rel: 10/30/2015

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SUPREME COURT OF ALABAMA

OCTOBER TERM, 2015-2016

1131472

Ex parte W.F., W.L.C., and R.J.J.

PETITION FOR WRIT OF CERTIORARI
TO THE COURT OF CRIMINAL APPEALS

(In re: W.F., W.L.C., and R.J.J.

v.

State of Alabama)

(Lowndes Circuit Court, CC-13-35; CC-13-36; CC-13-37; CC-13-38; CC-13-39; CC-13-40; CC-13-41; CC-13-42; and CC-13-43;

Court of Criminal Appeals, CR-13-1188)

R.J.J., W.L.C., and W.F. (hereinafter referred to collectively as "the petitioners") were convicted in the Lowndes Circuit Court of hunting after dark, hunting from a public road, and hunting with the aid of an automobile. The Court of Criminal Appeals affirmed their convictions by an unpublished memorandum. W.F. v. State (No. CR-13-1188, Aug. 22, 2014), ___ So. 3d ___ (Ala. Crim. App. 2014) (table). We granted their petition for a writ of certiorari to review the Court of Criminal Appeals' decision.

I. Facts and Procedural History

On January 3, 2013, the petitioners, who were juveniles at the time, met at R.J.J.'s house. They loaded groceries into a truck with the intent of taking the groceries to a hunting cabin in Lowndes County belonging to a relative of one of the petitioners. W.F. brought his new AR-15 rifle along and placed it in the backseat of the truck. According to R.J.J., he and the other two petitioners are "gun enthusiasts," and W.F. brought the rifle along for "[s]afety and protection." R.J.J., who has a permit to carry a concealed weapon in Alabama, testified that he carries a gun wherever he goes, including when he travels at night. He further testified that the

magazine for W.F.'s rifle was on the truck's front center console.

At approximately 6:30 or 6:45 p.m., the petitioners left R.J.J.'s house. R.J.J. drove, W.F. was in the front passenger seat, and W.L.C. was in the backseat. They intended to drop the groceries off at the cabin and then return immediately to R.J.J.'s house because R.J.J.'s parents had ordered pizza for them.

After the petitioners had driven for approximately 15 or 20 minutes, R.J.J. pulled up to a stop sign on Brown Hill Road at the intersection of Brown Hill Road and Highway 29. W.L.C. and R.J.J. testified that the truck came to a complete stop and that they waited at the stop sign to allow a car traveling south on Highway 29 to pass through the intersection before proceeding. W.L.C. testified that the windows of the truck were up at the time and that no shots were fired from the truck. Both R.J.J. and W.L.C. testified that W.F.'s rifle was not fired at any time that night.

Russell Morrow, a retired conservation and enforcement officer for the State of Alabama and a reserve deputy for the Lowndes County Sheriff's Department, lives on Brown Hill Road.

Morrow testified that the area is frequented by wildlife, including deer. After nightfall at approximately 7:00 p.m. on January 3, 2013, Morrow was standing next to his vehicle near his house. From that vantage point, he could see a truck stopped at the stop sign approximately 140 yards away. The left turn signal on the truck was engaged. Morrow could not see any individuals through the windows of the truck. Morrow testified, in contradiction to W.L.C. and R.J.J., that there was no southbound traffic on Highway 29 at the time.

Morrow testified that "the truck sat there and sat there. Then I heard two high powered rounds go off from the vehicle." Morrow has 25 years of experience in law enforcement and testified that he was familiar with the sound of a firearm. Morrow believed, but did not know for sure, that the sounds came from the driver's side of the truck. Morrow did not see a muzzle flash and could not see any intended target.

After he heard the shots, the truck turned left and headed south on Highway 29. Morrow got into his own vehicle and followed the truck as it traveled south on Highway 29 and then onto another road before stopping at a gate outside the hunting cabin. The petitioners got out of the truck to open

the gate, and Morrow got out of his vehicle. Morrow and the petitioners provided different accounts of the conversation that ensued.

Morrow testified that he asked the petitioners "what they were shooting at" and that they denied shooting at anything. Morrow testified that one of the petitioners "said that it was firecrackers" that Morrow had heard but that, when Morrow asked the petitioners to show him the firecrackers, the petitioners "said it wasn't firecrackers" and acknowledged that they had a rifle in the truck.

W.L.C., however, testified that Morrow "yelled at us," saying "that we shot something back at the stop sign or he said we shot the stop sign, shot a deer." According to W.L.C., Morrow repeatedly stated that the petitioners had fired a weapon while the truck was stopped at the intersection, and the petitioners denied doing so. R.J.J. testified that Morrow first accused the petitioners of shooting a pistol at a stop sign, but that then Morrow "kind of changed it" and asked "where was the deer, and things of that nature." R.J.J. testified that Morrow asked where the pistol was and that R.J.J. said that they had a rifle. W.L.C. testified that

Morrow asked the petitioners if they had a gun in the vehicle and that the petitioners said that they did. Morrow told the petitioners that he was going to call the sheriff's department.

The petitioners unlocked the gate and drove up to the cabin. They locked the gate behind them to prevent Morrow from following them. From outside the gate, Morrow could see movement and "lights where they were going in and out" of the cabin, but he could not make out what the petitioners were doing. W.L.C. testified that he and the other petitioners were unloading the groceries from the truck and placing them inside the cabin. Morrow said that the petitioners remained in or around the cabin for 30 minutes.

While the petitioners were at the cabin, Morrow contacted Lowndes County Sheriff John Williams, who dispatched two deputies to the cabin. Before the deputies arrived, the petitioners pulled the truck up to the gate as if to leave. Morrow blocked the gate and told the petitioners that they could not leave until the sheriff deputies arrived. Deputy Reginald McKitt and Deputy Andrew Bryant were the deputies who responded to the scene. Deputy McKitt testified that

approximately 30 minutes had passed from the time he was dispatched until he arrived at the cabin. Morrow told Deputy McKitt that he had heard gunshots coming from the petitioners' truck when the truck was stopped on Brown Hill Road. Deputy McKitt asked the petitioners if there was a weapon in the truck, and they confirmed that there was. Deputy McKitt asked for permission to search the truck, and the petitioners consented to the search.

Deputy McKitt discovered W.F.'s unloaded rifle, with no magazine inserted, in the rear floorboard of the truck. Although Morrow testified that the rifle was equipped with a flash suppressor, R.J.J. said that the rifle did not have a flash suppressor but that it did have "a compensator that reduces kick." The deputies testified that the rifle smelled as though it had been cleaned with cleaning fluid, and Morrow opined that the rifle had been "freshly wiped down with a solvent." W.L.C. testified that the rifle was not cleaned that night.

Deputy McKitt testified that he could not determine if the weapon had been fired recently. He further testified that he did not find a magazine in the truck. However, R.J.J. and

W.L.C. testified that the magazine was in the truck and that the magazine contained tracer rounds. According to W.L.C., "tracers are a phosphorous tip that, when shot from a gun, it glows. You can see it travel like a line through the sky —through the air, especially at night." Morrow testified that he had not seen the alleged shots.

Morrow asked the deputies to perform a computer check of the serial number of the rifle, and he assisted them in locating the number. Deputy McKitt testified that "nothing came back in the system about the weapon." The rifle was returned to the truck, and all parties left the scene. Morrow testified that, in his opinion, an AR-15 rifle firing ammunition out of the window of a vehicle would have thrown the shell casings back into the vehicle. Deputy McKitt did not find any shell casings in the truck. The next morning, Morrow searched for but found no shell casings in the area near the stop sign at the intersection of Brown Hill Road and Highway 29.

On January 7, 2013, Morrow signed out arrest warrants against the petitioners for hunting after dark, hunting from a public road, and hunting with the aid of an automobile. The

Lowndes Circuit Court granted the petitioners' request to be tried as youthful offenders and consolidated their cases for trial. The circuit court held a bench trial at which Morrow, the deputies, W.L.C., and R.J.J. testified. At the close of the State's evidence, the petitioners moved for a judgment of acquittal, arguing that the State failed to prove their guilt beyond a reasonable doubt. The State opposed the petitioners' motion on the ground that it had established a prima facie case of the petitioners' guilt under Rogers v. State, 491 So. 2d 987 (Ala. Crim. App. 1985). The circuit court denied the petitioners' motion. At the close of all the evidence, the petitioners again moved for a judgment of acquittal, which the court again denied.

At the conclusion of the trial, the circuit court found the petitioners guilty of hunting at night in violation of \$ 9-11-235, Ala. Code 1975, hunting from a public road in

¹Section 9-11-235 provides, in pertinent part:

[&]quot;It shall be unlawful, except as to trapping as otherwise provided by law, for a person to take, capture, or kill, or attempt to take, capture, or kill any bird or animal protected by the laws of this state between sunset and daylight of the following day, except that the Commissioner of Conservation and Natural Resources may by a duly promulgated regulation, allow the taking, catching,

violation of \S 9-11-257, Ala. Code 1975, and hunting with the

or killing of raccoons or opossums between sunset and daylight in any county or counties within the state. ...

"Any person violating this section shall be guilty of a Class B misdemeanor and, upon conviction thereof, shall be punished for the first offense by a fine of not less than two thousand dollars (\$2,000) nor more than three thousand dollars (\$3,000) and may be imprisoned in the county jail for a period not to exceed six months. In addition, the court shall revoke all hunting license privileges for a period of three years from the date of conviction."

²Section 9-11-257 provides:

"Any person, except a duly authorized law enforcement officer acting in the line of duty or person authorized by law, who hunts or discharges any firearm from, upon, or across any public road, public highway, or railroad, or the right-of-way of any public road, public highway, or railroad, or any person, except a landowner or his or her immediate family hunting on land of the landowner, who hunts within 50 yards of a public road, public highway, or railroad, or their rights-of-way, with a centerfire rifle, a shotgun using slug or shot larger diameter than manufacturer's standard designated number four shot, or a muzzleloading rifle .40 caliber or larger in this state, shall be quilty of misdemeanor and, upon conviction, shall be punished for the first offense by a fine of not less than one thousand dollars (\$1,000), and shall be punished for the second and each subsequent offense by a fine of not less than two thousand dollars (\$2,000) and shall have all hunting privileges revoked for one year from the date of conviction."

aid of an automobile in violation of Rule 220-2-.11(1), Ala. Admin. Code (Department of Conservation and Natural Resources). The circuit court ordered each of the petitioners to pay \$4,000 in fines plus court costs, revoked their hunting privileges for 3 years, and sentenced them to 6 months in the Lowndes County jail for the night-hunting conviction, 30 days for the hunting-with-the-aid-of-an-automobile conviction, and 30 days for the hunting-from-a-public-road conviction, the sentences to be served concurrently. The circuit court suspended the petitioners' jail sentences and placed them on unsupervised probation for two years. The petitioners moved for an arrest of judgment and a judgment of acquittal or, in the alternative, a new trial. That motion was denied by operation of law.

The petitioners appealed their convictions and the denial of their postjudgment motion to the Court of Criminal Appeals.

The Court of Criminal Appeals unanimously affirmed the

³Rule 220-2-.11(1) provides:

[&]quot;It shall be unlawful to concentrate, drive, rally, molest or to hunt, take, capture or kill or attempt to hunt, take, capture or kill any bird or animal from or by the aid of

[&]quot;(1) Any automobile"

judgment of the circuit court in an unpublished memorandum and denied the petitioners' application for rehearing. The petitioners then petitioned this Court for a writ of certiorari. We granted the petition to consider the argument that the decision of the Court of Criminal Appeals affirming their convictions conflicts with Alabama precedent requiring the State to prove (1) every element of an offense and (2) that the accused acted with a culpable mental state.

II. Standard of Review

"A motion for a judgment of acquittal tests the legal sufficiency of the evidence." <u>State v. Grantland</u>, 709 So. 2d 1310, 1311 (Ala. Crim. App. 1997).

"'In determining the sufficiency of the evidence to sustain a conviction, a reviewing court must accept as true all evidence introduced by the State, the State all legitimate inferences therefrom, and consider all evidence in a light most favorable to the prosecution. Faircloth v. State, 471 So. 2d 485 (Ala. Cr. App. 1984), aff'd, 471 So. 2d 493 (Ala. 1985).' Powe v. State, 597 So. 2d 721, 724 (Ala. 1991). It is not the function of this Court to decide whether the evidence is believable beyond a reasonable doubt, Pennington v. State, 421 So. 2d 1361 (Ala. Cr. App. 1982); rather, the function of this Court is to determine whether there is legal evidence from which a rational finder of fact could have, by fair inference, found the defendant quilty beyond a reasonable doubt. Davis v. State, 598 So. 2d 1054 (Ala. Cr. App. 1992). Thus, '[t]he role of appellate courts is not to say what

the facts are. [Their role] is to judge whether the evidence is <u>legally</u> sufficient to allow submission of an issue for decision [by] the [fact-finder].' <u>Exparte Bankston</u>, 358 So. 2d 1040, 1042 (Ala. 1978) (emphasis original)."

III. Discussion

The petitioners challenge the sufficiency of the State's evidence. They contend that the State produced no evidence indicating that the petitioners had in their possession an artificial light suitable for night hunting and that the State produced tenuous evidence indicating that the petitioners were in an area frequented by protected wildlife. The petitioners also contend that the State's circumstantial evidence failed to link the petitioners to the shots allegedly fired and that evidence of two shots fired could not support three hunting convictions. Finally, the petitioners contend that the State failed to prove that the petitioners had the intent to hunt.

The State suggests that the petitioners are improperly challenging the weight of the evidence on appeal. According to the State, the circuit court was in the best position to make the findings that the headlights on the truck were suitable for night hunting and that the area where the shots were allegedly fired was frequented by wildlife. The State also argues that the determination of the petitioners' intent was best left to the circuit court as the finder of fact.

In opposing the petitioners' motion for a judgment of acquittal made at the close of the State's evidence, counsel for the State argued:

"And, Judge, under Rogers v. State [, 491 So. 2d 987 (Ala. Crim. App. 1985)], a prima facie case for night hunting is established when the State demonstrates that the accused is in an area where ... deer or other protected animals are thought to frequent, has in their possession a weapon or other device suitable for taking, capturing, or killing an animal protected by State law at night and where the appella[nt] was discovered after dark in an area inh[a]bited by deer having in his possession a rifle suitable for taking deer, that the evidence of guilty intent is sufficient under Rogers v. State for that.

"The other charges were hunting from a public road, which he has testified as to and the Court can take judicial notice as to Highway 29 and Brown Hill Road being a public road here in Lowndes County.

"Also, hunting from a vehicle, he has testified as to the vehicle. So the actual taking is not required, Judge, to show a prima facie case. Just the frequented area that has protected animals, the possession of a weapon, in this case, also a vehicle, and it being after dark. And he's testified to the shots being fired from the vehicle."

As demonstrated by counsel's argument, the State pursued a theory of liability based on <u>Rogers v. State</u>, 491 So. 2d 987 (Ala. Crim. App. 1985), in which the Court of Criminal Appeals stated:

"We find, based upon the language of § 9-11-235, Code of Alabama 1975, that a prima facie case for night hunting is established when the state demonstrates that the accused (1) is in an area which deer or other protected animals are thought to frequent, (2) has in his possession a light, and (3) has in his possession a weapon or other device suitable for taking, capturing, or killing an animal protected by state law, (4) at night."

491 So. 2d at 990 (opinion on return to remand). The State introduced evidence to show that, at night and in an area frequented by "protected animals," the petitioners had in their "possession" "a light," i.e., the headlights or left turn signal on the truck and that they had in their "possession" W.F.'s rifle. Under Rogers, the State is not required to show that an accused fired a weapon: mere possession of a weapon and a light at night in an area

frequented by wildlife constitutes sufficient proof of night hunting. Therefore, evidence indicating that shots were fired from the truck in which the petitioners were traveling, though referenced by the State on appeal, is not necessary to support the State's theory of culpability under <u>Rogers</u>.

Although only § 9-11-235 (night hunting) was before the Court of Criminal Appeals in Rogers, the State in this case relied on Rogers as support for the charges that the petitioners violated § 9-11-257 (hunting from a public road) and Rule 220-2-.11(1) (hunting with the aid of an automobile). Having offered evidence under Rogers to establish that the petitioners were hunting after dark, the State sought to establish prima facie cases of the other charges by introducing evidence of an additional fact to support each respective charge: Specifically, the State produced evidence indicating that the petitioners were in an automobile and on a public road at the time they "possessed" the rifle and light at night in an area frequented by wildlife. The State thereby invoked Rogers to support all three hunting charges.

However, the State argues to this Court, as it argued to the Court of Criminal Appeals, that the evidence also

supported a finding that each of the petitioners was guilty of aiding and abetting the others in the commission of the charged offenses. "A person is legally accountable for the behavior of another constituting a criminal offense if, with the intent to promote or assist the commission of the offense [h]e aids or abets such other person in committing the offense" § 13A-2-23(2), Ala. Code 1975. "For one to be convicted as an aider or abettor, evidence showing an offense to have been committed by a principal is necessary, although it is not required that the principal be convicted or even his identity established." Evans v. State, 508 So. 2d 1205, 1207 (Ala. Crim. App. 1987).

"Complicity is a theory for imposing criminal culpability, for which aiding and abetting may be an element."

Ex parte Farrell, 591 So. 2d 444, 447 (Ala. 1991). In the circuit court, however, the State did not pursue a complicity theory by attempting to prove that each of the petitioners rendered assistance to the others in the commission of hunting offenses. Rather, the State pursued a theory under Rogers by seeking to prove that each petitioner was in possession of a weapon and a light at night in an area frequented by wildlife.

The State may not advance a new legal theory on appeal that it failed to argue below. Ex parte Knox, supra. Thus, evidence that one of the petitioners contrived a story about firecrackers when questioned by Morrow, while potentially relevant to an aiding-and-abetting theory, is not relevant to the theory the State pursued in the circuit court. The sufficiency of the State's evidence in this case stands or falls on Rogers.

In evaluating the State's reliance on Rogers, we must consider whether the elements of a prima facie case of night hunting as stated in Rogers are consistent with the night-hunting statute. The night-hunting statute, § 9-11-235, makes it a class B misdemeanor to "take, capture, or kill, or attempt to take, capture, or kill any bird or animal protected by the laws of this state between sunset and daylight of the following day." (Emphasis added.) Thus, under the statute, attempting to hunt after dark is equivalent to the completed offense of night hunting. Clearly, under the facts here, where there is no evidence indicating that a protected bird or animal was taken, captured, or killed, the State could prove only an attempt.

"A person is guilty of an attempt to commit a crime if, with the intent to commit a specific offense, he does any overt act towards the commission of such offense." § 13A-4-2(a), Ala. Code 1975. "An attempt to commit a crime consists of three elements: First, the intent to commit the crime; second, the performance of some overt act towards the committing of the crime; and, third, the failure to consummate the crime." Ard v. State, 358 So. 2d 792, 793 (Ala. Crim. App. 1978). The elements of night hunting as set out in Rogers do not include the taking, capturing, or killing of a protected bird or animal. Consequently, the theory of liability advanced in Rogers, if valid, requires only a showing that the accused attempted to take, capture, or kill a protected bird or animal at night.

Therefore, the dispositive issue before us is whether merely possessing a weapon and a light at night in an area frequented by wildlife, without any other attendant circumstances, constitutes attempted hunting at night, a violation of § 9-11-235. Although one guilty of criminal attempt must intend to commit the crime, the elements of night hunting as set out in <u>Rogers</u> do not include a culpable mental

state. Thus, the validity of <u>Rogers</u> depends on whether the elements set out in that case establish both (1) an overt act toward the commission of night hunting and (2) specific intent to hunt at night.

We consider whether possessing a light and a weapon suitable for hunting, at night and in an area frequented by wildlife, is an overt act toward the commission of hunting after dark. In Minshew v. State, 594 So. 2d 703 (Ala. Crim. App. 1991), the Alabama Court of Criminal Appeals considered whether there was sufficient evidence to sustain a defendant's conviction for attempted murder. After repeatedly threatening to kill the victim, the defendant was later discovered hiding behind a truck in the driveway of the victim's residence with a loaded .357 magnum derringer. The court referenced both the defendant's prior threats and his possession of a loaded firearm as sufficient indicia of his intent to kill. Also, because of the defendant's prior threats, "[t]he jury was therefore warranted in drawing the conclusion that his intent was to kill, and by lying in wait, armed, at [the victim's] residence, he had performed an overt act towards the effectuation of that intent." 594 So. 2d at 712.

Minshew considered evidence indicating that the defendant possessed a firearm in a place that might yield the opportunity to commit a crime in light of all the attendant circumstances; Rogers, however, authorizes trial courts to consider similar evidence in a vacuum. Whereas the defendant's prior threats in Minshew helped make his subsequent conduct an overt act toward the commission of a criminal offense, the Court of Criminal Appeals in Rogers determined that certain conduct <u>always</u> qualifies as an overt act, <u>regardless</u> of the attendant circumstances. We must determine whether the conduct specified in Rogers, taken by itself, satisfies Alabama's criterion of an overt act toward the commission of a criminal offense necessary to constitute an attempt in every conceivable situation.4

⁴Although the soundness of <u>Rogers</u> presents this Court with a question of law and not of fact, we are mindful that the facts of the instant case exemplify the extreme to which the theory of liability in <u>Rogers</u> may be pushed. <u>Rogers</u> involved a defendant, with a gun slung over his shoulder, circling a pasture on his motorcycle and using the headlight of the motorcycle to pan the pasture. When a conservation officer attempted to stop the defendant, the defendant gunned the motorcycle and sped to a nearby house. He went inside and removed his shirt, shoes, and socks in an apparent attempt to create the impression that he had not been out of the house. The defendant was charged with and convicted of hunting at night. Here, by contrast, the State sought to establish that riding down a public road in a truck with a weapon inside

"An 'overt act' is more than 'mere intention' or 'preparation' to commit a specific crime. Whiddon v. State, 53 Ala. App. 280, 283, 299 So. 2d 326, 329-30 (1973). 'The attempt is complete and punishable, when an act is done with intent to commit the crime, which is adapted to the perpetration of it, whether the purpose fails by reason of interruption, or for other extrinsic cause. The act must reach far enough towards the accomplishment of the desired result to amount to the commencement of consummation.' Id. (Emphasis added.)"

Ex parte A.T.M., 804 So. 2d 171, 174 (Ala. 2000). "'Preparation alone is not sufficient. Something more is required than mere menace, preparation, or planning.'" Minshew, 594 So. 2d at 709 (quoting Whiddon v. State, 53 Ala. App. 280, 283, 299 So. 2d 326, 329-30 (1973)). To prove an attempt, the State must show that the defendant "made any move" to perform activity constituting the core of the underlying offense. A.T.M., 804 So. 2d at 174.

In <u>Ex parte James</u>, 468 So. 2d 889 (Ala. 1984), this Court considered whether a defendant convicted of first-degree robbery had committed an overt act toward the commission of attempted theft. Attempted theft requires "some overt act in

constituted "possession" of a weapon, and that, if the turn signal or headlights of the truck were engaged, the occupant was in "possession" of a light for purposes of night hunting. We consider Rogers as it may be applied to any situation, and we do so in light of its illustrative application to the relevant circumstances of the instant case.

furtherance of a completed taking away or carrying away of the personal property of another." 468 So. 2d at 891. Like attempted night hunting and completed night hunting, attempted theft and completed theft are one and the same offense. See § 13A-8-40, Ala. Code 1975.

The defendant in <u>James</u> told the assistant manager of a Winn-Dixie grocery store that another man standing at the register had a gun, and he instructed the assistant manager to "do as he says." 468 So. 2d at 890. The Court held that the defendant's statement did not constitute attempted theft because "it appears that no property was touched, that the defendant did not attempt to touch any, and that defendant did not ask for, or even remotely allude to, any property." 468 So. 2d at 891.

Rogers is inconsistent with the requirement of A.T.M. and with our application of that requirement in <u>James</u>—that one committing criminal attempt make some move to perform the activity forming the core of the underlying offense. Although the core of theft is asportation of property, the core of night hunting is the taking, capturing, or killing of a protected bird or animal. Merely traveling in a vehicle with

a weapon through the habitat of protected wildlife at night falls short of making a move that amounts to "the commencement of consummation" of the offense. A.T.M., 804 So. 2d at 174. Such acts may be "remote preparatory acts not reasonably in the chain of causation," but they do not constitute attempt. Huggins v. State, 41 Ala. App. 548, 550, 142 So. 2d 915, 917 (1962).

In stating the prima facie elements of night hunting in Rogers, the Court of Criminal Appeals set a lower evidentiary standard of proof than does the night-hunting statute itself. However, the constitutional doctrine of separation of powers precludes the judiciary from changing a criminal offense. Beck v. State, 396 So. 2d 645, 662 (Ala. 1980). "[A] court may explain the language [in a statute], but it may not detract from or add to the statute" Water Works & Sewer Bd. of

There must be some showing of intent to commit the crime alleged. For example, to be convicted of the crime of possession of burglar's tools, § 13A-7-8, Ala. Code 1975, it is "insufficient to establish that he had a felonious intent" to show that a defendant merely possesses "common hand tools such as a hammer, a screwdriver, pliers, etc., which could facilitate a forcible entry into premises." McGlon v. State, 504 So. 2d 745, 746 (Ala. Crim. App. 1987). See also id., 504 So. 2d at 746 (emphasizing it is "the intention to use the 'explosive, tool, instrument or other article' in the commission of a 'forcible entry' or 'theft by a physical taking'" that is "the most important element of the offense").

Selma v. Randolph, 833 So. 2d 604, 607 (Ala. 2002) (quoted with approval in City of Prichard v. Balzer, 95 So. 3d 1, 3 (Ala. 2012)). Appellate courts are "'not at liberty to rewrite statutes.'" Walker v. Montgomery Cnty. Bd. of Educ., 85 So. 3d 1008, 1012 (Ala. Civ. App. 2011) (quoting Ex parte Carlton, 867 So. 2d 332, 338 (Ala. 2003)).

Because we determine that the conduct specified in <u>Rogers</u> as constituting a prima facie case of night hunting does not, by itself and in every case, constitute an overt act toward the commission of the offense of night hunting, we need not determine whether such conduct creates the reasonable inference that the actor intends to hunt after dark. The theory of night hunting crafted by the Court of Criminal Appeals in <u>Rogers</u> is inconsistent with the statute making night hunting a criminal offense.

This Court has never relied upon <u>Rogers</u>. Indeed, this Court reversed the judgment of the Court of Criminal Appeals in the only decision that has referenced <u>Rogers</u>. In <u>Phillips</u> <u>v. State</u>, 771 So. 2d 1061 (Ala. Crim. App. 1998), the Court of Criminal Appeals held that hunting over a baited field, a violation of § 9-11-244, Ala. Code 1975, is a strict-liability

offense with no requirement of a culpable mental state. The court noted that <u>Rogers</u> similarly did not require proof of a culpable mental state for the offense of night hunting. We reversed that court's judgment in <u>Ex parte Phillips</u>, 771 So. 2d 1066 (Ala. 2000), holding that a culpable mental state is an element of hunting over a baited field in the absence of an express statement in the statute to the contrary. See § 13A-2-4(b), Ala. Code 1975 ("A statute defining a crime, unless clearly indicating a legislative intent to impose strict liability, states a crime of mental culpability.").

Because our review in the instant case presents this Court with its first opportunity to address Rogers, we are not going to decline to pass upon the validity of that case merely because it is assumed by the parties. See Travelers Indem. Co. of Connecticut v. Miller, 86 So. 3d 338, 347 (Ala. 2011) (expressly overruling a case without being asked to do so because the case was an "aberration" upon which this Court had not sufficiently relied, and reversing the judgment of the court below). Accordingly, we decline to follow Rogers.

In determining whether, in the absence of <u>Rogers</u>, the State made out a prima facie case of each of the charged

hunting offenses, the applicable standard is substantial evidence: Whether the convictions are supported by substantial evidence, i.e., "evidence of such weight and quality that fair-minded persons in the exercise of impartial judgment can reasonably infer the existence of the fact sought to be proved." American Nat'l Fire Ins. Co. v. Hughes, 624 So. 2d 1362, 1366-67 (Ala. 1993) (quoting West v. Founders Life Assurance Co. of Florida, 547 So. 2d 870, 871 (Ala. 1989)). The State has failed to prove the offenses by substantial evidence.

The State invoked <u>Rogers</u> as the basis of <u>all</u> the hunting charges against the petitioners. Because we reject <u>Rogers</u> today, and because the State has failed to present substantial evidence that the petitioners are guilty of the charged hunting offenses, all of the petitioners' convictions must be vacated. As noted previously, the State did not try the case below on the theory that each of the petitioners aided and abetted one another in the commission of the charged hunting offenses. That theory, therefore, is no longer available to the State, because "the Double Jeopardy Clause bars retrial when a conviction is reversed solely on the basis of an

insufficiency of the evidence." <u>Lindley v. State</u>, 728 So. 2d 1153, 1157 (Ala. 1998). We therefore reverse the judgment of the Court of Criminal Appeals and remand for that court to instruct the circuit court to vacate the petitioners' convictions and sentences and to enter a judgment acquitting the petitioners of all charges.

REVERSED AND REMANDED.

Parker and Wise, JJ., concur.

Murdock and Bryan, JJ., concur in the result.

Stuart, Bolin, Shaw, and Main, JJ., dissent.

MURDOCK, Justice (concurring in the result).

The elements of illegal hunting (or attempted illegal hunting) as described in Rogers v. State, 491 So. 2d 987 (Ala. Crim. App. 1985), do not equate to the elements of the offenses described in §§ 9-11-235 and 9-11-257, Ala. Code 1975, and Rule 220-2-.11(1), Ala. Admin. Code (Department of Conservation and Natural Resources), specifically in relation to the elements of intent and an overt act. I agree that this Court should not follow Rogers. I concur in the result reached by the main opinion in this case.

STUART, Justice (dissenting).

I respectfully dissent from the majority's decision to reverse the judgment of the Court of Criminal Appeals affirming the convictions of W.F., W.L.C., and R.J.J. (hereinafter referred to collectively as "the petitioners") for various hunting offenses. The main opinion states:

"We granted the petition to consider the argument that the decision of the Court of Criminal Appeals affirming their convictions conflicts with Alabama precedent requiring the State to prove (1) every element of an offense and (2) that the accused acted with a culpable mental state."

____ So. 3d at ____. The judgment of the Court of Criminal Appeals affirming the trial court's denial of the petitioners' motion for a judgment of acquittal does not conflict with precedent requiring the State to present sufficient evidence of every element of the offenses of night hunting, hunting from a public road, and hunting from a vehicle to sustain the convictions. The Court of Criminal Appeals recognized that to establish a prima facie case of those offenses the State must present evidence of each element of the offenses, including the requisite culpable mental state; conducted a review of the evidence to determine whether the State had presented sufficient evidence of every element of the offenses; and

concluded that the evidence in the record established a prima facie case that the petitioners did engage in night hunting, hunting on a public road, and hunting from a vehicle.

The main opinion states:

"In determining whether, in the absence of Rogers [v. State, 491 So. 2d 987 (Ala. Crim. App. 1985)], the State made out a prima facie case of each of the charged hunting offenses, the applicable standard is substantial evidence: Whether the convictions are supported by substantial evidence, i.e., 'evidence of such weight and quality that fair-minded persons in the exercise of impartial judgment can reasonably infer the existence of the fact sought to be proved.' American Nat'l Fire Ins. Co. v. Hughes, 624 So. 2d 1362, 1366-67 (Ala. 1993) (quoting West v. Founders Life Assurance Co. of Florida, 547 So. 2d 870, 871 (Ala. 1989))."

___ So. 3d at ___.

I believe the following is a more complete statement of the applicable law:

"When a motion [for judgment of acquittal] is made on the ground that the State has failed to establish a prima facie case, it is the duty of the trial court to determine the sufficiency of the to evidence sustain conviction under а indictment. In its determination, the trial court should consider only the evidence before the [trier of fact] at the time the motion is made and must consider it most favorably to the State. When there is legal evidence from which the [trier of fact] could, by fair inference, find the defendant guilty [of the offense charged], the trial court should submit [the case to the trier of fact determination]. ..."

Andrews v. State, 473 So. 2d 1211, 1213-14 (Ala. Crim. App. 1985). Moreover, "[i]n dealing with the sufficiency of the evidence no conviction should be had upon guesswork and suspicion, but must be based upon substantial evidence as to every material element of the crime of such a character as to convince a fair and impartial jury of the guilt of the accused."

Blue v. State, 246 Ala. 73, 79, 19 So. 2d 11, 16 (1944).

When a defendant moves for a judgment of acquittal at the close of the State's case, at the close of all the evidence, or in a posttrial motion, the defendant is asking the trial court to determine, as a matter of law, whether the State presented sufficient evidence of each element of the charged offense to sustain a conviction. A conviction is based upon evidence supporting a finding that the defendant violated a statutory offense. The elements the State must prove to sustain a conviction are provided by the legislature in the statute defining the offense, not by the judiciary in caselaw and not by counsel in argument. As the main opinion recognizes, "the constitutional doctrine of separation of powers precludes the judiciary from changing a criminal

offense. <u>Beck v. State</u>, 396 So. 2d 645, 662 (Ala. 1980)." So. 3d at . Therefore, because an appellate court is "'"not at liberty to rewrite statutes,"'" So. 3d at , and "'may not detract from or add to the statute,'" So. 3d at , the elements of an offense can be found only in the statute charging the offense and cannot be changed by caselaw. In other words, although an appellate court may interpret a statute defining an offense, it cannot change the elements required to prove the offense. The sufficiency of the State's evidence to sustain a conviction does not stand or fall on proof of the elements of an offense as defined in caselaw; the sufficiency of the State's evidence to sustain a conviction stands or falls on the proof of the elements of the offense as provided by the legislature in the statute. Thus, a trial court, when determining whether the State has presented sufficient evidence of each element of an offense to sustain conviction, must determine if the State presented substantial evidence of each element of the offense as the offense is defined in the statute. Appellate review of the trial court's decision likewise rests upon whether the State presented sufficient evidence of the elements of the offense

as defined by the statute. See <u>Ex parte Bankston</u>, 358 So. 2d 1040, 1042 (Ala. 1978) ("The role of appellate court is not to say what the facts are. Our role ... is to judge whether the evidence is <u>legally</u> sufficient to allow submission of an issue for decision [by the trier of fact].").

reviewing a conviction based circumstantial evidence, this court must view that evidence in the light most favorable to the prosecution. The test to be applied is whether the [the trier of fact] might reasonably find that the evidence excluded every reasonable hypothesis except that of guilt; not whether such evidence excludes every reasonable hypothesis but quilt, but whether a [trier of fact] might reasonably so conclude. United States v. Black, 497 F.2d 1039 (5th Cir. 1974); United States v. McGlamory, 441 F.2d 130 (5th Cir. 1971); <u>Clark v. United States</u>, 293 F.2d 445 (5th Cir. 1961).

"'"(W)e must keep in mind that the test to be applied is not simply whether in the opinion of the trial judge or the appellate court the evidence fails to exclude every reasonable hypothesis but that of guilt; but rather whether the [trier of fact] might so conclude. Harper v. United States, 405 F.2d 185 (5th Cir. 1969); Roberts v. United States, 416 F.2d 1216 (5th Cir. 1969). The procedure for appellate review of the sufficiency of the evidence has been aptly set out in Odom v. United States, 377 F.2d 853, 855 (5th Cir. 1967):

"'"'Our obligation, therefore, is to examine the record to determine whether there is any theory of the evidence from which the [trier of fact] might have excluded every hypothesis except guilty beyond a reasonable doubt.

Rua v. United States, 5 Cir., 1963, 321 F.2d 140; Riggs v. United States, 5 Cir., 1960, 280 F.2d 949. In Judge Thornberry's words,

"'""... the standard utilized by this Court is not whether in our opinion the evidence and all reasonable inferences therefrom failed to exclude every hypothesis other than quilt, but rather whether there was evidence from which the [trier of fact] might reasonably conclude." Williamson v. United States, 5th Cir., 1966, $\overline{365}$ F.2d 12, 14. (Emphasis supplied)"'

"'"The sanctity of the [trier-of-fact] function demands that this court never substitute its decision for that of the [trier of fact]. Our obligation is [to] examine the welter of evidence to determine if there exists any reasonable theory from which the [trier of fact] might have concluded that the defendant was guilty of the crime charged." McGlamory, 441 F.2d at 135 and 136.'

"Cumbo v. State, 368 So. 2d 871, 874-75 (Ala. Crim. App. 1978)."

<u>Salva v. State</u>, 885 So. 2d 231, 236-37 (Ala. Crim. App. 2003).

The petitioners were charged with hunting at night, a violation of § 9-11-235, Ala. Code 1975; hunting from a public road, a violation of § 9-11-257, Ala. Code 1975; and hunting with the aid of an automobile, a violation of Rule 220-2-.11(1), Ala. Admin. Code (Department of Conservation and Natural Resources).

The legislature defined the offense of night hunting as follows:

"It shall be unlawful, except as to trapping as otherwise provided by law, for a person to take, capture, or kill, or attempt to take, capture, or kill any bird or animal protected by the laws of this state between sunset and daylight of the following day, except that the Commissioner of Conservation and Natural Resources may by a duly promulgated regulation, allow the taking, catching, or killing of raccoons or opossums between sunset and daylight in any county or counties with the state. ...

"Any person violating this section shall be guilty of a Class B misdemeanor and, upon conviction thereof, shall be punished for the first offense by a fine of not less than two thousand dollars (\$2,000) nor more than three thousand dollars (\$3,000) and may be imprisoned in the county jail for a period not to exceed six months. In addition, the court shall revoke all hunting license privileges for a period of three years from the date of conviction.

"No provision of this section shall be construed to prohibit the nighttime hunting of foxes with dogs."

§ 9-11-235, Ala. Code 1975.

The legislature defined the offense of hunting from a public road as follows:

"Any person, except a duly authorized law enforcement officer acting in the line of duty or person authorized by law, who hunts or discharges any firearm from, upon, or across any public road, public highway, or railroad, or the right-of-way of any public road, public highway, or railroad, or any person, except a landowner or his or her immediate family hunting on land of the landowner, who hunts within 50 yards of a public road, public highway, or railroad, or their rights-of-way, with a centerfire rifle, a shotgun using slug or shot larger diameter than manufacturer's standard designated number four shot, or a muzzleloading rifle .40 caliber or larger in this state, shall be quilty of misdemeanor and, upon conviction, shall be punished for the first offense by a fine of not less than one thousand dollars (\$1,000), and shall be punished for the second and each subsequent offense by a fine of not less than two thousand dollars (\$2,000)and shall have all hunting license privileges revoked for one year from the date of conviction."

9-11-257, Ala. Code 1975.

The offense of hunting with the aid of an automobile is defined as follows:

"It shall be unlawful to concentrate, drive, rally, molest or to hunt, take, capture or kill or

attempt to hunt, take, capture or kill any bird or animal from or by the aid of

"(1) Any automobile"

Rule 220-2-.11(1), Ala. Admin. Code (Department of Conservation and Natural Resources).

Because the foregoing statutes and rule do not include an express statement of mens rea, § 13A-2-4(b), Ala. Code 1975, provides the culpable mental state, stating:

"Although no culpable mental state is expressly designated in a statute defining an offense, an appropriate culpable mental state may nevertheless be required for the commission of that offense, or with respect to some or all of the material elements thereof, if the proscribed conduct necessarily involves such culpable mental state. A statute defining a crime, unless clearly indicating a legislative intent to impose strict liability, states a crime of mental culpability."

In Ex parte Phillips, 771 So. 2d 1066 (Ala. 2000), this Court addressed whether evidence of a culpable mental state was required to sustain a conviction for hunting on a baited field, see § 9-11-244, Ala. Code 1975. Like the statutes and the rule defining the offenses of night hunting, hunting on a public road, and hunting from a vehicle, the statute defining hunting on a baited field does not designate a culpable mental state. In Ex parte Phillips, this Court held that application

of § 13A-2-4(b), Ala. Code 1975, required that evidence of a "low level of mental culpability" be presented to sustain a conviction for hunting on a baited field. This Court held that the evidence must show that the defendant "either knew or should have known that the area over which he was hunting was baited." 771 So. 2d at 1068.

Reading §§ 9-11-235, 9-11-257, Rule 220-2-.11(1), and § 13A-2-4(b) in pari materia, a person commits the offenses of night hunting, hunting on a public road, and hunting from a vehicle when a person knew or should have known that he was taking, capturing, or killing, or attempting to take, capture, or kill a protected bird or animal at night on a public road and from a vehicle. Thus, to establish a prima facie case of night hunting on a public road and from a vehicle, the State must present evidence that the defendant 1) knowingly 2) engaged in the taking, capturing, or killing or in the attempt to take, capture, or kill 3) a protected bird or animal 4) from a vehicle 5) located on a public road 6) at night.

 $^{^6}$ It is worthy of noting that the legislature when defining the offense of taking deer at night in § 9-11-251, Ala. Code 1975, specifically included as an element of the offense the use of a light. Section 9-11-251 provides: "It shall be unlawful for any person, firm or corporation to take, capture or kill deer at night in Alabama by any means or device,

A review of the record establishes that the State presented sufficient evidence to prove that the petitioners were hunting at night from a vehicle on a public road. Russell Morrow, the retired conservation and enforcement officer for the State of Alabama and a reserve deputy for the Lowndes County Sheriff's Department, testified that he saw the petitioners, stopped on a public road at night, in a vehicle with its left turn signal and headlights engaged; that he heard two high-powered shots fired from inside the vehicle; that the rural area in which the petitioners had stopped the vehicle was frequented by wildlife; that the weapon retrieved from the petitioners' vehicle after they had been in the hunting cabin for 30 minutes smelled like it had been recently cleaned; and that, when he asked the petitioners what they were shooting at, the petitioners initially denied shooting at anything, then stated that they were shooting firecrackers, and later recanted, admitting that they had a rifle in the truck. Morrow's testimony that he heard shots fired from the

including but not limited to the use of any type of light." Unlike the offense of taking deer at night, the legislature did not include in its definition of the offense of night hunting the use of a light as a means of taking, capturing, or killing or attempting to take, capture, or kill a protected bird or animal.

vehicle is evidence indicating that the petitioners knowingly engaged in an overt act toward the commission of the offenses. Evidence of the requisite mens rea may also be inferred from Morrow's testimony that the petitioners initially stated that they were shooting firecrackers, their subsequent admission to having a rifle in the truck, and the testimony that the rifle had recently been cleaned. From the foregoing evidence, albeit circumstantial, the trial court, as the fact-finder, could have concluded that the State had proven all the elements of night hunting from a vehicle on a public road to support the petitioners' convictions.

 $^{^{7}}$ In <u>Ward v. State</u>, 610 So. 2d 1190, 1191-92 (Ala. Crim. App. 1992), the Court of Criminal Appeals stated:

[&]quot;Circumstantial evidence is not inferior evidence, and it will be given the same weight as direct evidence, if it, along with the other evidence is susceptible of a reasonable inference pointing unequivocally to the defendant's guilt. Ward v. State, 557 So. 2d 848 (Ala. Cr. App. 1990). In reviewing a conviction based in whole or in part on circumstantial evidence, the test to be applied is whether the jury might reasonably find that the evidence excluded every reasonable hypothesis except that of guilt; not whether such evidence excludes every reasonable hypothesis but guilt, but whether a jury might reasonably so conclude. Cumbo v. State, 368 So. 2d 871 (Ala. Cr. App. 1978), cert. denied, 368 So. 2d 877 (Ala. 1979)."

Because an analysis of the evidence in the record supports the judgment of the Court of Criminal Appeals that the trial court properly concluded that the State presented sufficient evidence of each element of the charged offenses to sustain the petitioners' convictions, I respectfully dissent from the decision to reverse the judgment of the Court of Criminal Appeals and to order that the petitioners' convictions be vacated.

Bolin, J., concurs.