CERTIFIED FOR PUBLICATION

IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA FIRST APPELLATE DISTRICT DIVISION THREE

ENVIRONMENTAL LAW FOUNDATION,

Plaintiff and Appellant,

v.

WYKLE RESEARCH, INC.,

Defendant and Respondent.

A109252

(San Francisco County Super. Ct. No. 316647)

The Environmental Law Foundation (ELF) appeals from a summary judgment dismissing its complaint under the California Safe Drinking Water and Toxic Enforcement Act of 1986, Health and Safety Code section 25249.5 et seq. (Proposition 65 or the Act), against Wykle Research, Inc. (Wykle). ELF contends the trial court erred in holding that the warning of mercury toxicity Wykle includes with the dental amalgam it manufactures comes within the "safe harbor" provisions of the regulations issued under Proposition 65, conclusively establishing that the warning is "clear and reasonable" as required by Health and Safety Code section 25249.6. Whatever shortcomings there may be in the present regulatory scheme to ensure that dental patients are advised of the possible risks arising from the presence of mercury in the amalgam, we conclude that the trial court correctly determined that Wykle has satisfied its obligations under the current statutory and regulatory scheme.

Background

Wykle is a Nevada corporation, with no manufacturing facilities in California, which manufactures and distributes to California dentists filling material, or amalgam, used to restore teeth after the removal of tooth decay. The amalgam contains mercury, about which there is a long-standing dispute as to whether its presence creates a risk to

the health and safety of a patient in whose mouth the filling material is placed. The history and contours of "the dental amalgam controversy" are well summarized in *Consumer Cause, Inc. v. SmileCare* (2001) 91 Cal.App.4th 454, 457-461. Whether or not patients are in fact exposed to potentially significant levels of mercury or mercury vapors during the filling process or from subsequent leakage of mercury into the oral cavity, the State of California has classified mercury and mercury compounds, pursuant to Health and Safety Code section 25249.8, as chemicals known to the state to cause reproductive toxicity. (Cal. Code Regs., tit. 22, § 12000, subd. (c).) This designation invokes the mandate of Proposition 65 that "No person in the course of doing business shall knowingly and intentionally expose any individual" to the chemical "without first giving clear and reasonable warning to such individual." (Health & Saf. Code, § 25249.6.)

ELF's complaint against Wykle, like those it brought against other manufacturers and distributors of dental amalgam, almost all of which have been resolved by settlement, alleges that Wykle has failed to provide clear and reasonable warnings, violating Health and Safety Code section 25249.6 and constituting an unlawful business practice within the meaning of Business and Professions Code section 17200. The complaint alleges that Wykle's "mercury products expose both the dental patients treated with these products and the dental office workers using such products to toxic mercury and mercury vapor, presenting a known risk of birth defects and other adverse reproductive effects."

Wykle has included with all amalgam shipped into California since 1993 a warning intended to comply with Proposition 65. The warning was originally placed on the outside of each box of capsules, and was later included on an insert placed inside each package. Over time the insert has gone through a series of revisions. Since September 1998 the warning has been included on a two-columned insert entitled "Instructions for Self-activating Capsules," a copy of which is attached as an appendix to this opinion. The left column contains a section captioned "Instructions," followed by "Helpful Hints." The major portion of the right column is the "Material Data Safety Sheet" (MSDS) for the product, as required by the hazard communications standard established pursuant to the federal Occupational Safety and Health Act (see 29 U.S.C. § 655; 29 C.F.R.

§ 1910.1200(g) (2005).) This portion of the insert, which is identified as the MSDS in particularly small type that hardly sets it apart from the balance of the insert, consists of nine numbered sections, the heading for each of which is emphasized by placing it between horizontal lines across the length of the column. The nine sections are entitled, respectively, "Product Identification," "Hazardous Ingredients," "Physical Data," "Fire and Explosion Data," "Health Hazard Data," "Reactivity Data Stability," "Spill and Disposal Procedures," "Safe Handling and Use Information," and "Special Precautions." At the top of the right column, immediately prior to the MSDS, is a paragraph headed "ADA Specifications," which reads as follows:

"ADA SPECIFICATIONS: Wykle Research, Inc. amalgam is guaranteed to comply with ANS/ADA Specification No. 1 for Alloy of Dental Amalgam. Mercury complies with the requirements of ISO 1560 - Dental Mercury. PRECAUTIONS: In accordance with the ADA specifications, we issue the following warning for the types of amalgam which contains zinc: This alloy contains zinc and the amalgam made therefrom may show excessive corrosion and expansion if moisture is introduced during the mixing and condensing. In compliance with the California Safe Drinking and Water and Toxic Enforcement Act of 1986, the following warning is issued: *WARNING: This product contains a chemical known to the State of California to cause birth defects or other reproductive harm.*" (Italics added.)

The italicized warning is the portion that Wykle contends satisfies the requirements of Proposition 65.

All of the text on the insert is in relatively small print, but the Proposition 65 warning is in no smaller type than used throughout the insert. The Proposition 65 message begins with the capitalized word "WARNING," which is in the same size font as the words "ADA SPECIFICATIONS' and "PRECAUTIONS" that precede the information relating to the specifications of the American Dental Association (ADA). The entire insert appears on one side of a single page.

In August 2002, the trial court granted Wykle's motion for summary judgment, "on the grounds that pursuant to Proposition 65, Wykle did in fact provide clear and reasonable Proposition 65 warnings to dentists because all of its dental amalgam sold in California for all relevant periods of time contained package inserts that contained the

Proposition 65 'safe harbor' warning language. *See* 22 Cal. Code Regs, § 12601." The trial court's order went on to observe that "by operation of the doctrine of informed consent, dentists who implant dental amalgam in their patient's teeth must provide their patients with all information material to their treatment, including Proposition 65 warnings" so that the package inserts provide "a clear and reasonable warning to the patient as a matter of law."

ELF moved for reconsideration of this order based on the contention that a recent amendment to the regulations² precluded reliance on the informed consent doctrine to uphold the sufficiency of warnings given to the dentists rather than to their patients. The trial court granted reconsideration but reaffirmed its original holding, observing that the adequacy of the informed consent doctrine to ensure that the warning reached the patient was but "an ancillary issue" to whether the warning came within the safe harbor provisions of the regulations. Thereafter, judgment was entered and ELF timely filed notice of appeal.

Discussion

Proposition 65 "provides that '[n]o person in the course of doing business shall knowingly and intentionally expose any individual to a chemical known to the state to cause cancer or reproductive toxicity without first giving *clear and reasonable warning* to such individual ' (Health & Saf. Code, § 25249.6, italics added;) Such warning 'need not be provided separately to each exposed individual and may be provided by general methods such as labels on consumer products, . . . posting of notices, placing notices in public news media, and the like, *provided that the warning* accomplished *is clear and reasonable*.' ([Health & Saf. Code,] § 25249.11, subd. (f), italics added.)" (*Ingredient Communication Council, Inc. v. Lungren* (1992) 2 Cal.App.4th 1480, 1484, fn. omitted.) Section 25249.12 of the Health and Safety Code

¹ All references to section 12601 are to California Code of Regulations, title 22, section 12601.

² The recent amendment to the regulations is the addition of subdivision (b)(2)(B) of section 12601.

authorizes the lead agency designated by the Governor to "adopt and modify regulations, standards, and permits as necessary to conform with and implement the provisions of this chapter and to further its purposes." Pursuant to this authority, implementing regulations have been promulgated by what is now the Office of Environmental Health Hazard Assessment (OEHHA). Section 12601 of these regulations addresses the subject of "clear and reasonable warnings."

Section 12601, subdivision (a) provides in general terms as follows: "Whenever a clear and reasonable warning is required under Section 25249.6 of the Act, the method employed to transmit the warning must be reasonably calculated, considering the alternative methods available under the circumstances, to make the warning message available to the individual prior to exposure. The message must clearly communicate that the chemical in question is known to the state to cause cancer, or birth defects or other reproductive harm. " Subdivision (b) of section 12601 goes on to provide the so-called safe harbor provisions for consumer products exposures³: "Warnings for consumer products exposures which include the methods of transmission and the warning messages as specified by this subsection shall be deemed to be clear and reasonable." The methods of transmission that qualify for safe harbor recognition are specified in subdivision (b)(1): "The warning may be provided by using one or more of the following methods singly or in combination: [¶] (A) A warning that appears on a product's label or

³ Section 12601 distinguishes between consumer products exposures (*id.*, subd. (b)), occupational exposures (*id.*, subd. (c) and environmental exposures (*id.*, subd. (d)). (See also Cal. Code Regs, tit. 22, § 12102, subd. (i).) Although ELF's complaint might be understood to allege that Wykle fails to give the necessary warning for both consumer products exposures and occupational exposures, in seeking summary judgment Wykle pointed out that the federal Occupational Safety and Health Administration has ruled that the occupational exposure warning provisions of Proposition 65 cannot be enforced against out-of-state manufacturers of workplace products. (62 Fed.Reg. 31159, 31166, 31180 (June 6, 1997).) ELF did not take issue with this proposition but contended in the trial court that the exposure of California dental workers to mercury vapor from Wykle's dental amalgam constitutes a form of "environmental exposure," which includes all exposures that are not consumer products exposures or occupational exposures. (§ 12601, subd. (d).) On appeal, however, ELF argues only that Wykle fails to give the warnings required for consumer products exposures.

ELF's challenge to the sufficiency of Wykle's warning is essentially twofold. The principal thrust of its argument is that Wykle has not chosen to utilize the safe harbor method of providing notice that is best suited to reach the patients who will be exposed to its product. "Wykle can seek to avail itself of the 'safe harbor' regulations describing methods of warnings," it argues, "but it cannot choose the one least calculated actually to achieve the goal: warn the exposed individual. While Wykle's chosen method falls far short of being the 'clear and reasonable warning' the statute requires, Wykle easily could

⁴ Section 12601, subdivision (b)(1)(C) includes "[a] system of signs, public advertising identifying the system and toll-free information services, or any other system, that provides clear and reasonable warnings." (See *Ingredient Communication Council, Inc. v. Lungren, supra,* 2 Cal.App.4th at p. 1485.) Subdivision (b)(1)(D) provides considerably more detailed requirements for alcoholic beverages.

⁵ Section 12601, subdivision (b)(4) reads in part: "The warning message must include the following language: [¶] [¶] (B) For consumer products that contain a chemical known to the state to cause reproductive toxicity: [¶] 'WARNING: This product contains a chemical known to the State of California to cause birth defects or other reproductive harm.'"

have taken advantage of another of the regulation's 'safe harbor' provisions by giving dentists a sign to place in their office with a Proposition 65 warning."

This argument misperceives the effect of the safe harbor provisions. If a warning message in the words specified in the regulation is given in one of the methods specified by the regulation, the warning "shall be deemed to be clear and reasonable." (§ 12601, subd. (b).)⁶ The warning may be provided "by using one or more" of the methods specified. (§ 12601, subd. (b)(1).) These "safe harbor" warning schemes are "so called because their use constitutes compliance with the Act without the necessity of a case-bycase factual determination." (Ingredient Communication Council, Inc. v. Lungren, supra, 2 Cal.App.4th at p. 1485.) If a party subject to the requirements of Proposition 65 provides a warning in one of the specified safe harbor methods, it need not determine the efficacy of the chosen method or whether some other method would be more effective. "Since the Act imposes civil liability where a warning is found not to be clear and reasonable," the revised final statement of reasons for adopting section 12601 recites, "the Agency has concluded that it is necessary to provide businesses with an opportunity to be certain that the warning which they give is reasonable or clear, or both \dots [\P] \dots The 'safe harbor' is offered simply to provide the businesses choosing to use them reasonable certainty that they will not be subjected to an enforcement action over the warning they provide." (OEHHA, Rev. Final Statement of Reasons (Oct. 6, 1988) pp.7-8; 22 Cal. Code Regs. Div. 2, § 12601, Clear and Reasonable Warnings.) Section 12601 was not intended "to establish a hierarchy of required warnings, just 'safe harbors.'

-

⁶ As used in the regulations, the method of transmission relates to the reasonableness of the warning, whereas the content of the message relates to its clarity. According to the Revised Final Statement of Reasons for the regulations, "There are two elements to any warning: the manner in which the warning is presented, and the message by which the warning is communicated. The term 'reasonable' appears to have been intended to apply to the first element. The manner of transmission must be reasonable. The term 'clear', on the other hand, appears to have been intended to refer to the message which the warning must convey. Therefore, in order for a warning to be clear and reasonable, the manner of transmission must be reasonable, and the message employed must be sufficiently clear to communicate the warning." (OEHHA, Rev. Final Statement of Reasons (Oct. 8, 1988) p. 2; see also OEHHA, Final Statement of Reasons (July 2002) p. 2.)

Further, a hierarchy would imply that the warning method used under the Act be more than reasonable; that it must be the best method. This is not the case. Warnings must simply be clear and reasonable." (*Id.* at p. 11.) "[A] manufacturer does not have to use the best warning method to comply with Proposition 65." (*People ex. rel. Lungren v. Cotter & Co.* (1997) 53 Cal.App.4th 1373, 1394.) Hence, whether or not posting signs in dental offices would be a more dependable means of warning patients than including inserts in the packages that may be read only by the dentists or their assistants, Wykle has fulfilled its obligations if the insert that accompanies its product comes within any of the safe harbor provisions.

In this light, the disagreement between the parties over the ability to rely on dentists to warn their patients of the risks of mercury exposure is, as the trial court correctly observed, "an ancillary issue." There are two potential sources of an obligation of a dentist installing mercury-containing amalgam in a patient's mouth to warn the patient of any risk of reproductive toxicity. The first is Proposition 65 itself. Health and Safety Code section 25249.6 places the duty to give a clear and reasonable warning upon any person who in the course of doing business knowingly and intentionally exposes another person to a chemical determined by the state to cause cancer or reproductive toxicity. Every dentist is a "person" within the meaning of the statute (Health & Saf. Code, § 25249.11, subd. (a)), but a "person in the course of doing business" does not include one who employs fewer than 10 employees in his or her business (id., subd. (b)). Many dentists therefore may not be subject to the mandate of the statute. Nonetheless, the professional duty of the dentist to obtain the patient's informed consent before performing dental procedures may require the dentist to make such disclosure to the patient. (Cobbs v. Grant (1972) 8 Cal.3d 229, 241-245; Warren v. Schecter (1997) 57 Cal.App.4th 1189, 1200.) In the case of prescription drugs, the regulations expressly recognize "the prescriber's accepted practice of obtaining a patient's informed consent" as sufficient to ensure that drug labeling provided under federal law is deemed clear and reasonable. (§ 12601, subd. (b)(2)(A).) And the newly adopted provision upon which ELF's motion for reconsideration was based similarly acknowledges "the accepted

practice of obtaining the patient's informed consent" as ensuring that a warning is clear and reasonable in the case of exposures resulting from emergency or urgent medical or dental care in defined circumstances. (§ 12601, subd. (b)(2)(B).)⁷ Yet, as ELF argues and OEHHA's response to comments to the proposed amendment acknowledged, there may well be situations in which Proposition 65 warnings "might not otherwise be provided or required under other regulatory schemes or principles" such as the tort doctrine of informed consent. (OEHHA, Final Statement of Reasons (July 2002) p. 6.) Since those dentists with fewer than 10 employees are not subject to the mandate that they transmit Proposition 65 warnings to their patients, ELF undoubtedly is correct that it may not be assumed that Wykle's transmission of warnings to dentists ensures that the warning will be conveyed to all dental patients. What this proves, however, is only that for warnings included with medical and dental supplies sold to medical providers, one of the safe harbor methods of transmission may be insufficient to guaranty that the warnings reach the consumer/patient. This may suggest a need for revisions to the statute or to the present regulations—a matter not within the province of the court, and as to which we express no opinion—but it does not support the conclusion that a manufacturer who complies with the current safe harbor provisions is not protected from liability if alternative methods of transmission would be more effective.

ELF's second ground of attack, expressed in various ways throughout its brief, is that the warning on Wykle's insert is not sufficiently conspicuous to provide assurance

⁷ ELF argues that because the addition to the regulation was limited to emergency care, rather than to the provision of all medical devices as originally proposed, the new provision reflects a determination by OEHHA that a medical provider's duty to obtain informed consent may not be relied upon to ensure that a notice is clear and reasonable and reaches the patient in other than the specified emergency situations. However, OEHHA explained that it restricted the amendment to such situations because "there are over 4,000 separate categories of medical devices, as reported by the petition, and . . . there was no supportable basis for adopting special provisions . . . for all medical device exposures." (OEHHA, Final Statement of Reasons (July 2002) p. 7.) OEHHA said nothing to indicate that application of any of the safe harbor methods of transmission to providers of medical devices or other medical or dental supplies was dependant on a showing that physicians or dentists would, because of the doctrine of informed consent or otherwise, in fact relay the warnings to their patients.

that it will be read by those who receive it. ELF acknowledges that the warning language is taken verbatim from the safe harbor regulation (§ 12601, subd. (b)(4)(B)), but contends that its size and placement on the Instructions for "Self-Activating Capsules" fails to satisfy section 12601, subdivision (b)(3). As set forth above, this paragraph specifies that "[t]he warnings provided pursuant to subparagraph[] (1)(A) . . . shall be prominently placed upon a product's . . . labeling . . . with such conspicuousness, as compared with other words, statements, designs, or devices in the . . . labeling . . . as to render it likely to be read and understood by an ordinary individual under customary conditions of purchase or use." Subdivision (b)(3) of section 12601 thus effectively blurs the bright-line otherwise provided by the safe harbor provisions. As urged by one party in a different context, "even the pure safe harbor methods are not actually clear and reasonable per se because those methods must still be applied in a reasonable manner, as determined on a case-by-case basis. Labels and shelf signs bearing the prescribed text may still be inadequate if presented unreasonably." (Ingredient Communication Council, Inc. v. Lungren, supra, 2 Cal. App. 4th at pp. 1491-1492.) In response to this assertion, the court in *Ingredient Communication Council, Inc.* observed that the party was "mak[ing] too much of the threshold requirement that safe harbor labels and shelf signs bearing the approved text must be easily noticeable. Such determination is a very narrow one for a reviewing tribunal, necessitating the submission of little evidence beyond the label or sign itself." (*Id.* at p. 1492.)

While acknowledging that Wykle's warning "tracks the language of the regulation," ELF refers disparagingly to the "single sentence stating that an unnamed substance in the product may cause birth defects or reproductive harm." ELF asserts that this message is not sufficient to apprise the dentist, as a learned intermediary, of the specific risks that should be communicated to the patient. "This cryptic sentence does not give enough information to exercise the independent judgment that underlies the doctrine of informed consent and its related doctrine of the learned intermediary." Nothing in section 12601 prohibits warnings more expansive than those specified in the regulation (see *id.*, subd. (a)). But whatever the shortcomings may be of the abbreviated message, the regulation states explicitly that it "shall be deemed to be clear and reasonable" (*id.*, subd. (b)). Any dissatisfaction with the adequacy of such a warning is a matter for consideration by OEHHA and the Legislature, rather than the court.

ELF argues that Wykle's insert does not satisfy section 12601, subdivision (b)(3) because "Wykle [has] placed a fine print warning under an improper heading ('ADA Specifications') on a sheet containing over ten other headings with similar fine print warnings" which the reader of the package insert is not likely to see. There is some force to this criticism. Although the MSDS portion of the insert contains a conspicuously designated section entitled "Health Hazard Data," that is not where the warning appears. Rather, the warning is appended to the less conspicuously captioned paragraph containing information required by the American Dental Association, following a precaution relating to the use of zinc, another ingredient of the amalgam. As the paragraph is organized, the reader might well understand the Proposition 65 warning to refer to the zinc rather than to the mercury included in the amalgam.

Wykle's insert is a composite of (a) information and suggestions concerning the method of working with the amalgam in creating a dental filling, (b) ADA required information, (c) the Proposition 65 warning, and (d) the MSDS. Except for combining the ADA and Proposition 65 warnings into a single paragraph, the insert separates these categories of information. There is no requirement that these categories be integrated to the extent they convey related information, but there is also no reason why they cannot be. The federal regulation prescribing the content of an MSDS for hazardous chemicals provides that the MSDS must state, among other things, "[t]he health hazards of the

⁹ The requirements currently appear in American National Standard/American Dental Association Specification No. 1, Alloy for Dental Amalgam, approved by the American National Standards Institute on January 9, 2003, effective January 9, 2004.

The fact that the warning language does not specifically indicate that it is referring to a risk created by the mercury, however, is not in itself a deficiency under the regulations to Proposition 65. As explained in the Revised Final Statement of Reasons concerning the adoption of section 12601, "The regulation does not require specific chemical references. Further, such a construction would be contrary to the Agency's intention in adopting this regulation. It was intended that, at a minimum, warning messages would advise of the presence of a listed chemical, regardless which one. If the exposed individual desires information about the chemical, it appears preferable that the information be obtained from the party responsible for the exposure after the warning, rather than through the warning. Otherwise the warnings may become visually too congested and cumbersome to read and understand."

hazardous chemical, including signs and symptoms of exposure, and any medical conditions which are generally recognized as being aggravated by exposure to the chemical." (29 C.F.R. § 1910.1200(g)(2)(iv) (2005).) The "Guidelines for Employer Compliance," which appear as an appendix to the federal regulation, explain that "[t]here is no specified format for the MSDS," but the "non-mandatory format" provided in OSHA Form 174 suggests including "medical conditions generally aggravated by exposure" in a section captioned "Health Hazard Data." (29 C.F.R. § 1910.1200, Appendix E, par. 4(B) (2005); OMB No. 1218-0072.) A reader attempting to ascertain health risks presented by exposure to the amalgam would certainly seem more likely to note the Proposition 65 warning if it were included in the MSDS portion of the insert under the conspicuous heading "Health Hazard Data" than if appended to the paragraph concerning ADA specifications.

Nonetheless, for the purpose of determining compliance with Proposition 65, the question is whether, in comparison with the rest of the insert, the required warning is sufficiently conspicuous that it is likely to be read by an ordinary reader. In opposing Wykle's summary judgment motion, ELF submitted the declaration of a retired professor with expertise in "information processing, marketing research, consumer behavior, consumer perception, and public opinion studies." The professor opined, "Generally, package warnings inserts are not read carefully enough for dentists and dental workers to notice the warning message inconspicuously placed and virtually hidden in the large block of fine print," and that in his opinion Wykle's insert "would not provide sufficient notice of the warning message to the dentists and dental workers before they are exposed to mercury in the product." There is merit, however, to Wykle's objection that the expert's opinion as to the sufficiency of the warning is a question of law for the court (see Summers v. A. L. Gilbert Co. (1999) 69 Cal. App. 4th 1155, 1178-1182), and that the professor's declaration provides no foundation for his opinion as to the care with which dentists and their assistants read the instructions that accompany the products with which they work on a professional basis (see Lockheed Litigation Cases (2004) 115 Cal.App.4th 558, 563-564; Jennings v. Palomar Pomerado Health Systems, Inc. (2003) 114

Cal.App.4th 1108, 1117 ["an expert's opinion based on assumptions of fact without evidentiary support [citations] or on speculative or conjectural factors [citation] has no evidentiary value [citation] and may be excluded from evidence"]). To the contrary, it is critical that the "ordinary individual" who customarily uses the amalgam within the contemplation of section 12601, subdivision (b)(3) is a dentist or trained dental assistant bearing responsibility for care of the patient and proper use of the amalgam. Given the professional obligations of those to whom the information on the insert is directed (see, e.g., Cobbs v. Grant, supra, 8 Cal.3d at pp. 241-245; Brown v. Superior Court (1988) 44 Cal.3d 1049, 1061-1062; Carmichael v. Reitz (1971) 17 Cal.App.3d 958, 989-991; cf. Magee v. Wyeth Laboratories, Inc. (1963) 214 Cal. App. 2d 340, 345-354), there is no basis to assume that such a person is not likely to read and understand the warning. While we do not believe that the Proposition 65 warning is ideally placed, the paragraph relating to ADA specifications in which it is included also refers to matters about which one working with the amalgam is presumably interested and thus is not likely to overlook. While small, the print used throughout the insert is not so tiny as to be illegible to a person attempting to read it. To conclude that the warning included in Wykle's single page insert gives rise to a factual question as to whether the insert complies with section 12601, subdivision (b)(3) would, in our view, be at odds with the underlying objectives of the safe harbor provisions. The trial court therefore correctly granted Wykle's motion for summary judgment.

Disposition

The judgment is affirmed.		
	Pollak, J.	
We concur:		
McGuiness, P. J.		
Corrigan, J.		

Trial court: San Francisco Superior Court

Trial judge: Honorable James J. McBride

Counsel for plaintiff and appellant: James R. Wheaton

Iryna A. Kwasny Joshua Joseph Borger

ENVIRONMENTAL LAW FOUNDATION

Oakland, CA

Counsel for defendant and respondent: Ann G. Grimaldt

Eric S. C. Lindstrom Stanley Landfair

McKENNA LONG & ALDRIDGE LLP

San Francisco, CA

A109252