

07-1146-cv
Bliven v. Hunt

1 UNITED STATES COURT OF APPEALS
2 FOR THE SECOND CIRCUIT

3 - - - - -

4 August Term, 2008

5 (Argued: October 22, 2008
6 Final briefs submitted
7 November 7, 2008 Decided: August 28, 2009)

8 Docket No. 07-1146-cv

9 _____
10 DAVID BLIVEN,

11 Plaintiff-Appellant,

12 - v. -

13 HON. JOHN HUNT, both in his individual and official
14 capacity, HON. BARBARA SALINITRO, both in her
15 individual and official capacity, HON. GUY
16 DePHILLIPS, both in his individual and official
17 capacity, DOUGLAS FOREMAN, both in his individual and
18 official capacity, JULIE STANTON, both in her
19 individual and official capacity, CHERYL JOSEPH-
20 CHERRY, both in her individual and official capacity,
21 HON. JOSEPH LAURIA, both in his individual and
22 official capacity, and CITY OF NEW YORK,

23 Defendants-Appellees,

24 "JOHN DOES," 1-10, both in their individual and
25 official capacities, the identity and number of whom
26 is presently unknown to the plaintiff,

27 Defendants.
28 _____

29 Before: KEARSE, SACK, and KATZMANN, Circuit Judges.

30 Appeal from a judgment of the United States District Court
31 for the Eastern District of New York, Sandra J. Feuerstein,
32 Judge, dismissing, on grounds of judicial immunity and failure to

1 state a claim, complaint alleging denial of due process and
2 breach of contract because of payments to plaintiff of less than
3 the amounts of compensation he requested as a public defender.

4 Affirmed.

5 DAVID BLIVEN, White Plains, New York,
6 Plaintiff-Appellant pro se.

7 DIANA R.H. WINTERS, Assistant Solicitor
8 General, New York, New York (Andrew M.
9 Cuomo, Attorney General of the State
10 of New York, Barbara D. Underwood,
11 Solicitor General, Michael S.
12 Belohlavek, Senior Counsel, New York,
13 New York, on the brief), for
14 Individual Defendants-Appellees.

15 SUSAN CHOI-HAUSMAN, Senior Counsel, New
16 York, New York (Michael A. Cardozo,
17 Corporation Counsel of the City of New
18 York, Pamela Seider Dolgow, Duncan
19 Peterson, New York, New York, on the
20 brief), for Defendant-Appellee City of
21 New York.

22 KEARSE, Circuit Judge:

23 Plaintiff pro se David Bliven, an attorney who was a
24 member of the public defender panel in New York City, appeals from
25 a judgment entered in the United States District Court for the
26 Eastern District of New York, Sandra J. Feuerstein, Judge,
27 dismissing his action, brought principally under 42 U.S.C. § 1983,
28 alleging that the individual defendants--judges and staff
29 attorneys in the New York State ("State") court system--and the
30 City of New York (the "City") denied him due process by granting
31 him compensation in less than the amount he requested for services

1 he performed as court-appointed counsel, and alleging breach of
2 contract by the City. The district court dismissed the complaint
3 against the individual defendants as frivolous on its face in
4 light of those defendants' entitlement to judicial immunity. It
5 dismissed the federal claims against the City pursuant to Fed. R.
6 Civ. P. 12(b)(6) for failure to state a claim on which relief can
7 be granted. Bliven challenges these rulings on appeal, contending
8 principally (a) that the individual defendants are not entitled to
9 judicial immunity because they were acting in their
10 administrative, not judicial, capacities, and (b) that the City is
11 liable because the individual defendants, in setting his
12 compensation, were municipal policymakers. Finding no merit in
13 Bliven's challenges, we affirm.

14 I. BACKGROUND

15 The following description of the events is drawn from
16 Bliven's complaint, whose factual allegations we take as true for
17 purposes of reviewing the dismissal pursuant to Rule 12(b)(6).

18 A. Bliven's Claims

19 From 2000 until April 2005, Bliven was a member of New
20 York City's Assigned Counsel Panel, serving as a public defender
21 principally in New York Family Court in Queens County. He was
22 assigned cases in that court by individual family court judges and
23 represented children, as their "law guardian," or adults in cases

1 involving child custody and support, family offenses, juvenile
2 delinquency, and children in need of protection.

3 Defendants John Hunt, Barbara Salinitro, and Guy
4 DePhillips were judges on the family court; Judge DePhillips was
5 the supervising judge; defendant Joseph Lauria was a State
6 Administrative Judge. Defendants Douglas Foreman, Julie Stanton,
7 and Cheryl Joseph-Cherry were, respectively, staff attorneys for
8 Judges Hunt, Salinitro, and DePhillips.

9 Under the assigned-counsel plan, established pursuant to
10 State law, see N.Y. County Law art. 18-B ("Article 18-B"), §§ 722
11 and 722-a to 722-f, a municipality is required to compensate
12 attorneys assigned pursuant to Article 18-B at statutory rates--
13 set as of January 2004 at \$75 per hour for offenses above the
14 misdemeanor level--"for time expended in court before a
15 magistrate, judge or justice and . . . for time reasonably
16 expended out of court," N.Y. County Law § 722-b(1), up to a
17 maximum total of \$4,400, see id. § 722-b(2), plus "reimbursement
18 for expenses reasonably incurred," id. § 722-b(1). The attorney's
19 compensation and reimbursement in a given case are to be "fixed by
20 the trial court judge," who, in "extraordinary circumstances . . .
21 may provide for compensation in excess of the [statutory] limits."
22 Id. § 722-b(3). Regulations provide that "[r]equests for
23 reconsideration of any order of the trial court fixing
24 compensation" may be "reviewed by the appropriate administrative
25 judge, . . . who may modify the award if it is found that the

1 award reflects an abuse of discretion by the trial judge." N.Y.
2 Comp. Codes R. & Regs. tit. 22, §§ 127.3(c), 127.2(b).

3 Bliven commenced the present action in 2005, alleging
4 principally that, beginning in March 2002, the individual
5 defendants conspired to deny him the compensation to which he was
6 entitled, in retaliation for his having made disfavored motions in
7 approximately 15 child protective and foster care cases in 2001 to
8 compel the disclosure of "the entire caserecord [sic]" (Complaint
9 ¶ 22) maintained by the Administration for Children's Services
10 ("ACS") (see, e.g., id. ¶¶ 22-32, 55). He alleged that between
11 March and September 2002, "nearly every voucher [he] submitted for
12 public defender compensation--at least regarding an ACS or foster
13 care agency case--to Judges Hunt or Salinitro were [sic] reduced
14 by \$50-150, all with no oral or written explanation as to why the
15 voucher was reduced" (id. ¶ 30), and that Foreman and Stanton told
16 Bliven that his vouchers were reduced because of his filing of the
17 motions to compel disclosure of complete ACS files (see id. ¶¶ 28,
18 31). Other vouchers submitted by Bliven were reduced by
19 substantially greater amounts (see, e.g., id. ¶¶ 32, 34, 37); the
20 total by which Bliven alleged he was underpaid was \$16,637.39 (see
21 id. ¶ 44).

22 Bliven also alleged that as a result of his complaining
23 about the reductions of his vouchers, he was threatened that the
24 judges would file a grievance against him. He alleged that he was
25 thus forced to withdraw from the public defender panel, thereby
26 losing two-thirds of his usual income. (See id. ¶¶ 46-47.)

1 The Complaint sought \$16,637.39 from the City on a theory
2 of breach of contract. (See id. ¶¶ 39-44.) In addition, it
3 sought, inter alia, \$5 million in compensatory damages from all of
4 the defendants on each of seven causes of action on various
5 theories, including hostile work environment (see id. ¶¶ 45-48),
6 conspiracy to deprive Bliven of the compensation to which he was
7 entitled (see id. ¶¶ 49-56), conspiracy to deprive him of equal
8 protection and to deprive persons charged with child neglect
9 and/or child abuse of effective assistance of counsel (see id.
10 ¶¶ 57-61), denial of substantive and procedural due process (see
11 id. ¶¶ 62-69), and failure of the City to train, investigate, and
12 discipline the individual defendants (see id. ¶¶ 79-85). The
13 complaint also sought \$25 million on a "Class-Action-Right To
14 Counsel" theory (id. ¶¶ 70-73), and sought injunctive relief
15 (a) prohibiting the State and the City from requiring judicial
16 approval of public defender vouchers, and (b) ordering that any
17 such fee disputes instead be submitted to arbitration (see id.,
18 WHEREFORE ¶ D).

19 B. The Decisions of the District Court

20 In an Opinion and Order dated December 12, 2005, reported
21 at 418 F. Supp. 2d 135, the district court dismissed the complaint
22 against the individual defendants sua sponte. As to Bliven's
23 claims for monetary relief, the court concluded that those
24 defendants were "absolutely immune from liability for judicial
25 acts" because Bliven "does not allege that the judges were acting

1 beyond their judicial capacity or in the clear absence of
2 jurisdiction." Id. at 137-38.

3 The court also dismissed Bliven's claims for injunctive
4 relief against the individual defendants. It concluded that any
5 such relief was unavailable because "'in any action brought
6 against a judicial officer for an act or omission taken in such
7 officer's judicial capacity, injunctive relief shall not be
8 granted unless a declaratory decree was violated or declaratory
9 relief was unavailable,'" and Bliven had failed to allege that a
10 declaratory decree was violated or that declaratory relief was
11 unavailable. Id. at 139 (quoting Federal Courts Improvement Act
12 of 1996, § 309(c), Pub. L. No. 104-317, 110 Stat. 3847, 3853
13 (1996) (amending 42 U.S.C. § 1983)).

14 In a subsequent unpublished Opinion and Order dated June
15 28, 2006, the district court denied a motion by Bliven to amend
16 his complaint to add an allegation that the individual defendants,
17 at the relevant times, were acting not in their judicial
18 capacities but instead in their administrative and/or ministerial
19 capacities. Accepting and adopting the report and recommendation
20 of the magistrate judge to whom the motion had been referred,
21 which reasoned that all of the actions complained of by Bliven and
22 all of his contacts with the individual defendants had been in
23 their judicial capacities, the district court concluded that
24 Bliven's proposed amendment would be futile.

25 Finally, in an Opinion and Order dated February 9, 2007,
26 reported at 478 F. Supp. 2d 332, the district court granted a

1 motion to dismiss the federal claims against the City for failure
2 to state a claim. The court ruled that the individual defendants
3 were not municipal policymakers because (a) they were employees of
4 the State, not the City, see id. at 338-39, and (b) their
5 "[d]eterminations of attorney compensation do not establish
6 municipal policy, but only effectuate the statutory policy
7 established by the State legislature to compensate appointed
8 counsel," id. at 338. The court ruled that the complaint failed
9 to state a claim for failure to train or supervise, etc., because
10 the City was not responsible for training or supervising the
11 individual defendants as they are employees of the State. See
12 id. at 340. Having dismissed all of Bliven's federal claims, the
13 court declined to exercise supplemental jurisdiction over his
14 claim against the City for breach of contract.

15 II. DISCUSSION

16 On appeal, Bliven contends that the district court erred
17 when it ruled that the individual defendants are entitled to
18 judicial immunity, arguing that the individual defendants'
19 determinations of compensation for court-appointed attorneys are
20 employment-related, administrative decisions for which judicial
21 defendants are not afforded absolute immunity. (See Bliven brief
22 on appeal at 14-15.) Bliven also challenges the dismissal of his
23 federal claims against the City, pursuing his contention that the
24 individual defendants act as "municipal policymaker[s] for

1 purposes of determining compensation for New York City public
2 defenders." (Id. at 21.) For the reasons that follow, we find no
3 merit in Bliven's contentions.

4 A. Judicial Immunity

5 It is well settled that judges generally have absolute
6 immunity from suits for money damages for their judicial actions.
7 See, e.g., Mireles v. Waco, 502 U.S. 9, 9-10 (1991); Forrester v.
8 White, 484 U.S. 219, 225-26 (1988). Such judicial immunity is
9 conferred in order to insure "that a judicial officer, in
10 exercising the authority vested in him, shall be free to act upon
11 his own convictions, without apprehension of personal consequences
12 to himself." Bradley v. Fisher, 80 U.S. (13 Wall.) 335, 347
13 (1871). Thus, even allegations of bad faith or malice cannot
14 overcome judicial immunity. See, e.g., Pierson v. Ray, 386 U.S.
15 547, 554 (1967); Tucker v. Outwater, 118 F.3d 930, 932 (2d Cir.),
16 cert. denied, 522 U.S. 997 (1997). In addition, as amended in
17 1996, § 1983 provides that "in any action brought against a
18 judicial officer for an act or omission taken in such officer's
19 judicial capacity, injunctive relief shall not be granted unless a
20 declaratory decree was violated or declaratory relief was
21 unavailable." 42 U.S.C. § 1983.

22 Judges are not, however, absolutely "immune from liability
23 for nonjudicial actions, i.e., actions not taken in the judge's
24 judicial capacity." Mireles, 502 U.S. at 11; see also Huminski v.
25 Corsones, 396 F.3d 53, 75 (2d Cir. 2005). In determining whether

1 an act by a judge is "judicial," thereby warranting absolute
2 immunity, we are to take a functional approach, for such "immunity
3 is justified and defined by the functions it protects and serves,
4 not by the person to whom it attaches," Forrester, 484 U.S. at 227
5 (emphasis in original). "[T]he factors determining whether an act
6 by a judge is a 'judicial' one relate to the nature of the act
7 itself, i.e., whether it is a function normally performed by a
8 judge, and to the expectations of the parties, i.e., whether they
9 dealt with the judge in his judicial capacity." Stump v.
10 Sparkman, 435 U.S. 349, 362 (1978); see, e.g., Mireles, 502 U.S.
11 at 12-13.

12 In employing this functional analysis, the Supreme Court
13 has generally concluded that acts arising out of, or related to,
14 individual cases before the judge are considered judicial in
15 nature. Actions that are judicial in nature include issuing a
16 search warrant, see Burns v. Reed, 500 U.S. 478, 492 (1991);
17 directing court officers to bring a particular attorney before the
18 judge for a judicial proceeding, see Mireles, 502 U.S. at 12-13;
19 granting a petition for sterilization, see Stump, 435 U.S. at
20 362-64; and disbarring an attorney as a sanction for the
21 attorney's contumacious conduct in connection with a particular
22 case, see Bradley, 80 U.S. (13 Wall.) at 354-57. The fact that a
23 proceeding is "informal and ex parte . . . has not been thought to
24 imply that an act otherwise within a judge's lawful jurisdiction
25 was deprived of its judicial character." Forrester, 484 U.S. at
26 227; see, e.g., Stump, 435 U.S. at 363 n.12 (the fact "[t]hat

1 there were not two contending litigants did not make Judge Stump's
2 act [in granting mother's petition for sterilization of her
3 "'somewhat retarded'" daughter] any less judicial"). In Huminski
4 v. Corsones, we concluded that a judge's orders prohibiting
5 Huminski from appearing in or around state-court facilities were
6 judicial acts because Huminski's conduct and communications,
7 perceived as potentially threatening, were complaining of rulings
8 in a criminal case in which he had been the defendant and over
9 which the judge had presided. See 396 F.3d at 78.

10 In contrast, a judge's "[a]dministrative decisions, even
11 though they may be essential to the very functioning of the
12 courts, have not similarly been regarded as judicial acts."
13 Forrester, 484 U.S. at 228. Such administrative actions include
14 demoting or dismissing a court employee, see id. at 229-30; and
15 compiling general jury lists to affect all future trials, see Ex
16 parte Virginia, 100 U.S. 339, 348 (1879). Similarly, judges are
17 not entitled to judicial immunity for promulgating a code of
18 conduct for attorneys, though for that function they have been
19 held entitled to legislative immunity. See Supreme Court of
20 Virginia v. Consumers Union of United States, Inc., 446 U.S. 719,
21 731, 734 (1980); see, e.g., id. at 731 (judges' "propounding the
22 [State Bar] Code was not an act of adjudication but one of
23 rulemaking" in their legislative capacity).

24 A private actor may be afforded the absolute immunity
25 ordinarily accorded judges performing their authorized judicial
26 functions if the private actor's role is "'functionally

1 comparable'" to the roles of those judges, Butz v. Economou, 438
2 U.S. 478, 513 (1978), or his acts are integrally related to an
3 ongoing judicial proceeding, see, e.g., Oliva v. Heller, 839 F.2d
4 37, 40 (2d Cir. 1988) (judge's law clerk assisting the judge in
5 making judicial decisions was entitled to judicial immunity for
6 his participation in those functions); Dorman v. Higgins, 821 F.2d
7 133, 137 (2d Cir. 1987) (federal probation officer preparing and
8 furnishing presentence reports to the court was entitled to
9 judicial immunity for that function); see generally Mitchell v.
10 Fishbein, 377 F.3d 157, 172-74 (2d Cir. 2004). But private
11 persons assisting judges are not accorded absolute immunity with
12 respect to the performance of functions that are nonjudicial.
13 See, e.g., id. at 174 (no such immunity for persons compiling
14 lists of attorneys available to serve pursuant to Article 18-B in
15 the future ("18-B Panels")).

16 Bliven, in contending that the family court judges'
17 rulings on his vouchers were acts that were administrative rather
18 than judicial in nature, relies heavily (see Bliven brief on
19 appeal at 11, 16-20) on Mitchell v. Fishbein, in which we ruled
20 that the screening committee ("Committee") responsible for
21 compiling a list of attorneys to be members of an 18-B Panel
22 performed functions that were administrative and legislative,
23 rather than judicial. His reliance is misplaced. The principal
24 hallmark of the judicial function is a decision in relation to a
25 particular case. In Mitchell v. Fishbein, the Committee simply
26 assembled the 18-B Panel, certifying, recertifying, and

1 decertifying attorneys who were willing and qualified to
2 represent an indigent defendant charged with a crime, and who
3 would, if appointed in the future, be entitled under Article 18-B
4 to compensation for their services. The Committee did not
5 determine which attorney would be appointed in any particular
6 case; indeed, in any given case the court was free to appoint an
7 attorney who was not on the 18-B Panel. Further, the Committee's
8 decision not to recertify Mitchell affected only his entitlement
9 to compensation for cases to which he might be appointed in the
10 future; the refusal to recertify did not affect any particular
11 case, as it did not remove Mitchell from any case he was then
12 handling. See, e.g., 377 F.3d at 168-69, 172. Thus, although
13 essential to the operation of the judicial system generally, the
14 functions performed by the Committee were not integrally related
15 to any specific judicial proceeding. We concluded that

16 the Screening Committee, in compiling a list of
17 qualified attorneys, acts as an administrative body,
18 rather than conducting proceedings that are judicial,
19 and . . . its denial of Mitchell's application for
20 recertification to the 18-B Panel was a decision that
21 was not judicial but legislative.

22 Id. at 167-68.

23 In contrast to the Committee functions in Mitchell v.
24 Fishbein, the determination by a judge as to whether a given fee
25 request by an 18-B Panel member is reasonable is clearly case-
26 related. Compensation for cases above the misdemeanor level is
27 set "at a rate of seventy-five dollars per hour for time expended
28 in court before a magistrate, judge or justice and seventy-five
29 dollars per hour for time reasonably expended out of court," N.Y.

1 County Law § 722-b(1)(b) (emphasis added), with a ceiling of
2 \$4,400, see id. § 722-b(2)(b); and reimbursement is authorized
3 "for expenses reasonably incurred," id. § 722-b(1)(b) (emphasis
4 added). "Each claim for compensation and reimbursement shall be
5 supported by a sworn statement specifying," inter alia, "the time
6 expended, services rendered, expenses incurred." Id. § 722-b(4).
7 Except with respect to services on appeal, the "compensation and
8 reimbursement shall be fixed by the trial court judge," who may
9 award compensation above the normal ceiling in "extraordinary
10 circumstances." Id. § 722-b(3). An attorney dissatisfied with
11 the fee awarded may "request[] . . . reconsideration," N.Y. Comp.
12 Codes R. & Regs. tit. 22, § 127.3(c), whereupon the amount awarded
13 will be "reviewed by the appropriate administrative judge" who may
14 modify the award if he finds that it "reflects an abuse of
15 discretion by the trial judge," id. § 127.2(b). Thus, the
16 functions at issue here are case-specific and not similar to the
17 functions at issue in Mitchell v. Fishbein.

18 Bliven also relies on the fact that the New York Court of
19 Appeals in Levenson v. Lippman, 4 N.Y.3d 280, 794 N.Y.S.2d 276
20 (2005), characterized the "award of compensation fees" for
21 assigned counsel as an "administrative rather than a judicial act
22 of the trial judge," id. at 291, 794 N.Y.S.2d at 281. The issue
23 in that case was whether the State's Chief Administrative Judge
24 had violated State law in amending pertinent regulations to
25 provide that awards of compensation to 18-B Panel attorneys in
26 amounts exceeding the statutory ceiling were reviewable by "the

1 appropriate administrative judge." Id. at 286, 794 N.Y.S.2d at
2 277. Although the State's Civil Practice Law and Rules provide
3 generally that "[t]he appellate division shall review questions of
4 law and questions of fact on an appeal from a judgment or order of
5 a court of original instance," N.Y.C.P.L.R. § 5501(c), there was
6 no provision in the County Law for any review of excess
7 compensation awards, and the Levenson Court concluded that the
8 State's legislature had thereby "simply created a gap in the
9 administrative process that the Chief Administrator was entitled
10 to fill," 4 N.Y.3d at 291, 794 N.Y.S.2d at 281; see also id. at
11 292 & n.*, 794 N.Y.S.2d at 281-82 & n.* (concurring opinion)
12 (noting that the legislature had failed to enact proposed
13 legislation calling for review by the Appellate Division, leaving
14 "a void in oversight"). The New York Court of Appeals upheld the
15 Chief Administrative Judge's amendment providing for review of
16 above-ceiling awards by the appropriate administrative judge,
17 noting that "[o]therwise, these awards would be wholly
18 unreviewable," id. at 291, 794 N.Y.S.2d at 281 (majority opinion).

19 Notwithstanding the New York Court of Appeals'
20 characterization of 18-B Panel fee awards as administrative for
21 purposes of ensuring their reviewability, we are not persuaded
22 that a judge's decision as to a reasonable attorney's fee is an
23 administrative, rather than a judicial, decision for purposes of
24 determining whether the judge is to have absolute immunity for
25 that decision. Cf. District of Columbia Court of Appeals v.
26 Feldman, 460 U.S. 462, 477-78 (1983) (state's characterization of

1 proceedings as "ministerial" rather than "judicial" does not
2 control federal court's analysis of whether a proceeding is
3 judicial for purposes of the Rooker-Feldman doctrine because "we
4 must for ourselves appraise the circumstances" of the proceeding
5 (internal quotation marks omitted)). The authority to decide what
6 is a reasonable attorney's fee for representing a client in a
7 particular case is plainly a part of the judicial function
8 performed in many cases. Although the general "American Rule" is
9 that the prevailing party in federal court litigation is not
10 entitled to recover legal fees incurred in the conduct of that
11 litigation, see, e.g., Alyeska Pipeline Service Co. v. Wilderness
12 Society, 421 U.S. 240, 247 (1975), numerous statutes allow--and
13 sometimes require--the court to award reasonable attorneys' fees,
14 generally to the prevailing party, but in some instances to either
15 party, see, e.g., 42 U.S.C. § 1988(b) (in action brought under
16 42 U.S.C. § 1983 or various other laws to vindicate civil rights,
17 "the court, in its discretion, may allow the prevailing party,
18 other than the United States, a reasonable attorney's fee"
19 (emphases added)); 29 U.S.C. § 216(b) (in action for violation of
20 the Fair Labor Standards Act of 1938, the court "shall, in
21 addition to any judgment awarded to the plaintiff or plaintiffs,
22 allow a reasonable attorney's fee" (emphases added)); see id.
23 § 1132(g)(1) (in a civil action by a participant, beneficiary, or
24 fiduciary to enforce the Employee Retirement Income Security
25 Program, "the court in its discretion may allow a reasonable

1 attorney's fee and costs of action to either party" (emphases
2 added)).

3 Other statutes authorizing the court to award "a
4 reasonable attorney's fee" to a prevailing private party include
5 29 U.S.C. § 626(b) (for actions under the Age Discrimination in
6 Employment Act (incorporating the remedies provided in
7 id. § 216(b))); 42 U.S.C. § 2000e-5(k) (for actions under Title
8 VII of the Civil Rights Act of 1964); id. § 2000a-3(b) (for
9 actions under Title II (public accommodations provisions) of the
10 Civil Rights Act of 1964); id. § 3613(c)(2) (for actions under
11 the Fair Housing Act, Title VIII of the Civil Rights Act of 1968);
12 id. § 19731(e) (for actions under the Voting Rights Act); id.
13 § 12205 (for actions under the Americans with Disabilities Act);
14 29 U.S.C. § 794a(b) (for actions under the Rehabilitation Act of
15 1973); 20 U.S.C. § 1415(i)(3)(B)(i) ("reasonable attorneys' fees"
16 for actions under the Individuals with Disabilities Education
17 Act); 15 U.S.C. § 15 (for civil actions under the antitrust
18 laws); 18 U.S.C. § 1964(c) (recovery on a civil Racketeer
19 Influenced and Corrupt Organizations claim "shall . . . includ[e]
20 a reasonable attorney's fee"); and 28 U.S.C. §§ 2412(d)(1)(A) and
21 (2)(A) (under the Equal Access to Justice Act, in actions by or
22 against the United States, "[e]xcept as otherwise specifically
23 provided by statute, a court shall award to a prevailing party"
24 attorneys' fees, generally limited to \$125 per hour, "unless the
25 court finds that the position of the United States was

1 substantially justified or that special circumstances make an
2 award unjust").

3 In cases under the above statutes, the governing
4 principles and procedures are essentially the same. See, e.g.,
5 Hensley v. Eckerhart, 461 U.S. 424, 433 n.7 (1983) (the same
6 standards are "generally applicable in all cases in which Congress
7 has authorized an award of fees to a 'prevailing party'").
8 Applications for awards of fees must be documented by time
9 records. See, e.g., id. at 437 (attorney "should maintain
10 billing time records in a manner that will enable a reviewing
11 court to identify distinct claims"); New York Association for
12 Retarded Children, Inc. v. Carey, 711 F.2d 1136, 1148 (2d Cir.
13 1983) (contemporaneously created time records should "specify, for
14 each attorney, the date, the hours expended, and the nature of the
15 work done"). In determining what fee is reasonable, the court
16 takes account of claimed hours that it views as "excessive,
17 redundant, or otherwise unnecessary." Hensley, 461 U.S. at 434.
18 In so doing, "the district court does not play the role of an
19 uninformed arbiter but may look to its own familiarity with the
20 case and its experience generally as well as to the evidentiary
21 submissions and arguments of the parties." DiFilippo v. Morizio,
22 759 F.2d 231, 236 (2d Cir. 1985). And in light of the district
23 court's familiarity with the particular case, its award of
24 attorneys' fees is reviewed only for abuse of discretion. See,
25 e.g., Gierlinger v. Gleason, 160 F.3d 858, 876 (2d Cir. 1998);
26 LeBlanc-Sternberg v. Fletcher, 143 F.3d 748, 757 (2d Cir. 1998);

1 In re Bolar Pharmaceutical Co. Securities Litigation, 966 F.2d
2 731, 732 (2d Cir. 1992); see generally Hensley, 461 U.S. at 437
3 ("We reemphasize that the district court has discretion in
4 determining the amount of a fee award. This is appropriate in
5 view of the district court's superior understanding of the
6 litigation and the desirability of avoiding frequent appellate
7 review of what essentially are factual matters.").

8 In all of these matters, the court performs a judicial
9 function in assessing the attorney's documentation as to how the
10 hours charged were spent and determining whether, in light of the
11 case itself, the fee requested is one that is "reasonable." The
12 result is an award--or a denial--of fees for work done in a
13 particular case.

14 In sum, we see no principled difference between the
15 nature of the task performed in setting reasonable fees under
16 these federal fee-shifting statutes and the task performed by a
17 family court judge in determining the fee to be awarded to the
18 18-B Panel attorney. In each instance, the focus is on a
19 particular case; the attorney must document the hours he claims he
20 spent on the issues in that case; the request is ruled on by the
21 judge who presided over the case, and hence is familiar with the
22 issues and the attorney's submissions; the pertinent focus is on
23 the reasonableness of the number of out-of-court hours spent on
24 that case; and the amount awarded by the trial judge is reviewable
25 for abuse of discretion. Accordingly, we reject Bliven's
26 contention that the actions of the family court judges in ruling

1 on his vouchers, and the supportive actions of their assisting
2 court attorneys, were not judicial acts.

3 B. Municipal Liability

4 Bliven also contends that the district court erred in
5 dismissing his federal claims against the City based on its
6 conclusion that the defendant judges were not municipal
7 policymakers. This contention is meritless.

8 The matter of whether a given official is a municipal
9 policymaker is a question of law. See, e.g., Jett v. Dallas
10 Independent School District, 491 U.S. 701, 737 (1989); Jeffes v.
11 Barnes, 208 F.3d 49, 57 (2d Cir.), cert. denied, 531 U.S. 813
12 (2000). The clear policy at issue here is that an 18-B Panel
13 attorney should not be compensated for out-of-court time that was
14 not "reasonably expended." N.Y. County Law § 722-b(1). That
15 policy is established by the statute. Judges, in determining
16 whether the attorney's expenditure of the claimed out-of-court
17 hours in a particular case was in fact reasonable, merely apply
18 the policy; they do not make it.

19 CONCLUSION

20 We have considered all of Bliven's arguments on this
21 appeal and have found them to be without merit. The judgment of
22 the district court is affirmed.