

UNITED STATES COURT OF APPEALS
FOR THE SECOND CIRCUIT

August Term, 2007

(Argued: April 22, 2008 Decided: October 15, 2008)

Docket No. 06-4946-cr

- - - - -x

UNITED STATES OF AMERICA,

Appellee,

- v. -

CARLOS F. RIVERA, a.k.a.
CHAVIN1970, a.k.a. LATIN RICAN 70,

Defendant-Appellant.

- - - - -x

Before: JACOBS, Chief Judge, KEARSE, KATZMANN,
 Circuit Judges.

Carlos Rivera appeals from a judgment convicting him of five counts involving the sexual abuse of children, entered following a jury verdict in the United States District Court for the District of Connecticut (Kravitz, J.). On appeal, Rivera challenges (inter alia) the sufficiency of the evidence on his conviction for production of child pornography, arguing that certain photographs were not

1 "lascivious" within the meaning of the child pornography
2 laws. We affirm.

3 MARJORIE M. SMITH, Piermont, NY,
4 for Defendant-Appellant.

5
6 ERIC J. GLOVER, New Haven, CT
7 (Kevin J. O'Connor, United
8 States Attorney, District of
9 Connecticut, on the brief,
10 William J. Nardini, of counsel),
11 for Appellee.

12
13 DENNIS JACOBS, Chief Judge:

14
15 Carlos Rivera appeals from a judgment of conviction
16 entered on October 24, 2006, in the United States District
17 Court for the District of Connecticut (Kravitz, J.),
18 following a jury trial at which he was found guilty of
19 charges involving sexual exploitation of children. Those
20 charges included coercion and enticement to sexual conduct,
21 travel with intent to engage in such conduct, and the
22 possession and production of child pornography. As a
23 recidivist, Rivera was sentenced to a mandatory term of life
24 imprisonment on the conviction for producing child
25 pornography. Two issues presented on appeal (misjoinder of
26 the offenses against the minor named Brian, and sentencing)
27 are controlled by established precedent and are addressed
28 briefly below.

1 the hotel where Brian and his family were staying, and
2 enticed Brian to his room for sex--posting notes with his
3 screen name on a trail to his room, giving Brian a room-key
4 (which Brian hid from his parents and then returned),
5 confronting Brian in the lobby (with his parents nearby),
6 and leaving his room door ajar. **GSA-11.** When Brian
7 appeared, they engaged in oral and anal sex. **GSA-14.** By
8 that time, Brian was thirteen years old.

9 Garrett told Rivera that he was fifteen years old (in
10 fact, he was fourteen). Rivera engaged Garrett in explicit
11 online chats, and arranged an encounter near their homes in
12 Connecticut. Garrett bicycled to a hotel near his house
13 where Rivera had suggested that they meet. When they were
14 unable to get a room, Garrett declined to accompany Rivera
15 to his house. A week later, they met in the woods outside
16 Garrett's house, where they had anal sex. **GSA-57.** Garrett
17 asked Rivera to buy him a paintball gun for his birthday.
18 When Garrett sought to disengage, Rivera threatened to tell
19 all to Garrett's mother; Garrett threatened to call the
20 police. **GSA-61.**

21 David was sixteen years old when he met Rivera online.
22 David agreed to meet Rivera for sex, and Rivera arrived

1 around midnight at David's home in Massachusetts. David
2 sneaked out while his parents slept and went to a hotel with
3 Rivera. After the two showered together, Rivera took
4 several photographs of David lying naked on the hotel bed.
5 David testified that Rivera "suggested a few positions" and
6 that he "complied." **GSA-65.** Six of the photographs were
7 introduced at trial to evidence Rivera's production of child
8 pornography. After oral and anal sex, Rivera drove David
9 home. **GSA-67.**

10 When Michael was sixteen, he and Rivera had online
11 chats about sex and exchanged photographs of themselves,
12 clothed and unclothed. Michael testified that after he
13 refused to meet Rivera for sex, "he blackmailed me and said
14 he was going to send those pictures that I sent him to
15 another student that goes to my school." **GSA-71.**

16 Rivera was captured by the police after Brian's mother
17 found an incriminating note. A state trooper, posing as
18 Brian, engaged Rivera in an Internet chat about what had
19 transpired between them. When he was arrested, Rivera
20 declined to sign a form attesting that he had waived his
21 Miranda rights; however, he agreed to be interviewed by the
22 authorities for two hours. At trial, the arresting police

1 detective testified that during the interview, Rivera
2 admitted to having had sex with Brian, who he thought
3 "looked young." **GSA-45-46.**

4 A special agent of the FBI testified to the contents of
5 Rivera's laptop computer, which included between 2,000 and
6 3,000 photographs of minors engaged in sexually explicit
7 conduct, including the pictures Rivera took of David and
8 photographs of Garrett and Michael that they had sent him; a
9 chart detailing Rivera's sexual encounters (which listed
10 both Garrett and Brian);² the record of an online chat in
11 which Rivera gloated about having had sex with several boys
12 (including Garrett and Brian); and a template blackmail
13 letter threatening to tell a minor's parents that their
14 child is gay unless the minor agreed to make a pornographic
15 video with Rivera and to continue having sex with him.³ The

² The chart gathered data under the following headers: name, age, year, virgin (Y or N), and "fucked" (number of times). The government also introduced a handwritten version of the same chart.

³ The template, saved under file name "BlackMail.doc," stated:

Hey _____ I decided to blackmail you. U WILL have sex with ME in real LIFE, again, and you WILL let me make a PORN video with you. IF you don't do as I say and let me do what I want, I'll write to your PARENTS at this address _____

1 government also introduced into evidence records of the
2 emails and online chats Rivera had exchanged with Brian,
3 Garrett, David and Michael.

4 The jury convicted Rivera on all five counts: two
5 counts of coercion and enticement, in violation of 18 U.S.C.
6 § 2422(b), one count of travel with intent to engage in
7 illicit sexual conduct, in violation of 18 U.S.C. § 2423(b),
8 one count of production of child pornography, in violation
9 of 18 U.S.C. § 2251(a), and one count of possession of child
10 pornography, in violation of 18 U.S.C. § 2252A(a) (5) (B). In
11 special interrogatories accompanying the verdict on count
12 four (for production of child pornography), the jury
13 identified four of the photographs of David as visual
14 depictions of sexually explicit conduct (a phrase explained
15 in the District Court's jury charge), and therefore child
16 pornography.

17 The District Court imposed concurrent sentences of: 480

And I will let them know that you are GAY. I will also send them info about your online "activities" "chats" and will also tell them where they can find more info/ NOTE: IF you banish [sic] from online without giving in to my demands I will still do what I just said above. U can't hide, U will be the loser.

1 months' imprisonment on counts one and two; 480 months'
2 imprisonment on count three; the mandatory term of life
3 imprisonment on count four; and 240 months' imprisonment on
4 count five. The District Court also imposed mandatory and
5 discretionary restitution for the victims' losses, including
6 their psychiatric treatment and care.

7

8

DISCUSSION

9 Rivera argues that his photographs of David do not
10 constitute child pornography, that is, that the evidence was
11 insufficient for a conviction on count four; in the
12 alternative, he contends that the jury was misled by the
13 District Court's instructions. Rivera also challenges the
14 District Court's denial of his motion to sever the counts
15 relating to Brian from the counts relating to his other
16 victims. Lastly, Rivera challenges his sentence on
17 constitutional grounds.

18

19

I

20 It is illegal to entice or coerce a minor to engage in
21 "sexually explicit conduct for the purpose of producing any
22 visual depiction of such conduct." 18 U.S.C. § 2251(a).

1 "[S]exually explicit conduct" is defined to include the
2 "lascivious exhibition of the genitals or pubic area of any
3 person." Id. § 2256(2) (A) (v).

4 The term "lascivious" is not self-defining. See United
5 States v. Villard, 885 F.2d 117, 121 (3d Cir. 1989)

6 ("Whatever the exact parameters of 'lascivious exhibition,'
7 we find it less readily discernable than the other, more
8 concrete types of sexually explicit conduct listed in
9 section 2256(2)."); United States v. Hill, 322 F. Supp. 2d
10 1081, 1084 (C.D. Cal. 2004) ("Lasciviousness is an elusive
11 concept, and courts have struggled to develop a test for
12 identifying it." (footnote omitted)). But see United States
13 v. Frabizio, 459 F.3d 80, 85 (1st Cir. 2006) ("The statutory
14 standard needs no adornment."). The dictionary definition⁴
15 is of little help in drawing lines.

16 The leading case is United States v. Dost, 636 F. Supp.
17 828 (S.D. Cal. 1986), aff'd sub nom. United States v.
18 Wiegand, 812 F.2d 1239 (9th Cir. 1987). There, district
19 judge Thompson enumerated six factors that "the trier of
20 fact should look to . . . among any others that may be

⁴ "Given to or expressing lust; lecherous" or
"[e]xciting sexual desires; salacious." The American
Heritage Dictionary of the English Language (4th ed. 2006).

1 relevant in the particular case.” Id. at 832. The “Dost
2 factors” are:

3 1) whether the focal point of the visual
4 depiction is on the child’s genitalia or pubic
5 area;

6
7 2) whether the setting of the visual depiction
8 is sexually suggestive, i.e., in a place or
9 pose generally associated with sexual
10 activity;

11
12 3) whether the child is depicted in an
13 unnatural pose, or in inappropriate attire,
14 considering the age of the child;

15
16 4) whether the child is fully or partially
17 clothed, or nude;

18
19 5) whether the visual depiction suggests
20 sexual coyness or a willingness to engage in
21 sexual activity;

22
23 6) whether the visual depiction is intended or
24 designed to elicit a sexual response in the
25 viewer.

26
27 Id.

28 Here, the District Court charged the jury as follows,
29 using the Dost factors:

30 The term lascivious exhibition means a
31 depiction which displays or brings to view to
32 attract notice to the genitals or pubic area
33 of minors in order to excite lustfulness or
34 sexual stimulation in the viewer.

35
36 Not every exposure of the genitals or
37 pubic area constitutes a lascivious
38 exhibition. In deciding whether a particular
39 depiction constitutes a lascivious exhibition

1 A reasonable jury could--and did--find that the four
2 photographs depict the lascivious exhibition of a minor's
3 genitals. The images all show David lying naked on a hotel
4 room bed, his genitals prominent at or about the center of
5 the frame. Two of the photographs depict David looking
6 directly at the camera: in one, David is lying on his chest,
7 his upper body raised on his elbows, while he looks over his
8 left shoulder toward the camera; in another, David lies on
9 his back, the right side of his body resting on his right
10 elbow.

11 Although the Dost factors are not definitional, they
12 are useful for assessing the sufficiency of evidence, and
13 pose questions that are (at least) germane to the issue of
14 lasciviousness. Here, all four photographs arguably satisfy
15 several Dost factors: a bed is "generally associated with
16 sexual activity," Dost, 636 F. Supp. at 832, and so is the
17 pose, lying down with legs spread; the subject is naked; one
18 photo, in which the subject's head is turned to an unseen
19 observer (the photographer) suggests sexual encounter; and
20 the genital area is the focal point. Finally, these images
21 have context that reinforces the lascivious impression.
22 David testified that Rivera arranged the poses and took the

1 photographs. Rivera was responsible for the mise-en-scène.
2 A reasonable jury could therefore find that Rivera composed
3 the images in order to elicit a sexual response in a viewer-
4 -himself. These images are unquestionably "lascivious"
5 material, the production of which Congress intended to
6 criminalize.

7
8 **B**

9 "We review de novo the propriety of jury instructions."
10 United States v. Naiman, 211 F.3d 40, 50 (2d Cir. 2000). "A
11 jury instruction is erroneous if it misleads the jury as to
12 the correct legal standard or does not adequately inform the
13 jury on the law." United States v. Walsh, 194 F.3d 37, 52
14 (2d Cir. 1999) (internal quotation marks omitted). The
15 government argues that we should review for plain error
16 because Rivera did not object to the jury instructions on
17 the precise ground he argues on appeal. We need not resolve
18 that issue, however, because we affirm the District Court's
19 jury instruction as an accurate statement of the law.

20 As Rivera argues, use of the Dost factors has provoked
21 misgivings. See, e.g., Frabizio, 459 F.3d at 88 ("[T]he
22 Dost factors have fostered myriad disputes that have led

1 courts far afield from the statutory language. . . . [T]here
2 is a risk that the Dost factors will be used to
3 inappropriately limit the scope of the statutory
4 definition."); Hill, 322 F. Supp. 2d at 1085 ("While the
5 Dost factors attempt to bring order and predictability to
6 the lasciviousness inquiry, they are highly malleable and
7 subjective in their application."). But see Villard, 885
8 F.2d at 122 ("[T]he Dost factors provide specific, sensible
9 meaning to the term 'lascivious,' a term which is less than
10 crystal clear.").

11 Tellingly, nearly all of the Dost-factor critics have
12 lined up behind an argument that is of no help to Rivera.
13 Their underlying concern is that the factors sweep too
14 narrowly, i.e., that "[t]he standard employed by the
15 district court was over-generous to the defendant"
16 Wiegand, 812 F.2d at 1239 (emphasis added); see also
17 Frahizio, 459 F.3d at 88 (expressing concern that "the
18 Dost factors will be used to inappropriately limit the scope
19 of the statutory definition"); United States v. Wolf, 890
20 F.2d 241, 245 & n.6 (10th Cir. 1989) ("We do not hold that
21 more than one Dost factor must be present to constitute a
22 violation of 18 U.S.C. § 2251(a)."). However, this case

1 offers no occasion for considering the circumstances, if
2 any, in which the Dost factors might prove too "generous" to
3 defendants, an issue the Government does not press on
4 appeal.

5 Much criticism has focused on the fifth Dost factor,
6 which asks "whether the visual depiction suggests sexual
7 coyness or a willingness to engage in sexual activity."
8 Dost, 636 F. Supp. at 832. On direct appeal in Dost, the
9 Ninth Circuit considered that inquiry "over-generous to the
10 defendant in implying as to the 17-year-old girl that the
11 pictures would not be lascivious unless they showed sexual
12 activity or willingness to engage in it." Wiegand, 812 F.2d
13 at 1244. To that court, the factor looked the wrong way--
14 "lasciviousness is not a characteristic of the child
15 photographed but of the exhibition which the photographer
16 sets up for an audience that consists of himself or
17 likeminded pedophiles." Id. The court implied that a
18 simpler, more subjective test was called for: "the pictures
19 were an exhibition," and the "exhibition was of the
20 genitals"; "[i]t was a lascivious exhibition because the
21 photographer arrayed it to suit his peculiar lust." Id.
22 Several other courts have concurred in this critique. See,

1 e.g., Frabizio, 459 F.3d at 89 (“The absence of a sexual
2 come-on . . . does not mean that an image is not lascivious
3 Children do not characteristically have countenances
4 inviting sexual activity, and the statute does not presume
5 that they do.”); United States v. Wolf, 890 F.2d 241, 245
6 (10th Cir. 1989) (“[T]he Ninth circuit clearly stated that
7 to violate 18 U.S.C. § 2251 the photographer need not
8 portray the victimized child as a temptress. We agree with
9 the Ninth Circuit’s interpretation of the statutory
10 language.”); Hill, 322 F. Supp. 2d at 1086 (“Almost any
11 facial expression--or lack thereof--could fairly be
12 described as one of these. . . . Not much help here.”). Of
13 course an innocent child can be coaxed to assume poses or
14 expressions that bespeak sexual availability when viewed by
15 certain adults, resulting in an image that “suggests sexual
16 coyness or a willingness to engage in sexual activity”
17 regardless of the child’s own characteristics.

18 The sixth Dost factor has also been criticized. It
19 asks “whether the visual depiction is intended or designed
20 to elicit a sexual response in the viewer.” Dost, 636 F.
21 Supp. at 832. See, e.g., Doe v. Chamberlin, 299 F.3d 192,
22 196 (3d Cir. 2002) (“The final Dost factor simply puts again

1 the underlying question: Is the exhibition lascivious?");
2 Hill, 322 F. Supp. 2d at 1086 ("This factor has no
3 independent force."). The First Circuit has labeled it "the
4 most confusing and contentious of the Dost factors,"
5 suggesting that it invites more questions than it answers:

6 Is this a subjective or objective standard,
7 and should we be evaluating the response of an
8 average viewer or the specific defendant in
9 this case? Moreover, is the intent to elicit
10 a sexual response analyzed from the
11 perspective of the photograph's composition,
12 or from extrinsic evidence (such as where the
13 photograph was obtained, who the photographer
14 was, etc.)?
15

16 United States v. Amirault, 173 F.3d 28, 34 (1st Cir. 1999).

17 After all, if the sixth factor were to focus on the
18 defendant's "subjective reaction" to the photograph, as
19 opposed to the photograph's "intended effect," "a sexual
20 deviant's quirks could turn a Sears catalog into
21 pornography." Id.; see also Wiegand, 812 F.2d at 1245

22 ("Private fantasies are not within the statute's ambit").

23 The First Circuit has further questioned whether the inquiry
24 should be limited to "the four corners of the image" or
25 extend to evidence of the sexual exploitation involved in
26 making the image. Frabizio, 459 F.3d at 89-90; see also
27 Amirault, 173 F.3d at 34 (expressing doubt that a fact-

1 finder should consider "the context surrounding the creation
2 and acquisition of the photograph").

3 The Third Circuit has resolved the objection as
4 follows:

5 We must . . . look at the photograph, rather
6 than the viewer. If we were to conclude that
7 the photographs were lascivious merely because
8 [the defendant] found them sexually arousing,
9 we would be engaging in conclusory
10 bootstrapping rather than the task at hand--a
11 legal analysis of the sufficiency of the
12 evidence of lasciviousness.

13
14 Villard, 885 F.2d at 125. So, to that court, "rather than
15 being a separate substantive inquiry about the photographs,"
16 the sixth Dost factor "is useful as another way of inquiring
17 into whether any of the other five Dost factors are met."

18 Id.

19 Some of this criticism is mitigated once one
20 distinguishes between the production of child pornography
21 and possession. In Dost, the defendants were charged with
22 having produced child pornography. It was thus logical for
23 the Ninth Circuit to hold that the pictures were "a
24 lascivious exhibition because the photographer arrayed it to
25 suit his peculiar lust." Wiegand, 812 F.2d at 1244. It is
26 a point of distinction that the defendants in Amirault,
27 Villard and Frabizio were charged with having possessed (or

1 transported) child pornography; there was no allegation that
2 they located the victims, arranged or posed the scenes, or
3 otherwise produced the visual depiction. The sixth Dost
4 factor is not easily adapted to a possession case.

5 Similarly, the Dost factors are arguably of diminished
6 utility for purposes of Fourth Amendment analysis. See
7 Hill, 322 F. Supp. 2d at 1086-87 (advocating for test
8 dictating that “[i]f an image of a minor displays the
9 minor’s naked genital area, there is probable cause to
10 believe that the image is lascivious unless there are strong
11 indicators that it is not lascivious” (footnote and emphasis
12 omitted)). That concern is not implicated here.

13 Notwithstanding valid criticisms and cautions about the
14 Dost factors, we see no error in the jury charge given by
15 the District Court.

16 Congress chose the word “lascivious,” which has to do
17 generally with sexual arousal. Although the statute is not
18 unconstitutionally vague, see United States v. X-Citement
19 Video, Inc., 513 U.S. 64, 78-79 (1994); United States v.
20 Freeman, 808 F.2d 1290, 1292 (8th Cir. 1987), jurors (and
21 judges) need neutral references and considerations to avoid
22 decisions based on individual values or the revulsion

1 potentially raised in a child pornography prosecution. As a
2 definition of the word "lascivious," the Dost factors are
3 imperfect and vulnerable to criticism. But they are not
4 definitional--nor do they purport to be. Dost recommends
5 that "the trier of fact should look to" the six enumerated
6 factors, but noted that "others . . . may be relevant in the
7 particular case." Dost, 636 F. Supp. at 832. And here,
8 Judge Kravitz said that the jury "should consider" the
9 factors--not that the factors determine the question. They
10 are not mandatory, formulaic or exclusive. As factors, they
11 mitigate the risk that jurors will react to raw images in a
12 visceral way, rely on impulse or revulsion, or lack any
13 framework for reasoned dialogue in the jury room. In short,
14 the Dost factors impose useful discipline on the jury's
15 deliberations. They may do so imperfectly, but they have
16 not been much improved upon.

17 We need not decide whether the Dost factors would
18 govern in every case that touches on child pornography.
19 Among other things, it matters whether production or
20 possession is the charge. But it is no error for a district
21 court to recommend the Dost factors as considerations,
22 making any adaptations or allowances warranted by the facts

1 and charges in a particular case. That said, the jury
2 should not be made to rely on the Dost factors with
3 precision to reach a mathematical result, or to weigh or
4 count them, or to rely on them exclusively.

6 II

7 Rivera argues that the District Court erred in denying
8 his motion to sever counts two (enticement of Brian) and
9 three (interstate travel relating to Brian) from counts one
10 (enticement of Garrett), four (production of child
11 pornography depicting David) and five (possession of child
12 pornography). Rivera contends that joinder of these five
13 charges was improper under Federal Rule of Criminal
14 Procedure 8(a), or in the alternative, that the District
15 Court abused its discretion in declining to sever the
16 charges under Rule 14(a).

17 "Our scrutiny of the district court's denial of a Rule
18 8 motion to sever requires a twofold inquiry: whether
19 joinder of the counts was proper, and if not, whether
20 misjoinder was prejudicial to the defendant." United States
21 v. Ruiz, 894 F.2d 501, 505 (2d Cir. 1990). Rule 8(a) allows
22 for the joinder of offenses that "are of the same or similar

1 character, or are based on the same act or transaction, or
2 are connected with or constitute parts of a common scheme or
3 plan." Fed. R. Crim. P. 8(a). "Similar" charges include
4 those that are "somewhat alike," or those "having a general
5 likeness" to each other. United States v. Werner, 620 F.2d
6 922, 926 (2d Cir. 1980) (quoting the dictionary). Counts
7 that have a "sufficient logical connection" to each other
8 can be tried together, Ruiz, 894 F.2d at 505, as can those
9 "where the same evidence may be used to prove each count,"
10 United States v. Blakney, 941 F.2d 114, 116 (2d Cir. 1991).

11 Federal Rule of Criminal Procedure 14(a) provides that
12 "[i]f the joinder of offenses or defendants in an
13 indictment, an information, or a consolidation for trial
14 appears to prejudice a defendant or the government, the
15 court may order separate trials of counts, sever the
16 defendants' trials, or provide any other relief that justice
17 requires." Fed. R. Crim. P. 14(a). We review the denial of
18 a motion under this rule for abuse of discretion, and do not
19 reverse "unless the defendant demonstrates that the failure
20 to sever caused him 'substantial prejudice' in the form of a
21 'miscarriage of justice.'" United States v. Sampson, 385
22 F.3d 183, 190 (2d Cir. 2004) (quoting Blakney, 941 F.2d at

1 116) (other internal quotations omitted).

2 The joinder was proper. Counts one, two, three and
3 four share a "general likeness" in terms of the conduct and
4 events alleged: over a four-month period, Rivera targeted
5 Brian, Garrett, Michael, David in Internet chat rooms;
6 exchanged sexually explicit messages and photographs with
7 them; and enticed them to meet for illicit sexual
8 encounters. During one of those encounters, Rivera took the
9 photographs that form the basis of count four. And some of
10 the same exhibits were used to prove counts one, two and
11 three: the dossiers Rivera maintained of his sexual
12 experiences, which listed Garrett and Brian; and the
13 transcript of an Internet chat in which Rivera said that he
14 had abused five boys, including Garrett and Brian. As to
15 count five (possession of child pornography), we agree with
16 the Eleventh Circuit that "child molestation and child
17 pornography . . . plainly represent acts of 'similar
18 character' involving the extraordinary mistreatment of
19 children." United States v. Hersh, 297 F.3d 1233, 1242
20 (11th Cir. 2002). Accordingly, the five counts were
21 properly joined.

22 Rivera fails to demonstrate that he suffered prejudice

1 from the joinder. Rivera posits a prejudicial "spillover"
2 because the government introduced evidence that Rivera had
3 issued threats to some of his victims and suggested (in
4 summation) that Rivera's photographs of David were
5 lascivious due to Rivera's general prurient interest in
6 young males. Rivera contends that, in denying his motion,
7 the District Court overlooked the likelihood that the jury
8 would be "profoundly influenced . . . by the cumulative
9 effect of evidence relating to distinct offenses in its
10 assessment of whether the government had met its burden of
11 proof on any one charge." **Blue 18.**⁵

12 This generalized claim of prejudice is insufficient.
13 The District Court instructed the jury to "consider each
14 count separately and return a separate verdict of guilty or
15 not guilty for each" of them, **A-51**, and that its verdict
16 "must be unanimous as to each charge," **A-84**. More

⁵ In the District Court, Rivera asserted that joinder would prejudice his right to testify on certain counts but not on others. **A-18**. He has forfeited that argument on appeal, relying instead on the broader concern expressed in United States v. Werner, 620 F.2d 922 (2d Cir. 1980), that "the jury may use the evidence cumulatively; that is, that although so much as would be admissible upon any one of the charges might not have persuaded them of the accused's guilt, the sum of it will convince them as to all." Id. at 929 (quoting United States v. Lotsch, 102 F.2d 35, 36 (2d Cir. 1939) (Learned Hand, J.).

1 particularly, Federal Rule of Evidence 414 provides that
2 “[i]n a criminal case in which the defendant is accused of
3 an offense of child molestation, evidence of the defendant’s
4 commission of another offense or offenses of child
5 molestation is admissible, and may be considered for its
6 bearing on any matter to which it is relevant.” Fed. R.
7 Evid. 414(a). The same rule defines “child” as a person
8 below the age of fourteen. Id. 414(d). As a consequence,
9 proof as to counts two and three (relating to Brian, age
10 thirteen) would likely have been admissible as to the three
11 other counts. The Federal Rules of Evidence thus
12 specifically sanction the kind of showing that Rivera says
13 is impermissible and conducive to spillover. The District
14 Court did not violate Rule 8(a) and did not abuse its
15 discretion under Rule 14(a).

17 III

18 Rivera challenges his sentence as cruel and unusual, in
19 violation of the Eighth Amendment. Since Rivera failed to
20 raise this claim in the District Court, we deem it
21 forfeited. United States v. Feliciano, 223 F.3d 102, 125
22 (2d Cir. 2000) (“There is no reason why [the defendant’s]

1 constitutional challenges could not have been raised below,
2 where he had ample opportunity to raise them and where the
3 district court would have had the opportunity to address
4 them.”).

5 In any event, Rivera’s forfeited constitutional claim
6 is without merit. “The Eighth Amendment ‘forbids only
7 extreme sentences that are “grossly disproportionate” to the
8 crime.’” United States v. Yousef, 327 F.3d 56, 163 (2d Cir.
9 2003) (quoting Harmelin v. Michigan, 501 U.S. 957, 1001
10 (1991) (Kennedy, J., concurring in part and concurring in
11 the judgment)). “[O]utside the context of capital
12 punishment, successful challenges to the proportionality of
13 particular sentences have been exceedingly rare.” Ewing v.
14 California, 538 U.S. 11, 21 (2003) (internal quotations
15 omitted) (rejecting Eighth Amendment challenge to 25-year
16 sentence for theft of golf clubs worth \$1,200). After
17 Rivera served a state sentence for molesting his niece and
18 nephew throughout their childhood, he sexually exploited
19 four adolescent boys, one of them only thirteen years old.
20 In light of the gravity of Rivera’s offenses and his
21 recidivist nature, we cannot draw “an inference of gross
22 disproportionality” from the mandatory life sentence at

1 issue here. Harmelin, 501 U.S. at 1005 (Kennedy, J.,
2 concurring).

3 Lastly, Rivera's Sixth Amendment challenge to his
4 sentence is defeated by Almendarez-Torres v. United States,
5 523 U.S. 224 (1998).

6

7

CONCLUSION

8

For the foregoing reasons, we affirm.