04-6131-pr Macias v. Zenk

1 2	UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT		
3	August Term, 2006		
4	(Submitted March 8, 2007 Decided July 26, 2007)		
5	Docket No. 04-6131-pr		
6 7	JUAN EDGAR LOERA MACIAS,		
8	<u>Plaintiff</u> - <u>Appellant</u> ,		
9	V.		
10 11	MICHAEL ZENK, JOHN ANNESA, STEPHANIE MIDDLETON and JOSEPH PARKER,		
12 13	Defendants-Appellees.		
14	B e f o r e: MESKILL, WINTER and STRAUB, Circuit Judges.		
15 16	Appeal from a judgment of the United States District		
17	Court for the Eastern District of New York, Trager, <u>J</u> ., entered		
18	on July 26, 2004, dismissing <u>pro</u> <u>se</u> prisoner's Eighth Amendment		
19	medical indifference claims against prison officials for failure		
20	to exhaust administrative remedies under the Prison Litigation		
21	Reform Act, 42 U.S.C. § 1997e(a).		
22	Affirmed in part, and vacated and remanded in part.		
23 24	Juan Edgar Loera Macias, Brooklyn, NY, <u>Appellant</u> <u>Pro</u> <u>Se</u> .		
25 26 27	Roslynn R. Mauskopf, United States Attorney, Eastern District of New York, Varuni Nelson, Edward Newman, Assistant		

1	United States Attorneys, Brooklyn, N	New
2	York, on the brief,	
3	for Appellees.	

4 MESKILL, <u>Circuit</u> Judge:

Appeal from a judgment of the United States District Court for the Eastern District of New York, Trager, <u>J</u>., entered on July 26, 2004, dismissing <u>pro se</u> prisoner's Eighth Amendment medical indifference claims against prison officials for failure to exhaust administrative remedies under the Prison Litigation Reform Act(PLRA), 42 U.S.C. § 1997e(a).

11 Affirmed in part, and vacated and remanded in part. 12 This appeal examines the scope of the PLRA exhaustion 13 requirement. Plaintiff Juan Edgar Loera Macias is a pro se 14 federal prisoner who alleges that Metropolitan Detention Center 15 (MDC) defendants Warden Michael Zenk, Health Service 16 Administrator Stephanie Middleton, Physician Assistant John 17 Annessa, and Corrections Officer Joseph Parker, were negligent 18 and deliberately indifferent to his medical needs in violation of 19 the Eighth Amendment's proscription on cruel and unusual 20 punishment. Macias filed his pro se 42 U.S.C. § 1983 civil action in the United States District Court for the Southern 21 22 District of New York. His case was transferred to the Eastern District of New York where Judge Trager dismissed Macias' state 23 24 law tort and Eighth Amendment claims for failure to exhaust his 25 administrative remedies under the Federal Tort Claims Act (FTCA),

-2-

1 28 U.S.C. § 2671 <u>et seq.</u>, and the PLRA.

2 The district court's judgment was entered before we 3 decided a series of cases examining the PLRA's exhaustion requirement. We vacate that judgment in part and remand to the 4 5 district court to consider whether the threats Macias alleges he received rendered the United States Bureau of Prisons' (BOP) 6 7 administrative grievance procedures unavailable to him, or whether those threats estop defendants from raising Macias' 8 failure to exhaust as an affirmative defense. We affirm the 9 10 district court's judgment in all other respects. 11 BACKGROUND 12 For the purposes of this appeal, we discuss the facts 13 as alleged by Macias. Macias entered the MDC on February 16, 14 2002 as a pre-trial detainee. MDC medical personnel examined him 15 on March 15, 2002, March 19, 2002, April 5, 2002 and July 29, 16 2002. At these appointments Macias informed MDC personnel that 17 in 2001 he had undergone arthroscopic surgery on his right knee. 18 On October 8, 2002, while picking up his food tray in his housing 19 unit, Macias slipped and fell on a wet floor resulting in 20 injuries to his right knee, back and head. That same day defendant Annessa examined Macias and prescribed medication and 21 bed rest and ordered an X-ray of his back, hip and right knee. 22 23 In early December 2002 Macias approached Annessa to request 24 additional pain medication. Annessa refused him and told him

-3-

that there was nothing further he could do to help him. Macias filed an administrative tort claim shortly thereafter, numbered TRT-NER-2003-00954 and received by the BOP on December 12, 2002, alleging that his injuries were caused by defendants' negligence, and that 57 days had passed since his injury and he still had not received proper medical care.

On January 3, 2003 an MDC physician diagnosed Macias 7 with a right medial collateral ligament tear and ordered an MRI. 8 The results of the MRI indicated that Macias' knee had a lateral 9 10 and medial meniscal tear, an anterior collateral ligament tear 11 and degenerative arthritis. After his MRI, Macias was seen 12 several more times by MDC medical personnel. Macias' pain 13 medication was intermittently discontinued and he had difficulty 14 obtaining additional treatment.

15 On January 24, 2003 defendant Parker denied Macias 16 access to his medication during a cell search causing him to 17 collapse. Parker also denied Macias food by ordering him not to 18 ask other inmates to help him with his lunch tray and by telling 19 him that if he could go to the law library on crutches, he could 20 carry his food tray on crutches. Macias filed an administrative tort claim against Parker on March 13, 2003, numbered TRT-NER-21 22 2003-01619, alleging emotional damages as a result of Parker's 23 mistreatment.

24

Macias then filed this pro se action in the United

-4-

1 States District Court for the Southern District of New York, 2 alleging that defendants were deliberately indifferent to his medical needs and negligent in causing his injuries and emotional 3 distress. On May 27, 2003 Macias' lawsuit was transferred to the 4 United States District Court for the Eastern District of New 5 6 York. While his case was pending Macias filed a motion for a 7 temporary restraining order claiming that the MDC had again discontinued his pain medication, that he reinjured his right 8 knee when his wheelchair collapsed, and that he had not been 9 10 provided with prescribed rehabilitative physical therapy. Macias 11 asked the district court to order the MDC to reissue his 12 medication and to enjoin the defendants from retaliating against 13 him.

14 The district court construed Macias' 42 U.S.C. § 1983 15 lawsuit liberally, see McEachin v. McGuinnis, 357 F.3d 197, 200 16 (2d Cir. 2004) ("when [a] plaintiff proceeds pro se . . . a court 17 is obliged to construe his pleadings liberally, particularly when 18 they allege civil rights violations"), and found that Macias had 19 stated Eighth Amendment claims under Bivens v. Six Unknown Named 20 Agents of Fed. Bureau of Narcotics, 403 U.S. 388 (1971), and tort 21 claims under the FTCA. Defendants moved to dismiss the lawsuit pursuant to Fed. R. Civ. P. 12(b)(6) because, inter alia, Macias 22 had failed to comply with the PLRA's exhaustion requirement 23 24 before filing both his **Bivens** claims and his motion for a

-5-

temporary restraining order, and because he had failed to comply with the FTCA's exhaustion requirement before filing his tort claims.

In a decision entered on July 26, 2004 the district 4 court granted defendants' motion and dismissed the lawsuit in 5 full. Judge Trager dismissed Macias' state law tort claims 6 7 without prejudice because Macias filed his complaint while his two administrative tort claims were pending. The court dismissed 8 Macias' Eighth Amendment Bivens claims and his motion for a 9 10 temporary restraining order because Macias had never availed 11 himself of the BOP's administrative remedy system.

12 On appeal, Macias contends that his Bivens claims 13 should not have been dismissed for failing to comply with the 14 PLRA's exhaustion requirement because (1) he did not need to use 15 the BOP's administrative remedy system because the BOP is not 16 authorized to provide some of the relief he seeks, (2) his 17 administrative tort claims and other informal complaints put the 18 prison officials on notice of the nature of his grievance, and 19 (3) defendant Parker's alleged threats rendered the BOP's 20 administrative remedy system unavailable to him, or in the alternative, those threats estop defendants from raising his 21 failure to exhaust as an affirmative defense. Macias does not 22 23 challenge either the dismissal of his tort claims pursuant to the 24 FTCA or the dismissal of his motion for a temporary restraining

-6-

1 order. Therefore, our review is limited to the district court's 2 decision to dismiss Macias' <u>Bivens</u> claims. For the following reasons, we affirm in part, and vacate and remand in part. 3 4 DISCUSSION 5 Federal jurisdiction is based on this Bivens action arising under the Eighth Amendment to the United States 6 Constitution. 28 U.S.C. § 1331. We have appellate jurisdiction 7 under 28 U.S.C. § 1291. 8 We review the district court's dismissal of Macias' 9 complaint for failure to state a claim <u>de</u> novo "accepting as true 10 11 all facts alleged in the complaint and drawing all inferences in 12 favor of the plaintiff." Faulkner v. Beer, 463 F.3d 130, 133 (2d 13 Cir. 2006) (internal quotation marks omitted). 14 The PLRA provides that a prisoner may not bring an 15 action under federal law "with respect to prison conditions . . . 16 until such administrative remedies as are available are 17 exhausted." 42 U.S.C. § 1997e(a). The Supreme Court has held 18 that "the PLRA's exhaustion requirement applies to all inmate 19 suits about prison life, whether they involve general 20 circumstances or particular episodes, and whether they allege excessive force or some other wrong." Porter v. Nussle, 534 U.S. 21 22 516, 532 (2002). 23 We recently decided a series of cases examining the

-7-

scope of the PLRA's exhaustion requirement. See Giano v. Goord,

24

380 F.3d 670 (2d Cir. 2004); <u>Abney</u> v. <u>McGinnis</u>, 380 F.3d 663 (2d
 Cir. 2004); <u>Johnson</u> v. <u>Testman</u>, 380 F.3d 691 (2d Cir. 2004);
 <u>Ortiz</u> v. <u>McBride</u>, 380 F.3d 649 (2d Cir. 2004); <u>Ziemba</u> v. <u>Wezner</u>,
 366 F.3d 161 (2d Cir. 2004); <u>Hemphill</u> v. <u>New York</u>, 380 F.3d 680
 (2d Cir. 2004). In <u>Hemphill</u> we "read together" <u>Giano</u>, <u>Abney</u>,
 <u>Johnson</u>, <u>Ortiz</u> and <u>Ziemba</u> and formulated a three-part test:

7 Depending on the inmate's explanation for the alleged 8 failure to exhaust, the court must ask whether 9 administrative remedies were in fact available to the 10 The court should also inquire as to whether prisoner. 11 the defendants may have forfeited the affirmative defense 12 of non-exhaustion by failing to raise or preserve it, or 13 whether the defendants' own actions inhibiting the 14 inmate's exhaustion of remedies may estop one or more of 15 the defendants from raising the plaintiff's failure to If the court finds that 16 exhaust as a defense. 17 administrative remedies were available to the plaintiff, 18 and that the defendants are not estopped and have not 19 forfeited their non-exhaustion defense, but that the 20 plaintiff nevertheless did not exhaust available 21 remedies, the court should consider whether special 22 circumstances have been plausibly alleged that justify 23 the prisoner's failure to comply with administrative 24 procedural requirements.

25 <u>Hemphill</u>, 380 F.3d at 686 (internal quotation marks and citations 26 omitted). Because the parties did not address Hemphill, we 27 ordered supplemental briefing in this appeal. Macias responded by reiterating his argument that he did not need to use the BOP's 28 29 administrative remedy system because the BOP was only authorized 30 to provide some of the relief he seeks. In addition, Macias 31 argued for the first time that under <u>Hemphill</u> he had exhausted 32 his claims by putting prison officials on notice of the nature of 33 his grievance, that the BOP's administrative remedy system was

-8-

not available to him, and that defendants should be estopped from
 raising his failure to exhaust as an affirmative defense.

After we received the parties' supplemental briefs, the 3 Supreme Court decided Woodford v. Ngo, 126 S.Ct. 2378 (2006). 4 Ιn Woodford, a prisoner argued that his lawsuit was improperly 5 dismissed under the PLRA because the administrative grievance he 6 7 filed was rejected by the prison authorities as untimely. 126 8 S.Ct. at 2384. The prisoner claimed that he had exhausted his administrative remedies because after the prison rejected his 9 10 grievance, no other administrative remedies were available. Id. 11 The Supreme Court rejected this argument explaining that the PLRA 12 requires "proper exhaustion," meaning that a prisoner must 13 "compl[y] with the system's critical procedural rules" because 14 "[a] prisoner who does not want to participate in the prison 15 grievance system will have little incentive to comply with the 16 system's procedural rules unless noncompliance carries a 17 sanction" and "[t]he benefits of exhaustion can be realized only 18 if the prison grievance system is given a fair opportunity to consider the grievance." Id. at 2387-88. We now turn to each of 19 20 Macias' arguments and, when necessary, we examine Woodford's effect on our PLRA decisions. 21

A. <u>Whether Macias' Failure to Exhaust is Excused by the BOP's</u> <u>Inability to Provide All of the Relief He Seeks</u>.

24 Macias seeks \$4 million in damages as well as his 25 "immediate and unco[n]ditional . . . release" from prison. In

-9-

1 addition, in his motion for a temporary restraining order and in 2 other requests for relief submitted to the district court, Macias asked for various forms of injunctive relief, including more 3 responsive medical care and continuous administration of pain 4 5 medication. Macias argues that he was not required to use the 6 BOP's administrative remedy system to exhaust these claims 7 because the BOP is not authorized to award \$4 million in response 8 to an administrative grievance. We do not agree with his 9 argument that exhaustion is not required.

10 The BOP has a three-tiered administrative remedy system 11 with the stated purpose of "allow[ing] an inmate to seek formal 12 review of an issue relating to any aspect of his/her own 13 confinement." 28 C.F.R. § 542.10(a). The first tier requires 14 the inmate to report informally the issue to the staff, the 15 second tier requires the inmate to file a written remedy request 16 with the Warden, and the third tier requires the inmate to file 17 appeals with the appropriate Regional Director and then with the 18 General Counsel. <u>See</u> 28 C.F.R. §§ 542.13-.15; <u>Johnson</u>, 380 F.3d 19 at 693 (discussing the BOP's administrative remedy system).

20 It is undisputed that Macias never proceeded beyond the 21 first tier of the BOP's administrative remedy system.

22 Nevertheless, Macias argues that because the BOP's administrative 23 remedy system was authorized to provide only some of the relief 24 he sought, he did not need to file a grievance. The Supreme

-10-

1 Court squarely addressed and rejected Macias' argument in Booth 2 v. Churner, 532 U.S. 731 (2001). In Booth, a state prisoner brought a 42 U.S.C. § 1983 lawsuit alleging that corrections 3 officers had violated his Eighth Amendment rights by using 4 5 excessive force and failing to provide adequate medical care. 532 U.S. at 734. The prisoner sought injunctive relief and money 6 7 damages. Id. The state administrative grievance system did not provide for recovery of money damages. <u>Id.</u> at 741. However, the 8 Supreme Court held that under the PLRA the prisoner was still 9 10 required to file a grievance and complete all three stages of the 11 state's grievance system before proceeding to federal court. Id.

12 Macias seeks both injunctive relief and money damages. 13 There is no question that the BOP could have provided the 14 additional medical care and some of the other relief he seeks by 15 responding to a properly filed administrative grievance. 16 However, like the prisoner in <u>Booth</u>, Macias cannot "skip the 17 administrative process simply by limiting prayers for relief to 18 money damages" regardless of whether the BOP was authorized to provide them. Id.; see also Ruggiero v. County of Orange, 467 19 20 F.3d 170, 177 (2d Cir. 2006) ("[Booth] make[s] plain [that under the PLRA] so long as some remedy remains available, failure to 21 exhaust is not excused."). 22

-11-

B. <u>Whether Macias Procedurally Exhausted His Claims By Filing</u>
 <u>Administrative Tort Claims and Making Informal Complaints to</u>
 <u>Prison Officials</u>.

Macias filed two administrative tort claims before he 4 filed his complaint in federal court. Taken together, Macias' 5 6 tort claims allege that he repeatedly requested medical care for 7 his injuries but the MDC did not provide any, that defendant 8 Annessa refused to provide him with pain medication, that his 9 injuries were getting worse because of the lack of medical care, 10 and that defendant Parker caused him to collapse during a cell search by refusing to allow Macias access to his medication. 11 12 Macias also alleges that he sent more than 20 sick calls 13 complaining about his lack of medical treatment. Macias argues 14 that under Johnson, his two administrative tort claims and his 15 informal requests for medical attention excuse his failure to 16 exhaust because, although he did not use the BOP's administrative 17 remedy system, his actions "provide[d] enough information about 18 the conduct of which [he] complain[ed] to allow prison officials 19 to take appropriate responsive measures." Johnson, 380 F.3d at 697. Macias' reliance on Johnson is misplaced. 20

In Johnson we considered whether a prisoner could satisfy the PLRA's exhaustion requirement by raising his grievance in the BOP's disciplinary proceedings and appeals process. 380 F.3d at 694. We remanded the case so that the district court could consider (1) whether under <u>Hemphill</u>, 380

-12-

F.3d 680, and Giano, 380 F.3d 670, the prisoner was justified in 1 2 believing that his complaints in the disciplinary appeal procedurally exhausted his administrative remedies because the 3 prison's remedial system was confusing, and (2) whether the 4 5 prisoner's submissions in the disciplinary appeals process 6 exhausted his remedies "<u>in a substantive sense</u>" by "afford[ing] 7 corrections officials time and opportunity to address complaints internally." Johnson, 380 F.3d at 696-98 (emphasis added; 8 alteration omitted). Thus, in Johnson we saw the prisoner's 9 argument as raising two distinct guestions -- the former 10 11 addressed whether the prisoner procedurally exhausted his claims 12 while the latter addressed whether the prisoner substantively 13 exhausted his claims.

14 Macias does not argue that the BOP's administrative remedy system was so confusing that he reasonably believed he had 15 satisfied the PLRA's exhaustion requirement by filing tort claims 16 17 and by complaining informally to prison staff.¹ Instead, Macias 18 argues that he procedurally exhausted his claims because his 19 informal complaints and administrative tort claims put the prison 20 on notice of the nature of his grievance. Macias' argument 21 conflates Johnson's distinction between procedural exhaustion and

¹ For this reason, we need not decide what effect <u>Woodford</u> has on <u>Hemphill</u>'s holding that where administrative procedures are confusing "a reasonable interpretation of prison grievance regulations may justify an inmate's failure to follow procedural rules to the letter." <u>Hemphill</u>, 380 F.3d at 690 (citing <u>Giano</u>).

1 substantive exhaustion. Regardless of whether his tort claims or 2 informal complaints put the prison officials on notice of his 3 grievance "in a substantive sense," Johnson makes clear that to satisfy the PLRA a prisoner must also procedurally exhaust his 4 available administrative remedies. Johnson, 380 F.3d at 697-98 5 (emphasis added). Because Macias does not argue that the BOP's 6 7 administrative remedy system was so confusing that he justifiably believed his administrative tort claims and informal complaints 8 were his only available remedies, Macias has no ground to argue 9 10 that he procedurally exhausted his claims under Johnson. See 11 also Woodford, 126 S.Ct. at 2388 (holding that a prisoner must 12 procedurally exhaust his claims by "compl[ying] with the system's 13 critical procedural rules").

14 Furthermore, while our decision in Braham v. Clancy, 15 425 F.3d 177, 183 (2d Cir. 2005), might have provided some 16 support for Macias' argument that he procedurally exhausted his 17 claims by providing enough information about his grievance to 18 allow prison officials to take responsive measures, we conclude 19 that Braham does not survive Woodford. In Braham, a pro se 20 prisoner alleged that prison officials violated his Eighth 21 Amendment rights by refusing to grant his request for a cell change. 425 F.3d at 179. The district court dismissed the 22 23 prisoner's lawsuit under the PLRA for failure to exhaust 24 administrative remedies. Id. at 181. On appeal, the prisoner

-14-

admitted that he had never filed a formal administrative 1 2 grievance, but argued that he had satisfied the PLRA's exhaustion requirement by submitting several inmate request forms and by 3 complaining informally to prison staff during a disciplinary 4 proceeding. Id. at 183. We remanded the case for the district 5 court to consider whether the prisoner's inmate request forms or 6 7 the complaints he made during the disciplinary proceeding "provided sufficient notice to the prison officials 'to allow 8 [them] to take appropriate responsive measures." Id. at 183 9 10 (quoting <u>Johnson</u>, 380 F.3d at 697) (alteration omitted).

11 Braham expanded Johnson by allowing prisoners to 12 procedurally exhaust their claims by taking "'enough'" informal steps "'to put prison officials on notice'" of their concerns, 13 14 regardless of whether they utilize the prison's formal grievance 15 procedures. Braham, 425 F.3d at 183 (quoting Johnson, 380 F.3d 16 at 696). However, after <u>Woodford</u>, notice alone is insufficient 17 because "[t]he benefits of exhaustion can be realized only if the 18 prison grievance system is given a fair opportunity to consider 19 the grievance" and "[t]he prison grievance system will not have 20 such an opportunity unless the grievant complies with the system's critical procedural rules." <u>Woodford</u>, 126 S.Ct. at 21 2388. Macias did not comply with the BOP's critical procedural 22 23 rules, and under <u>Woodford</u>, he cannot satisfy the PLRA's 24 exhaustion requirement solely by filing two administrative tort

-15-

claims, or by making informal complaints to the MDC's staff.
"[A]lert[ing] the prison officials as to the nature of the wrong
for which redress is sought," <u>Braham</u>, 425 F.3d at 184 (internal
quotation marks omitted), does not constitute "proper exhaustion"
under <u>Woodford</u>. <u>See Woodford</u>, 126 S.Ct. at 2388. Therefore, to
the extent that <u>Braham</u> allowed for less than "proper exhaustion"
of claims under the PLRA, <u>Braham</u> has been overruled.

8 C. <u>Whether the Threats Macias Alleges He Received Rendered the</u> 9 <u>BOP's Administrative Remedies Unavailable, or Whether Those</u> 10 <u>Threats Should Estop Defendants From Raising the Affirmative</u> 11 <u>Defense of Non-exhaustion</u>.

12 Macias alleges in his complaint that on January 24, 2003 defendant Parker denied Macias access to his medication 13 14 during a cell search causing him to collapse. Macias also 15 alleges that Parker denied him food by ordering him not to ask 16 other inmates to help him with his lunch tray and by telling him 17 that if he could go to the law library on crutches, he could 18 carry his food tray on crutches. In response to our order to 19 provide supplemental briefing discussing the impact Hemphill and 20 Johnson had on his case, Macias argued for the first time that 21 Parker threatened him during the course of the January 24 22 incident and that these threats, under Hemphill, rendered his 23 administrative remedies unavailable, or in the alternative, that 24 those threats estop defendants from raising the affirmative

-16-

1

defense of non-exhaustion.²

When the district court dismissed Macias' suit we had 2 not decided <u>Hemphill</u>, 380 F.3d 680, and we had only recently 3 decided Ziemba, 366 F.3d 161. These two cases considered the 4 effect that prison officials' threats might have on the PLRA's 5 exhaustion requirement. In <u>Hemphill</u>, the prisoner filed suit 6 under 42 U.S.C. § 1983 alleging that prison administrators denied 7 him medical attention in violation of the Eighth Amendment. 380 8 F.3d at 681. The prisoner also claimed that he had been 9 10 threatened by corrections officers and beaten prior to filing his complaint. Id. at 684. The district court dismissed the 11 12 prisoner's suit in its entirety for failure to procedurally 13 exhaust his medical indifference claim. Id. at 682. On appeal, 14 the prisoner argued that his failure to exhaust should be excused 15 because the threats he endured rendered "procedures that would ordinarily be available . . . effectively unavailable." Id. at 16 17 687. We remanded the case because "[a]s a court of appeals dealing with a limited record" we could not say "whether some 18 19 seemingly available remedies were rendered unavailable by the 20 threats Hemphill received." Id. at 688.

² We consider Macias' argument regarding Parker's alleged threats, which he did not raise before the district court, because we decided <u>Hemphill</u> after the district court issued its decision, and in the proceedings below Macias "justifiably tried to counter the arguments defendants made and did so on the basis of the law as it was then established." <u>Hemphill</u>, 380 F.3d at 688.

As in Hemphill, here we also cannot determine whether 1 2 the remedies offered to Macias were rendered unavailable by 3 Parker's alleged threats, or whether some of the MDC defendants 4 should be estopped from asserting non-exhaustion as a defense. 5 Hemphill, 380 F.3d at 688-89; see also Ziemba, 366 F.3d at 163 6 (holding that a defendant's exhaustion defense is subject to 7 estoppel where a prisoner claimed that he was beaten, threatened, 8 and denied grievance forms and writing materials). However, because Macias alleges that Parker did not threaten him until 9 10 January 24, 2003, even if Macias can establish that he was 11 threatened, those threats are only relevant to events that 12 occurred after January 4, 2003. Macias could not have been 13 deterred, and defendants should not be estopped, for earlier conduct that did not impact his ability to file a timely 14 grievance. See 28 C.F.R. § 542.14(a) (a formal administrative 15 16 remedy must be submitted to the warden within 20 days of the 17 event complained of).

18 D. Whether the District Court's Judgment Should be Affirmed on Alternative Grounds Presented to But Not Reached by the District Court.

Defendants contend that we should affirm the district court's judgment on grounds that were presented to but not reached by the district court, arguing that Macias failed to state an Eighth Amendment claim for medical indifference and that some defendants are entitled to gualified immunity or absolute

-18-

immunity. However, at this stage in the proceedings, and taking all of Macias' factual allegations as true and drawing all reasonable inferences in his favor, see Faulkner, 463 F.3d at 133, we cannot say at this time that defendants were immune from suit or that Macias has failed to state a <u>Bivens</u> claim. Therefore, we must leave the resolution of these issues in the first instance to the district court on remand.

8

CONCLUSION

For the foregoing reasons, the judgment of the district 9 10 court is affirmed in part, vacated in part, and the case is 11 remanded for further proceedings. On remand the district court should consider whether the BOP's administrative procedures were 12 13 rendered unavailable by Parker's allegedly threatening behavior. "The test for deciding whether the ordinary grievance procedures 14 15 were available must be an objective one: that is, would a 16 similarly situated individual of ordinary firmness have deemed 17 them available." <u>Hemphill</u>, 380 F.3d at 688 (internal quotation marks omitted). The district court should also consider whether 18 19 the MDC defendants' non-exhaustion defense is barred by equitable 20 estoppel and "depending on the facts pertaining to each 21 defendant, it is possible that some individual defendants may be estopped, while others may not be." Id. Of course, we take no 22 23 position on whether Macias can establish that his remedies were 24 rendered unavailable or whether some of the MDC defendants should

-19-

- 1 be estopped from asserting non-exhaustion. We affirm the
- 2 district court's judgment in all other respects.