

1 **IN THE COURT OF APPEALS OF THE STATE OF NEW MEXICO**

2 Opinion Number: _____

3 Filing Date: August 29, 2016

4 **NO. 34,261**

5 **STATE OF NEW MEXICO,**

6 Plaintiff-Appellant,

7 v.

8 **TAYLOR E.,**

9 Child-Appellee.

10 **APPEAL FROM THE DISTRICT COURT OF DOÑA ANA COUNTY**

11 **Marci E. Beyer, District Judge**

12 Hector H. Balderas, Attorney General

13 Santa Fe, NM

14 Walter Hart, Assistant Attorney General

15 Albuquerque, NM

16 for Appellant

17 Bennett J. Baur, Chief Public Defender

18 J.K. Theodosia Johnson, Assistant Appellate Defender

19 Santa Fe, NM

20 for Appellee

1 **OPINION**

2 **VANZI, Judge.**

3 {1} Taylor E. (Child), a juvenile on probation, made incriminating statements to
4 his probation officer that jeopardized his probationary status. In response to a
5 subsequent petition to revoke his probation, Child moved to suppress those
6 statements, contending that they were inadmissible because the State proffered no
7 independent evidence of the admitted conduct and no evidence that the probation
8 officer had advised Child of his rights under the Delinquency Act (the Act), NMSA
9 1978, §§ 32A-2-1 to -33 (1993, as amended through 2016), of the New Mexico
10 Children’s Code. The State challenges the district court’s ruling that Child’s
11 incriminating statements must be suppressed. We reverse.

12 **BACKGROUND**

13 {2} In 2011, when Child was almost fourteen years old, the State filed a
14 delinquency petition alleging that he had committed a battery against a household
15 member. Child pleaded no contest and, pursuant to a consent decree, was placed on
16 supervised probation for six months subject to certain terms and conditions, with an
17 automatic six-month extension. Less than a year after Child signed the agreement
18 stating the terms and conditions of probation, the State filed a petition to revoke
19 Child’s probation, alleging that Child had violated specific conditions of the

1 probation agreement; i.e., by drug trafficking, possession of drugs and drug
2 paraphernalia, running away, and being unsuccessfully discharged from treatment
3 foster care. Child pleaded no contest, and the district court entered an order revoking
4 Child's probation. The order committed Child to the Children, Youth and Families
5 Department (CYFD) for two years, but ordered that the commitment be suspended
6 and that, pursuant to Section 32A-2-19(B)(2), Child be placed on probation subject
7 to terms and conditions for a period not to exceed two years.

8 {3} The terms and conditions of probation were memorialized in a probation
9 agreement (the Agreement) signed by Child and his juvenile probation officer (JPO),
10 among others. The Agreement listed twenty conditions, including that Child would
11 attend school with no un-excused absences; that he would not use or possess alcohol,
12 drugs, drug paraphernalia, or weapons; and that he would not commit any act
13 forbidden by law. The Agreement also provided that Child's JPO could periodically
14 visit Child's home, school, or work site, and could search Child's person and property
15 if the JPO deemed it necessary.

16 {4} A month before his probation period was to expire, Child violated several
17 conditions of the Agreement. Based on a report by Child's JPO, the State filed a
18 petition to revoke probation, alleging that Child had twice failed to attend school
19 "with no un-excused absences"; that he was "issued a Class III for Battery after he

1 was involved in a physical confrontation with another student”; that he was in
2 possession of a pipe in his pocket; and that he admitted to his JPO that he had smoked
3 “spice” (a synthetic cannabinoid) that morning and had been smoking spice on a daily
4 basis. The revocation petition contained no allegation that Child had committed a
5 “delinquent act,” nor does the record reveal that Child had been arrested for any of
6 the conduct alleged in the revocation petition, or that a delinquency petition was filed
7 alleging that Child had committed a delinquent act based on the conduct alleged in
8 the revocation petition.

9 {5} Prior to the probation revocation hearing, Child filed a motion to suppress his
10 admissions to the JPO that he had smoked spice, arguing that they were inadmissible
11 under Section 32A-2-14(G) of the Act because the State failed to provide
12 corroborating evidence of Child’s spice use and because the JPO failed to give
13 *Miranda* warnings and/or recitations required by Section 32A-2-14(D) before
14 questioning him about his possession and use of spice.

15 {6} Child’s JPO, Roscio Sarmiento, the sole witness at the suppression hearing,
16 testified that Child’s “mother” called her and reported that Child had been suspended
17 from school because an officer had seen him in possession of a pipe. In response, Ms.
18 Sarmiento set up a meeting with Child for the following day, which she described as
19 “almost like a routine meeting. Anytime there’s an incident with one of the [children,

1 I] try to call them in as soon as possible.” As she generally does in such situations,
2 Sarmiento met with Child at her office.

3 {7} At the meeting, Sarmiento asked Child the same types of routine questions she
4 asks her clients following an incident that might affect their probationary status. For
5 example, she asks about the issue or incident, how they are doing in school, what led
6 up to the incident, whether they are having problems at home, whether they are
7 “clean,” and whether they are using drugs. In addition to these questions, Sarmiento
8 asked Child about the pipe and drug use. Although he initially said he was clean,
9 Child later admitted that he had used drugs. Sarmiento testified that she did not give
10 Child any *Miranda* warnings before questioning him about his drug use; that she
11 would have done so only if a new charge would be filed; and that she did not believe
12 that there would be a new charge against Child in this instance.

13 {8} The district court granted Child’s motion to suppress. The order contains no
14 factual findings and states only that “[a]ny of [Child’s] statements made to
15 [Sarmiento] on September 17, 2014 related to this cause are hereby suppressed

1 pursuant to Section 32A-2-14(G) . . . ,¹ Section 32A-2-14(C)[, and] Section 32A-2-
2 14(D).” This appeal followed.

3 **STANDARD OF REVIEW**

4 {9} “A motion to suppress evidence involves a mixed question of fact and law.”
5 *State v. Vandenberg*, 2003-NMSC-030, ¶ 17, 134 N.M. 566, 81 P.3d 19. “Thus, our
6 review . . . involves two parts: the first is a factual question, which we review for
7 substantial evidence; the second is a legal question, which we review de novo.” *Id.*
8 As noted, the district court made no written findings of fact to support its decision to
9 suppress Child’s incriminatory statements to his JPO. In such circumstances, we
10 generally draw all inferences and indulge all presumptions in favor of the district
11 court’s ruling in conducting our de novo assessment of whether the court correctly
12 applied the law. *See State v. Nysus*, 2001-NMCA-102, ¶ 18, 131 N.M. 338, 35 P.3d
13 993 (“On appeal, we look to whether the law was correctly applied to the facts and
14 review the evidence in the light most favorable to support the decision reached below,
15 resolving all conflicts and indulging all inferences in support of that decision.”). The
16 relevant facts are undisputed.

17 ¹Section 32A-2-14(G) provides, “An extrajudicial admission or confession
18 made by the child out of court is insufficient to support a finding that the child
19 committed the delinquent acts alleged in the petition unless it is corroborated by other
20 evidence.” Child concedes that this provision “is not a basis for suppressing a
21 statement.” Accordingly, we do not address the point.

1 {10} The question whether *Miranda* applies in these circumstances requires
2 application of law to the facts and is reviewed de novo. *See State v. Nieto*, 2000-
3 NMSC-031, ¶ 19, 129 N.M. 688, 12 P.3d 442 (applying de novo review of question
4 whether there was a custodial interrogation requiring *Miranda* warnings). We also
5 review de novo the question whether the district court correctly applied the Act. *See*
6 *State v. Antonio T.*, 2015-NMSC-019, ¶ 12, 352 P.3d 1172 (stating that statutory
7 interpretation is a question of law that is reviewed de novo).

8 **DISCUSSION**

9 {11} The question presented is whether the failure of Child’s JPO to give “*Miranda*”
10 warnings before questioning Child after she learned of his suspension from school
11 because an officer had seen him in possession of a pipe rendered Child’s inculpatory
12 statements to the JPO inadmissible in Child’s probation revocation proceedings.²
13 Below, Child relied not only on the Act but also on law addressing the rights of adult
14 probationers under the federal constitutional *Miranda* rule. Here, both parties rely on
15 authority addressing constitutional protections against self-incrimination available to
16 juveniles and adult probationers, as well as certain requirements for the application

17 ²Child makes no argument that the New Mexico Constitution provides broader
18 protection than the United States Constitution in these circumstances. We therefore
19 assume without deciding that both afford equal protection in this context. *See State*
20 *v. Gomez*, 1997-NMSC-006, ¶ 22, 122 N.M. 777, 932 P.2d 1.

1 of the constitutional Miranda rule. The parties are less than precise in distinguishing
2 between the constitutional Miranda rule and the “Miranda” protections available
3 under the Act, but the Act itself references the “constitutional rights” of juveniles (*see*
4 Sections 32A-2-14C, D), and Child relies on those provisions on appeal. In addition,
5 New Mexico courts have considered constitutional Miranda jurisprudence in
6 determining the scope of protections against self-incrimination available to adult
7 probationers and to juveniles subject to the Act. And the issue in this case arises
8 because our Supreme Court has not definitively delineated the scope of the protection
9 against self-incrimination available to juveniles in this context. For these reasons, and
10 because the rule Child advocates, if accepted, would have profound consequences for
11 the administration of New Mexico's juvenile justice system, we consider in some
12 detail the constitutional Miranda rule, the relevant provisions of the Act, and the aims
13 and operation of the juvenile probation system.

14 **A. The Federal *Miranda* Rule Does Not Bar Admission of Child’s**
15 **Incriminating Statements in a Probation Revocation Proceeding**

16 {12} *Miranda v. Arizona*, 384 U.S. 436 (1966), established the federal constitutional
17 rule that incriminatory statements made by a criminal suspect during “custodial
18 interrogation” by law enforcement may not be admitted into evidence in a criminal
19 proceeding unless the prosecution demonstrates that sufficient procedural safeguards

1 were employed to protect the suspect’s Fifth Amendment privilege against self-
2 incrimination. *Id.* at 444. The rule is summarized as follows:

3 [T]he prosecution may not use statements, whether exculpatory or
4 inculpatory, stemming from custodial interrogation of the defendant
5 unless it demonstrates the use of procedural safeguards effective to
6 secure the privilege against self-incrimination. By custodial
7 interrogation, we mean questioning initiated by law enforcement officers
8 after a person has been taken into custody or otherwise deprived of his
9 freedom of action in any significant way. . . . Prior to any questioning,
10 the person must be warned that he has a right to remain silent, that any
11 statement he does make may be used as evidence against him, and that
12 he has a right to the presence of an attorney, either retained or appointed.
13 The defendant may waive effectuation of these rights, provided the
14 waiver is made voluntarily, knowingly and intelligently.

15 *Id.* (footnote omitted).

16 {13} *Miranda* defined “custodial interrogation” as “questioning initiated by law
17 enforcement officers after a person has been taken into custody or otherwise deprived
18 of his freedom of action in any significant way.” *Id.* It is settled that a suspect is not
19 “in custody” and *Miranda*’s requirements do not apply unless “a suspect’s freedom
20 of action is curtailed to a degree associated with formal arrest.” *Berkemer v. McCarty*,
21 468 U.S. 420, 440 (1984) (internal quotation marks and citation omitted); *see Nieto*,
22 2000-NMSC-031, ¶¶ 20-21 (stating these requirements and that “[c]ustody is
23 determined objectively, not from the subjective perception of any of the members to
24 the interview” and holding that *Miranda* warnings were not required where facts
25 showed routine, non-custodial police questioning).

1 {14} *Minnesota v. Murphy*, 465 U.S. 420 (1984), established that *Miranda*'s
2 requirements do not apply to a probationer's statements made during an interview
3 with his probation officer, reasoning, inter alia, that the defendant "was not 'in
4 custody' for purposes of receiving *Miranda* protection since there was no formal
5 arrest or restraint on freedom of movement of the degree associated with a formal
6 arrest." *Murphy*, 465 U.S. at 429-31 (internal quotation marks and citations omitted).
7 Emphasizing that the defendant was not under arrest when he made incriminating
8 statements to his probation officer and was free to leave after the meeting, the Court
9 said that "[a] different question would be presented if [the defendant] had been
10 interviewed by his probation officer while being held in police custody or by the
11 police themselves in a custodial setting." *Id.* at 429 n.5. The Court also recognized
12 that some questions put to a probationer could be relevant to probationary status but
13 "pose[] no realistic threat of incrimination in a separate criminal proceeding" and that
14 "a state may validly insist on answers to even incriminating questions, and hence
15 sensibly administer its probation system, as long as it recognizes that the required
16 answers may not be used in a criminal proceeding and thus eliminates the threat of
17 incrimination." *Id.* at 435 n.7. Federal law thus recognizes that some incriminating
18 statements that are admissible in probation revocation proceedings may be

1 inadmissible in proceedings to adjudicate criminal liability on a charge for which the
2 probationer has been prosecuted.

3 {15} This distinction is important and warrants emphasis: In a criminal prosecution,
4 the defendant has been charged with having engaged in criminal conduct, and the
5 purpose of the proceeding is to determine the defendant's criminal liability. In
6 contrast, the respondent in a probation revocation proceeding is an individual who
7 has already been adjudicated liable for criminal conduct, has been placed on
8 probation as a result of that conduct and as an alternative to imprisonment, and is
9 subsequently alleged to have violated one or more court-imposed (and usually agreed-
10 upon) conditions of that probation. Our Supreme Court has recognized that a
11 determination to revoke probation constitutes an adjustment of a previously imposed
12 sentence, rather than an adjudication of criminal liability. *See State v. Lopez*, 2007-
13 NMSC-011, ¶ 12, 141 N.M. 293, 154 P.3d 668 (“By failing to comply with probation
14 conditions, a defendant demonstrates that clemency is not appropriate because he or
15 she is not willing or able to be rehabilitated. It follows that the court must have broad
16 power to adjust a defendant's sentence by revoking probation when necessary.”).

17 {16} Consistent with this distinction between proceedings involving an already
18 convicted individual and one being prosecuted to determine criminal liability on a
19 new charge, the United States Supreme Court has held that, while loss of liberty may

1 result in both types of proceedings, fewer protections are warranted for the former
2 category of proceedings. *See Morrissey v. Brewer*, 408 U.S. 471, 480, 484-90 (1972)
3 (explaining that “the revocation of parole is not part of a criminal prosecution, and
4 thus the full panoply of rights due a defendant in such a proceeding does not apply
5 to parole revocations” and that “[r]evocation deprives an individual, not of the
6 absolute liberty to which every citizen is entitled, but only of the conditional liberty
7 properly dependent on observance of special parole restrictions”; holding that this
8 loss of liberty requires that the parolee be accorded certain due process protections,
9 but not the full panoply of constitutional protections available in a criminal trial);
10 *Gagnon v. Scarpelli*, 411 U.S. 778, 782 (1973) (explaining that “[p]robation
11 revocation, like parole revocation, is not a stage of a criminal prosecution, but does
12 result in a loss of liberty”; holding that probationers are entitled to the same due
13 process protections as *Morrissey* specified for parolees). The due process protections
14 specified in *Morrissey* do not include *Miranda*’s requirements. *See Morrissey*, 408
15 U.S. at 484-90; *State v. Gutierrez*, 1995-NMCA-018, ¶ 3, 119 N.M. 618, 894 P.2d
16 395.

17 {17} The United States Supreme Court has also held that, in the context of a
18 “proceeding to determine whether a minor is a ‘delinquent’ and which may result in
19 commitment to a state institution[,]” “the constitutional privilege against self-

1 incrimination is applicable in the case of juveniles as it is with respect to adults.” *In*
2 *re Gault*, 387 U.S. 1, 44, 55 (1967). The Court “has not yet held that *Miranda* applies
3 with full force to exclude evidence obtained in violation of its proscriptions from
4 consideration in juvenile proceedings,” although it has made that assumption without
5 deciding the issue. *Fare v. Michael C.*, 442 U.S. 707, 717 n.4 (1979). The Court has,
6 however, described *Gault* as treating a juvenile “delinquency” proceeding as
7 “functionally akin to a criminal trial” and stated that “[a] juvenile charged with
8 violation of a generally applicable statute is differently situated from an already-
9 convicted probationer or parolee, and is entitled to a higher degree of protection.”
10 *Gagnon*, 411 U.S. at 789 n.12. *Gault* itself also emphasized that “what we hold in this
11 opinion with regard to the procedural requirements at the adjudicatory stage has no
12 necessary applicability to other steps of the juvenile process.” 387 U.S. at 31 n.48.
13 {18} The Court has warned that *Miranda*’s requirements may not be extended in a
14 manner that “would cut [*Miranda*’s] holding . . . completely loose from its own
15 explicitly stated rationale” and “impose the burdens associated with the rule of
16 *Miranda* on the juvenile justice system and the police without serving the interests
17 that rule was designed simultaneously to protect.” *Fare*, 442 U.S. at 723 (internal
18 quotation marks and citation omitted).

1 {19} Applying the foregoing principles to the record before us, we see no basis to
2 hold, as a matter of federal constitutional law, that the failure of Child’s JPO to give
3 *Miranda* warnings to Child before questioning him about the information she
4 received from Child’s mother—that Child had been suspended from school for
5 possessing a pipe—renders Child’s incriminating statements to his JPO regarding his
6 spice use inadmissible in a probation revocation proceeding.

7 {20} As an initial matter, we note that the United States Supreme Court has not
8 extended *Miranda*’s requirements “beyond the scope of the holding in the *Miranda*
9 case itself[,]” and observe the constraint that “a [s]tate may not impose greater
10 restrictions as a matter of *federal constitutional law* when [the United States
11 Supreme] Court specifically refrains from imposing them.” *Fare*, 442 U.S. at 717
12 (alteration, internal quotation marks, and citation omitted). Consistent with these
13 principles, we have not extended *Miranda*’s requirements, as a matter of federal law,
14 beyond the boundaries set by the United States Supreme Court.

15 {21} For example, this Court has previously recognized that *Miranda* warnings are
16 “required to dissipate the overbearing compulsion caused by isolation of a suspect in
17 police custody” and that “custodial interrogation and probation interviews are readily
18 distinguishable for purposes of Fifth Amendment analysis.” *Gutierrez*, 1995-NMCA-
19 018, ¶¶ 12, 13 (alterations, internal quotation marks, and citation omitted). In

1 *Gutierrez*, we quoted as follows *Murphy*'s rationale for rejecting the probationer's
2 argument in that case that *Miranda* applied to bar admission of the incriminatory
3 statements he made to his probation officer:

4 Custodial arrest is said to convey to the suspect a message that he has no
5 choice but to submit to the officers' will and to confess. It is unlikely
6 that a probation interview, arranged by appointment at a mutually
7 convenient time, would give rise to a similar impression. Moreover,
8 custodial arrest thrusts an individual into an unfamiliar atmosphere or
9 an interrogation environment created for no purpose other than to
10 subjugate the individual to the will of his examiner. Many of the
11 psychological ploys discussed in *Miranda* capitalize on the suspect's
12 unfamiliarity with the officers and the environment. The coercion
13 inherent in custodial interrogation derives in large measure from an
14 interrogator's insinuations that the interrogation will continue until a
15 confession is obtained. Since [the defendant] was not physically
16 restrained and could have left the office, any compulsion he might have
17 felt from the possibility that terminating the meeting would have led to
18 revocation of probation was not comparable to the pressure on a suspect
19 who is painfully aware that he literally cannot escape a persistent
20 custodial interrogator.

21 *Gutierrez*, 1995-NMCA-018, ¶ 13 (alterations omitted) (quoting *Murphy*, 465 U.S.
22 at 433); see *Murphy*, 465 U.S. at 433 (“[The defendant’s] regular meetings with his
23 probation officer should have served to familiarize him with her and her office and
24 to insulate him from psychological intimidation that might overbear his desire to
25 claim the privilege.”).

26 {22} In *State v. Hermosillo*, 2014-NMCA-102, ¶¶ 12-13, 336 P.3d 446, we
27 explained that “probation is an act of clemency with the goal of education and

1 rehabilitation” and that “[a]lthough a probationer does not lose the privilege against
2 self-incrimination, the United States Supreme Court has refused to extend the
3 requirements of *Miranda* warnings to prearranged routine probation interviews with
4 probation officers.” *Hermosillo* involved a probationer’s incriminating statements
5 made during a home visit by a probation officer, who was accompanied by a law
6 enforcement officer, pursuant to an agreed-upon probation condition requiring the
7 defendant to promptly answer the door, invite the visiting officers inside, and
8 cooperate with them. *Id.* ¶¶ 4-5. The probation officer had not originally planned to
9 visit the defendant but decided to do so because the defendant “had recently been
10 testing positive for drugs.” *Id.* ¶ 5. Noting that “*Murphy* informs us that a routine visit
11 of a probationer by his probation officer . . . does not ordinarily present a Fifth
12 Amendment situation that will be recognized as an in-custody interrogation[,]”
13 *Hermosillo*, 2014-NMCA-102, ¶ 19, we explained, inter alia, that unscheduled home
14 visits were specifically authorized by court order and agreement and that, having
15 previously tested positive for drugs at office visits, the defendant “could reasonably
16 expect that his probation officer might conduct a home visit to investigate these
17 violations.” *Id.* ¶ 26. “At the outset,” we said, “the probation officer knew [the
18 d]efendant had recently tested positive for drugs at prior office visits, and the home
19 visit was specifically undertaken to investigate these prior violations.” *Id.* ¶ 31. Thus,

1 we held that *Miranda*'s requirements did not apply, notwithstanding the presence of
2 law enforcement and that the defendant was placed in handcuffs during the encounter.
3 *Hermosillo*, 2014-NMCA-102, ¶¶ 28-33; see *State v. Ponce*, 2004-NMCA-137,
4 ¶¶ 37-38, 136 N.M. 614, 103 P.3d 54 (stating that, although the issue was not
5 preserved, *Miranda* warnings were not required where probationer was not arrested
6 “for independent criminal activity, but for breach of the no-alcohol condition of his
7 probation which would result, at worst, in a revocation of [the d]efendant’s probation,
8 not in new criminal charges” and the defendant was not questioned “in a setting that
9 could be characterized as unfamiliar or an interrogation environment”).

10 {23} On this record, none of the factors triggering *Miranda*'s protections are
11 implicated, nor does Child argue to the contrary. The facts establish that Child was
12 no stranger to the juvenile probation system, having previously been placed on
13 probation and having that probation revoked. The meeting at which Child made the
14 incriminating statements occurred at a place familiar to him—his JPO’s office—and
15 was “almost like a routine meeting” at which the JPO asked Child the same types of
16 routine questions she asks her clients following an incident that might affect their
17 probationary status. While that meeting took place at the JPO’s request, such
18 meetings are specifically authorized under the Agreement, and Child should have
19 expected that meetings with his JPO would occur in the ordinary course of his

1 probation, including meetings to discuss reports of conduct that might impact Child's
2 probationary status.

3 {24} In addition, the meeting took place because Child's mother had informed the
4 JPO that Child had been suspended from school for possession of a pipe. Child had
5 previously violated his probation by being in possession of drugs and drug
6 paraphernalia, among other things. For this reason alone, Child could reasonably
7 expect that his JPO might ask him about drug possession and use. There is no
8 evidence that the meeting involved police presence, isolation, or confinement, let
9 alone custodial interrogation initiated by law enforcement tantamount to a formal
10 arrest or of coercion by the JPO when she questioned Child about his conduct. The
11 JPO's questions were asked in furtherance of her role as Child's probation officer. No
12 warnings were required by the federal *Miranda* rule.

13 **B. The Act Provides Greater Protections Than Does the Federal *Miranda***
14 **Rule But It Does Not Require JPOs to Give Statutory Warnings in the**
15 **Circumstances Presented Here**

16 {25} As best we understand it, Child's statutory argument is as follows: (1) whenever
17 a JPO "suspects" that a juvenile probationer has committed an act that might
18 constitute a new delinquent act as well as a basis for probation revocation, the JPO
19 may not question the juvenile about that act without first advising the juvenile of
20 his/her constitutional rights and obtaining a knowing, intelligent, and voluntary

1 waiver of those rights; (2) a JPO’s failure to give those warnings renders inadmissible
2 in a probation revocation hearing any inculpatory statements made by the juvenile to
3 the JPO. While it is clear that the Act does provide many greater protections than
4 those afforded to adults, including greater protections than those afforded by the
5 federal *Miranda* rule, the Act and the related regulations and rules also reflect
6 distinctions between delinquency proceedings and probation revocation proceedings,
7 and between law enforcement officers and JPOs that bear significantly on the proper
8 interpretation of the Act’s requirements in this context. Before addressing the Act’s
9 text, we outline the standards guiding and informing our interpretation of its
10 requirements.

11 **1. Principles of Statutory Construction**

12 {26} “Statutory interpretation is an issue of law, which we review de novo.” *State*
13 *v. Davis*, 2003-NMSC-022, ¶ 6, 134 N.M. 172, 74 P.3d 1064. “The text of a statute
14 or rule is the primary, essential source of its meaning.” NMSA 1978, § 12-2A-19
15 (1997). “When a statute contains language which is clear and unambiguous, we must
16 give effect to that language and refrain from further statutory interpretation.” *State*
17 *v. Rivera*, 2004-NMSC-001, ¶ 10, 134 N.M. 768, 82 P.3d 939 (alteration, internal
18 quotation marks, and citation omitted).

1 {27} However, the “beguiling simplicity” of the plain-meaning rule “may mask a
2 host of reasons why a statute, apparently clear and unambiguous on its face, may for
3 one reason or another give rise to legitimate (i.e., nonfrivolous) differences of opinion
4 concerning the statute’s meaning.” *Id.* ¶ 11 (internal quotation marks and citation
5 omitted). “In such a case, it is part of the essence of judicial responsibility to search
6 for and effectuate the legislative intent—the purpose or object—underlying the
7 statute.” *Id.* (internal quotation marks and citation omitted). The literal meaning of
8 a statute also does not control “when such an application would be absurd,
9 unreasonable, or otherwise inappropriate.” *Rivera*, 2004-NMSC-001, ¶ 13; *see*
10 *Atchison, T. & S. F. Ry. Co. v. Town of Silver City*, 1936-NMSC-036, ¶ 13, 40 N.M.
11 305, 59 P.2d 351 (“Canons of construction are but aids in determining legislative
12 intent and are not controlling if they lead to a conclusion, which by the terms or
13 character of the legislation manifestly was not intended.” (citation omitted)).

14 {28} Among other considerations, “we closely examine the overall structure of the
15 statute we are interpreting, as well as the particular statute’s function within a
16 comprehensive legislative scheme[.]” *Rivera*, 2004-NMSC-001, ¶ 13 (citation
17 omitted). “[W]henever possible we must read different legislative enactments as
18 harmonious instead of as contradicting one another.” *Id.* (alteration, internal quotation
19 marks, and citation omitted). And we must construe a statute “so that no part of the

1 statute is rendered surplusage or superfluous.” *State v. Javier M.*, 2001-NMSC-030,
2 ¶ 32, 131 N.M. 1, 33 P.3d 1 (internal quotation marks and citation omitted).

3 **2. The Act, Regulations, and Rules**

4 {29} The Act has several stated purposes, including the following:

5 A. consistent with the protection of the public interest, to
6 remove from children committing delinquent acts the adult
7 consequences of criminal behavior, but to still hold children committing
8 delinquent acts accountable for their actions to the extent of the child’s
9 age, education, mental and physical condition, background and all other
10 relevant factors, and *to provide a program of supervision, care and*
11 *rehabilitation*, including rehabilitative restitution by the child to the
12 victims of the child’s delinquent act to the extent that the child is
13 reasonably able to do so;

14 B. to provide effective deterrents to acts of juvenile
15 delinquency, including an emphasis on community-based alternatives;

16 C. to strengthen families and to successfully reintegrate
17 children into homes and communities[.]

18 Section 32A-2-2 (emphasis added).

19 {30} The Act defines “delinquent act” as “an act committed by a child that would
20 be designated as a crime under the law if committed by an adult,” Section 32A-2-
21 3(A), and “delinquent child” as “a child who has committed a delinquent act[.]”
22 Section 32A-2-3(B). Other terms are defined by regulations promulgated under the
23 Act (among other statutes), including the following: “Adjudication” means “a judicial
24 determination that a juvenile has committed a *delinquent act.*” 8.14.2.7(B) NMAC

1 (emphasis added). “Adjudicatory hearing” means “children’s court hearing to decide
2 whether the evidence supports the allegations of a petition, i.e., whether a *delinquent*
3 *act* has been committed.” 8.14.2.7(C) NMAC (emphasis added). “Petition” means “a
4 legal document in which the state formally alleges the client to be a delinquent . . .
5 due to the commission of a *delinquent act(s)*, or of a family subject to FINS.”
6 8.14.2.7(W) NMAC (emphasis added). “Juvenile probation” means “a court-ordered
7 sanction and disposition which *places an adjudicated client under the supervision*
8 *and care of a juvenile probation officer.*” 8.14.2.7(T) NMAC (emphasis added).
9 “Juvenile probation officer (JPO)” means “a department staff person who[] provides
10 court-ordered and informal *supervision* for clients.” 8.14.2.7(U) NMAC (emphasis
11 added). “Probation” means “a court-ordered sanction and disposition that *places an*
12 *adjudicated client under the[] supervision and care of a [JPO].*” 8.14.2.7(Z) NMAC
13 (emphasis added). “Preliminary inquiry (PI)” refers to “a conference between the JPO,
14 client, and parent or guardian to assess whether a referral to the [children’s court
15 attorney (CCA)] should be made to file a *delinquency petition.*” 8.14.2.7(Y) NMAC
16 (emphasis added).

17 {31} Section 32A-2-7(A) of the Act provides that “[c]omplaints *alleging*
18 *delinquency* shall be referred to probation services, which shall conduct a *preliminary*
19 *inquiry* to determine the best interests of the child and of the public with regard to any

1 action to be taken.” (Emphasis added); *see* Rule 10-211(A) NMRA (“Prior to the
2 filing of a *petition alleging delinquency*, probation services shall complete a
3 *preliminary inquiry* in accordance with the Children’s Code.” (emphasis added)).
4 Section 32A-2-7(B) states, in part, that “[a]t the commencement of the *preliminary*
5 *inquiry*, the parties shall be advised of their basic rights pursuant to Section 32A-2-
6 14” and that “[t]he child shall be informed of the child’s right to remain silent.”
7 Section 32A-2-7(B) (emphasis added); *see* 8.14.2.9(B) NMAC (stating that “[a]t the
8 *commencement of the preliminary inquiry*, the [JPO] shall advise the client, parent,
9 guardian, or custodian of the client’s basic rights” and enumerating those rights).

10 {32} The Act’s provisions concerning “basic rights” are described in Section 32A-2-
11 14. Subsection A states, “A child subject to the provisions of the . . . Act is entitled
12 to the same basic rights as an adult, *except as otherwise provided in the Children’s*
13 *Code, including rights provided by the . . . Act, except as otherwise provided in the*
14 *Children’s Code.*” Section 32A-2-14(A) (emphasis added). Section 32A-2-14 also
15 provides,

16 C. No person subject to the provisions of the . . . Act *who is*
17 *alleged or suspected of being a delinquent child* shall be interrogated or
18 questioned without first advising the child of the child’s *constitutional*
19 *rights* and securing a knowing, intelligent and voluntary waiver.

20 D. Before any statement or confession may be introduced at a
21 trial or hearing *when a child is alleged to be a delinquent child*, the state
22 shall prove that the statement or confession offered in evidence was

1 elicited only after a knowing, intelligent and voluntary waiver of the
2 child's *constitutional rights* was obtained.

3 Section 32A-2-14(C), (D) (emphasis added).

4 {33} Section 32A-2-14(E) lists factors to be considered in determining whether the
5 child "knowingly, intelligently and voluntarily waived the child's rights[.]" Section
6 32A-2-14(F), although not applicable in the case before us, provides that
7 "[n]otwithstanding any other provision to the contrary, no confessions, statements or
8 admissions may be introduced against a child under the age of thirteen years on the
9 allegations of the petition" and that "[t]here is a rebuttable presumption that any
10 confessions, statements or admissions made by a child thirteen or fourteen years old
11 to a person in a position of authority are inadmissible." Section 32A-2-14(G) states,
12 "An extrajudicial admission or confession made by the child out of court is
13 insufficient to support a finding that the child committed the delinquent acts alleged
14 in the petition unless it is corroborated by other evidence." Section 32A-2-14(L)
15 provides, "A person afforded rights under the . . . Act shall be advised of those rights
16 at that person's first appearance before the court on a petition under that act."

17 {34} Section 32A-2-5 of the Act specifically addresses juvenile probation and
18 parole. Among other things, it provides that "the department" (CYFD) shall provide
19 juvenile probation and parole services, *see* § 32A-2-5(A), and has "the power and
20 duty to:"

1 (1) receive and examine complaints and allegations that a child
2 is a delinquent child for the purpose of considering beginning a
3 proceeding pursuant to the provisions of the . . . Act;

4 (2) make case referrals for services as appear appropriate or
5 desirable;

6

7 (4) supervise and assist a child placed on probation or
8 supervised release or under supervision by court order or by the
9 department[.]

10 Section 32A-2-5(B); *see* 8.14.2.16 NMAC (stating tasks performed concerning
11 probation and supervised release). This section specifies that “[a] juvenile probation
12 and parole officer does *not* have the powers of a law enforcement officer.” Section
13 32A-2-5(C) (emphasis added).

14 {35} Section 32A-2-24 states:

15 A. A child on probation incident to an adjudication as a
16 delinquent child who violates a term of the probation may be proceeded
17 against in a probation revocation proceeding. A proceeding to revoke
18 probation shall be begun by filing in the original proceeding a petition
19 styled as a “petition to revoke probation”. Petitions to revoke probation
20 shall be screened, reviewed and prepared in the same manner and shall
21 contain the same information as petitions alleging delinquency.
22 Procedures of the . . . Act regarding taking into custody and detention
23 shall apply. The petition shall state the terms of probation alleged to
24 have been violated and the factual basis for these allegations.

25 B. The standard of proof in probation revocation proceedings
26 shall be evidence beyond a reasonable doubt and the hearings shall be
27 before the court without a jury. In all other respects, proceedings to
28 revoke probation shall be governed by the procedures, rights and duties
29 applicable to proceedings on a delinquency petition. If a child is found

1 to have violated a term of the child’s probation, the court may extend the
2 period of probation or make any other judgment or disposition that
3 would have been appropriate in the original disposition of the case.

4 Rule 10-261 NMRA similarly states, in pertinent part:

5 B. Revocation of Probation. If the child fails to fulfill the
6 terms or conditions of probation, the children’s court attorney may file
7 a petition to revoke probation.

8 C. Revocation Procedure. Proceedings to revoke probation
9 shall be conducted in the same manner as proceedings on petitions
10 alleging delinquency. The child whose probation is sought to be revoked
11 shall be entitled to all rights that a child alleged to be delinquent is
12 entitled to under law and these rules, except that:

- 13 (1) *no preliminary inquiry shall be conducted;*
14 (2) the hearing on the petition shall be to the court
15 without a jury;
16 (3) the petition shall be styled as a “Petition to Revoke
17 Probation” and shall state the terms of probation alleged to have been
18 violated and the factual basis for these allegations[.]

19 Rule 10-261(B), (C) (emphasis added).

20 **3. Construction**

21 {36} Our review of the Act, regulations, and rules leads us to conclude that the
22 Legislature intended that the statutory right to warnings asserted by Child is not
23 triggered by the circumstances presented here. We explain.

24 {37} The Act’s provisions concerning “basic rights” begins with the statement, “A
25 child subject to the provisions of the . . . Act is entitled to the same basic rights as an
26 adult, *except as otherwise provided in the Children’s Code, including rights provided*

1 *by the . . . Act, except as otherwise provided in the Children’s Code.*” Section 32A-2-
2 14(A) (emphasis added). The provisions of the Act (and the regulations and rules)
3 consistently qualify requirements with specific references to “delinquency,”
4 “delinquency petition,” “delinquent act,” and “delinquent child,” employing those
5 terms to limit the application of certain requirements. These terms are defined by the
6 Act, regulations, and rules to mean *acts* that “would be designated as a *crime* under
7 the law if committed by an adult,” Section 32A-2-3(A) (emphasis added); *a child*
8 determined to have committed such acts, Section 32A-2-3(B); *complaints* alleging
9 such acts, Section 32A-2-7(A); *petitions* alleging such acts, 8.14.2.7(W) NMAC;
10 Rule 10-211(B); and *proceedings* to determine whether a child has committed such
11 acts, 8.14.2.7(B), (C) NMAC.

12 {38} The Act requires that “[a] person afforded rights under the . . . Act shall be
13 advised of those rights *at that person’s first appearance before the court* on a petition
14 under that act.” Section 32A-2-14(L) (emphasis added). As to out-of-court
15 circumstances, the Act provides, “No person subject to the provisions of the . . . Act
16 *who is alleged or suspected of being a delinquent child* shall be interrogated or
17 questioned without first advising the child of the child’s *constitutional rights* and
18 securing a knowing, intelligent and voluntary waiver.” Section 32A-2-14(C)
19 (emphasis added). Thus, the Act requires that a child “who is alleged or suspected of

1 being a delinquent child” may not be questioned unless the questioner first advises
2 the child of his or her “constitutional rights” and secures “a knowing, intelligent and
3 voluntary waiver” of those rights. *Id.* But the Act is also clear that this warning
4 requirement does not apply generally to anyone “subject to the provisions of the . . .
5 Act,” which a juvenile probationer is, but only where a child “is alleged or suspected
6 of being a delinquent child.” *Id.*

7 {39} This limitation is also evident in the provisions of the Act, regulations, and
8 rules concerning and defining “preliminary inquiry.” As noted, Section 32A-2-7(A)
9 provides that “[c]omplaints *alleging delinquency* shall be referred to probation
10 services, which shall conduct a *preliminary inquiry* to determine the best interests of
11 the child and of the public with regard to any action to be taken.” *Id.* (emphasis
12 added); *see* Rule 10-211(A) (“Prior to the filing of a *petition alleging delinquency*,
13 probation services shall complete a *preliminary inquiry* in accordance with the
14 Children’s Code.” (emphasis added)); 8.14.2.7(Y) NMAC (defining “[p]reliminary
15 inquiry (PI)” as “a conference between the JPO, client, and parent or guardian to
16 assess whether a referral to the CCA should be made to file a *delinquency petition*”).

17 {40} Section 32A-2-7(B) requires that statutory warnings be given at “the
18 commencement of the preliminary inquiry[.]” Section 32A-2-7(B) (stating that “[a]t
19 the commencement of the preliminary inquiry, the parties shall be advised of their

1 basic rights pursuant to Section 32A-2-14” and that “[t]he child shall be informed of
2 the child’s right to remain silent”); *see* 8.14.2.9(B) NMAC (stating that “[a]t the
3 commencement of the preliminary inquiry, the [JPO] shall advise the client, parent,
4 guardian, or custodian of the client’s basic rights” and enumerating those rights).
5 Again, the Act is clear that the warning requirements do not apply generally to
6 anyone subject to the Act, but only at the commencement of a preliminary inquiry to
7 determine “whether a referral to the CCA should be made to file a delinquency
8 petition.” 8.14.2.7(Y) NMAC; *see* § 32A-2-7(A). And Rule 10-261(C)(1), applicable
9 to juvenile probation, provides that “*no* preliminary inquiry shall be conducted” in
10 connection with a petition to revoke probation. (Emphasis added.)

11 {41} The Act is equally clear that the requirement that the State “prove that [a]
12 statement or confession offered in evidence was elicited only after a knowing,
13 intelligent and voluntary waiver of the child’s constitutional rights was obtained”
14 applies only in proceedings involving an allegation that the child is a delinquent
15 child, and not generally to anyone subject to the Act. Section 32A-2-14(D).

16 {42} Although there is no doubt that the Legislature intended the Act to provide
17 certain protections to children greater than those the law affords to adults (including
18 even greater protections to younger children, *see* § 32A-2-14(F)), there is also no
19 doubt that the Act’s text indicates a legislative intention to make certain protections

1 available only where a child is suspected or alleged to be a delinquent child. These
2 textual limitations—and the result that statements inadmissible in a proceeding to
3 adjudicate criminal liability may still be admissible in a proceeding to determine
4 whether a probationer has violated conditions of probation—are rational and entirely
5 consistent with the constitutional law concerning criminal defendants, probationers,
6 and juveniles.

7 {43} As discussed above, the law recognizes a significant difference between a
8 proceeding to determine whether an already convicted individual has violated a
9 condition of probation, warranting an adjustment of a previously imposed sentence
10 (in juvenile parlance, “disposition”), and a proceeding to determine criminal liability
11 on a new charge; differences recognized by New Mexico courts. *See, e.g., Lopez,*
12 *2007-NMSC-011, ¶ 12* (“By failing to comply with probation conditions, a defendant
13 demonstrates that clemency is not appropriate because he or she is not willing or able
14 to be rehabilitated. It follows that the court must have broad power to adjust a
15 defendant’s sentence by revoking probation when necessary.”); *Gutierrez, 1995-*
16 *NMCA-018, ¶ 3* (noting that protections available in parole and probation revocation
17 proceedings do not include *Miranda*’s requirements).

18 {44} The law concerning federal constitutional protections for juveniles also
19 recognizes that constitutional protections afforded juveniles in delinquency

1 proceedings may not be available to an already-convicted juvenile probationer. *See*
2 *Gagnon*, 411 U.S. at 789 n.12 (describing *Gault* as treating a juvenile “delinquency”
3 proceeding as “functionally akin to a criminal trial” and stating that “[a] juvenile
4 charged with violation of a generally applicable statute is differently situated from an
5 already-convicted probationer or parolee, and is entitled to a higher degree of
6 protection”); *Gault*, 387 U.S. at 30 n.48 (emphasizing that “what we hold in this
7 opinion with regard to the procedural requirements at the adjudicatory stage [to
8 determine delinquency] has no necessary applicability to other steps of the juvenile
9 process”).

10 {45} The Act’s textual limitations are also consistent with the purposes of probation
11 and the role of the JPO in serving those purposes, reflected in the language of the Act
12 and regulations as well as the case law discussed above. *See, e.g., Lopez*, 2007-
13 NMSC-011, ¶ 8 (“The Legislature has granted district courts the power to revoke
14 probation when a probation condition is violated because rehabilitation, which is the
15 primary goal, is not being achieved.”); *Rivera*, 2004-NMSC-001, ¶ 24 (“The primary
16 goal of probation, which is defendant rehabilitation, may be defeated by delaying the
17 commencement of a defendant’s probationary sentence pending appeal.”);
18 *Hermosillo*, 2014-NMCA-102, ¶ 12 (“[P]robation is an act of clemency with the goal
19 of education and rehabilitation[.]”).

1 {46} The Act’s stated purposes include “provid[ing] a program of supervision, care
2 and rehabilitation[.]” Section 32A-2-2(A). The regulations define “juvenile
3 probation” as “a court-ordered sanction and disposition which places an adjudicated
4 client under the supervision and care of a [JPO],” 8.14.2.7(T) NMAC, and define
5 “JPO” as “a department staff person who[] provides court-ordered and informal
6 supervision for clients.” 8.14.2.7(U) NMAC. The Act, moreover, expressly states that
7 a JPO “does not have the powers of a law enforcement officer.” Section 32A-2-5(C).
8 We think it evident that the primary role of the JPO is to supervise juvenile
9 probationers in service of the Act’s stated purpose of “provid[ing] a program of
10 supervision, care and rehabilitation,” Section 32A-2-2(A), and that the text of Section
11 32A-2-5(C) reflects a legislative intention that the JPO perform a role in the juvenile
12 justice system separate and distinct from that of law enforcement, a distinction
13 consistent with other statutes providing that rehabilitation is the principal duty of
14 probation and parole officers. *See Rayos v. State ex rel. N.M. Dep’t of Corr.*, 2014-
15 NMCA-103, ¶ 19, 336 P.3d 428 (interpreting the adult Probation and Parole Act),
16 *cert. quashed*, 2015-NMCERT-007, 368 P.3d 2.

17 {47} Child’s contention that “[JPOs] are clearly law enforcement” is self-serving and
18 contrary to the statutory and regulatory provisions discussed above. And the broad
19 rule Child advocates could, in most circumstances, undermine, rather than serve, the

1 supervisory and rehabilitative functions of juvenile probation. Child contends that if
2 a JPO “suspects” a juvenile probationer of having committed an act in violation of a
3 probation agreement that might also constitute a delinquent act, the JPO may not
4 question the juvenile about that act without first providing statutory warnings, and
5 that a JPO’s failure to give those warnings renders inadmissible in a probation
6 revocation hearing inculpatory statements made by the juvenile to the JPO. That rule
7 is nowhere suggested, let alone stated, in the Act, regulations, or rules. The inevitable
8 consequence of such a rule, moreover, would generally transform the relationship
9 between the juvenile probationer and the JPO into an adversarial one.

10 {48} Almost any question a JPO asks in the course of supervising a probationer has
11 the potential to elicit an inculpatory statement. And the JPO may have no idea at the
12 moment the question is asked whether the probationer’s response might be
13 incriminatory as to a “delinquent act” or merely a basis for probation revocation that
14 does not amount to a delinquent act. Accordingly, if the broad rule Child advocates
15 were adopted, the JPO would be required to give statutory warnings at the
16 commencement of every encounter with the probationer, thereby making every such
17 encounter an adversarial one. Nothing in the Act suggests that the Legislature
18 intended such a result be broadly applied in all probation violation proceedings. To
19 the contrary, our review of the Act, regulation, and rules leads us to conclude that the

1 Legislature intended the relationship between juvenile probationer and JPO to be non-
2 adversarial, and chose to draw the lines and distinctions embodied in the text to
3 reflect that intention.

4 {49} Our conclusion is not altered by Section 32A-2-24(B)'s statement that (with
5 certain limited exceptions that are not relevant here) "proceedings to revoke probation
6 shall be governed by the procedures, rights and duties applicable to proceedings on
7 a delinquency petition" or the similar statement in Rule 10-261(C), "[p]roceedings
8 to revoke probation shall be conducted in the same manner as proceedings on
9 petitions alleging delinquency." The word "proceeding" as it applies in law is
10 generally understood to refer to "a lawsuit, including all acts and events between the
11 time of commencement and the entry of judgment[,] " more commonly, "[a]ny
12 procedural means for seeking redress from a tribunal or agency." *Proceeding, Black's*
13 *Law Dictionary* (10th ed. 2014). Thus, we understand these statements in the Act and
14 rule to refer to the manner in which trials and hearings are conducted in court and not
15 to events taking place before the commencement of a court proceeding.

16 {50} In addition to reading these provisions according to the ordinary meaning of
17 "proceeding," see *Bettini v. City of Las Cruces*, 1971-NMSC-054, ¶ 6, 82 N.M. 633,
18 485 P.2d 967 (stating that "[s]tatutory words are presumed to be used in their ordinary
19 and usual sense"), we see no indication that the Legislature intended this statement

1 in Section 32A-2-24(B) to override every limitation to “delinquency,” “delinquent
2 act,” and “delinquent child” we have previously identified. And even if the text of the
3 Act (and the regulations and rules) were not plain but ambiguous, statutory-
4 construction principles require that we “consider the policy implications of the
5 various constructions of the statute[.]” *Rivera*, 2004-NMSC-001, ¶ 14; interpret
6 “different legislative enactments as harmonious instead of as contradicting one
7 another,” *id.* ¶ 13 (internal quotation marks and citation omitted); construe a statute
8 “so that no part of the statute is rendered surplusage or superfluous[.]” *Javier M.*,
9 2001-NMSC-030, ¶ 32 (internal quotation marks and citation omitted); “reject[] a
10 formalistic and mechanical statutory construction when the results would be absurd,
11 unreasonable, or contrary to the spirit of the statute[.]” *State v. Smith*, 2004-NMSC-
12 032, ¶ 10, 136 N.M. 372, 98 P.3d 1022; and “favor . . . an interpretation driven by the
13 statute’s obvious spirit or reason” if adherence to the literal words would lead to
14 “injustice, absurdity or contradiction,” *State v. Trujillo*, 2009-NMSC-012, ¶ 21, 146
15 N.M. 14, 206 P.3d 125 (internal quotation marks and citations omitted). These
16 considerations mandate a reading of Section 32A-2-24(B) and Rule 10-261(C) that
17 applies only to the conduct of court proceedings, consistent with the ordinary
18 meaning of “proceeding.”

1 {51} We are aware of statements in prior cases to the effect that “an allegation of a
2 juvenile probation violation is treated as if it were a charge brought in a delinquency
3 proceeding.” *State v. Trevor M.*, 2015-NMCA-009, ¶ 7, 341 P.3d 25 (alteration,
4 internal quotation marks, and citation omitted); *State v. Erickson K.*, 2002-NMCA-
5 058, ¶ 15, 132 N.M. 258, 46 P.3d 1258 (same). Those decisions, however, addressed
6 only the conduct of court proceedings, discussing the applicability of the rules of
7 evidence (*Erickson K.*) and the right of confrontation (*Trevor M.*) at a probation
8 revocation proceeding. *Erickson K.* essentially acknowledged as much, citing Section
9 32A-2-24 as stating that “probation revocation proceedings [are] to be conducted as
10 outlined in Section 32A-2-16[,]” which governs the “[c]onduct of hearings.” *Erickson*
11 *K.*, 2002-NMCA-058, ¶ 16; *see* § 32A-2-16. Neither case, moreover, addressed rights
12 applicable *before* the commencement of a probation revocation proceeding or
13 involved an asserted right that would burden the administration of the juvenile
14 probation system as would the broad rule advocated by Child in this case. *Cf. Fare*,
15 442 U.S. at 723 (warning that *Miranda*’s requirements may not be extended in a
16 manner that “would cut [*Miranda*’s] holding . . . completely loose from its own
17 explicitly stated rationale” and “impose the burdens associated with the rule of
18 *Miranda* on the juvenile justice system and the police without serving the interests

1 that rule was designed simultaneously to protect” (internal quotation marks and
2 citation omitted)).

3 {52} In sum, applying well-settled principles of statutory construction to the facts
4 in this case, we conclude that the broad rule advocated by Child is not required by the
5 Act, regulation, or rules. *See Rivera*, 2004-NMSC-001, ¶¶ 15-24 (construing NMSA
6 1978, Section 31-11-1(A) (1988) in light of, inter alia, its history, background,
7 function within the comprehensive statutory scheme, including the state’s sentencing
8 scheme and probation statutes emphasizing constructive rehabilitation and general
9 policy considerations and concluding that these factors compelled a different
10 construction from that suggested by “[a] literal reading of Section 31-11-1(A) [which]
11 would seriously undermine” rehabilitative goals).

12 {53} We also conclude that the undisputed facts establish that the information
13 Child’s JPO had when she arranged the meeting at which Child made the statements
14 he moved to suppress—reported to her by Child’s mother, not law
15 enforcement—were that Child had been suspended from school because an officer
16 had seen him in possession of a pipe and that the JPO did not believe there was a
17 basis for “a new charge,” i.e., a delinquent act forming the basis for a delinquency
18 petition, that was separate and distinct from a probation revocation petition. We
19 emphasize that there is no evidence that the JPO arranged for the meeting because she

1 “suspected” that Child had committed a new delinquent act, as opposed to a violation
2 of a condition of his probation, and that the revocation petition did not allege that
3 Child had committed a new delinquent act but only that Child had violated several
4 conditions of his probation. Law enforcement was not present when the JPO
5 questioned Child about what Child’s mother had reported to her. Nor is there any
6 evidence that the officer who had seen Child in possession of a pipe arrested him, or
7 that a petition was considered or potentially being filed alleging a new charge of
8 delinquency based on the conduct cited in the revocation petition.

9 **3. Case Law Supports Our Interpretation of the Act**

10 {54} Our interpretation of Section 32A-2-14 is supported by recent decisions of our
11 Supreme Court that make clear that, although Section 32A-2-14 provides broad
12 protection for juveniles suspected or alleged to have committed a delinquent act,
13 those protections are limited by the Act’s text.

14 {55} In *Javier M.* our Supreme Court affirmed its understanding that “[c]ustodial
15 interrogation occurs when ‘an individual is swept from familiar surroundings into
16 police custody, surrounded by antagonistic forces, and subjected to the techniques of
17 persuasion so that the individual feels under compulsion to speak,’ ” 2001-NMSC-
18 030, ¶ 15 (alterations omitted) (quoting *Miranda*, 384 U.S. at 461); that “[c]ustodial
19 interrogation was the essential predicate to the Court’s decision in *Miranda*[,]” *id.*

1 ¶ 42; and that “[i]n the absence of custodial interrogation an officer is not
2 constitutionally mandated to give any warnings.” *Id.* While concluding that *Miranda*
3 did not apply to the police officer’s detention of the child in that case because the
4 detention “did not rise to the status of a custodial interrogation[,]” *id.* ¶¶ 21-23, the
5 Court held that Section 32A-2-14(C) does not require that a child “be subject to
6 custodial interrogation in order to be afforded the right to be advised of his or her
7 constitutional rights prior to police questioning[,]” *Javier M.*, 2001-NMSC-030, ¶ 29,
8 because “Section 32A-2-14 is not a mere codification of *Miranda*, but was intended
9 instead to provide children with greater statutory protection than constitutionally
10 mandated.” *Javier M.*, 2001-NMSC-030, ¶ 32.

11 {56} Significantly, *Javier M.* also made clear that Section 32A-2-14’s protections
12 are limited. Section 32A-2-14(C) “only protects against a child’s statements which
13 are made during an investigatory detention in response to a police officer’s
14 questioning that could not be mere administrative questions and that is intended to
15 confirm or dispel the officer’s suspicions that the child is or has committed a
16 delinquent act[,]” and where a child is subject to such investigatory detention, Section
17 32A-2-14(C) requires the officer to advise the child, prior to questioning him, only
18 of his right to remain silent and that anything he says may be used against him. *Javier*
19 *M.*, 2001-NMSC-030, ¶¶ 40-41. Section 32A-2-14’s protections, moreover, are

1 triggered only “in two circumstances: (1) after formal charges have been filed against
2 a child; and (2) when a child is seized pursuant to an investigatory detention and not
3 free to leave.” *Javier M.*, 2001-NMSC-030, ¶ 38. *Javier M.* concluded that the
4 Legislature did not intend to “hamper the traditional function of police officers in
5 investigating crime[,]” and rejected a proposed “standard of requiring warnings
6 whenever an officer asks a question which is likely to lead to an incriminating
7 response” as “a standard [that] unduly burdens a police officer’s required duties.” *Id.*
8 ¶ 39. It also held that “[a]s a prerequisite to requiring that a child be advised of his or
9 her rights under Subsection (C), the [c]hild must be either ‘alleged’ or ‘suspected’ of
10 being a *delinquent child.*” *Id.* ¶ 34 (emphasis added); *see* § 32A-2-14(C) (“No person
11 subject to the provisions of the . . . Act who is alleged or suspected of being a
12 delinquent child shall be interrogated or questioned without first advising the child
13 of the child’s constitutional rights and securing a knowing, intelligent and voluntary
14 waiver.”).

15 {57} In sum, the analysis in *Javier M.* makes clear that Section 32A-2-14(C)’s
16 requirements are triggered, not by a JPO’s suspicion that a probationer may have
17 violated a condition of probation or where the child is alleged in a revocation petition
18 to have done so, but only where a law enforcement officer questions a child based on
19 a suspicion that the child has committed a “delinquent act” or where the child is

1 alleged to have done so in a delinquency petition. *See Javier M.*, 2001-NMSC-030,
2 ¶ 47 (“By enacting Section 32A-2-14, we conclude that the Legislature intended to
3 exempt children from the general rule of self invocation by requiring that children be
4 reminded of their right not to incriminate themselves and be advised of the
5 consequences of waiving that right. Accordingly, we conclude that when a child is
6 *subject to an investigatory detention, law enforcement* must advise the child of his or
7 her right to remain silent and that if the right is waived anything that the child says
8 can be used against them in any *delinquency hearing.*” (emphasis added)). To the
9 extent Child contends that *Javier M.* mandates the rule he advocates, he is mistaken.
10 As discussed above, law enforcement did not question Child and was not even present
11 when the JPO questioned Child. Nor does this case require us to decide whether
12 Child’s statements would be admissible in a delinquency proceeding, as distinct from
13 a probation revocation proceeding.

14 {58} Child cites *Antonio T.*, 2015-NMSC-019, ¶ 20, in support of his contention that
15 “[t]here are no exceptions based on which State actor elicited the statement or at what
16 type of proceeding: if the child was suspected of a delinquent act and not free to
17 leave, the State must *always* prove a voluntary, knowing, and intelligent waiver.”
18 Child’s reliance on *Antonio T.* is also misplaced under the facts in this case.

1 {59} In *Antonio T.*, two teachers escorted the child, a seventeen-year-old high school
2 student, to the assistant principal's office on the suspicion that he had consumed
3 alcohol. *Id.* ¶¶ 2, 4. The principal questioned the child about his possession of alcohol
4 in the presence of the student resource officer, a certified law enforcement officer in
5 full uniform. *Id.* ¶ 4. While the principal questioned the child, asking the kinds of
6 questions she routinely asked in performing her job enforcing discipline at the school,
7 the officer prepared a breath alcohol test a few feet away from the child. *Id.* ¶ 5.
8 Although the officer usually questioned students suspected of drinking alcohol before
9 administering a breath test, he just listened because the principal asked questions he
10 would have asked. *Id.* The child admitted that he had consumed alcohol, that he had
11 brought the alcohol to school in a plastic bottle, and that he had disposed of the bottle
12 in a bathroom trash can. *Id.*

13 {60} While the officer administered the breath test, the results of which corroborated
14 the child's confession, the principal searched the child's backpack and found a
15 pocketknife. *Id.* ¶ 6. At the principal's request, the officer searched for the plastic
16 bottle but could not find it. *Id.* ¶ 7. When he returned, he advised the child of his
17 constitutional *Miranda* rights. *Id.* The child then answered the officer's questions
18 about the knife but refused to answer his questions about alcohol consumption. *Id.*
19 The statements the child made in response to the principal's questions were

1 documented in the officer’s police report under the heading “investigation.” *Id.* The
2 state later charged the child with possession of alcoholic beverages by a minor—a
3 delinquent act. *Id.* ¶ 3. In his subsequent delinquency proceeding, the child moved to
4 suppress his inculpatory statements on the ground that they were elicited without a
5 knowing, intelligent, and voluntary waiver of his right to remain silent, citing Section
6 32A-2-14(D). *Antonio T.*, 2015-NMSC-019, ¶ 8.

7 {61} Our Supreme Court reversed the district court’s decision (affirmed by this
8 Court) denying the motion, holding that “a school official may insist that a child
9 answer questions for purposes of school disciplinary proceedings” but that statements
10 elicited by a school official “in the presence of a law enforcement officer may not be
11 used against the child in a delinquency proceeding unless the child made a knowing,
12 intelligent, and voluntary waiver of his or her statutory right to remain silent.” *Id.* ¶ 3
13 (citing Section 32A-2-14(C), (D)). The Court concluded that the officer’s “mere
14 presence during [the principal’s] questioning of [the child] subjected [the child] to an
15 investigatory detention that triggered the statutory protections provided by Section
16 32A-2-14(C) and (D).” *Antonio T.*, 2015-NMSC-019, ¶ 11. Accordingly, Section
17 32A-2-14(C) required the officer “to advise [the child] that he had a right to remain
18 silent, and that if [the child] waived the right, anything he said could be used against
19 him in criminal delinquency proceedings[,]” and the officer’s failure to do so before

1 the principal questioned the child in his presence rendered the child’s incriminating
2 statements inadmissible under Section 32A-2-14(D). *Antonio T.*, 2015-NMSC-019,
3 ¶ 11.

4 {62} The Court made clear that, although the principal suspected the child of being
5 intoxicated at school and that this conduct constituted “a school disciplinary violation
6 that would also render him a delinquent child[,]” the principal’s suspicion and
7 investigation into the child’s alcohol consumption were insufficient to trigger Section
8 32A-2-14(C), “because [the principal] is neither a law enforcement officer nor was
9 she acting as an agent of law enforcement.” *Antonio T.*, 2015-NMSC-019, ¶ 24.

10 “Questioning a child for school disciplinary matters is distinguishable from
11 questioning a child for suspected criminal wrongdoing[,]” the Court explained, and
12 “[b]ecause maintaining security and order in schools requires a certain degree of
13 flexibility in school disciplinary procedures, we recognize the value of preserving the
14 informality of the student-teacher relationship.” *Id.* (emphasis, alteration, internal
15 quotation marks, and citation omitted). The principal “was entitled to act on her
16 suspicion and compel answers from [the child] for the purposes of school
17 discipline[,]” and “[a]bsent any agency relationship between school officials and law
18 enforcement authorities, interrogating [the child] alone in her office about school
19 disciplinary matters would not have constituted an investigatory detention.” *Id.*

1 {63} Section 32A-2-14(C) was triggered in *Antonio T.*, not because a school official
2 suspected and investigated conduct in violation of school rules, but because the
3 presence of law enforcement during a school official’s questioning about the conduct
4 of a juvenile that the law enforcement officer knew constituted a delinquent act
5 “created a coercive and adversarial environment that does not normally exist during
6 interactions between school officials and students[,]” 2015-NMSC-019, ¶ 25;
7 “converted the school disciplinary interrogation into a criminal investigatory
8 detention,” *id.* ¶ 26; and granted the law enforcement officer “access to evidence
9 necessary to prosecute criminal delinquent behavior[,]” *id.* ¶ 27. The Court
10 emphasized that its holding “should not be construed to require school administrators
11 to advise a child of his or her right to remain silent in order to use incriminating
12 statements elicited from the child against that child in school disciplinary
13 proceedings.” *Id.* ¶ 32; *see State v. Tywayne H.*, 1997-NMCA-015, ¶ 13, 123 N.M.
14 42, 933 P.2d 251 (“[T]here is a sharp distinction between the purpose of a search by
15 a school official and a search by a police officer. The nature of a . . . search by a
16 school authority is to maintain order and discipline in the school. The nature of a
17 search by a police officer is to obtain evidence for criminal prosecutions.” (internal
18 quotation marks and citation omitted)).

1 {64} Contrary to Child's apparent assumption, *Antonio T.* does not require
2 suppression of Child's inculpatory statements to his JPO in probation revocation
3 proceedings. Indeed, the reasoning of *Antonio T.* supports our conclusion that
4 statements inadmissible in a delinquency proceeding may nonetheless be admissible
5 in a probation revocation proceeding. It also supports our conclusion that the JPO's
6 questioning in this case was in furtherance of her non-adversarial supervisory role
7 and not for the purpose of collecting evidence to be used to support a new charge of
8 a delinquent act. However, the rationale of *Antonio T.* will need to be addressed
9 where the JPO's inquiries were prompted by a request from law enforcement, the
10 facts establish that the JPO was acting as an agent of law enforcement to investigate
11 a new delinquent act, or if law enforcement was present during the questioning of a
12 child. In that circumstance, whether statements made by a child are admissible in a
13 new delinquency proceeding will involve a different analysis. This case does not
14 require us to decide whether Child's statements would be admissible in a delinquency
15 proceeding; the record shows that law enforcement played no role in the JPO's
16 questioning of Child; and the JPO testified that at the time of the questioning, no new
17 criminal charge was contemplated.

18 {65} Under the rule Child advocates, because a child might be suspected or alleged
19 to have committed a delinquent act, depending on his or her answer to almost any

1 question a JPO might ask, the JPO must *always* treat every child as if he or she might
2 be suspected of having committed a delinquent act, thereby transforming a
3 relationship intended to be rehabilitative, at least in part, into an adversarial
4 relationship between law enforcement and suspect. If this is what the Legislature
5 intended, it would not have written the Act as it did. There would be no point, for
6 example to a provision stating that juvenile probation officers do not have the powers
7 of law enforcement officers.

8 {66} We conclude that fidelity to legislative intent in this instance requires that we
9 interpret the Act in a manner that preserves, rather than ignores, the lines previously
10 drawn by the Legislature in distinguishing delinquency from probation revocation
11 and the role of a JPO from the role of a law enforcement officer, and the lines
12 previously drawn in the case law concerning the circumstances required to trigger
13 Section 32A-2-14. Respect for these distinctions requires no more than acceptance
14 that some incriminating statements that may be inadmissible in delinquency
15 proceedings (to adjudicate criminal liability on a charge for which the probationer has
16 not been prosecuted) are admissible in probation revocation proceedings. This result
17 is rational and consistent with the Act's text and prior case law. We do not hold that
18 a JPO is never required to give warnings under the Act, only that, under the

1 circumstances presented here, the JPO's failure to give warnings did not render
2 Child's incriminatory statements inadmissible in a probation revocation proceeding.

3 **CONCLUSION**

4 {67} We reverse and remand.

5 {68} **IT IS SO ORDERED.**

6
7

LINDA M. VANZI, Judge

8 **WE CONCUR:**

9
10

MICHAEL D. BUSTAMANTE, Judge

11
12

TIMOTHY L. GARCIA, Judge