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1 **IN THE COURT OF APPEALS OF THE STATE OF NEW MEXICO**

2 **VANGIE ARELLANO,**

3 Plaintiff-Petitioner/Appellant,

4 v.

No. 35,274
(Consolidated with
No. 35,343)

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7 **NEW MEXICO DEPARTMENT**
8 **OF HEALTH,**

9 Defendant-Respondent/Appellee.

10 **APPEAL FROM THE DISTRICT COURT OF SANTA FE COUNTY**

11 **Raymond Z. Ortiz, District Judge**

12 The Herrera Firm, P.C.

13 Samuel M. Herrera

14 Taos, NM

15 for Appellant

16 Brennan & Sullivan, P.A.

17 Frank D. Weissbarth

18 James P. Sullivan

19 Santa Fe, NM

20 for Appellee

21 **MEMORANDUM OPINION**

22 **BUSTAMANTE, Judge.**

1 {1} Plaintiff has appealed from an order denying her motion to set aside a decision
2 by an administrative law judge (the ALJ). We previously issued a notice of proposed
3 summary disposition in which we proposed to reverse. Defendant has filed a
4 memorandum in opposition. After due consideration, we remain unpersuaded. We
5 therefore reverse and remand for further proceedings.

6 {2} The district court's denial of Plaintiff's motion was premised on its
7 determination that two preceding orders represented final determinations; and, to the
8 extent that Plaintiff had failed to appeal from those orders, further consideration of the
9 merits was foreclosed. [RP 1055-56]¹Defendant contends that the district court
10 correctly so concluded, [MIO 8] arguing that "all issues in the case had been finally
11 decided long before." [MIO 9] To the extent that Plaintiff's motion was "in substance
12 an untimely motion for reconsideration" from final judgments from which appeal was
13 not taken,[MIO 8-9] Defendant argues that the motion was properly denied without
14 consideration of the merits. We disagree.

15 {3} The first order, dismissing the State Personnel Board (SPB) as a party, was
16 entered by a different judge in the Fourth Judicial District prior to consolidation of the
17 underlying proceedings. It clearly and explicitly indicates that the dismissal was
18 premised on *Montoya v. Dep't of Fin. & Admin.*, 1982-NMCA-051, 98 N.M. 408, 649

19 ¹Except where otherwise specifically noted, RP citations are to the record
20 proper in D-101-CV-2011-03710.

1 P.2d 476. [RP for D-412-CV-2012-00238, p. 698] As such, the dismissal simply
2 represented recognition that the SPB was not an indispensable party. *Id.* ¶¶ 18-28.
3 Plaintiff was therefore under no obligation to appeal from that order to preserve her
4 right to further pursue her claims. *See id.* ¶¶ 2-4, 28.

5 {4} Defendant contends that the first order should be given broader significance
6 than the reference to *Montoya* would suggest. Defendant argues that insofar as
7 Plaintiff subsequently acknowledged that Count II was “directed against” the SPB, the
8 preceding order dismissing the SPB should be regarded as dispositive of that count in
9 its entirety. [MIO 9-10] However, the fact that Count II, which has to do with the
10 SPB’s failure to conduct timely proceedings, is directed against the SPB does not alter
11 our analysis. As *Montoya* clearly reflects, in cases such as this where the SPB has
12 acted in its quasi-judicial capacity, the SPB is not an indispensable party to a
13 subsequent appeal from the SPB’s decision, and the SPB’s absence from such an
14 appeal does not diminish an aggrieved party’s ability to challenge the course or
15 outcome of the underlying administrative proceedings. *Id.* ¶¶ 18, 28. Accordingly, the
16 Fourth Judicial District Court’s dismissal of the SPB from this case, at the SPB’s
17 request and in reliance upon *Montoya*, [RP for D-412-CV-2012-00238, p. 672-73,
18 698] cannot be regarded as a disposition of the underlying claim. Insofar as Plaintiff
19 remained at liberty to pursue her arguments relative to Court II in the SPB’s absence,

1 the district court’s apparent refusal to consider the merits of those arguments was in
2 error.

3 {5} The second order cannot be regarded as a final decision either. Although that
4 order nominally affirmed the decision of the SPB, it was clearly interlocutory in
5 nature, given that other claims remained pending. [RP 682-83, 686, 918-19] *See*
6 *generally B.L. Goldberg & Assocs., Inc. v. Uptown, Inc.*, 1985-NMSC-084, ¶ 4, 103,
7 N.M. 277, 705 P.2d 683 (“Absent certification by the trial court, the multiple claims
8 are treated as a single judicial unit, and an adjudication of any less than all of the
9 claims is not a final order.”). As such, it was not a final determination to which res
10 judicata or collateral estoppel effect could be given. *See State ex rel. Foy v. Austin*
11 *Capital Mgmt., Ltd.*, 2015-NMSC-025, ¶ 21, 355 P.3d 1 (observing that the rules of
12 res judicata are applicable only when a final judgment is rendered); *Reeves v.*
13 *Wimberly*, 1988-NMCA-038, ¶ 6, 107 N.M. 231, 755 P.2d 75 (“Collateral estoppel
14 works to bar the relitigation of ultimate facts or issues actually and necessarily decided
15 in the prior suit by a valid and final judgment.”); *and see generally Alba v. Hayden*,
16 2010-NMCA-037, ¶ 6, 148 N.M. 465, 237 P.3d 767 (observing that res judicata and
17 collateral estoppel “only apply to successive litigation and not to issues or claims
18 raised in the same proceeding”). Defendant’s assertion to the contrary, [MIO 10]
19 disregarding the pending claims, is unpersuasive.

1 {6} In our notice of proposed summary disposition we noted that the district court’s
2 reference to the law of the case might conceivably have supplied a basis for the denial
3 of Plaintiff’s motion. *See id.* ¶ 7 (describing law of the case, as a doctrine that relates
4 to litigation of the same issue within the same suit, and by which a decision on an
5 issue of law that is made at one stage of a case becomes binding precedent in
6 successive stages of the same litigation). However, we expressed our reluctance to
7 apply it here, given that the second order expressly provides that the alleged
8 procedural irregularities “**do** constitute a waiver or default[.]” [RP 683 (emphasis
9 added)] Defendant asserts that the word “not” was “inadvertently omitted” from that
10 phrase. [MIO 5] To the extent that this is so, the error requires correction. We are
11 unwilling to give the order a meaning or significance which is so completely at odds
12 with the language actually employed. *Cf. State ex rel. King v. UU Bar Ranch Ltd.*
13 *P’ship*, 2009-NMSC-010, ¶ 40, 145 N.M. 769, 205 P.3d 816 (observing that although
14 we generally presume consistency, where the district court’s determinations are
15 plainly inconsistent, we are unable to arbitrarily choose among them).

16 {7} Accordingly, for the reasons stated above and in the notice of proposed
17 summary disposition, we conclude that the denial of Plaintiff’s motion, on the grounds
18 stated, was improper. We therefore reverse and remand for further proceedings.

19 8} **IT IS SO ORDERED.**

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MICHAEL D. BUSTAMANTE, Judge

4 **WE CONCUR:**

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6 **RODERICK T. KENNEDY, Judge**

7

8 **M. MONICA ZAMORA, Judge**