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FILED IN THE
U.S. DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

Mar 27, 2020

SEAN F. MCAVOY, CLERK

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

LAURIE C.,¹

Plaintiff,

v.

ANDREW M. SAUL, THE
COMMISSIONER OF SOCIAL
SECURITY,

Defendant.

No. 4:19-CV-5186-EFS

**ORDER GRANTING IN PART AND
DENYING IN PART BOTH
PARTIES' SUMMARY-JUDGMENT
MOTIONS AND DIRECTING AN
IMMEDIATE AWARD OF
BENEFITS FOR AN ADDITIONAL
CLOSED-DISABILITY PERIOD**

Before the Court are the parties' cross summary-judgment motions.² Plaintiff Laurie C. appeals the denial of benefits by the Administrative Law Judge (ALJ). She alleges the ALJ erred by 1) improperly weighing the medical opinions; 2) discounting Plaintiff's symptom reports; 3) improperly determining that the impairments did not continue to meet or equal a listed impairment after August 1,

¹ To protect the privacy of the social-security Plaintiff, the Court refers to her by first name and last initial or by "Plaintiff." See LCivR 5.2(c).

² ECF Nos. 12 & 13.

1 2016; and 4) improperly assessing Plaintiff's residual functional capacity and
2 therefore relying on an incomplete hypothetical at steps four and five. In contrast,
3 Defendant Commissioner of Social Security asks the Court to affirm the ALJ's
4 decision finding Plaintiff not disabled. After reviewing the record and relevant
5 authority, the Court grants in part and denies in part both summary judgment
6 motions, finding that the closed period of disability should extend to August 21,
7 2017, but thereafter the ALJ's RFC was supported by substantial evidence.

8 **I. Five-Step Disability Determination**

9 A five-step sequential evaluation process is used to determine whether an
10 adult claimant is disabled.³ Step one assesses whether the claimant is currently
11 engaged in substantial gainful activity.⁴ If the claimant is engaged in substantial
12 gainful activity, benefits are denied.⁵ If not, the disability-evaluation proceeds to
13 step two.⁶

14 Step two assesses whether the claimant has a medically severe impairment,
15 or combination of impairments, which significantly limits the claimant's physical
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19 ³ 20 C.F.R. § 404.1520(a).

20 ⁴ *Id.* § 404.1520(a)(4)(i).

21 ⁵ *Id.* § 404.1520(b).

22 ⁶ *Id.*

1 or mental ability to do basic work activities.⁷ If the claimant does not, benefits are
2 denied.⁸ If the claimant does, the disability-evaluation proceeds to step three.⁹

3 Step three compares the claimant's impairments to several recognized by the
4 Commissioner to be so severe as to preclude substantial gainful activity.¹⁰ If an
5 impairment meets or equals one of the listed impairments, the claimant is
6 conclusively presumed to be disabled.¹¹ If an impairment does not, the disability-
7 evaluation proceeds to step four.

8 Step four assesses whether an impairment prevents the claimant from
9 performing work she performed in the past by determining the claimant's residual
10 functional capacity (RFC).¹² If the claimant is able to perform prior work, benefits
11 are denied.¹³ If the claimant cannot perform prior work, the disability-evaluation
12 proceeds to step five.

13 Step five, the final step, assesses whether the claimant can perform other
14 substantial gainful work—work that exists in significant numbers in the national
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16 ⁷ 20 C.F.R. § 404.1520(a)(4)(ii).

17 ⁸ *Id.* § 404.1520(c).

18 ⁹ *Id.*

19 ¹⁰ *Id.* § 404.1520(a)(4)(iii).

20 ¹¹ *Id.* § 404.1520(d).

21 ¹² *Id.* § 404.1520(a)(4)(iv).

22 ¹³ *Id.*

1 economy—considering the claimant’s RFC, age, education, and work experience.¹⁴
2 If so, benefits are denied. If not, benefits are granted.¹⁵

3 The claimant has the initial burden of establishing entitlement to disability
4 benefits under steps one through four.¹⁶ At step five, the burden shifts to the
5 Commissioner to show that the claimant is not entitled to benefits.¹⁷

6 II. Factual and Procedural Summary

7 Plaintiff filed a Title II application, alleging a disability onset date of July
8 21, 2015.¹⁸ Her claim was denied initially and upon reconsideration.¹⁹ A telephonic
9 administrative hearing was held before Administrative Law Judge Stewart
10 Stallings.²⁰

11 The ALJ issued a partially favorable decision, finding that Plaintiff met
12 Listing 12.04 for the time period from July 21, 2015, through August 1, 2016, but
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15 ¹⁴ 20 C.F.R. § 404.1520(a)(4)(v); *Kail v. Heckler*, 722 F.2d 1496, 1497-98 (9th Cir.
16 1984).

17 ¹⁵ 20 C.F.R. § 404.1520(g).

18 ¹⁶ *Parra v. Astrue*, 481 F.3d 742, 746 (9th Cir. 2007).

19 ¹⁷ *Id.*

20 ¹⁸ AR 185-91.

21 ¹⁹ AR 119-21 & 123-25.

22 ²⁰ AR 46-90.

1 thereafter denied Plaintiff's disability claim.²¹ Specifically, the ALJ made the
2 following findings:

- 3 • Plaintiff met the insured status requirements through December 31,
4 2021;
- 5 • Step one: Plaintiff had not engaged in substantial gainful activity
6 since July 21, 2015, the date Plaintiff became disabled;
- 7 • Step two: Plaintiff had the following medically determinable severe
8 impairments: bipolar disorder, anxiety, and personality disorder;
- 9 • Step three: From July 21, 2015, through August 1, 2016, Plaintiff's
10 impairments met the criteria of listing 12.04 (and was disabled) but
11 thereafter, Plaintiff did not have an impairment or combination of
12 impairments that met or medically equaled the severity of one of the
13 listed impairments;
- 14 • RFC: After August 1, 2016, Plaintiff had the RFC to perform light
15 work:

16 as [Plaintiff] is able to lift and carry twenty pounds
17 occasionally and ten pounds frequently and sit for up to
18 eight hours in an eight-hour workday with normal breaks.
19 However, [Plaintiff] is able to stand or walk for about four
20 hours in an eight-hour workday with normal breaks.
21 [Plaintiff] requires a sit/stand option meaning [Plaintiff]
must be allowed to change from a standing position to
sitting position or vice versa every thirty minutes for up to
five minutes while remaining at the workstation. [Plaintiff]
can never climb ladders, ropes, and scaffolds. [Plaintiff]

22 ²¹ AR 17-40.

1 must avoid all use of moving or dangerous machinery and
2 exposure to unprotected heights. [Plaintiff] is limited to
3 work in a low stress environment meaning an environment
4 with no production rate pace, no customer service dealing
with angry or unhappy customers, and no sales quotas as
well as no work requiring a work-related circumstance that
could be dangerous to [Plaintiff] or others such as security.

- 5 • Step four: considering Plaintiff's RFC after August 1, 2016, age,
6 education, and work history, Plaintiff could perform past relevant
7 work as a personnel clerk and accounting clerk; and
- 8 • Step five: considering Plaintiff's RFC after August 1, 2016, age,
9 education, and work history, Plaintiff could perform work that existed
10 in significant numbers in the national economy, such as payroll
11 clerk.²²

12 When assessing the medical-opinion evidence, the ALJ gave:

- 13 • great weight to the testifying psychological opinion of Margaret
14 Moore, Ph.D.; and
- 15 • little weight to the opinions of the State agency psychological
16 consultants Michael Regets, Ph.D. and Dan Donahue, Ph.D., and the
17 opinion from Plaintiff's treating psychiatric nurse practitioner, Daniel
18 Pitts, ARNP.

19 The ALJ also found that Plaintiff's medically determinable impairments
20 could reasonably be expected to cause some of the alleged symptoms, but that her
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22 ²² AR 25-35.

1 statements concerning the intensity, persistence, and limiting effects of those
2 symptoms were not entirely consistent with the medical evidence and other
3 evidence in the record.²³

4 Plaintiff requested review of the ALJ's decision by the Appeals Council,
5 which denied review.²⁴ Plaintiff timely appealed to this Court.

6 III. Standard of Review

7 A district court's review of the Commissioner's final decision is limited.²⁵ The
8 Commissioner's decision is set aside "only if it is not supported by substantial
9 evidence or is based on legal error."²⁶ Substantial evidence is "more than a mere
10 scintilla but less than a preponderance; it is such relevant evidence as a reasonable
11 mind might accept as adequate to support a conclusion."²⁷ Moreover, because it is
12 the role of the ALJ and not the Court to weigh conflicting evidence, the Court
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18 ²³ AR 32.

19 ²⁴ AR 1-6.

20 ²⁵ 42 U.S.C. § 405(g).

21 ²⁶ *Hill v. Astrue*, 698 F.3d 1153, 1158 (9th Cir. 2012).

22 ²⁷ *Id.* at 1159 (quoting *Sandgathe v. Chater*, 108 F.3d 978, 980 (9th Cir. 1997)).
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1 upholds the ALJ’s findings “if they are supported by inferences reasonably drawn
2 from the record.”²⁸ The Court considers the entire record as a whole.²⁹

3 Further, the Court may not reverse an ALJ decision due to a harmless
4 error.³⁰ An error is harmless “where it is inconsequential to the [ALJ’s] ultimate
5 nondisability determination.”³¹ The party appealing the ALJ’s decision generally
6 bears the burden of establishing harm.³²

7 IV. Analysis

8 A. Medical Opinions

9 Plaintiff challenges the ALJ’s assignment of limited weight to Daniel Pitts,
10 ARNP’s treating psychiatric opinion.

13 ²⁸ *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012).

14 ²⁹ *Lingenfelter v. Astrue*, 504 F.3d 1028, 1035 (9th Cir. 2007) (The court “must
15 consider the entire record as whole, weighing both the evidence that supports and
16 the evidence that detracts from the Commissioner’s conclusion,” not simply the
17 evidence cited by the ALJ or the parties.); *Black v. Apfel*, 143 F.3d 383, 386 (8th
18 Cir. 1998) (“An ALJ’s failure to cite specific evidence does not indicate that such
19 evidence was not considered[.]”).

20 ³⁰ *Molina*, 674 F.3d at 1111.

21 ³¹ *Id.* at 1115 (quotation and citation omitted).

22 ³² *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

1 The weighing of medical-source opinions is dependent upon the nature of the
2 medical relationship, i.e., 1) a treating physician; 2) an examining physician who
3 examines but did not treat the claimant; and 3) a reviewing physician who neither
4 treated nor examined the claimant.³³ Generally, more weight is given to the
5 opinion of a treating physician than to an examining physician’s opinion and both
6 treating and examining opinions are to be given more weight than the opinion of a
7 reviewing physician.³⁴ The opinion of an “other” medical source³⁵ may be rejected
8 for specific and germane reasons supported by substantial evidence.³⁶ The opinion
9 of a reviewing physician serves as substantial evidence if it is supported by other
10 independent evidence in the record.³⁷

13 ³³ *Garrison v. Colvin*, 759 F.3d 995, 1012 (9th Cir. 2014).

14 ³⁴ *Id.*; *Lester v. Chater*, 81 F.3d 821, 830-31 (9th Cir. 1995).

15 ³⁵ *See* 20 C.F.R. § 404.1502 (For claims filed before March 27, 2017, acceptable
16 medical sources are licensed physicians, licensed or certified psychologists, licensed
17 optometrists, licensed podiatrists, qualified speech-language pathologists, licensed
18 audiologists, licensed advanced practice registered nurses, and licensed physician
19 assistants within their scope of practice—all other medical providers are “other”
20 medical sources.).

21 ³⁶ *Molina*, 674 F.3d at 1111; *Bruce v. Astrue*, 557 F.3d 1113, 1115 (9th Cir. 2009).

22 ³⁷ *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995).

1 The parties agree that Mr. Pitts, a psychiatric nurse practitioner, was an
2 “other source.” Mr. Pitts treated Plaintiff since August 2015 and diagnosed
3 Plaintiff with bipolar 1 disorder.³⁸ In November 2018, Mr. Pitts issued a mental
4 residual functional capacity assessment, opinion that Plaintiff was:

- 5 • Mildly limited in her abilities to work in coordination with or
6 proximity to others without being distracted by them, maintain
7 socially appropriate behavior, and adhere to basic standards of
8 neatness and cleanliness;
- 9 • Moderately limited in her abilities to carry out very short simple
10 instruction; sustain an ordinary routine without special supervision;
11 interact appropriately with the general public; ask simple questions or
12 request assistance; accept instructions and respond appropriately to
13 criticism from supervisors; get along with co-workers or peers without
14 distracting them or exhibiting behavioral extremes; and respond
15 appropriately to changes in the work setting;
- 16 • Markedly limited in her abilities to remember locations and work-like
17 procedures; understand and remember very short and simple
18 instructions; carry out detailed instructions; maintain attention and
19 concentration for extended periods; perform activities within a
20 schedule, maintain regular attendance and be punctual within

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22 ³⁸ See, e.g., AR 355-56, 664-65, 628-29, & 613-14.

1 customary tolerances; make simple work-related decisions; be aware
2 of normal hazards and take appropriate precautions; and set realistic
3 goals or make plans independently of others; and

- 4 • Severely limited in her abilities to understand and remember detailed
5 instructions; complete a normal workday and workweek without
6 interruptions from psychologically based symptoms and perform at a
7 consistent pace without an unreasonable number and length of rest
8 periods; and travel in unfamiliar places or use public transportation.³⁹

9 As to the “B” criteria of mental listings, Mr. Pitts opined that Plaintiff was
10 moderately limited in her abilities to understand, remember, or apply information;
11 interact with others; and adapt or manage herself; and extremely limited in her
12 ability to concentrate, persist, or maintain pace.

13 The ALJ gave little weight to Mr. Pitts’ treating opinion because it was
14 inconsistent with the medical evidence of record, including Mr. Pitts’ treatment
15 notes indicating Plaintiff had stabilized.⁴⁰ While inconsistency with the medical
16 record, including treating notes, is a germane reason to discount an “other source”
17 medical opinion,⁴¹ the ALJ simply cited to one administrative record “Exhibit 12F”
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19 ³⁹ AR 753-56.

20 ⁴⁰ AR 32.

21 ⁴¹ See *Lingenfelter*, 504 F.3d at 1042 (recognizing that a medical opinion is
22 evaluated as to the amount of relevant evidence that supports the opinion, the
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1 to support his finding that “Mr. Pitts’ own treatment notes indicat[ed Plaintiff] has
2 stabilized.” The ALJ did not identify which of Mr. Pitts’ treatment notes indicated
3 that Plaintiff had stabilized or what observations or medical findings in Exhibit
4 12F indicated that Plaintiff had stabilized. Nonetheless, the Court is tasked with
5 reviewing Exhibit 12F, along with the entire record, to determine if the ALJ’s
6 finding that Plaintiff had stabilized—and therefore had medically improved—is
7 supported by substantial evidence. If so, Plaintiff’s stabilization would be
8 inconsistent with Mr. Pitts’ extreme 2018 opinion and would be a germane reason
9 to discount Mr. Pitts’ opinion.

10 Exhibit 12F is comprised of Plaintiff’s progress notes from Lourdes
11 Counseling Center from January 20, 2016, to December 14, 2017, and includes
12 progress notes from Mr. Pitts, many treating social workers and counselors, and
13 the case manager.⁴² The records reflect that, as Plaintiff’s medications were
14 adjusted and she received counseling, her bipolar symptoms continued to wax and
15 wane following her release from her most recent inpatient treatment for bipolar
16 disorder in the fall of 2015. Reviewing medical expert Margaret Moore, Ph.D.—to
17 whose opinion the ALJ gave great weight—opined that Plaintiff’s symptoms were
18 minimal and reflected improvement. In this regard, Dr. Moore testified:

19 _____
20 quality of the explanation provided in the opinion, and the consistency of the
21 medical opinion with the record as a whole).

22 ⁴² AR 605-752.

1 [O]nce we get into the middle part of 2016, and moving forward, I
2 think the record really speaks fairly clearly to stability. When she sees
3 the nurse practitioner [Mr. Pitts], and I'm going to point those out
4 particularly because I suspect that the medical source document that
5 you received late is going to probably come into question, but, the
6 nurse practitioner notes cover about March of 2016 to the present, and
7 most of those notes, and I'm talking about Exhibit 12-F now, most of
8 those notes are really indicating stability.

9 Her medication adjustments are relatively minor, and her primary
10 complaint has to do with motivation.

11 So the other aspects of her treatment at Lourdes have to do with what
12 I would characterize as monitoring, support, and making sure that
13 she was taking her medicines, and not needing to go back to the
14 hospital.

15 And much of the current symptoms of note have to do with difficulty
16 with her husband's health, some family issues related to her
17 daughter, and kind of what I would call life stuff.⁴³

18 Dr. Moore and the ALJ then discussed that a period of disability had to last at least
19 one year. Dr. Moore also testified that the treatment notes reflected that by July
20 2016 Plaintiff's progress was quite positive.⁴⁴ Yet, Dr. Moore—like the ALJ—did
21 not identify what observations or medical findings reflected stabilization rather
22 than waxing and waning of Plaintiff's bipolar symptoms. For instance, the
23 treatment notes reflect observed crying, being distraught, rapid speech, tremors,

21 ⁴³ AR 60.

22 ⁴⁴ AR 65-66.

1 disheveled appearance, impoverished thought, inability to remember how to
2 crochet, and reported sleep difficulties.⁴⁵

3 These records—which formed the basis of Dr. Moore’s opinion—do not serve
4 as substantial evidence to support the ALJ’s finding that Plaintiff had stabilized by
5 August 1, 2016. Nonetheless, the Court finds that the records, namely Mr. Pitts’
6 treatment records (the records on which the ALJ stated he relied on) show that
7 Plaintiff did stabilize and achieve medical improvement by August 21, 2017. For
8 instance, by June 2017, Plaintiff was doing activities she had previously enjoyed
9 such as reading, crocheting, and coloring.⁴⁶ Also, in June 2017, she began attending
10 a group therapy session.⁴⁷ In July 2017, she reported that she was able to perform
11 household tasks for five minutes, noting it was difficult but doable.⁴⁸ Then finally,
12 on August 21, 2017, Mr. Pitts’ treatment goals for Plaintiff shifted from “*attain and*
13 *maintain* stability of moods [as well as remission of depressive symptoms]” to
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18 ⁴⁵ AR 539, 541, 545, 658, 682, 619, 750, 719, 598, 724, 706, 622, 640, 744, 746, 607,
19 & 615.

20 ⁴⁶ AR 691.

21 ⁴⁷ AR 693.

22 ⁴⁸ AR 656-57.
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1 simply “*maintain* stability of moods as well as remission of depressive
2 symptoms.”⁴⁹

3 While Plaintiff was observed to have limited attention to hygiene in the
4 months that followed August 2017 and Mr. Pitts also noted a concern about mania
5 in November 2017,⁵⁰ the Court finds there is substantial evidence in the record to
6 support the ALJ’s finding that Plaintiff’s period of closed-disability ended, not on
7 August 1, 2016, as the ALJ found, but on August 21, 2017. Mr. Pitts’ treatment
8 notes—the notes on which the ALJ indicated that he relied on for his finding that
9 Plaintiff had stabilized—provides substantial evidence to support the ALJ’s finding
10 that Plaintiff had medically improved and therefore no longer satisfied Listing
11 12.04 as of August 21, 2017.⁵¹ With this modified closed-disability end date, the
12

13 ⁴⁹ Compare AR 355 (Aug. 21, 2015); AR 542 (Dec. 31, 2015); AR 560 & 696 (Feb. 18,
14 2016); AR 639 (March 31, 2016); AR 641 (May 16, 2016); AR 608 (Sept. 5, 2016); AR
15 606 (Sept. 30, 2016); AR 663 (Oct. 18, 2016); AR 735 (Nov. 1, 2016), AR 610 (Nov.
16 29, 2016); AR 655 (May 18, 2017); & AR 726 (June 30, 2017); *with* AR 629 (Aug. 21,
17 2017) & AR 614 (Nov. 27, 2017) (emphasis added).

18 ⁵⁰ AR 613, 650, 680, 707, & 717.

19 ⁵¹ By extending the closed-period of disability from August 1, 2016, to August 21,
20 2017, the Court is not substituting its judgment for the ALJ’s judgment but instead
21 is relying on the ALJ’s own reason for discounting Mr. Pitts’ opinion—that Plaintiff’s
22 stabilization is inconsistent with Mr. Pitts’ extreme opinion in 2018.
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1 ALJ's decision to discount Mr. Pitts' opinion is supported by germane reasons and
2 substantial evidence.⁵²

3 **B. Plaintiff's Symptom Reports**

4 Plaintiff argues the ALJ failed to provide valid reasons for rejecting her
5 symptom reports. When examining a claimant's symptom reports, the ALJ must
6 make a two-step inquiry. "First, the ALJ must determine whether there is objective
7 medical evidence of an underlying impairment which could reasonably be expected
8 to produce the pain or other symptoms alleged."⁵³ Second, "[i]f the claimant meets
9 the first test and there is no evidence of malingering, the ALJ can only reject the
10 claimant's testimony about the severity of the symptoms if [the ALJ] gives 'specific,
11 clear and convincing reasons' for the rejection."⁵⁴ Here, the ALJ found Plaintiff's
12 statements concerning the intensity, persistence, and limiting effects of her
13 symptoms inconsistent with her improvement with treatment, the objective
14 medical evidence, and Dr. Moore's medical opinion.⁵⁵

15 Consistent with the discussion above, the Court finds the ALJ's decision to
16 discount Plaintiff's statements is supported by substantial evidence after August
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18 ⁵² See *Attmore v. Colvin*, 827 F.3d 872, 878 (9th Cir. 2016).

19 ⁵³ *Molina*, 674 F.3d at 1112.

20 ⁵⁴ *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (quoting *Lingenfelter*, 504
21 F.3d at 1036).

22 ⁵⁵ AR 31-32.
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1 21, 2017. First, the treatment notes, particularly Mr. Pitts' treatment notes reflect
2 that Plaintiff's mental health stabilized by August 21, 2017, due to medication
3 management and counseling. This was a clear and convincing reason to discount
4 Plaintiff's reported disabling symptoms after that date.⁵⁶

5 Second, although Plaintiff's symptom reports cannot be solely discounted on
6 the grounds that they were not fully corroborated by the objective medical
7 evidence,⁵⁷ medical evidence is a relevant factor in considering the severity of the
8 reported symptoms.⁵⁸ As discussed above, after August 21, 2017, the ALJ
9 reasonably found that Plaintiff's reported disabling symptoms were inconsistent
10 with the medical evidence. The treatment records after that date reflect, in part,
11 the following: fair grooming and appropriate interaction with case manager;
12 participation in group discussion, attentive, and engaged; well groomed and
13 appropriately dressed with normal eye contact and average speech, congruent
14 mood and affect, and linear and goal-directed thought processes; anxious affect
15 with congruent mood and dressed appropriate to weather; blunted affect but better
16 sleep and progress with being on a schedule; fair grooming and attentive
17 throughout group session; friendly and appropriate with group facilitators and
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19 ⁵⁶ See *Morgan v. Comm'r of Social Sec. Admin.*, 169 F.3d 595, 599–600 (9th Cir.
20 1999) (considering evidence of improvement).

21 ⁵⁷ See *Rollins v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001).

22 ⁵⁸ *Id.*

1 peers and attentive throughout.⁵⁹ As Plaintiff highlights there are also records that
2 reflect limited attention to hygiene and a concern about mania.⁶⁰ However, it is the
3 ALJ's role to weigh the conflicting evidence. After August 21, 2017, the ALJ's
4 finding that Plaintiff's reported disabling symptoms were inconsistent with the
5 objective medical evidence is reasonable and supported by substantial evidence.

6 The ALJ also relied on Dr. Moore's review of the treatment records and
7 opinion that Plaintiff's continued reported disabling symptoms were inconsistent
8 with the record after the closed period of disability. For the above-given reasons,
9 the ALJ's reliance on Dr. Moore's opinion is supported by substantial evidence
10 after August 21, 2017. This was a clear and convincing reason to discount
11 Plaintiff's testimony about the severity of her symptoms after that date.⁶¹

12 **C. Step-Three Listings**

13 Plaintiff contends the ALJ erred by finding that Plaintiff's impairments did
14 not meet Listings 12.04, 12.06, and 12.08, singly or in combination, following the
15 closed period of disability, which the ALJ found ended on August 1, 2016.

18 ⁵⁹ AR 742, 690, 694-95, 650-51, 707-08, 677, & 734.

19 ⁶⁰ AR 650, 707, 613, 680, & 717.

20 ⁶¹ See *Martinez v. Astrue*, 261 Fed. App'x 33, 35 (9th Cir. 2007) (relying on
21 inconsistency with supported medical opinions as a basis to discount a claimant's
22 symptom reports).

1 Listing 12.04 disorders, which include depressive, bipolar, and related
2 disorders, are “characterized by an irritable, depressed, elevated, or expansive
3 mood, or by a loss of interest or pleasure in all or almost all activities, causing a
4 clinically significant decline in functioning.”⁶² Symptoms and signs can include
5 “feelings of hopelessness or guilt, suicidal ideation, a clinically significant change in
6 body weight or appetite, sleep disturbances, an increase or decrease in energy,
7 psychomotor abnormalities, disturbed concentration, pressured speech,
8 grandiosity, reduced impulse control, sadness, euphoria and social withdrawal.”⁶³
9 The impairment must also meet paragraph B and C criteria. Paragraph B criteria
10 is met if the impairment results in at least two of the following: marked restriction
11 of activities of daily living; marked difficulties in maintaining social functioning;
12 marked difficulties in concentration, persistence, or pace; or repeated episodes of
13 decompensation, each of extended duration.⁶⁴ And paragraph C criteria are met if
14 the mental disorder is serious and persistent, i.e., there is a medically documented
15 history of the existence of the disorder over a period of at least two years and the
16 claimant relies on ongoing medical treatment to diminish the symptoms and signs
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20 ⁶² 20 C.F.R. Pt. 404, Subpt. P, App 1.

21 ⁶³ *Id.*, Listing 12.04.

22 ⁶⁴ 20 C.F.R. § 404, Subpart P, App. 1.
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1 of the mental disorder, and despite the ongoing treatment the claimant has only
2 achieved marginal adjustment.⁶⁵

3 Listing 12.06 includes anxiety and obsessive-compulsive disorders and
4 criteria A, B, and C must be met. Listing 12.08 includes personality and impulse-
5 control disorders, and criteria A and B must be met.

6 The ALJ found that Plaintiff met Listing 12.04 from July 21, 2015, through
7 August 1, 2016.⁶⁶ The ALJ determined Plaintiff stabilized given medication
8 adjustments and therapy. For the reasons discussed above, the ALJ's finding that
9 Plaintiff stopped satisfying Listing 12.04 on August 1, 2016, is not supported by
10 substantial evidence. Instead, substantial evidence supports the ALJ's finding that
11 Plaintiff stopped meeting Listing 12.04 on August 21, 2017, when Mr. Pitts'
12 treatment notes reflect that Plaintiff stabilized given the change in treatment
13 goals, along with the noted improvement in the other treatment records.

14 As discussed above, Plaintiff fails to establish the ALJ erred in his
15 interpretation of the evidence, including Plaintiff's symptom reports and the
16 medical opinions, after August 21, 2017. Accordingly, although the record includes
17 evidence indicating Plaintiff continued to be affected by her bipolar and other
18 mental health impairments after that date, the ALJ reasonably found that Plaintiff
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21 ⁶⁵ 20 C.F.R. 404, Subpart P, App. I, Listing 12.00.G.

22 ⁶⁶ AR 26.

1 medically improved and therefore no longer satisfied Listing 12.04 (or satisfied
2 12.06 or 12.08) after August 21, 2017.

3 **D. Steps Four and Five**

4 Plaintiff argues the ALJ failed to properly incorporate all her limitations
5 into the RFC and therefore relied on incomplete hypotheticals when assessing
6 whether Plaintiff could perform work at steps four and five. Specifically, Plaintiff
7 argues the hypothetical failed to account for Plaintiff's limitations of being off-task
8 and unproductive 20 percent of the time and unscheduled absences occurring one
9 or two days per month, as opined by Mr. Pitts and reported by Plaintiff. This
10 argument merely restates Plaintiff's earlier allegations of error, which are not
11 supported by the record for the RFC period after August 21, 2017. Accordingly, the
12 ALJ's hypothetical properly accounted for the limitations supported by the record.⁶⁷

13 **E. Remand**

14 Remand for an award of benefits for the extended closed period of disability
15 from August 2, 2016, to August 21, 2017, is required. The record has been fully
16 developed in this regard—the ALJ found that Plaintiff was disabled until she was
17 stabilized and there is substantial evidence in the record that Plaintiff attained
18 stability by August 21, 2017. No useful purpose would be served by further
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20 ⁶⁷ See *Magallanes*, 881 F.2d 747, 756–57 (9th Cir. 1989) (holding it is proper for the
21 ALJ to limit a hypothetical to those restrictions supported by substantial evidence
22 in the record).

1 administrative proceedings.⁶⁸ Therefore, the Court directs that on remand an
2 immediate award of benefits be made for the period from August 2, 2016, to August
3 21, 2017, during which Plaintiff met or equaled Listing 12.04.

4 **V. Conclusion**

5 Accordingly, **IT IS HEREBY ORDERED:**

- 6 1. Plaintiff's Motion for Summary Judgment, **ECF No. 12**, is
7 **GRANTED IN PART AND DENIED IN PART.**
- 8 2. The Commissioner's Motion for Summary Judgment, **ECF No. 13**, is
9 **GRANTED IN PART AND DENIED IN PART.**
- 10 3. The Clerk's Office shall enter **JUDGMENT** in favor of Plaintiff
11 **REVERSING** and **REMANDING** the matter to the Commissioner of
12 Social Security for immediate calculation and award of benefits from
13 August 2, 2016, through August 21, 2017.
- 14 4. The case shall be **CLOSED.**

15 **IT IS SO ORDERED.** The Clerk's Office is directed to file this Order,
16 provide copies to all counsel, and close the file.

17 **DATED** this 27th day of March 2020.

18
19 s/Edward F. Shea
EDWARD F. SHEA
Senior United States District Judge
20

21 ⁶⁸ *Garrison*, 759 F.3d at 1021; *Harman v. Apfel*, 211 F.3d 1172, 1175-79 (9th Cir.
22 2000).