would be off task 10% over the course of  
an 8-hour workday.

Tr. 20. The ALJ identified Plaintiff’s past relevant work as label maker and

1 packer, agricultural produce and concluded that Plaintiff was not able to perform  
2 this past relevant work. Tr. 22.

3 At step five, the ALJ determined that, considering Plaintiff's age, education,  
4 work experience and residual functional capacity, and based on the testimony of  
5 the vocational expert, there were other jobs that exist in significant numbers in the  
6 national economy Plaintiff could perform, including the jobs of small products  
7 assembler, electrical accessories assembler, and products assembler. Tr. 23. The  
8 ALJ concluded Plaintiff was not under a disability within the meaning of the Social  
9 Security Act at any time from August 10, 2011<sup>1</sup>, through the date of the ALJ's  
10 decision. Tr. 23.

### 11 ISSUES

12 The question presented is whether substantial evidence supports the ALJ's  
13 decision denying benefits and, if so, whether that decision is based on proper legal  
14 standards. Plaintiff contends the ALJ erred by (1) failing to properly weigh the  
15 opinion evidence, (2) failing to find Plaintiff's anxiety and depression severe at  
16 step two, (3) failing to properly consider Plaintiff's symptom statements, and (4)  
17 failing to meet his burden at step five.

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21 <sup>1</sup>Plaintiff had previously filed applications for SSI and DIB benefits on June  
22 20, 2012 alleging disability as of August 10, 2011. Tr. 84-85, 232-244, 261.  
23 These applications were denied on September 18, 2012. Tr. 166. While the ALJ  
24 did not reopen these applications, he was aware of them as they were a part of the  
25 exhibits he entered into the record at the hearing. Tr. 34. The Court finds that by  
26 making a determination of disability pertaining to the period of time at issue in the  
27 prior application, the ALJ de facto reopened the prior adjudications. *See Lewis v.*  
28 *Apfel*, 236 F.3d 503, 510 (9th Cir. 2001).

1 **DISCUSSION<sup>2</sup>**

2 **1. Opinion Evidence**

3 Plaintiff argues the ALJ failed to properly consider and weigh the opinions  
4 expressed by Tae-Im Moon, Ph.D., Jan Kouzes, Ed.D., N.K. Marks, Ph.D., Cheryl  
5 P. Hipolito, M.D., and Sergio Flores, M.D. ECF No. 14 at 13-18.

6 In weighing medical source opinions, the ALJ should distinguish between  
7 three different types of physicians: (1) treating physicians, who actually treat the  
8 claimant; (2) examining physicians, who examine but do not treat the claimant;  
9 and, (3) nonexamining physicians who neither treat nor examine the claimant.  
10 *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995). The ALJ should give more  
11 weight to the opinion of a treating physician than to the opinion of an examining  
12 physician. *Orn v. Astrue*, 495 F.3d 625, 631 (9th Cir. 2007). Likewise, the ALJ  
13 should give more weight to the opinion of an examining physician than to the  
14 opinion of a nonexamining physician. *Id.*

15 When an examining physician’s opinion is not contradicted by another  
16 physician, the ALJ may reject the opinion only for “clear and convincing” reasons,  
17 and when an examining physician’s opinion is contradicted by another physician,  
18 the ALJ is only required to provide “specific and legitimate reasons” to reject the  
19 opinion. *Lester*, 81 F.3d at 830-31. The specific and legitimate standard can be  
20 met by the ALJ setting out a detailed and thorough summary of the facts and  
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22 <sup>2</sup>In *Lucia v. S.E.C.*, 138 S.Ct. 2044 (2018), the Supreme Court recently held  
23 that ALJs of the Securities and Exchange Commission are “Officers of the United  
24 States” and thus subject to the Appointments Clause. To the extent *Lucia* applies  
25 to Social Security ALJs, the parties have forfeited the issue by failing to raise it in  
26 their briefing. See *Carmickle v. Comm’r of Soc. Sec. Admin.*, 533 F.3d 1155, 1161  
27 n.2 (9th Cir. 2008) (the Court will not consider matters on appeal that were not  
28 specifically addressed in an appellant’s opening brief).

1 conflicting clinical evidence, stating his interpretation thereof, and making  
2 findings. *Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir. 1989). The ALJ is  
3 required to do more than offer his conclusions, he “must set forth his  
4 interpretations and explain why they, rather than the doctors’, are correct.”  
5 *Embrey v. Bowen*, 849 F.2d 418, 421-22 (9th Cir. 1988).

6 **A. Tae-Im Moon, Ph.D., Jan Kouzes, Ed.D., and N.K. Marks, Ph.D.**

7 On March 11, 2013, Dr. Moon completed a Psychological/Psychiatric  
8 Evaluation form for the Department of Social and Health Services (DSHS). Tr.  
9 517-21. He diagnosed Plaintiff with major depressive disorder, and anxiety  
10 disorder and opined that Plaintiff had a marked limitation in seven basic work  
11 activities and a moderate limitation in the remaining six basic work activities. Tr.  
12 519-20.

13 On August 24, 2012, Dr. Krouzes completed a Psychological/Psychiatric  
14 Evaluation form for DSHS. Tr. 462-66. She diagnosed Plaintiff with major  
15 depressive disorder, and anxiety disorder and opined that Plaintiff had a marked  
16 limitation in four basic work activities and a moderate limitation in an additional  
17 five basic work activities. Tr. 463-64.

18 On February 12, 2015 Dr. Marks examined Plaintiff and on April 30, 2015,  
19 Dr. Marks administered psychological testing at the request of DSHS. Tr. 695-  
20 701. He completed the Wechsler Adult Intelligence Test-IV and the Trail Making  
21 Test parts A and B. Tr. 700-01. Plaintiff was not able to complete the Trail  
22 making part B as she forgot the directions and had to have them repeated multiple  
23 times. Tr. 701. The Wechsler Memory Scale was attempted but testing was  
24 aborted due to Plaintiff’s level of frustration and fatigue with testing. Tr. 700. On  
25 May 11, 2015, Dr. Marks diagnosed Plaintiff with an unspecified neurocognitive  
26 disorder and an unspecified depressive disorder. Tr. 697. He opined that Plaintiff  
27 had a severe limitation in nine basic work activities, a marked limitation in three  
28 basic work activities, and a moderate limitation in the remaining basic work

1 activities addressed on the form. Tr. 697-98.

2 The ALJ addressed the opinions of these three examining providers together  
3 in a single paragraph. Tr. 18-19. The ALJ assigned all three opinions little weight  
4 because (1) Plaintiff failed to follow through with treatment for her mental health  
5 symptoms, (2) Plaintiff's presentation for these evaluations was in "significant  
6 contrast to the other evidence in the record," (3) the claimant has greater social  
7 functioning that indicated in the opinions, and (4) the opinions do not accurately  
8 reflect Plaintiff's cognitive functioning. *Id.*

9 Plaintiff asserts that the ALJ's reasons for rejecting these opinions failed to  
10 raise to the level of specific and legitimate reasons supported by substantial  
11 evidence. ECF No. 14 at 14. The Court agrees. To meet the specific and  
12 legitimate standard, the ALJ must set out a detailed and thorough summary of the  
13 facts and conflicting clinical evidence, state his interpretation thereof, and make  
14 findings. *Magallanes*, 881 F.2d at 751. He must do more than offer his  
15 conclusions, he "must set forth his interpretations and explain why they, rather  
16 than the doctors', are correct." *Embrey*, 849 F.2d at 421-22. Here by clumping all  
17 three opinions together and making conclusory statements regarding their  
18 reliability, the ALJ failed to provide the thorough summary of the facts and  
19 conflicting clinical evidence.

20 The ALJ did provide one specific example regarding Dr. Marks' opinion as  
21 not accurately reflecting Plaintiff's cognitive functioning: "Dr. Marks indicated  
22 that the claimant exhibited very poor memory skills and had a full-scale IQ of 59,  
23 which would be in the intellectual disability range. However, no other examiner  
24 has suggested that the claimant has such significantly reduced functioning." Tr.  
25 19. However, the ALJ failed to provide any citation to evidence that demonstrated  
26 Plaintiff functioned at a higher intellectual level. *Id.* Dr. Marks is the only  
27 provider who administered such testing, and the opinions of all the other  
28 examining psychologists, Dr. Moon and Dr. Krouzes, addressed significant



1 limitations in social and cognitive abilities. Tr. 464, 519-520. Therefore this  
2 single specific comparison as inserted in this otherwise conclusive paragraph is not  
3 supported by substantial evidence and is not sufficient to uphold the rejection of all  
4 three opinions.

5 Defendant provided substantial citations to the record in support of the  
6 ALJ's conclusive reasons for rejecting these opinions. ECF No. 15 at 11-13.  
7 However, the Court is limited to reviewing what the ALJ wrote in his decision and  
8 not the assertions of the Defendant on appeal. *See Orn*, 495 F.3d at 630 (The  
9 Court will "review only the reasons provided by the ALJ in the disability  
10 determination and may not affirm the ALJ on a ground upon which he did not  
11 rely."). Therefore, Defendant's citations to the record in support of the ALJ's  
12 rationale cannot be persuasive.

13 The ALJ will readdress the opinions of Dr. Moon, Dr. Krouzes, and Dr.  
14 Marks on remand and will call a psychological expert to testify at a remand  
15 hearing.

16 **B. Cheryl P. Hipolito, M.D.**

17 On January 14, 2012, Dr. Hipolito completed a Physical Functional  
18 Evaluation form for DSHS. Tr. 523-25. She diagnosed Plaintiff with major  
19 depressive disorder and chronic left wrist pain and limited Plaintiff to sedentary  
20 work. Tr. 524-25. The ALJ rejected this opinion because the opinion of Dr.  
21 Ulleland was more consistent with medical evidence. Tr. 21-22. The ALJ's  
22 rationale implies that Dr. Hipolito's opinion was inconsistent with the medical  
23 evidence. The ALJ then provided citation to the evidence that supported Dr.  
24 Ulleland's opinion but failed to address how this evidence was contradictory to Dr.  
25 Hipolito's opinion. Since this case is being remanded to further address the  
26 psychological opinions in the file, the ALJ will readdress Dr. Hipolito's opinion as  
27 well.

28 //

1           **C. Sergio Flores, M.D.**

2           On August 21, 2012, Dr. Flores completed a Physical Functional Evaluation  
3 form at the request of DSHS. Tr. 470-76. He diagnosed Plaintiff with a fracture of  
4 the right foot and bilateral carpal tunnel syndrome. Tr. 471. He opined that  
5 Plaintiff was severely limited, meaning she was “[u]nable to meet the demands of  
6 sedentary work.” Tr. 472, 476. The ALJ gave little weight to this opinion because  
7 (1) Dr. Flores did not cite to any objective findings to support his opinion, (2) Dr.  
8 Flores indicated Plaintiff was severely limited but only listed Plaintiff’s  
9 impairments as moderate in severity, and (3) Dr. Flores relied on Plaintiff’s foot  
10 fracture as an impairment contributing to her disability, but the ALJ found the foot  
11 fracture to be a nonsevere impairment. Tr. 22.

12           This case is being remanded for the ALJ to further address the psychological  
13 opinions in this case. Upon remand, the ALJ will further address Dr. Flores’  
14 opinion. Dr. Flores relied on Plaintiff’s foot fracture as an impairment contributing  
15 to her disability. The ALJ concluded that this is inconsistent with the evidence,  
16 which failed to support that the foot fracture resulted in a severe impairment. Tr.  
17 22. In the step two discussion of Plaintiff’s foot fracture, the ALJ makes a citation  
18 to an imaging report that “did not document any fracture.” Tr. 17 (*citing* Tr. 611-  
19 612). However, this imaging report was an x-ray of Plaintiff’s left tibia and fibula.  
20 Tr. 612. The report fails to address any bones of the left foot. *Id.* As such, the  
21 ALJ’s determination that Plaintiff’s left foot impairment is not severe potentially  
22 lacks support by substantial evidence. Therefore, upon remand, the ALJ is  
23 instructed to further address Plaintiff’s left foot impairment and reconsider Dr.  
24 Flores’ opinion.

25           **2. Step Two**

26           Plaintiff challenges the ALJ’s determination that Plaintiff’s depression and  
27 anxiety were not severe at step two. ECF No. 14 at 10-13.

28           The step-two analysis is “a de minimis screening device used to dispose of

1 groundless claims.” *Webb v. Barnhart*, 433 F.3d 683, 687 (9th Cir. 2005). An  
2 impairment is “not severe” if it does not “significantly limit” the ability to conduct  
3 “basic work activities.” 20 C.F.R. §§ 404.1522(a), 416.922(a). Basic work  
4 activities are “abilities and aptitudes necessary to do most jobs.” 20 C.F.R. §  
5 416.922(b). “An impairment or combination of impairments can be found not  
6 severe only if the evidence establishes a slight abnormality that has no more than a  
7 minimal effect on an individual’s ability to work.” *Smolen v. Chater*, 80 F.3d  
8 1273, 1290 (9th Cir. 1996) (internal quotation marks omitted). A claimant’s own  
9 statement of symptoms alone will not suffice. *See* 20 C.F.R. § 416.921.

10 The ALJ premised his step two determination on the rejection of the  
11 opinions of Dr. Moon, Dr. Kouzes, and Dr. Marks. Tr. 18-19. As addressed  
12 above, the ALJ failed to provide legally sufficient reasons for rejecting the  
13 opinions of these providers, all of which found Plaintiff had severe mental health  
14 impairments. Therefore, upon remand, the ALJ will readdress Plaintiff’s mental  
15 health impairments at step two.

### 16 **3. Plaintiff’s Symptom Statements**

17 Plaintiff argues that the ALJ failed to provide legally sufficient reasons for  
18 rejecting her symptom statements. ECF No. 14 at 18-19.

19 It is generally the province of the ALJ to make determinations regarding the  
20 credibility of Plaintiff’s symptom statements, *Andrews*, 53 F.3d at 1039, but the  
21 ALJ’s findings must be supported by specific cogent reasons, *Rashad v. Sullivan*,  
22 903 F.2d 1229, 1231 (9th Cir. 1990). Absent affirmative evidence of malingering,  
23 the ALJ’s reasons for rejecting the claimant’s testimony must be “specific, clear  
24 and convincing.” *Smolen*, 80 F.3d at 1281; *Lester*, 81 F.3d at 834. “General  
25 findings are insufficient: rather the ALJ must identify what testimony is not  
26 credible and what evidence undermines the claimant’s complaints.” *Lester*, 81  
27 F.3d at 834.

28 The ALJ found Plaintiff’s statements to be less than fully credible

1 concerning the intensity, persistence, and limiting effects of her symptoms. Tr. 21.  
2 Plaintiff argues that the ALJ identified one reason for rejecting her statements, that  
3 they were inconsistent with the objective medical evidence, and this one reason  
4 failed to meet the specific, clear and convincing standard. ECF No. 14 at 18-19.  
5 Defendant argues that the record contains affirmative evidence of malingering and  
6 the ALJ was not required to provide any specific, clear and convincing reasons.  
7 ECF No. 15 at 17-18. To support his assertion, Defendant cites to records from  
8 Neuva Esperanza Counseling Center in July of 2012 which states that “CC  
9 suspect’s client is malingering,” Tr. 495, 496, and “Clinician suspects client is  
10 malingering,” Tr. 496. He additionally points to a September 2012 discharge note  
11 from Neuva Esperanza Counseling Center stating “Clinician suspects client is  
12 malingering.” Tr. 499.

13 With regard to “affirmative evidence of malingering,” The Ninth Circuit has  
14 generally limited its finding of malingering to cases involving a strong evidentiary  
15 support for doing so. In *Mohammad v. Colvin*, for example, the Court found  
16 evidence of malingering based on “three instances in which [the claimant’s]  
17 symptoms disappeared after arriving at the emergency room with her son, a  
18 psychological evaluation that refers to a rule-out malingering diagnosis made by  
19 another examining psychologist, and the provisional malingering diagnosis from  
20 [an examining psychologist].” 595 Fed.Appx. 696, 697-98 (9th Cir. 2014); *see*  
21 *also Berry v. Astrue*, 622 F.3d 1228, 1235 (9th Cir. 2010) (malingering established  
22 where claimant reported refraining from doing volunteer work “for fear of  
23 impacting his disability benefits,” and claimed disability dating from his last day of  
24 employment despite admitting he left his job because his employer went out of  
25 business and “probably would have worked longer had his employer continued to  
26 operate.”). In contrast, in *Cha Yang v. Commissioner of Social Security*  
27 *Administration*, a doctor’s notation “to R/O [rule out] malingering” was “not a  
28 clear, affirmative diagnosis that [the claimant] was actually malingering” because

1 that doctor “failed to follow up on his suspicions and none of [the claimant’s] other  
2 treating or examining doctors suggested that [the claimant] might be malingering.”  
3 488 Fed.Appx. 203, 205 (9th Cir. 2012).

4 Here, there are two major factors demonstrating that “affirmative evidence  
5 of malingering” is not present in this case. First, the ALJ made no finding that  
6 Plaintiff was malingering. He only mentioned the word malingering once in his  
7 step two determination as a reason to discount Plaintiff’s mental health  
8 impairments and not in the context of a credibility analysis. Second, reviewing the  
9 records from Neuva Esperanza Counseling Center, it is unclear who is suspecting  
10 Plaintiff of malingering. The identifiers “CC” or “Clinician” can be referring to  
11 the “Care Coordinator,” *see* Tr. 526, who is further unidentified, or it could be  
12 referring to Christy Chantharath, ARNP, Tr. 527. Therefore, the training and  
13 reliability of the individual who suspects the malingering is unknown. The Court  
14 cannot determine whether this is the conclusion of an acceptable medical source or  
15 not. As such, the Court finds there was no “affirmative evidence of malingering”  
16 in this case, and the ALJ was required to provide specific, clear and convincing  
17 reasons to reject Plaintiff’s symptom statements.

18 The ALJ’s only reason for rejecting Plaintiff’s symptoms statements, that  
19 they were not supported by objective medical evidence, is not specific, clear, and  
20 convincing reason to undermine Plaintiff’s credibility on its own. Although  
21 objective medical evidence is a “relevant factor in determining the severity of the  
22 claimant’s pain and its disabling effects,” it cannot serve as the sole ground for  
23 rejecting a claimant’s credibility. *Rollins v. Massanari*, 261 F.3d 853, 857 (9th  
24 Cir. 2001). Therefore, the ALJ failed to properly address Plaintiff’s symptom  
25 statements and will readdress them upon remand.

#### 26 **4. Step Five**

27 Plaintiff argues that the ALJ failed to meet his burden at step five because  
28 the determination was based on portions of the vocational expert’s testimony,

1 which was without evidentiary value because it was provided in response to an  
2 incomplete hypothetical. ECF No. 14 at 19-20. Since the case is being remanded  
3 for the ALJ to properly address the opinion evidence and Plaintiff's symptom  
4 statements, a new residual functional capacity determination will be necessary and  
5 will trigger the need for new determinations at steps four and five.

### 6 **REMEDY**

7 The decision whether to remand for further proceedings or reverse and  
8 award benefits is within the discretion of the district court. *McAllister v. Sullivan*,  
9 888 F.2d 599, 603 (9th Cir. 1989). An immediate award of benefits is appropriate  
10 where "no useful purpose would be served by further administrative proceedings,  
11 or where the record has been thoroughly developed," *Varney v. Secretary of Health*  
12 *& Human Servs.*, 859 F.2d 1396, 1399 (9th Cir. 1988), or when the delay caused  
13 by remand would be "unduly burdensome," *Terry v. Sullivan*, 903 F.2d 1273, 1280  
14 (9th Cir. 1990); *see also Garrison v. Colvin*, 759 F.3d 995, 1021 (9th Cir. 2014)  
15 (noting that a district court may abuse its discretion not to remand for benefits  
16 when all of these conditions are met). This policy is based on the "need to  
17 expedite disability claims." *Varney*, 859 F.2d at 1401. But where there are  
18 outstanding issues that must be resolved before a determination can be made, and it  
19 is not clear from the record that the ALJ would be required to find a claimant  
20 disabled if all the evidence were properly evaluated, remand is appropriate. *See*  
21 *Benecke v. Barnhart*, 379 F.3d 587, 595-96 (9th Cir. 2004); *Harman v. Apfel*, 211  
22 F.3d 1172, 1179-80 (9th Cir. 2000).

23 In this case, it is not clear from the record that the ALJ would be required to  
24 find Plaintiff disabled if all the evidence were properly evaluated. Further  
25 proceedings are necessary for the ALJ to further address the medical source  
26 opinions in the file and properly address Plaintiff's symptom statements. This  
27 necessitates new determinations at steps two through five. The ALJ will  
28 supplement the record with any outstanding evidence and call a psychological

1 expert, a medical expert, and a vocational expert to testify at a remand hearing.

2 **CONCLUSION**

3 Accordingly, **IT IS ORDERED:**

4 1. Defendant's Motion for Summary Judgment, **ECF No. 15**, is  
5 **DENIED.**

6 2. Plaintiff's Motion for Summary Judgment, **ECF No. 14**, is  
7 **GRANTED, in part**, and the matter is **REMANDED** to the Commissioner for  
8 additional proceedings consistent with this Order.

9 3. Application for attorney fees may be filed by separate motion.

10 The District Court Executive is directed to file this Order and provide a copy  
11 to counsel for Plaintiff and Defendant. **Judgment shall be entered for Plaintiff**  
12 **and the file shall be CLOSED.**

13 DATED September 25, 2018.



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A handwritten signature in black ink, appearing to read "M", is written over a horizontal line.

JOHN T. RODGERS  
UNITED STATES MAGISTRATE JUDGE