

1 **WORKERS' COMPENSATION APPEALS BOARD**  
2 **STATE OF CALIFORNIA**

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5 **ISMAEL NAVARRO,**

6 *Applicant,*

7  
8 **vs.**

9 **CITY OF MONTEBELLO, administered by  
CORVEL CORPORATION,**

10 *Defendants.*

**Case Nos. ADJ6779197  
ADJ7472140  
ADJ7964720  
(Long Beach District Office)**

**OPINION AND ORDER  
GRANTING REMOVAL;  
NOTICE OF INTENTION  
TO SUBMIT FOR DECISION  
(En Banc)**

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12 Defendant seeks removal in response to a Findings of Fact issued by a workers' compensation  
13 administrative law judge (WCJ) on October 31, 2013. The WCJ found in pertinent part that applicant  
14 was entitled to a new panel Qualified Medical Evaluator (QME) for his two new injury claims and that  
15 Rule 35.5(e)<sup>1</sup> did not apply.

16 Defendant contends that all of applicant's three claimed injuries involve the same parties and one  
17 of the same body parts and, therefore, Rule 35.5(e) requires that applicant be evaluated for his two new  
18 claimed injuries by the original panel QME for his original claimed injury.

19 To secure uniformity of decision in the future, the Chairwoman of the Appeals Board, upon a  
20 majority vote of its members, assigned this case to the Appeals Board as a whole for an en banc decision.

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24 <sup>1</sup> Division of Workers' Compensation – Qualified Medical Evaluator Regulations 35.5(e) (Cal. Code Regs.,  
tit, 8, § 35.5(e)) hereafter, Rule 35.5(e) states that:

25 In the event a new injury or illness is claimed involving the same type of body part or body system and the  
26 parties are the same, or in the event either party objects to any new medical issue within the evaluator's  
27 scope of practice and clinical competence, the parties shall utilize to the extent possible the same evaluator  
who reported previously.

1 (Lab. Code, § 115.)<sup>2</sup>

2 Based upon our review of the relevant statutes and case law, we intend to hold that:

3 (1) The Labor Code does not require an employee to return to the same panel QME  
4 for an evaluation of a subsequent claim of injury.

5 (2) The requirement in Rule 35.5(e) that an employee return to the same evaluator  
6 when a new injury or illness is claimed involving the same parties and the same  
7 type of body parts is inconsistent with the Labor Code and that this requirement is  
8 therefore invalid.<sup>3</sup>

9 In order to give the Division of Workers' Compensation (DWC) and the parties an opportunity to  
10 address the issues raised by our proposed holdings as to the Labor Code and Rule 35.5(e), we grant  
11 removal and issue a notice of intention (NIT) to allow DWC and the parties twenty (20) days to respond.  
12 All responses shall be limited to the issue of whether Rule 35.5(e) is inconsistent with the Labor Code and  
13 therefore invalid.

#### 14 **BACKGROUND**

15 Applicant is employed as a police officer for defendant and all of his claims of injury were filed  
16 during his employment with defendant. All of applicant's claims of injury were filed while he was  
17 represented by an attorney.

18 On February 12, 2009, applicant filed an application for adjudication with a Workers'  
19 Compensation Claim Form DWC 1 (claim form) alleging a cumulative injury from February 9, 2008 to  
20 February 9, 2009 to his back and ear (ADJ6779197). On September 14, 2009, applicant was evaluated  
21 by panel QME J. Yogaratnam, M.D., in that case.

22 On October 4, 2010, applicant filed applications for adjudication with claim forms alleging a  
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24 <sup>2</sup> En banc decisions of the Appeals Board are binding precedent on all Appeals Board panels and WCJs.  
25 (Cal. Code Regs., tit. 8, § 10341; *City of Long Beach v. Workers' Comp. Appeals Bd. (Garcia)* (2005) 126  
26 Cal.App.4th 298, 313, fn. 5 [70 Cal.Comp.Cases 109]; *Gee v. Workers' Comp. Appeals Bd.* (2002) 96 Cal.App.4th  
27 1418, 1425, fn. 6 [67 Cal.Comp.Cases 236]; see Govt. Code, § 11425.60(b).) In addition to being adopted as a  
precedent decision in accordance with Labor Code section 115 and Appeals Board Rule 10341, this en banc  
decision is also being adopted as a precedent decision in accordance with Government Code section 11425.60(b).

<sup>3</sup> Unless otherwise stated, all statutory references are to the Labor Code.

1 specific injury of June 1, 2010 to his back, lower extremities and legs (ADJ7964720) and a specific  
2 injury of August 31, 2010 to his back and left leg (ADJ7472140).<sup>4</sup>

3 On November 20, 2012, defendant petitioned to compel an evaluation of applicant's two  
4 subsequent claims of injury by original panel QME Dr. Yogaratnam, but it did not seek to have applicant  
5 reevaluated regarding his previous claim of cumulative injury. Applicant objected on November 28,  
6 2012. On May 23, 2013, the parties proceeded to trial on the issue of whether applicant must return to  
7 original panel QME Dr. Yogaratnam for his subsequent specific injury claims; the issue was ultimately  
8 submitted for decision on August 20, 2013.

9 On October 31, 2013, the WCJ found in pertinent part that applicant was entitled to a new panel  
10 QME in his specific injury cases and that Rule 35.5(e) did not apply.

11 Thereafter, defendant timely filed its petition for removal. We received an Answer from  
12 applicant. The WCJ prepared a Report and Recommendation (Report) recommending that removal be  
13 denied.

### 14 DISCUSSION

#### 15 *I. The Labor Code does not require an employee to return to the same QME for an* 16 *evaluation of a subsequent claim of injury.*

17 Defendant contends that Rule 35.5(e) applies and because Rule 35.5(e) applies, applicant must  
18 return to original panel QME Dr. Yogaratnam. However, even though the sole basis of defendant's  
19 argument is that Rule 35.5(e) applies, we consider the relevant statutory provisions before we consider  
20 the application of Rule 35.5(e).

21 "A fundamental rule of statutory construction is that a court should ascertain the intent of the  
22 Legislature so as to effectuate the purpose of the law." (*DuBois v. Workers' Comp. Appeals Bd.* (1993) 5  
23 Cal.4th 382, 387 [58 Cal.Comp.Cases 286] (*DuBois*); *Nickelsberg v. Workers' Comp. Appeals Bd.* (1991)  
24 54 Cal.3d 288, 294 [56 Cal.Comp.Cases 476]; *Moyer v. Workmen's Comp. Appeals Bd.* (1973) 10 Cal.3d

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26 <sup>4</sup> The Employer's Section on the lower half of all three of the claim forms is blank. There is no information  
27 in the record regarding whether any other claim forms were received by or completed by defendant. Each claim  
form was served on defendant at the time it was filed at the Workers' Compensation Appeals Board (WCAB).

1 222, 230 [38 Cal. Comp. Cases 652].) “In construing a statute, our first task is to look to the language of  
2 the statute itself. (citation) When the language is clear and there is no uncertainty as to the legislative  
3 intent, we look no further and simply enforce the statute according to its terms.” (*DuBois, supra*, 5  
4 Cal.4th at p. 387; accord, *Atlantic Richfield Co. v. Workers’ Comp. Appeals Bd. (Arvizu)* (1982) 31  
5 Cal.3d 715, 726 [47 Cal. Comp. Cases 500]; see Code Civ. Proc., §§ 1858, 1859; *Rhiner v. Workers’*  
6 *Comp. Appeals Bd.* (1993) 4 Cal.4th 1213, 1217 [58 Cal.Comp.Cases 172].) Hence, we begin by  
7 reviewing the language of the Labor Code sections on medical-legal evaluations by panel QMEs.

8 Section 4060(a) provides in pertinent part that “this section shall apply to disputes over the  
9 compensability of any injury. . .”

10 Section 4060(c) states that:

11 If a medical evaluation is required to determine compensability at any time  
12 after the *filing of the claim form*, and the employee is represented by an  
13 attorney, a medical evaluation to determine compensability *shall be*  
14 *obtained only by the procedure provided in Section 4062.2.* (Italics added.)

15 Section 4060(d) states that:

16 If a medical evaluation is required to determine compensability at any time  
17 after *the claim form is filed*, and the employee is not represented by an  
18 attorney, the employer shall provide the employee with notice either that  
19 the employer requests a comprehensive medical evaluation to determine  
20 compensability or that the employer has not accepted liability and the  
21 employee may request a comprehensive medical evaluation to determine  
22 compensability. Either party may request a comprehensive medical  
23 evaluation to determine compensability. The evaluation *shall be obtained*  
24 *only by the procedure provided in Section 4062.1.* (Italics added.)

25 Section 4062.2(a) states that:

26 Whenever a comprehensive medical evaluation is required to resolve any  
27 dispute arising out of *an injury* or *a claimed injury* occurring on or after  
January 1, 2005, and the employee is represented by an attorney, the  
evaluation *shall be obtained only as provided in this section.* (Italics  
added.)

28 Preliminarily then, section 4060(a), (c) and (d) and section 4062.2(a)<sup>5</sup> all refer to a ***single claim***  
29 ***form, injury*** or ***claimed injury*** and appear to require that any medical-legal evaluations to determine

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31 <sup>5</sup> Unlike section 4062.2, section 4062.1 does not contain a subdivision like section 4062.2(a) for  
32 unrepresented employees, but sections 4060(a) and (d) and section 4061(i) all specify that section 4062.1 applies  
33 to unrepresented employees.

1 compensability of that injury or claimed injury occur under the procedures provided in sections 4062.1 or  
2 4062.2.

3 Section 4062.3(j) states that:

4 Upon completing a determination of the disputed medical issue, the  
5 medical evaluator shall summarize the medical findings on a form  
6 prescribed by the administrative director and shall serve the formal  
7 medical evaluation and the summary form on the employee and the  
8 employer. The medical evaluation shall address all contested *medical  
9 issues arising from all injuries reported on one or more claim forms prior  
10 to the date of the employee's initial appointment with the medical  
11 evaluator.* (Italics and underscoring added.)

9 Section 4064(a) states that:

10 The employer shall be liable for the cost of each reasonable and necessary  
11 comprehensive medical-legal evaluation obtained by the employee  
12 pursuant to Sections 4060, 4061, and 4062. *Each comprehensive medical-  
13 legal evaluation shall address all contested medical issues arising from all  
14 injuries reported on one or more claim forms,* except medical treatment  
15 recommendations, which are subject to utilization review as provided by  
16 Section 4610, and objections to utilization review determinations, which  
17 are subject to independent medical review as provided by Section 4610.5.  
18 (Italics and underscoring added.)

15 Considering section 4062.3(j) and section 4064(a) together, both sections state that a medical  
16 evaluation shall address “all medical issues arising from all injuries reported on one or more claim  
17 forms.”<sup>6</sup> Both sections refer to an injury reported on a claim form as the operative act, and not to a date  
18 of injury, a report of injury other than on a claim form<sup>7</sup>, or the filing of an application with the WCAB.  
19 Under section 5401, an employer must provide a claim form and an injured worker must *file a claim form*  
20 *with an employer.*<sup>8</sup> Hence, the *reported date* under sections 4062.3(j) and 4064(a) must be the *filing date*  
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23 <sup>6</sup> Although section 4064(a) does not include the phrase “before the appointment takes place,” since section  
24 4064(a) provides that these claims of injury had to have been “reported,” those claims had to have been made  
25 before the evaluation.

25 <sup>7</sup> Section 5400 concerns notice of injury with no reference to a claim form. Section 5402 concerns notice  
26 and knowledge of injury and section 5402(b) provides for a ninety day period after “the date the claim form is filed  
27 under [s]ection 5401.”

26 <sup>8</sup> Section 5401(a) requires that an employer must provide a claim form “[w]ithin one working day of  
27 receiving notice or knowledge of injury under [s]ection 5400 or 5402.”

1 as defined by section 5401 because only section 5401 refers to filing a claim form. Because the date the  
2 claim form is filed with employer is the operative act, it appears that the date of filing of the claim form  
3 determines which evaluator must consider which injury claim(s). This is significant in that the date a  
4 claim of injury is *reported* is often not the same as the date that an injury is claimed to have occurred.  
5 Consequently, it is foreseeable that a claim might be reported *after* an original evaluation but be for a  
6 claim of injury on a date *before* the original evaluation, and even in those circumstances, the result would  
7 appear to be that the date the claim is reported is still the operative date.

8 Next, section 4062.3(k) states that:

9 If, after a medical evaluation is prepared, the employer or the employee  
10 subsequently objects to any *new medical issue*, the parties, *to the extent*  
11 *possible*, shall utilize the same medical evaluator who prepared the  
*previous* evaluation to resolve the medical dispute. (Italics added.)

12 As discussed above, sections 4062.3(j) and 4064(a) provide that a medical evaluation shall  
13 address “all medical issues arising from all injuries reported on one or more claim forms,” and in keeping  
14 with that statutory language, section 4062.3(k) directs an employee to return to the same evaluator who  
15 conducted the previous evaluation when a new medical issue arises relating to the previously reported  
16 injury claim(s). As a matter of construction, a prior evaluation of that claimed the previously reported  
17 injury claim(s) must have already occurred at the time the medical issue arises, and consequently it  
18 appears that the employee must then return to the same evaluator for the same reported injury claim(s).<sup>9</sup>  
19 In contrast, there is no reference in section 4062.3(k) to subsequent claims of injury.

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20 Section 5401(c) states that:

21 “The completed claim form shall be filed with the employer by the injured employee, or, in the case of  
22 death, by a dependent of the injured employee, or by an agent of the employee or dependent. . . . [A] claim  
23 form is deemed filed when it is personally delivered to the employer or received by the employer by first-  
24 class or certified mail. A dated copy of the completed form shall be provided by the employer to the  
employer’s insurer and to the employee, dependent, or agent who filed the claim form.”

25 <sup>9</sup> Similarly, section 4062(a) provides in pertinent part that when a party objects to a medical issue not  
26 covered by sections 4060 or 4061 and not subject to section 4610, a medical evaluation must be obtained under the  
27 procedures in sections 4061.1 and 4062.2. And, section 4062(a) only refers to medical issues and not to  
subsequent claims of injury or body parts. In addition, section 4061(i) also requires that evaluations of permanent  
impairment be obtained in accordance with sections 4061.1 and 4062.2.

1 Finally, section 4067 states that:

2 If the jurisdiction of the appeals board is invoked pursuant to Section 5803  
3 upon the grounds that *the effects of the injury* have recurred, increased,  
4 diminished, or terminated, a formal medical evaluation shall be obtained  
5 pursuant to this article.

6 “When an agreed medical evaluator or a qualified medical evaluator  
7 selected by an unrepresented employee from a three-member panel has  
8 *previously made a formal medical evaluation of the same or similar issues,*  
9 *the subsequent or additional formal medical evaluation shall be conducted*  
10 *by the same agreed medical evaluator or qualified medical evaluator,*  
11 *unless the workers' compensation judge has made a finding that he or she*  
12 *did not rely on the prior evaluator's formal medical evaluation, any party*  
13 *contested the original medical evaluation by filing an application for*  
14 *adjudication, the unrepresented employee hired an attorney and selected a*  
15 *qualified medical evaluator to conduct another evaluation pursuant to*  
16 *subdivision (b) of Section 4064, or the prior evaluator is no longer*  
17 *qualified or readily available to prepare a formal medical evaluation, in*  
18 *which case Sections 4061 or 4062, as the case may be, shall apply as if*  
19 *there had been no prior formal medical evaluation.* (Italics added.)

20 Section 4067 sets forth specific procedures governing the reopening of a claim, and like section  
21 4062.3(k), requires that an employee return to the same evaluator for new medical issues arising out of a  
22 particular injury claim. Section 4067 also provides that under certain circumstances an employee does  
23 not have to return to the same evaluator even when it is for an evaluation of the same claim of injury, and  
24 when that occurs, sections 4061 and 4062 apply “as if there had been no prior formal medical  
25 evaluation.” Like section 4062.3(k), there is no reference in section 4067 to subsequent claims of injury.

26 Accordingly, after review of the pertinent statutes, we intend to conclude that the Labor Code  
27 requires that all medical-legal evaluations be obtained as set forth under sections 4062.1 or 4062.2 and  
that the Labor Code requires that an evaluator discuss all medical issues arising from all reported claims  
of injury at the time of an evaluation. Further, we intend to conclude that the Labor Code generally  
requires that an employee return to the original evaluator when a new medical issue arises in the same  
claim of injury and when an employee reopens the same claim. But, we see no provision in the Labor  
Code that requires an employee to return to the same evaluator for a subsequent claim of injury. And, we  
see no provision that distinguishes between procedures for evaluation of claims of injury based on the  
same or different body parts. Thus, we intend to conclude that the Labor Code does not require an  
employee to return to the same evaluator for a subsequent claim of injury.

1           **II.    The requirement in Rule 35.5(e) that an employee return to the same evaluator when a**  
2           **new injury or illness is claimed involving the same parties and the same type of body**  
3           **parts is inconsistent with the Labor Code and this requirement is therefore invalid.**

4           We now consider Rule 35.5(e) in light of our intent to conclude that the Labor Code does not  
5           require an employee to return to the same evaluator for a subsequent claim of injury. As discussed  
6           above, we intend to conclude that the Labor Code provisions concerning medical-legal evaluations only  
7           require the employee to return to the same evaluator when a new medical issue arises out of a previously  
8           evaluated injury. In contrast, Rule 35.5(e) imposes an *additional requirement* that an employee return to  
9           the same evaluator when a *new* injury or illness is claimed that involves the *same body parts* and the  
10          *same parties*.

11          The WCAB has exclusive original jurisdiction to determine the validity of regulations adopted by  
12          the Administrative Director (AD). (Lab. Code, § 5300(f); see *Mendoza v. Huntington Hospital Workers'*  
13          *Comp. Appeals Bd.* (2010) 75 Cal.Comp.Cases 634, 640 (Appeals Board en banc) [discussing the  
14          WCAB's jurisdiction to determine the validity of Rule 30(d)(3)].)

15          In considering the validity of a regulation, “our task is to inquire into the legality of the . . .  
16          regulation, not its wisdom.” (*Moore v. Cal. State Bd. of Accountancy* (1992) 2 Cal.4th 999, 1014; accord,  
17          *State Farm Mutual Automobile Ins. Co. v. Garamendi* (2004) 32 Cal.4th 1029, 1040 (*State Farm*).)  
18          Thus, we are “limited to determining whether the regulation (1) is within the scope of the authority  
19          conferred (Gov. Code, § 11373) and (2) is reasonably necessary to effectuate the purpose of the statute.”  
20          (*State Farm*, 32 Cal.4th at p. 1040 [quoting from *Agric. Labor Relations Bd. v. Superior Court* (1976) 16  
21          Cal.3d 392, 411 (*Agric. Labor Relations Bd.*) (internal citations and quotation marks omitted)].)  
22          “Although in determining whether . . . regulations are reasonably necessary to effectuate the statutory  
23          purpose we will not intervene in the absence of an arbitrary or capricious decision, ‘we need not make  
24          such a determination *if the regulations transgress statutory power.*’” (*Cal. Assn. of Psychology Providers*  
25          *v. Rank* (1990) 51 Cal.3d 1, 11–12 (*Cal. Assn. of Psychology Providers* [quoting from *Morris v. Williams*  
26          (1967) 67 Cal.2d 733, 749 (*Morris*) (italics added)].)

27          With regard to this latter point, we are guided by two of the central provisions of the  
administrative rule-making provisions of the Administrative Procedures Act [APA] (Gov. Code, § 11340



1 et seq.), to which the AD is subject.<sup>10</sup> Government Code section 11342.2 provides that “no regulation  
2 adopted is valid or effective unless consistent and not in conflict with the statute.” Hence, it has been  
3 said that “[w]hen a statute confers upon a state agency the authority to adopt regulations . . . , the  
4 agency's regulations must be consistent, not in conflict with the statute” (*Mooney v. Pickett* (1971) 4  
5 Cal.3d 669, 679) and that “[a] regulation that is inconsistent with the statute it seeks to implement is  
6 invalid.” (*Esberg v. Union Oil Co.* (2002) 28 Cal.4th 262, 269.) “No matter how altruistic its motives, an  
7 administrative agency has no discretion to promulgate a regulation that is inconsistent with the governing  
8 statutes.” (*Mendoza, supra*, 75 Cal.Comp.Cases at p. 640; see *Agric. Labor Relations Bd., supra*, 16  
9 Cal.3d at p. 419.) Government Code section 11342.1 provides that “[e]ach regulation adopted, to be  
10 effective, shall be within the scope of authority conferred.” Thus, it has been said that “administrative  
11 regulations which exceed the scope of the enabling statute are invalid and have no force or life” (*Woods*  
12 *v. Superior Court* (1981) 28 Cal.3d 668, 680) and that “[a]dministrative regulations that . . . enlarge [a  
13 statute's] scope are void and courts not only may, but it is their obligation to strike down such  
14 regulations.” (*Cal. Assn. of Psychology Providers, supra*, 51 Cal.3d at p. 11 [quoting from *Morris, supra*,  
15 67 Cal.2d at p. 748].) “[T]he Legislature possesses the plenary constitutional authority to create and  
16 enforce a workers’ compensation system” and accordingly, a regulation promulgated by the AD which  
17 contradicts the Workers' Compensation Act is invalid. (*Boehm & Associates v. Workers' Comp. Appeals*  
18 *Bd. (Lopez)* (1999) 76 Cal.App.4th 513, 519 [64 Cal.Comp.Cases 1350].)

19 As set forth in our discussion above, we intend to conclude that the Labor Code requires that all  
20 medical-legal evaluations must be obtained as set forth under sections 4062.1 or 4062.2 and that an  
21 evaluator discuss all medical issues arising from all reported claims of injury at the time of an evaluation.  
22 And, we intend to conclude that the Labor Code generally requires that an employee return to the original  
23 evaluator when a new medical issue arises in the same claim of injury and when an employee reopens the  
24 same claim. But, the Labor Code makes no reference to same or different body parts. Therefore, unlike  
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27 <sup>10</sup> The APA makes every regulation subject to its rulemaking provisions unless expressly exempted by  
statute. (Gov. Code, § 11346.) By statute, DWC is exempted only from the APA's provision regarding Superior  
Court review of agency regulations. (Gov. Code, § 11351(c).)

1 Rule 35.5(e), it does not appear that the Labor Code requires an employee who files another claim of  
2 injury or illness to return to the original evaluator even when the subsequent claim of injury involves the  
3 same body parts and the same parties. The language of the statutes is mandatory, and thereby controls.

4 Rule 35.5(e) appears to impose an unwarranted limitation on the Labor Code, particularly  
5 sections 4060(a), (c), and (d), 4062.1, 4062.2, 4062.2(a), 4062.3(j), 4062.3(k), 4064(a), and 4067. Thus,  
6 Rule 35.5(e) appears to be invalid to the extent that it imposes an additional requirement that an  
7 employee return to the same evaluator when a new injury or illness is claimed that involves the same  
8 body parts and the same parties. While parties are not precluded from agreeing to return to the same  
9 evaluator for new claims of injury to the same body parts, based on the foregoing we intend to conclude  
10 that an employee may be evaluated by a new evaluator for each injury or injuries reported on a claim  
11 form after an evaluation has taken place. In keeping with the limitations set forth in sections 4062.3(j)  
12 and 4064(a), at the time of an evaluation the evaluator shall consider all issues arising out of any claims  
13 that were reported before the evaluation and it appears that any entitlement to a new evaluator applies to  
14 any claims reported after an evaluation has taken place.

15 Here, while we intend to agree with the WCJ's decision that applicant is entitled to a new panel  
16 QME, at this juncture we do not believe that the result turns on the application of Rule 35.5(e).  
17 Applicant attended a medical-legal evaluation by Dr. Yogaratnam for his claimed cumulative injury and  
18 because applicant was represented that evaluation necessarily would have been pursuant to section  
19 4062.2.<sup>11</sup> Subsequently, applicant reported two specific injury claims.<sup>12</sup> Even where, as here, an  
20 applicant's three claimed injuries involve some of the same body parts and the same parties, the statutes  
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23 <sup>11</sup> Although the case of an unrepresented applicant is not before us, it appears that the difference between an  
unrepresented and a represented applicant is whether section 4062.1 or 4062.2 applies. Accordingly, we do not  
believe that whether applicant was represented or unrepresented would change the outcome of this decision.

24 <sup>12</sup> Here, there is nothing in the record to indicate whether applicant filed claim forms for his subsequent  
25 claims of injury with his employer before he filed claim forms at the WCAB on October 4, 2010. However, the  
26 original evaluation occurred on September 14, 2009, almost a year before the dates of the two subsequent claimed  
27 injuries. Although the issue does not arise in the case before us, we point out that in other cases where multiple  
claim forms were filed for the same date of injury, the date that the first of those multiple claim forms was filed  
could be significant in determining which panel QME conducts an evaluation.

1 make no distinction between claims or injuries to same or different body parts and same or different  
2 parties. Applicant's two claims of specific injury were reported after the original evaluation but before a  
3 subsequent evaluation by a new evaluator. Thus, under sections 4062.3(j) and section 4064(a), it appears  
4 that applicant is entitled to be evaluated by one new evaluator for his two subsequent claims of injury.  
5 Therefore, we intend to conclude that applicant is not required to return to Dr. Yogaratnam for an  
6 evaluation of his two subsequent claims of injury and may be evaluated by a new panel QME.

7           Accordingly, we grant removal and issue an NIT to allow DWC and the parties the opportunity to  
8 address the issues raised by our proposed holdings as to the Labor Code and Rule 35.5(e) as discussed  
9 above.

10           Responses must be filed within twenty (20) days of the service of this order. As applicable, the  
11 twenty-day period is extended under Rule 10507 (Cal. Code Regs., tit. 8, § 10507 [service by mail]) and  
12 Rule 10508 (Cal. Code Regs., tit. 8, § 10508 [last day to file falls on a weekend or holiday]). Responses  
13 must be filed with the Office of the Commissioners of the Appeals Board at the address below and not  
14 with any district office or through EAMS.

15           Untimely and/or misfiled responses will not be accepted and will not be considered.

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1 For the foregoing reasons,

2 **IT IS HEREBY ORDERED** that defendant's Petition for Removal is **GRANTED**.

3 **NOTICE IS HEREBY GIVEN** that the Appeals Board intends to issue a decision that:

4 (1) *The Labor Code does not require an employee to return to the same panel*  
5 *QME for an evaluation of a subsequent claim of injury.*

6 (2) *The requirement in Rule 35.5(e) that an employee return to the same*  
7 *evaluator when a new injury or illness is claimed involving the same*  
8 *parties and the same type of body parts is inconsistent with the Labor Code*  
9 *and that this requirement is therefore invalid.*

10 **NOTICE IS FURTHER GIVEN** that DWC, applicant Ismael Navarro, and defendant City of  
11 Montebello may each file responses. Responses shall only address the issues raised by our proposed  
12 holdings as to the Labor Code and Rule 35.5(e), shall be filed within twenty (20) days of the service of  
13 this order, plus five (5) days under Rule 10507 (Cal. Code Regs., tit. 8, § 10507 [service by mail]) and as  
14 applicable under Rule 10508 (Cal. Code Regs., tit. 8, § 10508 [last day to file falls on a weekend or  
15 holiday]), and shall be filed at the Office of the Commissioners of the Workers' Compensation Appeals  
16 Board at either its street address (455 Golden Gate Avenue, 9th Floor, San Francisco, CA 94102) or its  
17 Post Office Box address (P.O. Box 429459, San Francisco, CA 94142-9459), and shall not be filed at the  
18 Long Beach District Office or any other district office of the Workers' Compensation Appeals Board and  
19 shall not be e-filed in EAMS. Untimely and/or misfiled responses will not be accepted and will not be  
20 considered.

21 **IT IS FURTHER ORDERED** that pending the issuance of a Decision After Removal in the  
22 above case, all further pleadings, correspondence, objections, motions, requests, and communications  
23 shall be filed only with the Office of the Commissioners of the Workers' Compensation Appeals Board at

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1 either its street address (455 Golden Gate Avenue, 9th Floor, San Francisco, CA 94102) or its Post Office  
2 Box address (P.O. Box 429459, San Francisco, CA 94142-9459), and shall not be submitted to the Long  
3 Beach District Office or any other district office of the Workers' Compensation Appeals Board.

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5 **WORKERS' COMPENSATION APPEALS BOARD (EN BANC)**

6  
7 /s/ *Ronnie G. Caplane*  
8 *RONNIE G. CAPLANE, Chairwoman*

9  
10 /s/ *Frank M. Brass*  
11 *FRANK M. BRASS, Commissioner*

12  
13 /s/ *Alfonso J. Moresi*  
14 *ALFONSO J. MORESI, Commissioner*

15  
16 /s/ *Deidra E. Lowe*  
17 *DEIDRA E. LOWE, Commissioner*

18  
19 /s/ *Marguerite Sweeney*  
20 *MARGUERITE SWEENEY, Commissioner*

21 **DATED AND FILED AT SAN FRANCISCO, CALIFORNIA**

22 *2/27/2014*

23 **SERVICE MADE ON THE ABOVE DATE ON THE PERSONS LISTED BELOW AT THEIR**  
24 **ADDRESSES SHOWN ON THE CURRENT OFFICIAL ADDRESS RECORD.**

25 **ISMAEL NAVARRO**  
26 **ACTING ADMINISTRATIVE DIRECTOR OVERPECK**  
27 **HITZKE & ASSOCIATES, ATTN: JEANNETTE Y. OROZCO**  
**LISTER, MARTIN & THOMPSON, LLP, ATTN: JONATHAN D. OGDEN**

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