[J-36-2011] IN THE SUPREME COURT OF PENNSYLVANIA EASTERN DISTRICT

CASTILLE, C.J., SAYLOR, EAKIN, BAER, TODD, McCAFFERY, ORIE MELVIN, JJ.

COMMONWEALTH OF PENNSYLVANIA, : No. 68 EAP 2010

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Appellee : Appeal from the Order of the Superior

: Court entered on April 28, 2010 at No.

: 2801 EDA 2007, vacating the Order of the: Court of Common Pleas of Philadelphia

: County, Family Division, Juvenile Branch,

: dated September 5, 2007 at No. 6130707

DECIDED: February 21, 2012

IN THE INTEREST OF M.W., : and remanding the case

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Appellant : 994 A.2d 620 (Pa. Super. 2010)

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: SUBMITTED: March 22, 2011

OPINION

MADAME JUSTICE TODD

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In this discretionary appeal, we consider whether, under Pennsylvania's Juvenile Act,¹ a juvenile court is required to enter on the record an adjudication of delinquency once it has determined the juvenile committed the acts alleged in the delinquency petition, or whether the court must make an additional finding that the juvenile is in need of treatment, supervision, or rehabilitation, prior to entering an adjudication of delinquency. Upon review, we hold that the Juvenile Act requires a juvenile court to find both (1) that the juvenile has committed a delinquent act; and (2) that the juvenile is in

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¹ 42 Pa.C.S.A. §§ 6301-6365.

need of treatment, supervision, or rehabilitation, before the juvenile court may enter an adjudication of delinquency. Accordingly, we reverse the decision of the Superior Court.

On July 28, 2007, the Commonwealth filed a delinquency petition in the Court of Common Pleas of Philadelphia County against M.W., alleging that he and another youth robbed an individual who had just left a local bar. At an adjudicatory hearing on August 14, 2007, before the Honorable Brenda Frazier-Clemons, the juvenile court found that M.W. committed the delinquent acts of robbery,² conspiracy,³ and related charges. The juvenile court deferred adjudication, however, placing M.W. on interim probation and continuing the matter to September 5, 2007. Later that same day, M.W. was adjudicated delinquent by another juvenile court judge on a separate delinquency petition, which alleged a misdemeanor theft from a motor vehicle,⁴ and M.W. was committed to St. Gabriel's Hall for treatment, rehabilitation, and supervision. Thereafter, at the September 5 hearing on the first petition, Judge Frazier-Clemons discharged the delinquency petition stemming from the robbery offense, noting that M.W. "will be adjudicated on the [theft] petition. He will still receive treatment and supervision." N.T. Hearing, 9/5/07, at 9.

The Commonwealth filed a motion for reconsideration, which was denied, and the Commonwealth appealed to the Superior Court, wherein it argued that the juvenile court abused its discretion and violated the requirements of the Juvenile Act by failing to adjudicate M.W. delinquent once it found that M.W. had committed the acts alleged in

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² 18 Pa.C.S.A. § 3701.

³ 18 Pa.C.S.A. § 903.

⁴ The Superior Court, in its opinion below, indicates that M.W. was adjudicated delinquent based on "the theft *of* a motor vehicle." <u>Commonwealth v. In the Interest of M.W.</u>, 994 A.2d 620, 621 (Pa. Super. 2010) (emphasis added). According to the record, however, the underlying charge against M.W. was theft *from* a motor vehicle, not the theft of the vehicle itself.

the delinquency petition. On April 23, 2009, a three-judge panel of the Superior Court reversed. M.W. filed a petition for reargument *en banc*, which was granted on July 1, 2009, and the Superior Court withdrew its original decision that same day. On April 28, 2010, in a published opinion, the *en banc* panel of the Superior Court reversed and remanded for entry of an adjudication of delinquency against M.W. In doing so, the Superior Court first considered the language of the Juvenile Act, stating:

Under the Juvenile Act, a juvenile proceeding may commence when a petition is filed indicating a juvenile has committed delinguent acts. See 42 Pa.C.S.A. § 6321(a)(3). . . . After the filing of a petition, the juvenile court holds an adjudicatory hearing at which evidence on the petition for delinquency is heard. "After hearing the evidence on the petition [for delinquency,] the court shall make and file its findings as to whether ... the acts ascribed to the child were committed by him." 42 Pa.C.S.A. § 6341(a). "If the court finds that ... the allegations of delinquency have not been established[,] it shall dismiss the petition and order the child discharged from any detention or other restriction theretofore ordered in the proceeding." Id. Conversely, "[i]f the court finds on proof beyond a reasonable doubt that the child committed the acts by reason of which he is alleged to be delinguent[,] it shall enter such finding on the record and shall specify the particular offenses, including the grading and counts thereof which the child is found to have committed." <u>Id.</u> § 6341(b).

After the juvenile court has entered an adjudication of delinquency on the record, the court must hold a hearing to determine a disposition which is "consistent with the protection of the public interest and best suited to the child's treatment, supervision, rehabilitation, and welfare[.]" 42 Pa.C.S.A. § 6352(a).

<u>Commonwealth v. In the Interest of M.W.</u>, 994 A.2d 620, 622 (Pa. Super. 2010) (*en banc*) (emphasis and alterations original) (case citations omitted).

The court below also considered its prior holdings in the companion cases of Inthe Interest of M.M., 870 A.2d 385 (Pa. Super. 2005), and Commonwealth v. In the Interest of D.M., 870 A.2d 383 (Pa. Super. 2005). In those cases, two juveniles committed a series of car thefts which resulted in eight separate delinquency petitions against them. The juvenile court entered adjudications of delinquency on two of the petitions, finding that the juveniles were in need of supervision, treatment, and rehabilitation. The juvenile court deferred adjudication on the remaining six petitions to allow the juveniles to perform community service and make restitution on the first two petitions. Two months later, the juvenile court dismissed the six outstanding petitions, finding the juveniles did not need additional supervision, treatment, or rehabilitation because they had already received the necessary treatment. The Commonwealth appealed, and the Superior Court determined that the juvenile court erred in dismissing the six petitions because "the Juvenile Act requires the court to adjudicate a child delinquent when it is proven that the child . . . committed the acts which formed the basis of the petition for delinquency." M.M., 870 A.2d at 388; D.M., 870 A.2d at 385.

In the instant case, the Superior Court concluded:

Based upon the plain language of the Juvenile Act and this Court's holdings in M.M. and D.M., we hold that after a petition for delinquency has been filed, the juvenile court must determine whether the juvenile committed the acts alleged in the petition. If the court finds that the juvenile has committed the acts which underlie the petition, it **must** enter an adjudication of delinquency on the record. After the entry of an adjudication of delinquency, the juvenile court must then determine whether the child requires treatment, supervision, or rehabilitation so as to protect the public interest.

M.W., 994 A.2d at 623-24 (emphasis original). Thus, the court vacated the juvenile court's dismissal of the delinquency petition and remanded the case to the juvenile court

with the instruction that the juvenile court first enter an adjudication of delinquency against M.W. on the record, and then consider M.W.'s need for treatment, supervision, or rehabilitation, and enter a separate dispositional order with respect thereto.

In a Concurring Opinion, Judge Christine Donahue agreed that, in light of the juvenile court's determination that M.W. committed the crime charged and was in need of treatment, supervision, or rehabilitation,⁵ the court "erred in failing to adjudicate M.W delinquent." Id. at 625 (Donahue, J., concurring). Judge Donahue disagreed, however, with the majority's determination "that the Juvenile Act requires an adjudication of delinquency based solely upon a finding by the trial court that the juvenile committed the acts underlying the petition." Id.⁶ Judge Donahue noted that Section 6302 of the Juvenile Act defines a "delinquent child" as "[a] child ten years of age or older whom the court has found to have committed a delinquent act and is need of treatment, supervision or rehabilitation," id. (emphasis original), and, in accordance with that definition, concluded an adjudication of delinquency requires both a finding that the child has committed the delinquent act alleged in the petition for delinquency, and a finding that the child is in need of treatment or rehabilitation.

Judge Donahue further observed that the majority quoted only the first sentence of Section 6341(b) of the Juvenile Act in support of its determination that the juvenile court is required to enter an adjudication of delinquency upon a finding that the juvenile committed the acts alleged in the petition:

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⁵ Judge Donahue's conclusion that the juvenile court found M.W. in need of treatment, supervision, or rehabilitation appears to be based on the juvenile court's statement that M.W. "was ordered to undergo treatment, supervision and rehabilitation on [the theft] petition which would address his needs and requirements," Trial Court Opinion, 4/25/08, at 3, as the juvenile court did not make an express finding in this regard on the robbery delinquency petition.

⁶ Judge Mary Jane Bowes concurred in the result of the Majority Opinion and joined Judge Donahue's Concurring Opinion.

[T]his [sentence describes] only one part of the procedure set forth by our Legislature to determine the proper treatment (if any) for children who commit acts that would be considered crimes if they were adults. After determining that the child committed the act complained of beyond a reasonable doubt, the trial court must then, either immediately or at a postponed hearing, receive evidence regarding whether the child is need of treatment, supervision or rehabilitation. If the juvenile court finds that the child is in need of treatment, supervision or rehabilitation, it must adjudicate the child delinquent and order the appropriate care and treatment in accordance with the purposes of the Juvenile Act. 42 Pa.C.S.A. § 6341(b). "If the court finds that the child is not in need of treatment, supervision or rehabilitation it shall dismiss the proceeding and discharge the child from any detention or any other restriction theretofore ordered." Id. This statutory language belies the contention that only a finding of guilt is required for an adjudication of delinguency.

ld. at 625-26.

Finally, Judge Donahue observed that, even where a juvenile is found to have committed an act that would be considered a felony if committed by an adult, the juvenile court is not required to impose treatment, supervision, or rehabilitation in all cases. Rather, she noted Section 6341(b) provides that "in the absence of evidence to the contrary, evidence of the commission of acts which constitute a felony shall be sufficient to sustain a finding that the child is in need of treatment, supervision or rehabilitation," <u>id.</u> at 622-23, suggesting that, where there is evidence that the juvenile does not need treatment, supervision, or rehabilitation, a finding that the child committed the alleged offenses does not require an adjudication of delinquency.

M.W. filed a petition for allowance of appeal with this Court, and we granted review limited to the following issue:

Does the Juvenile Act require a juvenile court to enter on the record an adjudication of delinquency once the court finds that the juvenile has committed the acts alleged in the delinquency petition, or is an additional finding that the juvenile is in need of treatment, supervision, or rehabilitation necessary to enter an adjudication of delinquency?

In the Interest of M.W., 608 Pa. 140, 10 A.3d 899 (2010) (order).

This appeal requires us to interpret the Juvenile Act; thus, our review is plenary and non-deferential. See Commonwealth v. Zortman, Pa. , 23 A.3d 519, 523-24 (2011) (questions of statutory construction present pure questions of law, over which our review is *de novo*). Upon review, we conclude the Superior Court's interpretation of the Juvenile Act was erroneous, and, consistent with the position expressed by Judge Donahue and Judge Bowes below, we hold that a juvenile court must determine (1) that the juvenile committed the delinquent acts alleged; *and* (2) that the juvenile is in need of treatment, supervision, or rehabilitation, before it may enter an adjudication of delinquency.

Under the Juvenile Act, a juvenile proceeding may be commenced, *inter alia*, by the filing of a petition alleging that the juvenile has committed delinquent acts. See 42 Pa.C.S.A. § 6321(a)(3). Once a petition has been filed, the juvenile court conducts an adjudicatory hearing at which evidence on the delinquency petition is heard. Pa.R.J.C.P. 401. Within seven days of hearing evidence on the delinquency petition, the juvenile court must determine whether the juvenile committed the acts ascribed to the child. 42 Pa.C.S.A. § 6341(a). If the juvenile court finds that the allegations of delinquency have not been established, it must dismiss the delinquency petition. Id. If, however, the court concludes the juvenile committed the delinquent acts alleged in the delinquency petition, it must enter such finding on the record, specifying the particular offense, including the grading and counts thereof, which the juvenile is found to have committed. Upon finding the juvenile committed the delinquent acts ascribed to him, the

court must then determine whether the juvenile is in need of treatment, supervision or rehabilitation; it is this requirement, and the implications thereof, which we address further below.

M.W. contends that the Superior Court's determination is inconsistent with the plain language of Section 6341(b) and the definition of delinquent child set forth in Section 6302 of the Juvenile Act. According to M.W., these sections, when read together, require that two conditions be satisfied before a juvenile court may enter an adjudication of delinquency. First, "there must be proof beyond a reasonable doubt that the child has committed a delinquent act," and second, "the child must be in need of treatment, supervision or rehabilitation." Appellant's Brief at 12 (internal quotations omitted). In support of his argument, M.W. relies on the Superior Court's decision in In the Interest of Dreslinski, 386 A.2d 81 (Pa. Super. 1978), wherein the court held that both of those conditions must be satisfied. Id. at 82.

The Commonwealth, in its brief, does not challenge M.W.'s interpretation of the Juvenile Act. Indeed, the Commonwealth concedes, "[t]he Superior Court's opinion is potentially confusing" due to the manner in which it used the term "adjudication of delinquency," and further acknowledges that the Superior Court's opinion "could lead to misapplication of the law." Commonwealth's Brief at 13-14. Thus, the Commonwealth indicates that it "does not oppose clarification" that "the Juvenile Act does not mandate a finding that a [child] who is found to have committed a delinquent act must, for that reason alone, be found to be a delinquent child." Id. at 14 (emphasis omitted). Notwithstanding the Commonwealth's agreement that the Superior Court's language requires clarification, the Commonwealth maintains that "the substance of the Superior Court's ruling need not be disturbed," Commonwealth's Brief at 14, because the juvenile court believed that M.W. was, in fact, in need of treatment, supervision, or rehabilitation.

<u>Id.</u> at 12 ("[T]he juvenile court discharged the petition without an adjudication of delinquency, not because it believed [M.W.] could do without treatment, supervision, or rehabilitation, but *because another judge had already determined that he was delinquent and committed him to a residential placement appropriate to the facts in <u>that</u> case." (emphasis original)).*

When interpreting the language of a statute, we are guided by the polestar principle that we must ascertain and effectuate the intent of the General Assembly in enacting the statute. See 1 Pa.C.S.A. § 1921(a). In so doing, we must give effect to all of the provisions. Id. Further, "[w]hen the words of a statute are clear and free from all ambiguity, the letter of it is not to be disregarded under the pretext of pursuing its spirit." Id. § 1921(b). Generally, the best indication of the General Assembly's intent in enacting a statute may be found in its plain language. Martin v. Commonwealth, Dep't of Transp., Bureau of Driver Licensing, 588 Pa. 429, 438, 905 A.2d 438, 443 (2006).

Regrettably, the Juvenile Act is not a model of clarity. However, when considered in accordance with these well-established principles of statutory construction, we conclude the Juvenile Act requires a juvenile court to find that a child has committed a delinquent act *and* that the child is in need of treatment, supervision, or rehabilitation, before the court may enter an adjudication of delinquency.

Section 6341 provides, in relevant part:

§ 6341. Adjudication

(a) General rule.—After hearing the evidence on the petition the court shall make and file its findings as to whether the child is a dependent child. If the petition alleged that the child is delinquent, within seven days of hearing the evidence on the petition, the court shall make and file its findings whether the acts ascribed to the child were committed by him. . . . If the court finds that the child is not a dependent child or that the allegations of delinquency have

not been established it shall dismiss the petition and order the child discharged from any detention or other restriction theretofore ordered in the proceeding. . . .

(b) **Finding of delinquency.—**If the court finds on proof beyond a reasonable doubt that the child committed the acts by reason of which he is alleged to be delinquent it shall enter such finding on the record and shall specify the particular offenses, including the grading and counts thereof which the child is found to have committed. The court shall then proceed immediately or at a postponed hearing, which shall occur not later than 20 days after such finding [7] if the child is in detention or not more than 60 days after such finding if the child is not in detention, to hear evidence as to whether the child is in need of treatment, supervision or rehabilitation and to make and file its findings thereon. [8] This time limitation may only be extended pursuant to the agreement of the child and the attorney for the Commonwealth. The court's failure to comply with the time limitations stated in this section shall not be grounds for discharging the child or dismissing the proceeding. In the absence of evidence to the contrary, evidence of the commission of acts which constitute a felony shall be sufficient to sustain a finding that the child is in need of treatment, supervision or rehabilitation. If the court finds that the child is not in need of treatment, supervision or rehabilitation it shall dismiss the proceeding and discharge the child from any detention or other restriction theretofore ordered.

42 Pa.C.S.A. § 6341.

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⁷ Prior to 2002, Section 6341(b) provided: "If the court finds . . . the child committed the acts . . . it shall enter such finding on the record and it shall then proceed immediately or at a postponed hearing, which shall occur not later than 20 days after *adjudication* if the child is in detention, to hear evidence as to whether the child is in need of treatment, supervision or rehabilitation and to make and file its findings thereon." 42 Pa.C.S.A. § 6341(b) (2000) (emphasis added). In 2002, the Legislature, *inter alia*, replaced the reference to "adjudication" with "such finding" in 2002. <u>See</u> Act of Dec. 9, 2002, P.L. 1705, No. 215, § 3.

⁸ Neither party in this case suggests that the hearings in this matter were not conducted within the requisite time period.

As detailed above, Section 6341 is comprised of several subsections, including subsection (a), captioned "General Rule," and subsection (b), captioned "Finding of delinquency." The first sentence of subsection (b) provides: "If the court finds on proof beyond a reasonable doubt that the child committed the acts by reason of which he is alleged to be delinquent it shall enter such finding on the record and shall specify the particular offenses." 42 Pa.C.S.A. § 6341(b). Thus, the court must first make a specific finding as to whether the child committed the acts alleged, and enter that finding on the record.

Pursuant to the second sentence of subsection (b), if the court determines that the child committed the acts alleged in the delinquency petition, the court must, within 20 days if the child is in detention or within 60 days if the child is not in detention, "hear evidence as to whether the child is in need of treatment, supervision or rehabilitation and [] make and file its findings thereon." <u>Id.</u> This is a separate and distinct finding from whether the child committed the acts alleged.

The fact that subsection (b) identifies two separate inquiries relevant to a "finding of delinquency" suggests that the juvenile court may adjudicate a child delinquent only if it finds that the child committed the acts alleged *and* that the child is need of treatment, supervision, or rehabilitation. Indeed, the Official Comment to Section 6341 confirms this construction:

This section is derived from Section 29 of the [Uniform Law Commissioners' Model Juvenile Court Act], where it is noted that, ". . . when delinquency . . . is alleged, the court must find *further* that the child is need of treatment or rehabilitation before the dispositions authorized by the Act can be resorted to."

42 Pa.C.S.A. § 6341 official cmt. (emphasis added).

Moreover, this construction is mandated by the Juvenile Act's definition of "delinquent child" in Section 6302 as "[a] child ten years of age or older whom the court has found to have committed a delinquent act *and* is need of treatment, supervision or rehabilitation." 42 Pa.C.S.A. § 6302 (emphasis added). This definition of "delinquent child" clearly is implicated in Section 6341(b.1), which pertains to the requirements for school notification "[u]pon finding a child to be a delinquent child." 42 Pa.C.S.A. § 6341(b.1).

Finally, our juvenile rules, which are to be construed to effectuate the purposes of the Juvenile Act, <u>see</u> Pa.R.J.C.P. 101(C), implement this same two-prong evaluation that a juvenile court must undertake before entering an adjudication of delinquency. Specifically, Rule 408 (Ruling on Offenses) of the Rules of Juvenile Court Procedure, provides in part:

A. Entered finding. Within seven days of hearing the evidence on the petition or accepting an admission under Rule 407, the **court** shall enter a finding by specifying which, if any, offenses, including grading and counts, alleged in the petition were committed by the juvenile.

B. Did not commit acts.

(1) If the court finds the juvenile did not commit all of the alleged delinquent acts, the court shall dismiss the petition and release the juvenile, if detained, unless there are other grounds for the juvenile's detention.

* * *

C. Committed act. If the court finds that the juvenile committed any delinquent act, the court shall proceed as provided in Rule 409.

Pa.R.J.C.P. 408. Rule 409 of the Rules of Juvenile Court Procedure, titled "Adjudication of Delinquency," then provides, in part:

- **A.** Adjudicating the juvenile delinquent. Once the court has ruled on the offenses as provided in Rule 408, the court shall conduct a hearing to determine if the juvenile is in need of treatment, supervision, or rehabilitation.
- (1) Not in need. If the court determines that the juvenile is not in need of treatment, supervision, or rehabilitation, the court shall enter an order providing that:
 - (a) Jurisdiction shall be terminated and the juvenile shall be released, if detained, unless there are other reasons for the juvenile's detention; and
 - (b) Any fingerprints and photographs taken shall be destroyed.

(2) In need.

- (a) If the court determines that the juvenile is in need of treatment, supervision, or rehabilitation, the court shall enter an order adjudicating the juvenile delinquent and proceed in determining a proper disposition under Rule 512.
- (b) The court also shall order the law enforcement agency that submitted the written allegation [to take certain steps].

Pa.R.J.C.P. 409(A). The comment to Rule 409 clarifies that "[t]his rule addresses adjudicating the juvenile delinquent or releasing the juvenile from the court's jurisdiction. This determination is different from finding the juvenile committed a delinquent act under Rule 408." Pa.R.J.C.P. 409 cmt.

Our Rules of Juvenile Court Procedure 408 and 409 thus align with and fully support our conclusion that, under the Juvenile Act, in order to adjudicate a child delinquent, the juvenile court must (1) determine that the juvenile has committed a delinquent act, *and* (2) determine that the juvenile requires treatment, supervision, or

rehabilitation. A determination that a child has committed a delinquent act does not, on its own, warrant an adjudication of delinquency.⁹

In light of the above, we are constrained to conclude the Superior Court erred in its interpretation of the Juvenile Act and the requirements for an adjudication of delinquency thereunder. To the extent the Superior Court's decisions in <u>M.M.</u> and <u>D.M.</u> are inconsistent with our holding, they are hereby disapproved.

Accordingly, we reverse the Superior Court's order directing the juvenile court, upon remand, to enter an adjudication of delinquency against M.W. Instead, the juvenile court should, on remand, first determine whether M.W. is in need of treatment, supervision, or rehabilitation. If the juvenile court finds that M.W. is so in need, only then should the court enter an adjudication of delinquency. If, however, the court concludes that M.W. is not in need of treatment, supervision, or rehabilitation, it should dismiss the proceeding, terminate jurisdiction, and discharge M.W.

Order reversed. Case remanded. Jurisdiction relinquished.

Messrs. Justice Saylor and Eakin and Madame Justice Orie Melvin join the opinion.

Mr. Justice Baer files a concurring opinion in which Mr. Chief Justice Castille and Mr. Justice McCaffery join.

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⁹ This is so even where the delinquent act constitutes a felony because, while the commission of such an act presumptively supports a finding that the juvenile is in need of treatment and supervision (and thus can be adjudicated delinquent), the juvenile court must still make that finding after allowing for other evidence. 42 Pa.C.S.A. § 6341(b) ("In the absence of evidence to the contrary, evidence of the commission of acts which constitute a felony shall be sufficient to sustain a finding that the child is in need of treatment, supervision or rehabilitation.").