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UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

KATHERINE HONOR RICH,

Plaintiff,

v.

UNITED STATES CITIZENSHIP
AND IMMIGRATION SERVICES,

Defendant.

CASE NO. C20-0813JLR

ORDER DENYING MOTION
FOR ATTORNEY FEES

I. INTRODUCTION

Before the court is Plaintiff Katherine Honor Rich’s motion for attorney fees. (Mot. (Dkt. # 13).) Defendant United States Citizenship and Immigration Services (“USCIS”) opposes the motion. (Resp. (Dkt. # 17.) Neither party requests oral argument. (See Mot.at 1; Resp. at 1.) Having considered the parties’ submissions and the applicable law, the court DENIES Ms. Rich’s motion.

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II. BACKGROUND

Ms. Rich is an attorney who represents low-income clients in immigration matters and represented G.M.O.A.¹ in her naturalization application. (Compl. (Dkt. # 1) ¶¶ 9-10; Rich Decl. (Dkt. # 15) ¶¶ 1-2.) USCIS issued a Notice of Intent to Deny G.M.O.A.’s application based on the allegation that G.M.O.A. “had a history of unlawful presence in the U.S. that would have made her permanently ineligible for residency status and that this ineligibility was not disclosed to or waived by USCIS.” (Rich Decl. ¶¶ 3-4, Ex. 1.) To counter that allegation, Ms. Rich filed a Freedom of Information Act (“FOIA”) request on March 2, 2020, for information from G.M.O.A.’s prior interviews with USCIS. (*Id.* ¶¶ 6-8.)

USCIS receives numerous FOIA requests, many from individuals who, like G.M.O.A., require information from their files to advance their case. (Eggleston Decl. (Dkt. # 18) ¶ 5.) USCIS processes FOIA requests on a first-in/first-out (“FIFO”) basis. (*Id.*) USCIS received Ms. Rich’s FOIA request on or around March 2, 2020, and acknowledged receipt on March 5, 2020, in a form letter that explained the FIFO system and warned that “[d]ue to the increasing number of FOIA requests received by this office, [there may be] some delay in processing your request.” (*Id.* ¶ 6, Ex. 2 at 1.)

At that time of Ms. Rich’s request, USCIS had around 5,822 other similar FOIA requests that had been received prior to Ms. Rich’s submission. (*Id.* ¶ 7.) A few weeks later, the COVID-19 pandemic forced USCIS FOIA operations to transition to full-time

¹ Ms. Rich had assured her client that her name would be redacted in this matter. (Rich Decl. ¶ 2.) The court honors this assurance by referring to the client through her initials only.

1 telework, which USCIS represents as “a significant and unexpected change” that
2 presented “a number of technical and logistical challenges that impacted [its] operations
3 and productivity.” (*Id.*)

4 Ms. Rich did not obtain a response to her FOIA request within the 20 business-day
5 period designated in 5 U.S.C. § 522(a)(6)(A)(i). (*See* Rich Decl. ¶ 8.) Thus, she filed the
6 instant action on May 29, 2020. (*Id.* ¶ 9; *see* Compl.) Ms. Rich twice allowed extensions
7 for USCIS to answer the complaint in hopes of reaching resolution. (Rich Decl. ¶ 10;
8 Stip. Mot. for Ext. (Dkt. # 5); 2d Stip. Mot. for Ext. (Dkt. # 7).) Eventually, Ms. Rich
9 received responsive records on August 3, 2020. (McLawsen Decl. (Dkt. # 14) ¶ 2; Rich
10 Decl. ¶ 11; *see* Eggleston Decl. ¶ 8.) USCIS contends that it “did not move [Ms. Rich’s
11 request] up or expedite it in the FIFO queue because of the filing of the lawsuit, nor did
12 [it] deviate from [its] normal FIFO practice.” (Eggleston Decl. ¶ 8.) Having resolved the
13 records issue, the parties now dispute the issue of fees. (*See* Mot.; Resp.)

14 III. ANALYSIS

15 “To obtain an award of attorney fees under the FOIA, a plaintiff must demonstrate
16 both eligibility and entitlement to the award.” *Or. Nat’l Desert Ass’n v. Locke*, 572 F.3d
17 610, 614 (9th Cir. 2009); *see Long v. U.S. Internal Revenue Serv.*, 932 F.2d 1309, 1313
18 (9th Cir. 1991). The parties here disagree on both eligibility and entitlement. (*See* Mot.
19 at 6-13; Resp. at 5-11.) Because the court finds that Ms. Rich has not demonstrated
20 eligibility for attorney fees, the analysis ends there.²

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22 ² At the outset, the parties disagree on whether information regarding settlement is properly before the court. (*See* Resp. at 4-5.) The Ninth Circuit allows consideration of

1 Eligibility requires a plaintiff to show that he or she “has substantially prevailed”
2 in its FOIA suit by obtaining relief through either: (1) a judicial order, or an enforceable
3 written agreement or consent decree; or (2) a voluntary or unilateral change in position by
4 the agency, if the plaintiff’s claim is not insubstantial. 5 U.S.C. §§ 552(a)(4)(E)(i)-(ii);
5 *First Amendment Coal. v. U.S. Dep’t of Justice*, 878 F.3d 1119, 1126 (9th Cir. 2017).
6 There was no judicial order, enforceable written agreement or consent decree here. (*See*
7 *Mot.; Resp.*) Thus, only the second avenue of eligibility remains.

8 Parties pursuing this second avenue must still demonstrate “a causal nexus
9 between the litigation and the voluntary disclosure or change in position by the
10 Government.” *First Amendment Coal.*, 878 F.3d at 1128. To do so, the plaintiff must
11 “present ‘convincing evidence’ that the filing of the action ‘had a substantial causative
12 effect on the delivery of the information.’” *Id.* (quoting *Church of Scientology of Cal. v.*
13 *U.S. Postal Serv.*, 700 F.2d 486, 491 (9th Cir. 1983)). The Ninth Circuit lays out three
14 factors to consider when determining whether the suit had a substantial causative effect
15 on the voluntary change in position: (1) when the documents were released; (2) what
16 actually triggered the documents’ release; and (3) whether the plaintiff was entitled to the
17 documents at an earlier time. *Id.* at 1129 (citing *Church of Scientology*, 700 F.2d at 492).

18 In *First Amendment Coalition*, the Ninth Circuit applied these three factors to
19 conclude that the plaintiff had substantially prevailed. *See id.* at 1129-30. First, the
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22 settlement negotiations for determining the reasonableness of attorney fees. *Ingram v.*
Oroudjian, 647 F.3d 925, 927 (9th Cir. 2011). But here, even taking into consideration the
settlement evidence, the court finds no demonstration of Ms. Rich’s eligibility.

1 government agency had displayed “abject resistance” throughout the entire litigation and
2 did not produce the requested documents until two and a half years after the lawsuit was
3 initiated. *Id.* Second, what actually triggered the documents’ release was the plaintiff’s
4 “dogged determination,” which led to a district court action that resulted in the voluntary
5 disclosure of information. *Id.* at 1129-30. And third, the Ninth Circuit pointed to a
6 district court error in dismissing the suit, causing the plaintiff “to endure unnecessarily
7 protracted litigation.” *Id.* at 1130.

8 Other courts have similarly required some showing that the lawsuit prompted the
9 voluntary production of documents. For example, in *Gahagan v. U.S. Citizenship and*
10 *Immigration Servs.*, No. 14-2233, 2016 WL 3090216 (E.D. La. June 2, 2016), the court
11 found that the plaintiff had substantially prevailed when USCIS did not voluntarily turn
12 over the documents at issue until three summary judgment motions had been filed over
13 nearly a year. *Id.* at *1, *9. In *Gahagan v. U.S. Citizenship and Immigration Servs.*, No.
14 15-796, 2016 WL 3127209 (E.D. La. June 3, 2016) (“*Gahagan II*”), USCIS
15 acknowledged that it conducted a supplemental search “in an effort to bring resolution to
16 this matter,” which also spanned nearly a year. *Id.* at *1-2. This supplemental search
17 found a responsive document, which was then voluntarily released. *Id.* at *2. The court
18 observed that to be convincing evidence of the required substantial causative effect. *Id.*

19 Unlike the above cases, Ms. Rich has not produced the convincing evidence
20 necessary to show she has substantially prevailed. First, unlike the protracted matters
21 above that spanned years and several motions, Ms. Rich’s case was resolved without
22 court intervention in only two months. *See, e.g., First Amendment Coal.*, 878 F.3d at

1 1129; (*see* Compl.; Rich Decl. ¶ 11.) Second, USCIS submits evidence that what
2 actually triggered the release was the simple fact that Ms. Rich’s request had reached the
3 top of the FIFO queue in mid to late June. (Eggleston Decl. ¶ 8.) Unlike *Gahagan II*,
4 where the agency admitted to taking action in order to reach resolution of the suit, USCIS
5 emphasizes the exact opposite: it did nothing differently in its processing of Ms. Rich’s
6 request despite the initiation of this suit and the negotiations between attorneys on the
7 matter.³ *See* 2016 WL 3127209, at *2; (Eggleston Decl. ¶ 8; McLawsen Decl. ¶ 2.) And
8 third, while the court recognizes that USCIS did not process the request within the 20-day
9 statutory period, nothing caused Ms. Rich to “endure unnecessarily protracted litigation,”
10 as in *First Amendment Coalition*. *See* 878 F.3d at 1130. Ms. Rich has not presented any
11 case where a court has found eligibility on similar circumstances.

12 Ms. Rich’s only argument for eligibility is a temporal one. She contends that she
13 had not received the requested documents before the filing of this suit, and the
14 government subsequently released the records. (*See* Mot. at 8-9; Reply (Dkt. # 19) at 2
15 (“After her lawsuit was filed, the agency ‘changed its position.’”).) But as *First*
16 *Amendment Coalition* cautioned, “the mere fact that information sought was not released
17 until after the lawsuit was instituted is insufficient to establish that a complainant has
18 ‘substantially prevailed.’” 878 F.3d at 1128 (quoting *Church of Scientology*, 700 F.2d at
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20 ³ Indeed, *First Amendment Coalition* seemed to identify this scenario—where
21 “administrative compliance with statutory production requirements” rather than the lawsuit
22 “triggered the release”—as one in which the voluntary release of information has nothing to do
with the FOIA suit. 878 F.3d at 1128 (citing *Van Strum v. Thomas*, No. 88-4153, 1989 WL
90175, at *1 (9th Cir. Aug. 2, 1989)).

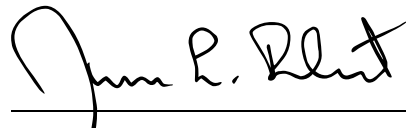
1 491-92) (internal quotation marks omitted). Without more, Ms. Rich has not shown that
2 she is eligible for attorney fees.

3 On these facts, the court finds that Ms. Rich's suit did not have a substantial
4 causative effect on USCIS's subsequent release of documents and that consequently, Ms.
5 Rich did not "substantially prevail" and is not eligible for attorney fees. Accordingly, the
6 court denies Ms. Rich's motion.

7 **IV. CONCLUSION**

8 For the foregoing reasons, the court DENIES Ms. Rich's motion for attorney fees
9 (Dkt. # 13).

10 Dated this 21st day of December, 2020.

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13 JAMES L. ROBART
14 United States District Judge
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