

**United States Court of Appeals for the Second Circuit
Thurgood Marshall U.S. Courthouse
40 Foley Square
New York, NY 10007**

DENNIS JACOBS
CHIEF JUDGE

CATHERINE O'HAGAN WOLFE
CLERK OF COURT

Date: November 03, 2011
Docket #: 11-1197cv
Short Title: Patrick Cariou v. Richard Prince

DC Docket #: 08-cv-11327
DC Court: SDNY (NEW YORK CITY)
DC Judge: Batts

NOTICE OF DEFECTIVE FILING

On November 2nd, 2011 the Notice of Appearance as Amicus Counsel on behalf of the Amici The Andy Warhol Foundation for the Visual Arts, was submitted in the above referenced case. The document does not comply with the FRAP or the Court's Local Rules for the following reason(s):

- Failure to submit acknowledgment and notice of appearance (*Local Rule 12.3*)
- Failure to file the Record on Appeal (*FRAP 10, 11*)
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Inquiries regarding this case may be directed to 212-857-8561.