

6. This Agreement is the entire agreement between the parties with respect to the subject matter hereof and may not be modified or amended except by an instrument in writing signed by the party to be charged.

IN WITNESS WHEREOF, the parties have executed this instrument as of the date and year first above written.


DANIEL JUDAH SKLAR


ZACHARY SKLAR


JUDY SKLAR RASMINSKY


BLANCHE BRANN


OSCAR BRANN

AUTHORS LEAGUE FUND

By: _____
HERBERT MITGANG

[ADD NOTARIAL ACKNOWLEDGEMENTS]



DANA S. SINGER
Notary Public, State of New York
No. 31-4918324, Qualified in N.Y. County
Commission Expires January 4, 19⁹²

APPLICATION FOR PROBATE OF WILL, ETC.

To the Court of Probate for the District of ~~Meriden~~ Westport:

The application of George F. McKendry,

County of Fairfield and State of Connecticut,
of ~~Wilton~~ / ~~xxxxxx~~ represents that Gladys Malvern
last dwelt in Weston in said District:

that she died within the last ten years, to wit, on the 16 day of November, 1962 ;

that she left a will and testament dated November 13, 1957

duly executed in and by which said applicant is appointed execut or
thereof, and was at the time of her death the owner of goods, chattels, credits and estate whereof the
administration appertains to said Court, and which are disposed of in and by said will.

Said applicant further represent S that said testatrix left no husband ~~xxxxxx~~ her
surviving, ~~xxxxxx~~

~~xxxxxx~~

That said testatrix left no children nor any representatives of de-
ceased children, no parents, no brothers or sisters nor any represen-
tatives of deceased brothers or sisters her surviving; that the
applicant has no knowledge of any heirs at law of said deceased.

That the estate of said deceased consists of Real estate of the approximate value of \$ 30,000.00

Personal " " " " " " \$ 10,000.00

And said applicant herewith exhibit S said will to said
Court and pray S for probate thereof, and waives all notice relative to said appli-
cation.

Dated the 20 day of November, A. D., 19 62.

Subscribed and sworn to this 20

day of November,

A. D. 19 62, before me.

NOTARY PUBLIC

George F. McKendry

ORDER OF NOTICE

FORM No. 1

DISTRICT OF WESTPORT, ss., PROBATE COURT, November 27, A. D., 19 62
 Estate of GLADYS MALVERN, late of Weston,
 in said District, deceased.

Whereas, written application has been made to this Court for the admission to probate
 of a certain written instrument as and for the Will of said deceased: it is

ORDERED, That said application be heard and determined at the Probate Office, in Westport,
 on the 7th day of December, A. D., 1962, at 2:30 o'clock afternoon;
 and that public notice thereof be given to all persons interested therein by publishing this order in a news-
 paper having a circulation in said District, at least five, days before said day of hearing.

James J. [Signature] JUDGE

TO THE COURT OF PROBATE FOR THE DISTRICT OF WESTPORT:

Estate of GLADYS MALVERN, late of Weston,
 in said District, deceased.

The subscriber hereby makes return that he published the foregoing order in the
 Norwalk Hour, a newspaper having a circulation in said District, at least five
 days before the 7th day of December, 19 62.

George F. McHardy

Subscribed and sworn to, this 7th
 day of December, A. D., 19 62, before me,

Marion E. Wood JUDGE
 Clerk

I, GLADYS MALVERN, of the Town of Weston, County of Fairfield and State of Connecticut, being of lawful age, and of sound and disposing mind, memory and judgment, do hereby make, publish and declare this to be my Last Will and Testament, hereby revoking all previous Wills and Codicils by me at any time heretofore made.

FIRST

It is my desire as soon as practicable after my decease that my mortal remains be cremated and I further desire that there be no services, mourners, or flowers in connection with this occasion.

SECOND

I direct my Executor hereinafter named to pay all my just debts and funeral expenses as soon as possible after my decease.

THIRD

I give and bequeath the sum of Five hundred Dollars (\$500.00) to EDNA H. SADLER, of Davis Hill Road, Weston, Connecticut, to be hers absolutely and forever.

FOURTH

I give and bequeath to the HENRY STREET SETTLEMENT of New York City, New York, my Sebastien Klotz Violin and Amani Bow.

FIFTH

I give and bequeath to STEVE DUBIN, of Slumber Corners, Weston, Connecticut, if she survives me, my Zorn water color. In the event that said STEVE DUBIN predeceases me, then I give and bequeath the same to MISS LILY FITZGERALD, of 2227 Merton Ave., Los Angeles, California.

SIXTH

I give and bequeath to THE AUTHOR'S FUND all rights and royalties and revenues from any sale of rights of any of my books.

SEVENTH

I give and bequeath my books, two diamond rings, one diamond brooch, three watches, mink coat, stone martin scarf and all household furniture and furnishings, including gardening equipment to GOOD WILL INDUSTRIES, absolutely and forever.

EIGHTH

All the rest, residue and remainder of my estate, real, personal and mixed and wheresoever situated, I give, devise and bequeath to the SALVATION ARMY, absolutely and forever.

NINTH

I direct that all legacy, inheritance, succession, estate or like taxes legally imposed upon my estate shall be payable from and chargeable only to my residuary estate.

TENTH

I authorize and empower my Executor hereinafter named, if he be then living or his successor, if and whenever in the settlement of my estate he may deem it advisable at his discretion to sell, lease, mortgage, improve or convey the whole or any part of my real or personal property at public or private sale, and to execute on desire proper instruments of transfer and other writings necessary to pass proper title thereto. I further direct that no bond or other security be required of him, who shall qualify and act as Executor hereof, in any jurisdiction to insure the faithful performance of his duties hereunder.

I hereby nominate, constitute and appoint my attorney, GEORGE F. MCKENDRY, as Executor of this my Last Will and Testament.

I N W I T N E S S W H E R E O F, I have hereunto
set my hand and seal at Wilton, Connecticut, this 13th day of
November , A. D., 1957.

Gladys Malvern (L.S.)

Signed, sealed, published and declared by the said
GLADYS MALVERN as and for her Last Will and Testament, in presence
of us who at her request, in her presence, and in the presence of
each other have hereunto subscribed our names as witnesses on the
13th day of November , A. D., 1957.

Ruth A. Bassett of Wilton, Connecticut

Bessie B. Schilcher of Wilton, Connecticut

Jacqueline K. Schilcher of Wilton, Connecticut

STATE OF CONNECTICUT)
COUNTY OF FAIRFIELD) ss. Wilton, November 13th, 1957.

We Ruth A. Bassett, Bessie B. Schilcher
and Jacqueline K. Schilcher who have subscribed our names as
witnesses to the foregoing Will, make solemn oath that GLADYS
MALVERN, the said Testatrix, signed and sealed the foregoing
instrument in our presence and in the presence of each of us,
that she published and declared the same to be her Last Will and
Testament, and that we each signed our names as witnesses at her
request and in her presence and in the presence of each other,
and that at the time of executing this Will the said Testatrix
was to the best of our knowledge and belief, of sound and dispos-
ing mind and memory, and free from all undue influence. This
affidavit is made and signed at the request of said Testatrix.

EXHIBIT C

Page 1

FORM A-B AD INTERIM

CLASSES	REGISTRATION NO.
A-B	A1 5861
DO NOT WRITE HERE	

Application for Registration of a Claim to Ad Interim Copyright
in a book or periodical in the English language manufactured and first
published outside the United States of America

Instructions: Read the information provided on page 4 before completing the form. Fill in applicable items on all pages. Follow the instructions which accompany each item. The application should give the facts which existed at the date of publication. Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 may be carbon copies. Mail all pages to the Register of Copyrights, Library of Congress, Washington 25, D. C., U. S. A., together with the items specified in either Option A or B:

Option A: One copy of the work and a fee of \$4. Make remittance payable to the Register of Copyrights.

Option B: Two copies of the work and a catalog card. This option is not available if the author was a United States citizen or domiciliary on the date of publication, nor if the author or proprietor is a citizen, domiciliary or resident on the date application is filed.

Registration cannot be made under either option unless all the required items are received in the Copyright Office within 6 months of the date of first publication.

1. Copyright Claimant(s) and Address(es): Give the name(s) and address(es) of the copyright owner(s). Ordinarily the name should be the same as in the notice of copyright, if any, on the copies of the work deposited. The citizenship of the claimant must be stated.

Name W. H. Allen & Co., Ltd. Citizenship England
 (Name of country)
 Address 43 Essex Street, London, W. C. 2 England
 Name _____ Citizenship _____
 (Name of country)
 Address _____

2. Title: (a) Give the title of the work as it appears on the copies.

THE HUSBAND

(b) If periodical give: Vol. _____ No. _____ Date on copies. _____

3. Authors: The citizenship of the author and information concerning domicile must be given. If an organization is the author the name of the country under the laws of which the organization was formed should be given in the space for citizenship. Authors may be editors, compilers, translators, illustrators, etc., as well as authors of original text. In the case of

a work made for hire the employer is the author. If the claim is based on new matter (see item 5) give information about the author of the new matter. If the author's pseudonym appears on the copies rather than his legal name, the pseudonym should be given in the application, and the legal name may be included if desired; e. g., John Doe, pseudonym of Richard Roe.

Name Vera Caspary A Citizenship United States
 (Name of country)
 Domiciled in U. S. A. ☒ Yes ☐ No Address _____
 Name _____ Citizenship _____
 (Name of country)
 Domiciled in U. S. A. ☐ Yes ☐ No Address _____
 Name _____ Citizenship _____
 (Name of country)
 Domiciled in U. S. A. ☐ Yes ☐ No Address _____

4. Date and Place of Publication:

(a) Give the date (month, day, and year) when copies were first placed on sale, sold, or publicly distributed.

October 21, 1957

(b) Give the name of the country in which the work was first published.

England

5. Previous Publication:

New matter in this version: If any part of this work has been previously published, give a brief general statement of the nature of the new matter in this version. New matter may consist

of compilation, translation, abridgment, editing and the like as well as additional text or pictorial matter.

6. If registration fee is to be charged to an established deposit account, give name of account: _____

7. Name and address of person to whom correspondence or refund, if any, should be sent:
Name Curtis Brown, Ltd., 347 Madison Avenue, New York, N.Y.
Miss Naomi Burton

8. Send certificate to:

Name	<u>Miss Naomi Burton</u>		
Address	<u>Curtis Brown, Ltd., 347 Madison Avenue, New York</u> (Number and street)		
	(City)	(Zone)	(State)

9. Certification:

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.

Margaret L. H. Brown
(Signature of copyright claimant or duly authorized agent)

Importation of copies into the United States

Sec. 16 of Title 17 of the United States Code, the Copyright Law, permits the importation of 1,500 copies of works in which claims to ad interim copyright have been registered. The Copyright Office will issue an import statement at the time of registration to be presented to the customs officer at the port of entry. The statement, indicating the number of copies imported,

will be returned to the Copyright Office by the customs officer. If less than 1,500 copies are imported the Copyright Office will issue an import statement for the balance.

If the import statement is to be sent to a person other than the one named in item 8 give the name and address here.

Name _____ Address _____

Application Forms

Copies of the following forms will be supplied by the Copyright Office without charge upon request.

- Class A Form A—Published book manufactured in the United States of America.
- Class A or B Form A-B Foreign—Book or periodical manufactured and first published outside the United States of America (except works subject to the ad interim provisions of the copyright law of the United States of America).
- Class A or B Form A-B Ad Interim—Book or periodical in the English language manufactured and first published outside the United States of America and subject to the ad interim provisions of the copyright law of the United States of America.
- Class B Form B—Periodical manufactured in the United States of America.
- Class B Form BB—Contribution to a periodical manufactured in the United States of America.
- Class C Form C—Lecture, sermon, address, prepared for oral delivery.
- Class D Form D—Dramatic or dramatico-musical composition.
- Class E Form E—Musical composition by an author who is a citizen or domiciliary of the United States of America of which is first published in the United States of America.
- Class E Form E Foreign—Musical composition by an author who is not a citizen or domiciliary of the United States of America and which is not first published in the United States of America.
- Class F Form F—Map.
- Class G Form G—Work of art; model or design for work of art.
- Class H Form H—Reproduction of a work of art.
- Class I Form I—Drawing or plastic work of a scientific or technical character.
- Class J Form J—Photograph.
- Class K Form K—Print or pictorial illustration.
- Class K Form KK—Print or label used for article of merchandise.
- Class L or M Form L-M—Motion Picture.
- Form R—Renewal copyright.
- Form U—Notice of use of musical composition on mechanical instruments.

FOR COPYRIGHT OFFICE USE ONLY	
Application received FEB -6 1958	
One copy received JAN 17 1958	
Two copies received	
Catalog card received	
Fee received	
Renewal RE 273-653	

Page 1

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R-488945

Certificate of Copyright Registration

This is to certify, in conformity with section 55 of the Act to Amend and Consolidate the Acts respecting Copyright approved March 4, 1909, as amended by the Act approved March 2, 1913, that TWO copies of the BOOK named herein have been deposited in this Office under the provisions of the Act of 1909, together with the AFFIDAVIT prescribed in section 16 thereof; and that registration of a claim to copyright for the first term of twenty-eight years for said book has been duly made in the name of

Vera Caspary,

Hotel Windsor, 100 West 58th St.,

New York, N.Y.

Title: Laura.

By Vera Caspary, of United States. (Greatly augmented).

First published serially in "Collier's, The National Weekly,"

October 17, 1942 - November 28, 1942.

Printed or produced by Houghton Mifflin Co.,

Cambridge, Mass.

Date of publication in the United States Jan. 26, 1943

Affidavit received Feb. 4, 1943

Copies received Jan. 18, 1943

Entry: Class A, No. 170748

[SEAL]

C. C. Bouvé
Register of Copyrights.

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Page 1

Application for Registration of a Claim to Copyright in a published book manufactured in the United States of America

FORM A

CLASS **AA** REGISTRATION NO. **332668**
DO NOT WRITE HERE

Instructions: Make sure that all applicable spaces have been completed before you submit the form. The application must be signed at line 10 and the affidavit (line 11) completed and notarized. The application should not be submitted until after the date of publication given in line 4, and should state the facts which existed on that date. For further information, see page 4.

Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 should contain exactly the same information as pages 1 and 2, but may be carbon copies. Mail all pages of the application to the Register of Copyrights, Library of Congress, Washington 25, D. C., together with 2 copies of the best edition of the work and the registration fee of \$4. Make your remittance payable to the Register of Copyrights.

1. Copyright Claimant(s) and Address(es): Give the name(s) and address(es) of the copyright owner(s). Ordinarily the name(s) should be the same as in the notice of copyright on the copies deposited.

Name Vera CasparyAddress 1454 Blue Ridge Drive, Beverly Hills, California

Name

Address

2. Title: THE HUSBAND

(Give the title of the book as it appears on the title page)

3. Authors: Citizenship and domicile information must be given. Where a work was made for hire, the employer is the author. The citizenship of organizations formed under U. S. Federal or State law should be stated as U. S. A. Authors

may be editors, compilers, translators, illustrators, etc., as well as authors of original text. If the copyright claim is based on new matter (see line 5) give requested information about the author of the new matter.

Name Vera Caspary (Goldsmith)

(Give legal name followed by pseudonym if latter appears on the copies)

Citizenship USA

(Name of country)

Domiciled in U. S. A. Yes X NoAddress 1454 Blue Ridge Drive, Beverly Hills, California

Name

(Give legal name followed by pseudonym if latter appears on the copies)

Citizenship

(Name of country)

Domiciled in U. S. A. Yes No

Address

Name

(Give legal name followed by pseudonym if latter appears on the copies)

Citizenship

(Name of country)

Domiciled in U. S. A. Yes No

Address

4. Date of Publication of This Edition: Give the date when copies of this edition were first placed on sale, sold, or publicly distributed. (NOTE: The full date (month, day, and year) must be given.)

April 16, 1958

5. New Matter in This Version: (NOTE: Leave this line blank unless the following instructions apply to this work.) If any substantial part of this work has been previously published, give a brief general statement of the nature of the new

matter in this version. New matter may consist of compilation, translation, abridgment, editorial revision, and the like, as well as additional text or pictorial matter.

6. U. S. Edition of Book in English First Manufactured and Published Abroad: (NOTE: Leave this line blank unless the following instructions apply to this work.) If this is the

U. S. edition of a book in English, and all or a substantial part of the English text of an earlier foreign edition was manufactured and first published abroad, complete the following spaces. For further information, see page 4.

Year date of first publication of foreign edition 1957
(Year)

Was claim to ad interim copyright registered in the foreign edition?

Yes ☒No ☐Yes ☐No ☐

If claim to ad interim copyright was not registered, is U. S. copyright in the foreign edition claimed by virtue of the Universal Copyright Convention?

7. If registration fee is to be charged to a deposit account established in the Copyright Office, give name of account:
Harper & Brothers

8. Name and address of person or organization to whom correspondence or refund, if any, should be sent:
 Name Harper & Brothers

9. Send certificate to:

Address 49 East 33rd Street, New York 16, New York

(Type or print
name and address)

Name

Harper & Brothers

Address

49 East 33rd Street

(Number and street)

New York 16, New York

(City)

(Zone)

(State)

10. Certification: (NOTE: Application not acceptable unless signed)

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.

Virginia L. MacLeod
 (Signature of copyright claimant or duly authorized agent)

11. Affidavit (required by law). Instructions: (1) Fill in the blank spaces with special attention to those marked "(X)." (2) Sign the affidavit before an officer authorized to administer oaths within the United States, such as a notary public. (3) Have the officer sign and seal the affidavit and fill in the date of execution.

NOTE: The affidavit must be signed and notarized only on or after the date of publication or completion of printing which it states. The affidavit must be signed by an individual.

STATE OF New York

COUNTY OF New York

I, the undersigned, depose and say that I am the

☐ Person claiming copyright in the book described in this application;

☒ The duly authorized agent of the person or organization claiming copyright in the book described in this application;

☐ The printer of the book described in this application.

That the book was published or the printing was completed on: (X) April 16, 1958

(Give, month, day, and year)

That of the various processes employed in the production of the copies deposited, the setting of the type and the making of plates, or the lithographic or photoengraving processes used in producing the text, were performed within the limits of the United States, and that the printing of the text and the binding (if any) were also performed within the limits of the United States. (GIVE THE NAMES AND ADDRESSES OF THE PERSONS OR ORGANIZATIONS WHO PRODUCED THE COPIES—TYPESETTERS, PRINTERS, BINDERS, ETC.)

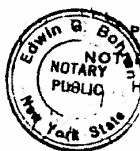
Names (X) George McKibbin & Son

Addresses (X) Brooklyn 32, New York

Chairman of the Board

(Signature of affiant)

(Sign and notarize only on or after date given above)



Qualified in New York County

No. 31-0343180

Commission Expires March 30, 1959

Subscribed and sworn to before me this

16th

Day of April, 19 58

Edwin B. Bohren
 (Signature of notary)

Application and affidavit received

APR 18 1958

Two copies received

APR 18 1958

Fee received

314-482

U. S. GOVERNMENT PRINTING OFFICE: 1952 O-480702

Application in a publishe

Instructions: M completed before signed at line notarized. The the date of pub facts which exist see page 4.

1. Copyright Cl name(s) should

Name John

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Address

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Glasgow in Macmurray

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April 16

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Page 1

FORM A

Application for Registration of a Claim to Copyright in a published book manufactured in the United States of America

CLASS A	REGISTRATION NO. 817997
DO NOT WRITE HERE	

Instructions: Make sure that all applicable spaces have been completed before you submit the form. The application must be SIGNED at line 10 and the AFFIDAVIT (line 11) must be COMPLETED AND NOTARIZED. The application should not be submitted until after the date of publication given in line 4, and should state the facts which existed on that date. For further information, see page 4.

Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 should contain exactly the same information as pages 1 and 2, but may be carbon copies. Mail all pages of the application to the Register of Copyrights, Library of Congress, Washington, D.C., 20540, together with 2 copies of the best edition of the work and the registration fee of \$6. Make your remittance payable to the Register of Copyrights.

1. Copyright Claimant(s) and Address(es): Give the name(s) and address(es) of the copyright owner(s). Ordinarily the name(s) should be the same as in the notice of copyright on the copies deposited.

Name Vera Caspary

Address 55 East 9th Street, New York, New York 10003

Name

Address

2. Title: THE MAN WHO LOVED HIS WIFE
(Give the title of the book as it appears on the title page)

3. Authors: Citizenship and domicile information must be given. Where a work was made for hire, the employer is the author. The citizenship of organizations formed under U.S. Federal or State law should be stated as U.S.A. Authors

may be editors, compilers, translators, illustrators, etc., as well as authors of original text. If the copyright claim is based on new matter (see line 5) give requested information about the author of the new matter.

Name Vera Caspary Citizenship U.S.A.
(Give legal name followed by pseudonym if latter appears on the copies) (Name of country)

Domiciled in U.S.A. Yes ☒ No Address see above

Name (Give legal name followed by pseudonym if latter appears on the copies) Citizenship (Name of country)

Domiciled in U.S.A. Yes No Address

Name (Give legal name followed by pseudonym if latter appears on the copies) Citizenship (Name of country)

Domiciled in U.S.A. Yes No Address

4. Date of Publication of This Edition: Give the complete date when copies of this particular edition were first placed on sale, sold, or publicly distributed. The date when copies

were made or printed should not be confused with the date of publication. (NOTE: The full date (month, day, and year) must be given.) For further information, see page 4.

February 15, 1966

(Month)

(Day)

(Year)

➡ (NOTE: Leave line 5 blank unless the following instructions apply to this work.) ⬅

5. New Matter in This Version: If any substantial part of this work has been previously published anywhere, give a brief, general statement of the nature of the new matter published

for the first time in this version. New matter may consist of compilation, translation, abridgment, editorial revision, and the like, as well as additional text or pictorial matter.

➡ NOTE: Leave line 6 blank unless there has been a PREVIOUS FOREIGN EDITION in the English language. ⬅

6. Book in English Previously Manufactured and Published Abroad: If all or a substantial part of the text of this edition was previously manufactured and published abroad in the English language, complete the following spaces:

Date of first publication of foreign edition Was registration for the foreign edition made in the U.S. Copyright Office? Yes No

If your answer is "Yes," give registration number

Complete all applicable spaces on next page

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7. If registration fee is to be charged, check appropriate box. If not, check "No fee." If fee is charged, give name of account:
 Case 1:14-cv-00085-P-B Document 1-1 Filed 06/29/12 Page 8 of 20

G. P. Putnam's Sons

8. Name and address of person or organization to whom correspondence or refund, if any, should be sent:

Mrs. Helen K. Weintraub

Name

Address

9. Send certificate to:

(Type or
print
name and
address)

Name

Address

G. P. Putnam's Sons

200 Madison Avenue

(Number and street)

New York, New York 10016

(City)

(State)

(ZIP code)

10. Certification: (NOTE: Application not acceptable unless signed)

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.

(Signature of copyright claimant or duly authorized agent)

11. Affidavit (required by law.) Instructions: (1) Fill in the blank spaces with special attention to those marked "(X)." (2) Sign the affidavit before an officer authorized to administer oaths within the United States, such as a notary public. (3) Have the officer sign and seal the affidavit and fill in the date of execution.

NOTE: The affidavit must be signed and notarized only on or after the date of publication or completion of printing which it states. The affidavit must be signed by an individual.

STATE OF New York

COUNTY OF New York

I, the undersigned, depose and say that I am the

- ☐ Person claiming copyright in the book described in this application;
☒ Duly authorized agent of the person or organization claiming copyright in the book described in this application;
☐ Printer of the book described in this application.

That the book was published or the printing was completed on: (X) February 15, 1966

(Give month, day, and year)

That of the various processes employed in the production of the copies deposited, the setting of the type was performed within the limits of the United States or the making of the plates was performed within the limits of the United States from type set therein; or the lithographic or photoengraving processes used in producing the text were wholly performed within the limits of the United States, and that the printing of the text and the binding (if any) were also performed within the limits of the United States. That such typesetting, platemaking, lithographic or photoengraving process, printing, and binding were performed by the following establishments or individuals at the following addresses:

(GIVE THE NAMES AND ADDRESSES OF THE PERSONS OR ORGANIZATIONS WHO PERFORMED SUCH TYPESETTING OR PLATEMAKING OR LITHOGRAPHIC PROCESS OR PHOTOENGRAVING PROCESS OR PRINTING AND BINDING, ETC.)

Names (X) Colonial Press

Addresses (X) New York, New York

(Signature of affiant)

(Sign and notarize only on or after date given above)

PLACE
NOTARIAL SEAL
HERE

Subscribed and sworn to before me this

day of

March

1966

SEBASTIAN ALENO

Notary Public, New York

(Signature of notary)

Term Expires March 30, 1967

FOR COPYRIGHT OFFICE USE ONLY

Application and affidavit received

MAR 14 1966

Two copies received

MAR 14 1966

Fee received

Deposit Account \$8.00

Renewal

Page 1

FORM A

Application for Registration of a Claim to Copyright in a published book manufactured in the United States of America

CLASS	REGISTRATION NO.
A	A 951486
DO NOT WRITE HERE	

Instructions: Make sure that all applicable spaces have been completed before you submit the form. The application must be **SIGNED** at line 10 and the **AFFIDAVIT** (line 11) must be **COMPLETED AND NOTARIZED**. The application should not be submitted until after the date of publication given in line 4, and should state the facts which existed on that date. For further information, see page 4.

Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 should contain exactly the same information as pages 1 and 2, but may be carbon copies. Mail all pages of the application to the Register of Copyrights, Library of Congress, Washington, D.C., 20540, together with 2 copies of the best edition of the work and the registration fee of \$6. Make your remittance payable to the Register of Copyrights.

1. Copyright Claimant(s) and Address(es): Give the name(s) and address(es) of the copyright owner(s). Ordinarily the name(s) should be the same as in the notice of copyright on the copies deposited.

Name Vera Caspary

Address 55 East 9th Street, New York, New York

Name

Address

2. Title: THE ROSECREST CELL

(Give the title of the book as it appears on the title page)

3. Authors: Citizenship and domicile information must be given. Where a work was made for hire, the employer is the author. The citizenship of organizations formed under U.S. Federal or State law should be stated as U.S.A. Authors

may be editors, compilers, translators, illustrators, etc., as well as authors of original text. If the copyright claim is based on new matter (see line 5) give requested information about the author of the new matter.

Name Vera Caspary

(Give legal name followed by pseudonym if latter appears on the copies)

Citizenship U.S.A.

(Name of country)

Domiciled in U.S.A. Yes ☒ No ☐ Address see above

Name (Give legal name followed by pseudonym if latter appears on the copies)

Citizenship (Name of country)

Domiciled in U.S.A. Yes ☐ No ☐ Address

Name (Give legal name followed by pseudonym if latter appears on the copies)

Citizenship (Name of country)

Domiciled in U.S.A. Yes ☐ No ☐ Address

4. Date of Publication of This Edition: Give the complete date when copies of this particular edition were first placed on sale, sold, or publicly distributed. The date when copies

were made or printed should not be confused with the date of publication. (NOTE: The full date (month, day, and year) must be given.) For further information, see page 4.

October 30, 1967

(Month) (Day) (Year)

➡ (NOTE: Leave line 5 blank unless the following instructions apply to this work.) ⬅

5. New Matter in This Version: If any substantial part of this work has been previously published anywhere, give a brief, general statement of the nature of the new matter published

for the first time in this version. New matter may consist of compilation, translation, abridgment, editorial revision, and the like, as well as additional text or pictorial matter.

➡ NOTE: Leave line 6 blank unless there has been a PREVIOUS FOREIGN EDITION in the English language. ⬅

6. Book in English Previously Manufactured and Published Abroad: If all or a substantial part of the text of this edition was previously manufactured and published abroad in the English language, complete the following spaces:

Date of first publication of foreign edition (Year)

Was registration for the foreign edition made in the U.S. Copyright Office? Yes ☐ No ☐

If your answer is "Yes," give registration number

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Date ?

7. If registration fee is to be charged to a deposit account established in the Copyright Office, give name of account:

G. P. Putnam's Sons

8. Name and address of person or organization to whom correspondence or refund, if any, should be sent:

Name Phoebe McKay

Address

9. Send certificate to:

(Type or
print Name
name and
address)

Address

Miss Phoebe McKay
G. P. Putnam's Sons

200 Madison Avenue

New York, New York 10016

(City)

(State)

(ZIP code)

10. Certification: (NOTE: Application not acceptable unless signed)

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.

(Signature of copyright claimant or duly authorized agent)

11. Affidavit (required by law.) Instructions: (1) Fill in the blank spaces with special attention to those marked "(X)". (2) Sign the affidavit before an officer authorized to administer oaths within the United States, such as a notary public. (3) Have the officer sign and seal the affidavit and fill in the date of execution.

NOTE: The affidavit must be signed and notarized only on or after the date of publication or completion of printing which it states. The affidavit must be signed by an individual.

STATE OF New York

COUNTY OF New York

ss:

I, the undersigned, depose and say that I am the
☐ Person claiming copyright in the book described in this application;
☒ Duly authorized agent of the person or organization claiming copyright in the book described in this application;
☐ Printer of the book described in this application.

October 30, 1967

(Give month, day, and year)

That the book was published or the printing was completed on: (X) _____
That of the various processes employed in the production of the copies deposited, the setting of the type was performed within the limits of the United States or the making of the plates was performed within the limits of the United States from type set therein; or the lithographic or photoengraving processes used in producing the text were wholly performed within the limits of the United States, and that the printing of the text and the binding (if any) were also performed within the limits of the United States. That such typesetting, platemaking, lithographic or photoengraving process, printing, and binding were performed by the following establishments or individuals at the following addresses:
(GIVE THE NAMES AND ADDRESSES OF THE PERSONS OR ORGANIZATIONS WHO PERFORMED SUCH TYPESETTING OR PLATE-MAKING OR LITHOGRAPHIC PROCESS OR PHOTOENGRAVING PROCESS OR PRINTING AND BINDING, ETC.)

Names (X) Colonial Press, Inc. Addresses (X) New York, New York

PLACE
NOTARIAL SEAL
HERE

(Sign and notarize only on or after date given above)

Subscribed and sworn to affirmed before me this 6th

day of November, 1967

(Signature of notary)

SEBASTIAN AIELLO
Notary Public in and for New York
No. 52
Qualified in New York County
Term Expires March 31, 1968

FOR COPYRIGHT OFFICE USE ONLY	
Application and affidavit received NOV. 13, 1967	
Two copies received NOV. 13, 1967	
Fee received	
Renewal	

Page 1

Application for Registration of a Claim to Renewal Copyright

FORM R

REGISTRATION NO.

R 488945

DO NOT WRITE HERE

Instructions: Make sure that all applicable spaces have been completed before you submit the form. The application must be **SIGNED** at line 8. For further information, see page 4.

Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 should contain exactly the same information as

pages 1 and 2, but may be carbon copies.

Mail all pages of the application to the Register of Copyrights, Library of Congress, Washington, D.C. 20540, together with the registration fee of \$4. Make your remittance payable to the Register of Copyrights.

1. Renewal Claimant(s), Address(es), and Statement of Claim: Give the full name(s) and mailing address(es) of the claimant(s) of the renewal copyright. State the statutory category of each renewal claimant. It must be one of the categories described on Page 4.

(a) Name Vera Caspary

Address 1454 Blue Ridge Drive, Beverly Hills, Calif.

Claiming as the author

(Use the appropriate statement appearing on page 4)

(b) Name

Address

Claiming as

(Use the appropriate statement appearing on page 4)

(c) Name

Address

Claiming as

(Use the appropriate statement appearing on page 4)

2. (a) Title: Give the full title of the work. In the case of music, give specific instrumentation.

Laura

First published serially in Collier's Oct. 17, 1942-Nov. 28, 1942.

(b) Renewable Matter: If the work was a new version of a previous work, renewal may be claimed only in the new matter. If this work was a new version, state in general the new matter (e.g., arrangement, editing, illustrations, translations, etc.) upon which copyright was claimed.

(c) Contribution to Periodical or Other Composite Work: If the work was a contribution, give the title of the periodical or composite work in which it was published. Greatly augmented.

If a periodical, give: Vol. _____; No. _____; Issue Date _____

3. Authors of Renewable Matter: Give the names of all authors who contributed copyrightable matter to this version, but not the names of authors of previous versions. Vera Caspary

4. Facts of Original Registration: The facts given here must agree with the Copyright Office records of the original registration.

Original registration number: Class A; No. 170748

If registered as published, give date of publication Jan. 26, 1943
(Month) (Day) (Year)

If registered as unpublished, give date of registration _____
(Month) (Day) (Year)

Original copyright claimant Vera Caspary
(Name of claimant in original registration)

Complete all applicable spaces on next page

EXAMINER

5. If registration fee has been paid to Copyright Office, give name of agent.

Houghton Mifflin Company

6. Name and address of person or organization to whom correspondence or refund, if any, should be sent:

Name Houghton Mifflin Company Address 2 Park St., Boston, Mass. 02107

7. Send certificate to:

(Type or
print
name and
address) Address

Houghton Mifflin Company

2 Park St.

(Number and street)

Boston, Mass. 02107

(City)

(State)

(ZIP Code)

8. Certification:

(Application not
acceptable
unless signed)

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.



Joan E. Henshaw

(Signature of renewal claimant or duly authorized agent)

Application Forms

Copies of the following forms will be supplied by the Copyright Office without charge upon request.

Class A Form A—Published book manufactured in the United States of America.

Class A or B Form A-B Foreign—Book or periodical manufactured outside the United States of America (except works subject to the ad interim provisions of the copyright law).

Class A or B Form A-B Ad Interim—Book or periodical in the English language manufactured and first published outside the United States of America.

Class B Form B—Periodical manufactured in the United States of America.

Class B Form BB—Contribution to a periodical manufactured in the United States of America.

Class C Form C—Lecture or similar production prepared for oral delivery.

Class D Form D—Dramatic or dramatico-musical composition.

Class E Form E—Musical composition the author of which is a citizen or domiciliary of the United States of America or which was first published in the United States of America.

Class E Form E Foreign—Musical composition the author of which is not a citizen or domiciliary of the United States of America and which was not first published in the United States of America.

Class F Form F—Map.

Class G Form G—Work of art or a model or design for a work of art.

Class H Form H—Reproduction of a work of art.

Class I Form I—Drawing or plastic work of a scientific or technical character.

Class J Form J—Photograph.

Class K Form K—Print or pictorial illustration.

Class K Form KK—Print or label used for an article of merchandise.

Class L or M Form L-M—Motion picture.

Form R—Renewal copyright.

Form U—Notice of use of copyrighted music on mechanical instruments.

FOR COPYRIGHT OFFICE USE ONLY	
Application received	
Fee received	

Page 1

Instruct
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SIGNED
Pages
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1. Renew
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**APPLICATION FOR REGISTRATION
OF A CLAIM TO COPYRIGHT IN A BOOK PUBLISHED
IN THE UNITED STATES OF AMERICA**

REGISTRATION NO.

©CIA

8155

CLASS

A

INSTRUCTIONS.—Fill in the applicable items on all pages. Pages 1 and 2 must be written either with pen and ink or on the typewriter. Page 1a will be returned to you as your Certificate of Registration and therefore should be filled in with care to agree with page 1. Carbon paper may be used for page 1a but as most carbons will smudge, the Certificate will look neater if typed separately. Mail all pages to the Register of Copyrights, Library of Congress, Washington 25, D. C., together with two copies of the work and the statutory fee of \$2. Make your remittance payable to the Register of Copyrights. See page 2a for full instructions.

1. COPYRIGHT OWNER OR OWNERS (Give full names and addresses)

Vera Caspary

2. TITLE OF BOOK **THE MURDER IN THE STORK CLUB**

(Retail price, \$ **1.89**)

3. AUTHORS (Editors, Translators, etc.) Full name (including full middle name), pseudonym (if any), and year of birth and, if dead, year of death, are requested for cataloging purposes.

(a) Name **Vera Caspary** Citizenship **United States**
(First) (Middle) (Last) (Country)

Domicile **1211 Horn Avenue, Los Angeles 46, California** Birth ? Death
(Address) (Year) (Year)

(b) Name _____ Citizenship _____
(First) (Middle) (Last) (Country)

Domicile _____ Birth _____ Death _____
(Address) (Year) (Year)

(c) Name _____ Citizenship _____
(First) (Middle) (Last) (Country)

Domicile _____ Birth _____ Death _____
(Address) (Year) (Year)

4. (a) Check one of the following ONLY if your book is:

☐ A new edition of a previously published book.

☐ A translation.

☒ A serial republished in book form with new matter.

☐ United States edition of a book first published abroad on (Date) _____

in the English language and registered under Ad Interim No. _____

(Furnish if known)

- (b) If checked above give title and author of original publication (if different from present book) and a brief statement of the nature of the new matter in this edition:

**This was published in Good Housekeeping magazine in a slightly
condensed version.**

SEND CERTIFICATE, REFUND (IF ANY), AND OTHER COMMUNICATIONS TO:

Name **Curtis Brown, Ltd.**

Address **347 Madison Avenue**
(Number and Street)

New York, 17, N.Y. (City) (Zone) (State)

FOR COPYRIGHT OFFICE USE ONLY

DATES OF RECEIPT

APPLICATION AND AFFIDAVIT

OCT 26 1946

TWO COPIES OF BOOK

OCT 26 46

REMITTANCE NO.

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IMPORTANT!—Fill in this affidavit, sign it before an officer authorized to administer oaths within the United States, such as a notary public, who must place his signature, date of execution, and official seal upon the affidavit. The execution of this affidavit must be subsequent to the facts stated therein and must be made by an individual.

STATE OF New York } ss:
COUNTY OF New York }

I, the undersigned, depose and say that I am the * duly authorized agent or representative residing in the United States of the * person claiming copyright in the book described in this application; that the copies of this book deposited in the Copyright Office were printed in the United States by H. Wolff Book Mfg. Company, Inc.

at New York (City) N.Y. (State) from type set or by other process

performed in the United States by H. Wolff Book Mfg. Company, Inc.

at New York (City) N.Y. (State); that the binding, if any, of this book

was done in the United States by H. Wolff Book Mfg. Company, Inc.

New York (City) N.Y. (State); that this book was published on

September 19th, 1946

(Month, day & year)

PLACE
[NOTARIAL SEAL]
HERE

(Copyright Act makes use of
official seal obligatory)

Alan P. Collins, President
(Signature of affiant)
Carlis Brown Ltd., Agent.

Subscribed and sworn to
affirmed before me

this 25 day of October, 19 46

Elizabeth Mowat
(Signature of notary)

ELIZABETH MOWAT


Bronx Co. Clk's No. 184, Reg. No. 290-M-
N.Y. Co. Clk's No. 1201, Reg. No. 936-M-7
Commission Expires March 08, 1947

*This affidavit is in form for use by an agent of the copyright claimant. The Copyright Act permits the affidavit to be made instead by the claimant himself or the printer of the book. If it is to be made by the former, cross out the words between the two *. If it is to be made by a printer who is not an agent of the claimant, cross out these words and also the succeeding phrase "person claiming copyright in" and write in their place the words "printer of."

FORM RE UNITED STATES COPYRIGHT OFFICE	
<div style="border: 1px solid black; padding: 5px; float: right; width: 200px;"> REGISTRATION NUMBER RE 273 653 </div> <div style="border: 1px solid black; padding: 5px; float: right; width: 200px; margin-top: 5px;"> EFFECTIVE DATE OF RENEWAL REGISTRATION DEC 30 1955 <small>(Month) (Day) (Year)</small> </div> <div style="clear: both;"></div>	
<small>DO NOT WRITE ABOVE THIS LINE FOR COPYRIGHT OFFICE USE ONLY</small>	
<div style="border: 1px solid black; padding: 5px; text-align: center;"> 1 <small>Renewal Claimant(s)</small> </div>	RENEWAL CLAIMANT(S), ADDRESS(ES), AND STATEMENT OF CLAIM: (See Instructions)
	<div style="border: 1px solid black; padding: 5px;"> 1 Name... Vera Caspary Goldsmith Address c/o International Creative Management, Inc. 40 W. 57th Street, Claiming as the author New York, N.Y. 10011 <small>(Use appropriate statement from instructions)</small> </div>
	<div style="border: 1px solid black; padding: 5px;"> 2 Name Address Claiming as <small>(Use appropriate statement from instructions)</small> </div>
	<div style="border: 1px solid black; padding: 5px;"> 3 Name Address Claiming as <small>(Use appropriate statement from instructions)</small> </div>
TITLE OF WORK IN WHICH RENEWAL IS CLAIMED:	
<div style="border: 1px solid black; padding: 5px; text-align: center;"> THE HUSBAND </div>	
RENEWABLE MATTER:	
<div style="border: 1px solid black; padding: 5px; text-align: center;"> Novel </div>	
CONTRIBUTION TO PERIODICAL OR COMPOSITE WORK:	
<div style="border: 1px solid black; padding: 5px;"> <small>Title of periodical or composite work</small> <small>If a periodical or other serial, give Vol. No. Issue Date</small> </div>	
<div style="border: 1px solid black; padding: 5px;"> October 21, 1957 </div>	
<div style="border: 1px solid black; padding: 5px; text-align: center;"> 3 <small>Author(s)</small> </div>	AUTHOR(S) OF RENEWABLE MATTER:
	<div style="border: 1px solid black; padding: 5px;"> Vera Caspary Goldsmith </div>
<div style="border: 1px solid black; padding: 5px; text-align: center;"> 4 <small>Foots of Original Registration</small> </div>	<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> ORIGINAL REGISTRATION NUMBER: A1 5861 (Great Britain) </div> <div style="width: 45%;"> ORIGINAL COPYRIGHT CLAIMANT: Vera Caspary W. H. Allen & Co. Ltd. * </div> </div> <div style="margin-top: 5px;"> ORIGINAL DATE OF COPYRIGHT: <small>* If the original registration for this work was made in published form, give:</small> DATE OF PUBLICATION: October 21, 1957 <small>(Month) (Day) (Year)</small> </div> <div style="margin-top: 5px;"> OR </div> <div style="margin-top: 5px;"> <small>* If the original registration for this work was made in unpublished form, give:</small> DATE OF REGISTRATION: <small>(Month) (Day) (Year)</small> </div> </div>

*Amended by Copyright Office <div style="text-align: center; font-weight: bold;">RE 273 653</div>		EXAMINED BY: <i>10</i> CHECKED BY: DEPOSIT ACCOUNT FUNDS USED: <input type="checkbox"/>	RENEWAL APPLICATION RECEIVED <div style="text-align: center; font-weight: bold;">DEC 30 1985</div> REMITTANCE NUMBER AND DATE:	FOR COPYRIGHT OFFICE USE ONLY
DO NOT WRITE ABOVE THIS LINE. FOR COPYRIGHT OFFICE USE ONLY				
RENEWAL FOR GROUP OF WORKS BY SAME AUTHOR: To make a single registration for a group of works by the same individual author published as contributions to periodicals (see instructions) give full information about each contribution. If more space is needed, request continuation sheet (Form RE/CON)				
1	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number	<div style="border: 1px solid black; border-radius: 50%; width: 40px; height: 40px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">5</div> Renewal for Group of Works	
2	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
3	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
4	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
5	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
6	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
7	Title of Contribution Title of Periodical Date of Publication: Month Day Year	Vol. No. Issue Date Registration Number		
DEPOSIT ACCOUNT: (If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account) Name: _____ Account Number: _____		CORRESPONDENCE: (Give name and address to which correspondence about this application should be sent.) Name: Mitch Douglas/International Address: Creative Management, Inc., 40 W 57th St., New York, N.Y. 10019 <div style="display: flex; justify-content: space-between; font-size: small;"> (City) (State) (Zip) </div>		
CERTIFICATION: I, the undersigned, hereby certify that I am the (Check one) <input type="checkbox"/> renewal claimant <input checked="" type="checkbox"/> fully authorized agent of _____ Vera Caspary Goldsmith of the work identified in this application, and that the statements made are true and correct to the best of my knowledge. <div style="display: flex; align-items: center;"> <div style="text-align: center; margin-right: 20px;"> Handwritten signature: (X) _____ Typed or printed name: Mitch Douglas </div> <div style="text-align: right;"> Date: 12/30/85 </div> </div>				<div style="border: 1px solid black; border-radius: 50%; width: 40px; height: 40px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">7</div> Certification (Application must be signed)
<div style="border: 1px solid black; padding: 10px; margin: 10px auto; width: 80%;"> Mitch Douglas _____ <small>(Name)</small> International Creative Management, Inc. _____ <small>(Number, Street and Apartment Number)</small> 40 W. 57th Street _____ <small>(City)</small> New York, N.Y. 10019 _____ <small>(State) ZIP code</small> </div>			MAIL CERTIFICATE TO (Certificate will be mailed in window envelope)	
<div style="display: flex; justify-content: space-between; font-size: x-small;"> U.S. GOVERNMENT PRINTING OFFICE: 1978 - 761-822/10 1978 500,000 </div>				

FORM RE <small>UNITED STATES COPYRIGHT OFFICE</small>							
<div style="border: 1px solid black; padding: 5px; text-align: center;"> <small>REGISTRATION NUMBER</small> RE 314-482 </div> <div style="border: 1px solid black; padding: 5px; text-align: center; margin-top: 5px;"> <small>EFFECTIVE DATE OF RENEWAL REGISTRATION</small> <div style="display: flex; justify-content: space-around; font-size: small;"> Month Day Year </div> 19 DEC 1986 </div>							
DO NOT WRITE ABOVE THIS LINE FOR COPYRIGHT OFFICE USE ONLY							
<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">1</div> <div style="font-size: x-small; margin-top: 5px;">Renewal Claimant(s)</div>	RENEWAL CLAIMANT(S), ADDRESS(ES), AND STATEMENT OF CLAIM: (See Instructions) <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 5%; text-align: center; vertical-align: top;">1</td> <td style="padding: 2px;"> Name Vera Caspary Address c/o Mitch Douglas, International Creative Management, Inc. 40 West 57th Street, NY NY 10019 Claiming as author <small>(Use appropriate statement from instructions)</small> </td> </tr> <tr> <td style="text-align: center; vertical-align: top;">2</td> <td style="padding: 2px;"> Name Address Claiming as <small>(Use appropriate statement from instructions)</small> </td> </tr> <tr> <td style="text-align: center; vertical-align: top;">3</td> <td style="padding: 2px;"> Name Address Claiming as <small>(Use appropriate statement from instructions)</small> </td> </tr> </table>	1	Name Vera Caspary Address c/o Mitch Douglas, International Creative Management, Inc. 40 West 57th Street, NY NY 10019 Claiming as author <small>(Use appropriate statement from instructions)</small>	2	Name Address Claiming as <small>(Use appropriate statement from instructions)</small>	3	Name Address Claiming as <small>(Use appropriate statement from instructions)</small>
1	Name Vera Caspary Address c/o Mitch Douglas, International Creative Management, Inc. 40 West 57th Street, NY NY 10019 Claiming as author <small>(Use appropriate statement from instructions)</small>						
2	Name Address Claiming as <small>(Use appropriate statement from instructions)</small>						
3	Name Address Claiming as <small>(Use appropriate statement from instructions)</small>						
TITLE OF WORK IN WHICH RENEWAL IS CLAIMED: <div style="text-align: center; margin-top: 10px;">THE HUSBAND /</div>							
RENEWABLE MATTER: <div style="text-align: center; margin-top: 10px;">entire novel</div>							
CONTRIBUTION TO PERIODICAL OR COMPOSITE WORK: <small>Title of periodical or composite work</small> <small>If a periodical or other serial, give: Vol. No. Issue Date</small>							
<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">3</div> <div style="font-size: x-small; margin-top: 5px;">Author(s)</div>	AUTHOR(S) OF RENEWABLE MATTER: <div style="text-align: center; margin-top: 10px;">Vera Caspary /</div>						
<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">4</div> <div style="font-size: x-small; margin-top: 5px;">Paste of Original Registration</div>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;"> ORIGINAL REGISTRATION NUMBER: <div style="text-align: center; margin-top: 10px;">A 332668 /</div> </td> <td style="width: 50%; padding: 5px;"> ORIGINAL COPYRIGHT CLAIMANT: <div style="text-align: center; margin-top: 10px;">Vera Caspary (Goldsmith)</div> </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> ORIGINAL DATE OF COPYRIGHT: <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 45%;"> <small>* If the original registration for this work was made in published form, give:</small> <div style="text-align: center; margin-top: 5px;">4-16-58</div> <small>DATE OF PUBLICATION: Month Day Year</small> </div> <div style="width: 10%; text-align: center;">OR</div> <div style="width: 45%;"> <small>* If the original registration for this work was made in unpublished form, give:</small> <small>DATE OF REGISTRATION: Month Day Year</small> </div> </div> </td> </tr> </table>	ORIGINAL REGISTRATION NUMBER: <div style="text-align: center; margin-top: 10px;">A 332668 /</div>	ORIGINAL COPYRIGHT CLAIMANT: <div style="text-align: center; margin-top: 10px;">Vera Caspary (Goldsmith)</div>	ORIGINAL DATE OF COPYRIGHT: <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 45%;"> <small>* If the original registration for this work was made in published form, give:</small> <div style="text-align: center; margin-top: 5px;">4-16-58</div> <small>DATE OF PUBLICATION: Month Day Year</small> </div> <div style="width: 10%; text-align: center;">OR</div> <div style="width: 45%;"> <small>* If the original registration for this work was made in unpublished form, give:</small> <small>DATE OF REGISTRATION: Month Day Year</small> </div> </div>			
ORIGINAL REGISTRATION NUMBER: <div style="text-align: center; margin-top: 10px;">A 332668 /</div>	ORIGINAL COPYRIGHT CLAIMANT: <div style="text-align: center; margin-top: 10px;">Vera Caspary (Goldsmith)</div>						
ORIGINAL DATE OF COPYRIGHT: <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 45%;"> <small>* If the original registration for this work was made in published form, give:</small> <div style="text-align: center; margin-top: 5px;">4-16-58</div> <small>DATE OF PUBLICATION: Month Day Year</small> </div> <div style="width: 10%; text-align: center;">OR</div> <div style="width: 45%;"> <small>* If the original registration for this work was made in unpublished form, give:</small> <small>DATE OF REGISTRATION: Month Day Year</small> </div> </div>							

RE 314-482		EXAMINED BY CHECKED BY DEPOSIT ACCOUNT FUNDS USED <input type="checkbox"/>	RENEWAL APPLICATION RECEIVED PERMITANCE NUMBER AND DATE	FOR COPYRIGHT OFFICE USE ONLY
DO NOT WRITE ABOVE THIS LINE. FOR COPYRIGHT OFFICE USE ONLY				
RENEWAL FOR GROUP OF WORKS BY SAME AUTHOR: To make a single registration for a group of works by the same individual author published as contributions to periodicals (see instructions), give full information about each contribution. If more space is needed, request continuation sheet (Form RE/CON).				
1	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	6 Renewal for Group of Works
2	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
3	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
4	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
5	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
6	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
7	Title of Contribution: Title of Periodical: Date of Publication: (Month) (Day) (Year)	Vol. _____ No. _____ Registration Number	Issue Date	
DEPOSIT ACCOUNT: (If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.) Name: _____ Account Number: _____		CORRESPONDENCE: (Give name and address to which correspondence about this application should be sent.) Name: Mitch Douglas/International Creative Address: Management, Inc 40 West 57th Street New York, New York 10019 (City) (State) (ZIP)		
CERTIFICATION: I, the undersigned, hereby certify that I am the: (Check one) <input type="checkbox"/> renewal claimant <input checked="" type="checkbox"/> duly authorized agent of _____ of the work identified in this application, and that the statements made by me in this application are true and correct to the best of my knowledge. Handwritten signature: (X)  _____ Typed or printed name: Mitch Douglas Date: December 15, 1986				7 Certification (Application must be signed)
Mitch Douglas International Creative Management, Inc. 40 West 57th Street New York, New York 10019 (City) (State) (ZIP code)			MAIL CERTIFICATE TO (Certify and attach to return envelope)	

U.S. GOVERNMENT PRINTING OFFICE: 1979-201-222/10
 NEW YORK 100,000

010223-6
40

FORM TX
UNITED STATES COPYRIGHT OFFICE

REGISTRATION NUMBER <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> TX <div style="border: 1px solid black; border-radius: 50%; width: 20px; height: 20px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">TX</div> </div> <div style="text-align: center;"> 309-480 TXU </div> </div>	
EFFECTIVE DATE OF REGISTRATION <div style="display: flex; justify-content: space-between;"> <div>Aug</div> <div>20</div> <div>79</div> </div> <div style="display: flex; justify-content: space-between; font-size: small;"> <div>(Month)</div> <div>(Day)</div> <div>(Year)</div> </div>	

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE CONTINUATION SHEET (FORM TX/DO)

1	Title	TITLE OF THIS WORK: THE SECRETS OF GROWN-UPS An Autobiography	PREVIOUS OR ALTERNATIVE TITLES:
		If a periodical or serial give: Vol. No. Issue Date	
		PUBLICATION AS A CONTRIBUTION: (If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared.) Title of Collective Work Vol. No. Date Pages	

2	Author(s)	IMPORTANT: Under the law, the "author" of a "work made for hire" is generally the employer, not the employee (see instructions). If any part of this work was "made for hire" check "Yes" in the space provided, give the employer (or other person for whom the work was prepared) as "Author" of that part, and leave the space for data blank.	
		NAME OF AUTHOR: Was this author's contribution to the work a "work made for hire"? Yes ... No <input checked="" type="checkbox"/>	DATES OF BIRTH AND DEATH: Born (Year) Died (Year)
		AUTHOR'S NATIONALITY OR DOMICILE: Citizen of <u>USA</u> or Domiciled in <u>USA</u> <small>(Name of Country) (Name of Country)</small>	WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK: Anonymous? Yes No <input checked="" type="checkbox"/> Pseudonymous? Yes No <input checked="" type="checkbox"/>
		AUTHOR OF: (Briefly describe nature of this author's contribution) <u>the entire work</u>	
		NAME OF AUTHOR: Was this author's contribution to the work a "work made for hire"? Yes ... No ...	DATES OF BIRTH AND DEATH: Born (Year) Died (Year)
		AUTHOR'S NATIONALITY OR DOMICILE: Citizen of <u>USA</u> or Domiciled in <u>USA</u> <small>(Name of Country) (Name of Country)</small>	WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK: Anonymous? Yes No <input checked="" type="checkbox"/> Pseudonymous? Yes No <input checked="" type="checkbox"/>
		AUTHOR OF: (Briefly describe nature of this author's contribution)	
		NAME OF AUTHOR: Was this author's contribution to the work a "work made for hire"? Yes ... No ...	DATES OF BIRTH AND DEATH: Born (Year) Died (Year)
		AUTHOR'S NATIONALITY OR DOMICILE: Citizen of <u>USA</u> or Domiciled in <u>USA</u> <small>(Name of Country) (Name of Country)</small>	WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK: Anonymous? Yes No <input checked="" type="checkbox"/> Pseudonymous? Yes No <input checked="" type="checkbox"/>
		AUTHOR OF: (Briefly describe nature of this author's contribution)	

3	Creation and Publication	YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED: Year <u>1978</u> <small>(This information must be given in all cases.)</small>	DATE AND NATION OF FIRST PUBLICATION: Date <u>July 24, 1979</u> Nation <u>USA</u> <small>(Month) (Day) (Year) (Name of Country)</small> (Complete this block ONLY if this work has been published.)
----------	--------------------------	--	--

4	Copyright(s)	NAME(S) AND ADDRESS(ES) OF COPYRIGHT CLAIMANT(S): <u>Vera Caspary</u> <u>55 East 9th Street</u> <u>New York, New York</u>
		TRANSFER: (If the copyright claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.)

* Complete all applicable spaces (numbers 5-11) on the reverse side of this page
 * Follow detailed instructions attached * Sign the form at line 10

DO NOT WRITE HERE
 Page 1 of 2

TX 309-480	EXAMINED BY: <i>[Signature]</i> CHECKED BY: <i>[Signature]</i> CORRESPONDENCE <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No DEPOSIT ACCOUNT FUNDS USED <input checked="" type="checkbox"/> Yes	APPLICATION RECEIVED 20 AUG 1979 DEPOSIT RECEIVED 20 AUG 1979 REMITTANCE NUMBER AND DATE	FOR COPYRIGHT OFFICE USE ONLY
DO NOT WRITE ABOVE THIS LINE. IF YOU NEED ADDITIONAL SPACE, USE CONTINUATION SHEET (FORM TX/CON)			
PREVIOUS REGISTRATION: • Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office? Yes No X • If your answer is "Yes," why is another registration being sought? (Check appropriate box) <input type="checkbox"/> This is the first published edition of a work previously registered in unpublished form <input type="checkbox"/> This is the first application submitted by this author as copyright claimant <input type="checkbox"/> This is a changed version of the work, as shown by line 6 of this application. • If your answer is "Yes," give Previous Registration Number _____ Year of Registration _____			5 Previous Registration
COMPILATION OR DERIVATIVE WORK: (See instructions) PREEXISTING MATERIAL (Identify any preexisting work or works that this work is based on or incorporates) _____ MATERIAL ADDED TO THIS WORK (Give a brief, general statement of the material that has been added to this work and in which copyright is claimed) _____			6 Compilation or Derivative Work
MANUFACTURERS AND LOCATIONS: (If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.) NAMES OF MANUFACTURERS: R. R. Donnelley and Sons, Inc. PLACES OF MANUFACTURE: Chicago, IL			7 Manufacturing
REPRODUCTION FOR USE OF BLIND OR PHYSICALLY-HANDICAPPED PERSONS: (See instructions) • Signature of this form at page 10, and a check in one of the boxes here in space 8, constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute freely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office. (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols), or (2) phonorecords embodying a fixation of a reading of that work; or (3) both. <input checked="" type="checkbox"/> Copies and phonorecords <input type="checkbox"/> Copies Only <input type="checkbox"/> Phonorecords Only			8 Library For Handicapped
DEPOSIT ACCOUNT: (If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.) Name: McGraw-Hill Book Company Account Number: DA011878		CORRESPONDENCE: (Give name and address to which correspondence about this application should be sent.) Name: Camille Truchel Address: McGraw-Hill Book Company 1221 Ave. of the Americas, NY NY 10020 (City) (State) (Zip)	
CERTIFICATION: I, the undersigned, hereby certify that I am the: (Check one) <input type="checkbox"/> author <input type="checkbox"/> other copyright claimant <input type="checkbox"/> owner of exclusive right(s) <input checked="" type="checkbox"/> authorized agent of _____ of the work identified in this application and that the statements made by me in this application are true to the best of my knowledge. Handwritten signature: <i>Camille Truchel</i> Typed or printed name: Camille Truchel Date: 8/15/79			10 Certification (Application must be signed)
McGraw-Hill Book Company Attention: C. Truchel - 29th Fl. 1221 Ave. of the Americas New York, NY 10020 (Street) (City and State and Zip)			11 Address For Return of Certificate

MAIL CERTIFICATE TO
1 SEP 1979
 (Certificate will be mailed in window envelope)

© 17 U.S.C. § 506(a): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409 or in any written statement filed in connection with the application, shall be fined not more than \$2,500.
 U.S. GOVERNMENT PRINTING OFFICE: 1975-30-108-04

EXHIBIT D

Goldman, Jeremy

From: digitalmischief@gmail.com on behalf of Digital Pulse [digitalpulseinc@gmail.com]
Sent: Tuesday, January 24, 2012 2:54 PM
To: Goldman, Jeremy
Cc: legal@elpasonorte.com
Subject: Re: Gladys Malvern

Dear Mr. Goldman,

Thank you for your email. We have worked with editor Susan Houston on producing 10 of the Gladys Malvern titles for which the copyright has lapsed. These books are now available in paperback and digital editions, with the 10th title, "Eric's Girls" due on the shelves next month.

We have discussed with Ms. Houston the possibility of re-publishing other printed works by Gladys Malvern, including "Jonica's Island" and "Good Troupers, All" for which the copyright is now held by the Authors League Fund. We began a dialogue with Isabel Howe representing the Authors League Fund in October of 2010 before the holidays, but we had not gotten far enough to discuss specifics.

Since these two works mentioned above are not in the public domain, unlike the others we have published, we would be interested in discussing with the Authors League Fund the possibility of publishing both "Jonica's Island" and "Good Troupers, All" in paperback and digital editions with your organization. Specifically, we would be interested in learning the general terms of the contract under which the Authors League Fund works with publishers to bring out-of-print books back to market, including costs and royalties payable to the Authors League Fund, if any.

Please feel free to contact us at your earliest convenience to discuss these projects further.

Best regards,

Shawn Conners

On Mon, Jan 23, 2012 at 5:56 PM, Goldman, Jeremy <jgoldman@fkks.com> wrote:

Hello,

I am an attorney in New York City currently representing the Authors Guild, Authors League Fund ("ALF") and other authors in a lawsuit involving book digitization. ALF owns the copyrights to Gladys Malvern's works. I see that Special Edition Books has re-published several of her works as e-books.

See <http://www.specialeditionbooks.com/gladys-malvern-classics/>.

Case 1:11-cv-06351-HB Document 93-4 Filed 06/29/12 Page 3 of 3

I was wondering whether there have been plans or discussions about e-publishing any other works of hers, especially "Good troupers all". Thanks for getting back to me as soon as possible.

Best regards,

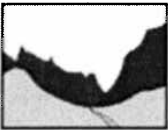
Jeremy

Jeremy Goldman | Frankfurt Kurnit Klein & Selz PC
488 Madison Avenue | New York, New York 10022
t: [212.705.4843](tel:212.705.4843) | f: [347.438.2156](tel:347.438.2156) | jgoldman@fkks.com

Frankfurt Kurnit Klein & Selz Disclaimer

IRS Circular 230 Disclosure: To comply with requirements imposed by the IRS, we inform you that any tax advice contained herein, including attachments, is not intended or written to be used and cannot be used by a taxpayer to (i) avoid tax penalties or (ii) promote, market or recommend a transaction or matter to another person.

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Shawn Conners

Managing Editor
El Paso Norte Press
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Latest tweet: Try upgrading from the free version: The Art of War by Sun Tzu - Classic Collectors Edition. <http://t.co/n4DJe9Mn> via @amazon Follow @ClassicBooksSE Reply Retweet 18:29 Jan-05

EXHIBIT E

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http://babel.hathitrust.org/cgi/pt?u=1&num=2&seq=16&view=image&size=100&id=mdp.3901.50666984.19

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Jump to Section

Go

Kit MAKES UP HER MIND 25

her eyes, suddenly afraid it would be too beautiful. She heard the orchestra tuning up, then heard them playing. But it was all confused, like a dream. In her impatience it was agony to sit still. She began to squirm restively as the lights were dimming, and Mother whispered: "Ssh! Curtain going up!"

Curtain going up. Three words. Suddenly they took on wondrous significance. In that moment they created in Katharine Cornell a feeling of awe, of momentous experiences impending.

As the entrance of Maude Adams became more and more imminent, Kit's excitement mounted to such a pitch that she left the box and hid herself in the heavy velvet drape which enclosed it. Gradually, as she heard Maude Adams speak, she was able to look at the stage, and finally to return to her seat in the box.

For the next three hours she existed in a world of enchantment. For days afterward the singular spell lingered. Wendy. The Never-never boys. The answering cry of "Yes!" from the audience when, as Tinker Bell is dying, Peter, with outstretched arms, rushes down to the footlights asking in a ringing voice: "Do you believe in fairies?"

Kit was ten and she was rapidly outgrowing all belief in fairies, yet from that day it seemed that a fairy wand had indeed been waved over her. Suddenly she was grown up. Suddenly she knew exactly what she wanted to do, what she wanted to be. She had arrived at this decision without any conscious thought. Quite abruptly everything was settled, everything was fixed.

She was going to be an actress!

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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X		
THE AUTHORS GUILD, INC., et al.,	:	
	:	
Plaintiffs,	:	
	:	
- against -	:	Index No. 11 Civ. 6351 (HB)
	:	
HATHITRUST, et al.,	:	
	:	
Defendants.	:	
-----X		

DECLARATION OF ROXANA ROBINSON

I, Roxana Robinson, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I am a novelist, short-story writer and biographer. Four of my works have been Notable Books of the Year by *The New York Times*, and my work has appeared in *Best American Short Stories*, *The New Yorker*, *Harper's*, *The Atlantic*, *The New York Times Book Review*, *The Wall Street Journal*, *The Boston Globe*, *Vogue*, *House and Garden*, and other publications. I have received Fellowships from *the Guggenheim Foundation*, *the National Endowment for the Arts*, and *the MacDowell Colony*. I have also taught creative writing workshops at Bennington College, the University of Southern Indiana, George Mason University, the Wesleyan Writers' Conference at Wesleyan University, and in the Creative Writing Department at the University of Houston.

The Works At Issue

3. I am the sole author and copyright owner of each work listed on Exhibit A hereto (hereafter referred to as the "Works"). A true and correct copy of the copyright registration for

each Work is attached hereto as Exhibit B. My Works include both works of fiction and non-fiction.

4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of my Works, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to my Works. I never assigned to any third party the copyright to the Works.

Unauthorized Uses Of My Works

5. It has come to my attention that print copies of my Works were copied without my permission when they were digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of my Works. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of my Works.

Harm Resulting From Defendants’ Use Of My Works

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of my Works has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. One difference between Mr. Stiles and me is that (as described below) I have not yet chosen to make certain of my Works (specifically, *A Glimpse of Scarlet And Other Stories*, *Asking For Love And Other Stories*, *Georgia O’Keefe: A Life* and *Summer Light*)

available in digital form. This difference does not, however, change the fact that Defendants' actions are causing and threatening to cause damage to me and to the value of my Works. Moreover, certain of my Works are available for sale in digital form at online retailers such as Amazon.com and others, as shown in the attached Exhibit A.

8. I believe that I am entitled to determine whether, when and under what circumstances my Works are scanned, digitized, copied and used. Defendants' insistence that the new, complex, technologically-enabled uses they intend to make of my Works should be permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States. While certain of my Works are not yet available in digital form, I reserve the right to license the creation of digital versions of these Works when I choose to.


9. Defendants apparently argue that uses of my Works that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of my Works or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of my Works, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize my work, or offered to purchase one or more additional copies for their library collections.

10. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how my Work may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a “dark archive” that is not accessible for viewing or further copying. I have no assurance that Defendants’ actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

[The rest of this page intentionally left blank]

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: New York, New York
June __, 2012


ROXANA ROBINSON

June 26, 2012

EXHIBIT A

EXHIBIT A

TITLE	AUTHOR	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Robinson, Roxana	A glimpse of scarlet and other stories	1991-05/New York: E. Burlingame Books (an imprint of HarperCollins)	1991/London: Bloomsbury 1992-06/New York: HarperPerennial	Hardcopy	TX0007502287 2012-03-13
Robinson, Roxana	A perfect stranger: and other stories	2005-04-25/New York: Random House	2006-03-14/New York: Random House 2007-12-18/New York: Random House	Electronic	TX0007502294 2012-03-13
Robinson, Roxana	Asking for love and other stories	1996-03-12/New York : Random House	1996-06-27/London: Bloomsbury	Hardcopy	TX0004268621 1996-04-18
Robinson, Roxana	Georgia O'Keeffe: a life	1989-10-25/New York: Harper & Row	1989/London: Bloomsbury 1990/New York: HarperPerennial 1991/London: Bloomsbury 1992/Spain: Circe 1999-01-01/University Press of New England	Hardcopy	TX0002736171 1990-01-18

TITLE	AUTHOR	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Robinson, Roxana	Summer light	1987-06-25/London: J.M. Dent	1988-06-29/New York: Viking 1991/New York: Harper Collins 1995-08-15/University Press of New England 1996-06-27/London: Bloomsbury	Hardcopy	TX0002260701 1988-03-03 TX0002346979 1988-07-05
Robinson, Roxana	Sweetwater: a novel	2003-05-13/New York: Random House	2005-03-08/New York: Random House 2007-12-18/New York: Random House	Electronic	TX0005905727 2004-01-27

EXHIBIT B

FORM TX UNITED STATES COPYRIGHT OFFICE	
REGISTRATION NUMBER	
TX 2-260-701	
TXU	
EFFECTIVE DATE OF REGISTRATION	
March 3, 1988	
DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.	
1 TITLE OF THIS WORK	
SUMMER LIGHT	
PREVIOUS OR ALTERNATIVE TITLES	
PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work	
If published in a periodical or serial give: Volume Number Issue Date On Pages	
2 NAME OF AUTHOR	
a Roxana Robinson	
DATES OF BIRTH AND DEATH	
Year Born Year Died	
Was this contribution to the work a "work made for hire"? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
AUTHOR'S NATIONALITY OR DOMICILE	
Name of Country: USA	
Citizen of: Domiciled in:	
WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK	
Anonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Pseudonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed.	
entire text	
b NAME OF AUTHOR	
DATES OF BIRTH AND DEATH	
Year Born Year Died	
Was this contribution to the work a "work made for hire"? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
AUTHOR'S NATIONALITY OR DOMICILE	
Name of Country: Citizen of: Domiciled in:	
WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK	
Anonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Pseudonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed.	
c NAME OF AUTHOR	
DATES OF BIRTH AND DEATH	
Year Born Year Died	
Was this contribution to the work a "work made for hire"? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
AUTHOR'S NATIONALITY OR DOMICILE	
Name of Country: Citizen of: Domiciled in:	
WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK	
Anonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Pseudonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed.	
3 YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information must be given in all cases.	
1986	
DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK	
Month: June Day: 25 Year: 1987	
Country: England	
4 COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2.	
Roxana Robinson	
C/O Viking Penguin Inc.	
40 West 23rd Street	
New York, New York 10010	
TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.	
APPLICATION RECEIVED	
MARCH 3, 1988	
ONE DEPOSIT RECEIVED	
MARCH 3, 1988	
TWO DEPOSITS RECEIVED	
REMITTANCE NUMBER AND DATE	
MORE ON BACK	
• Complete all applicable special numbers (5-11) on the reverse side of this page.	
• See detailed instructions.	
• Sign the form at top 10.	
DO NOT WRITE HERE	
Page 1 of 2 pages	

TX 2-260-701

EXAMINED BY FORM TX
CHECKED BY

CORRESPONDENCE ☐ Yes
DEPOSIT ACCOUNT FUNDS USED ☐

FOR COPYRIGHT OFFICE USE ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?
☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼
☐ This is the first published edition of a work previously registered in unpublished form
☐ This is the first application submitted by this author as copyright claimant
☐ This is a changed version of the work, as shown by space 6 on this application.
If your answer is "Yes," give Previous Registration Number ▼ Year of Registration ▼

DERIVATIVE WORK OR COMPILATION Complete both space 6a & 6b for a derivative work; complete only 6b for a compilation
a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates ▼
b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed ▼

MANUFACTURERS AND LOCATIONS If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.
Name of Manufacturer(s) ▼ Place of Manufacture ▼
Anchor Brendon Ltd. Tiptree, Essex, England

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a ☐ Copies and Phonorecords b ☐ Copies Only c ☐ Phonorecords Only

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.
Name ▼ Account Number ▼
Viking Penguin Inc. DA 018074

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt./City/State/Zip ▼
T. Uehara
as below
Area Code & Telephone Number ▼ 212 337-5433

CERTIFICATION* I, the undersigned, hereby certify that I am the
Check one ▼
☐ author
☐ other copyright claimant
☐ owner of exclusive right(s)
☒ authorized agent of Roxana Robinson
Name of author or other copyright claimant, or owner of exclusive right(s) ▼
Typed or printed name and date ▼ If this is a published work, this date must be the same as or later than the date of publication given in space 3.
Tsuyako Uehara March 1, 1988
Handwritten signature (X) ▼

MAIL CERTIFICATE TO Certificate will be mailed in window envelope

Name ▼
Number/Street/Apartment Number ▼
City/State/Zip ▼
VIKING PENGUIN INC.
40 W. 23rd ST.
NEW YORK, N.Y. 10010

Close you:
• Completed all necessary spaces?
• Signed your application in space 10?
• Enclosed check or money order for \$10 payable to Register of Copyrights?
• Enclosed your deposit material with the application and fee?
Mail to: Register of Copyrights, Library of Congress, Washington, D.C. 20540

* If U.S.C. § 505(c): Any person who knowingly makes a false representation of a material fact in this application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,000.
© U.S. GOVERNMENT PRINTING OFFICE: 1985-481-584/10,004 May 1985 — 200,000

FORM TX
UNITED STATES COPYRIGHT OFFICE
REGISTRATION NUMBER

IX 2 346 979

TX TXU
EFFECTIVE DATE OF REGISTRATION
7 5 88
Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1 **TITLE OF THIS WORK** ▼
SUMMER LIGHT

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

2 **a** **NAME OF AUTHOR** ▼
Roxana Robinson

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Who this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country: USA
Citizen of: USA
Domiciled in: USA

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼
additional text, revisions

b **NAME OF AUTHOR** ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Who this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country:
Citizen of:
Domiciled in:

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

c **NAME OF AUTHOR** ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Who this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country:
Citizen of:
Domiciled in:

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

3 **YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED** This information may be given in all cases.
1987 Year

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK Complete this information ONLY if this work has been published.
Month: June Day: 29 Year: 1988
USA Nation

4 **COPYRIGHT CLAIMANT(S)** Name and address must be given even if the claimant is the same as the author given in space 2. ▼
Roxana Robinson
c/o Viking Penguin Inc.
40 W. 23rd Street
New York, NY 10010

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

APPLICATION RECEIVED
JUL 05 1988

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED
JUL 05 1988

REMITTANCE NUMBER AND DATE

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MOVE ON BACK • Complete all applicable spaces (numbers 5-11) on the reverse side of this page.
• See detailed instructions.
• Sign the form at line 10.

Page 1 of 2

TX 2 346 979

EXAMINED BY *[Signature]* FORM TX
CHECKED BY *[Signature]*

☐ CORRESPONDENCE FOR COPYRIGHT OFFICE USE ONLY
☐ DEPOSIT ACCOUNT FUNDS USED

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?
☐ Yes ☐ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) 5

☐ This is the first published edition of a work previously registered in unpublished form.
☐ This is the first application submitted by this author as copyright claimant.
☐ This is a changed version of the work, as shown by space 6 on this application.
If your answer is "Yes," give Previous Registration Number TX 2-260-701 Year of Registration 1988

DERIVATIVE WORK OR COMPILATION Complete both space 6a & 6b for a derivative work; complete only 6b for a compilation. 6

a. **Preexisting Material** Identify any preexisting work or works that this work is based on or incorporates. SUMMER LIGHT first published in 1987

b. **Material Added to This Work** Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. additional text, revisions See instructions before completing this space.

MANUFACTURERS AND LOCATIONS If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details. 7

Names of Manufacturers USA
Places of Manufacture USA

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a ☐ Copies and Phonorecords b ☐ Copies Only c ☐ Phonorecords Only See instructions.

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account. 9

Name Viking Penguin Inc. Account Number DA 018074

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt./City/State/Zip 10

T. Uehara
as below

Area Code & Telephone Number 212-337-5433

CERTIFICATION I, the undersigned, hereby certify that I am the 11

Check one ☐ author
☐ other copyright claimant
☐ owner of exclusive right(s)
☒ authorized agent of Roxana Robinson

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge. Name of author or other copyright claimant, or owner of exclusive right(s) Tsuyako Uehara

Typed or printed name and date 6/30/88

Handwritten signature (X) *[Signature]*

MAIL CERTIFICATE TO 11

Name VIKING PENGUIN INC.
Number/Street/Apartment Number 40 W. 23rd ST.
City/State/Zip NEW YORK, N.Y. 10010

Have you:
• Completed all necessary spaces?
• Signed your application in space 10?
• Enclosed check or money order for \$10 payable to Register of Copyrights?
• Enclosed your deposit material with the application and fee?
With the Register of Copyrights, Library of Congress, Washington, D.C. 20540.

* IF U.S.C. § 505: Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 408, or in any written statement filed in connection with the application, shall be fined not more than \$5,000.

U.S. GOVERNMENT PRINTING OFFICE: 1985 301-324/12-22

FORM TX
UNITED STATES COPYRIGHT OFFICE
REGISTRATION NUMBER

TX 2 736 171

1x **IXU**
EFFECTIVE DATE OF REGISTRATION
18 JAN 1990

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1
TITLE OF THIS WORK ▼
GEORGIA O'KEEFFE: A Life

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection give information about the collective work in which the contribution appeared Title of Collective Work ▼

If published in a periodical or serial give Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

2
NAME OF AUTHOR ▼
Roxana Robinson

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼
1946

Was this contribution to the work a work made for hire? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country **U.S.A.**
OR Citizen of **U.S.A.**
Domiciled in **U.S.A.**

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed ▼
Text excluding material which is acknowledged, compilation of photographs

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Was this contribution to the work a work made for hire? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country **U.S.A.**
OR Citizen of **U.S.A.**
Domiciled in **U.S.A.**

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed ▼

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Was this contribution to the work a work made for hire? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country **U.S.A.**
OR Citizen of **U.S.A.**
Domiciled in **U.S.A.**

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed ▼

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information must be given in all cases. **1989** Year

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
ONLY if this work has been published. **October 25 1989** **United States of America**

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2 ▼
Roxana Robinson
c/o Literistic, Ltd.
264 Fifth Avenue
New York, New York 10001

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright ▼

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ONE DEPOSIT RECEIVED
JAN 18 1990
TWO DEPOSITS RECEIVED
JAN 18 1990
REMITTANCE NUMBER AND DATE

DO NOT WRITE HERE

<h1 style="margin: 0;">TX 2 736 171</h1>	<p>EXAMINED BY <u> </u></p> <p>CHECKED BY <u> </u></p> <p><input type="checkbox"/> CORRESPONDENCE</p> <p><input type="checkbox"/> DEPOSIT ACCOUNT FUNDS USED</p> <p>FORM TX</p> <p>FOR COPYRIGHT OFFICE USE ONLY</p>
<p>DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET</p>	
<p>PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If your answer is "Yes," why is another registration being sought? (Check appropriate box.)</p> <p><input type="checkbox"/> This is the first published edition of a work previously registered in unpublished form.</p> <p><input type="checkbox"/> This is the first application submitted by this author as copyright claimant.</p> <p><input type="checkbox"/> This is a changed version of the work as shown by space 8 on this application.</p> <p>If your answer is "Yes," give Previous Registration Number <u> </u> Year of Registration <u> </u></p>	
<p>DERIVATIVE WORK OR COMPILATION Complete both space 8 & either a derivative work, complete only, or a compilation.</p> <p>a. Preexisting Material Identify any preexisting work or works that this work is based upon or incorporates.</p> <p>b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed.</p> <p style="text-align: center; font-size: 1.2em;">—space deleted—</p>	
<p>REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS A signature on this form at space 10, and a check in just the boxes here in space 9, constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 8 of this application in Braille or similar tactile symbols, or (2) phonorecords embodying a fixation of a copying of that work, or (3) both.</p> <p><input checked="" type="checkbox"/> Copies and Phonorecords <input type="checkbox"/> Copies Only <input type="checkbox"/> Phonorecords Only</p>	
<p>DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.</p> <p>Name <u>Harper & Row, Publishers, Inc.</u> Account Number <u>DA 064726</u></p>	
<p>CORRESPONDENCE Give name and address to which correspondence about this application should be sent.</p> <p><u>Copyright Department / Harper & Row, Publishers, Inc.</u> Name <u> </u> Address <u> </u> Apt. / City <u> </u> State <u> </u> Zip <u> </u></p> <p><u>10 East 53rd Street</u></p> <p><u>New York, New York 10022</u></p> <p>Area Code & Telephone Number <u>(212) 207-7133</u></p>	
<p>CERTIFICATION I, the undersigned, hereby certify that I am the:</p> <p style="text-align: center;">(Check one)</p> <p><input checked="" type="checkbox"/> author</p> <p><input type="checkbox"/> other copyright claimant</p> <p><input type="checkbox"/> owner of exclusive rights</p> <p><input checked="" type="checkbox"/> authorized agent of <u>Roxana Robinson</u></p> <p>Name, last, first, & date of birth (or date of death, if deceased) (or name of the owner of rights)</p> <p>of the work identified in this application, and that the statements made by me in this application are correct to the best of my knowledge.</p> <p>Typed or printed name and date If this is a published work, this date must be the same as or later than the date of publication given in space 3.</p> <p><u>Marilyn Small</u> date <u>January 5, 1990</u></p> <p>Handwritten signature (X) <u>Marilyn Small</u></p>	
<p>MAIL CERTIFICATE TO</p> <p>Certificate will be mailed by registered mail.</p> <p>NAME <u> </u></p> <p>NUMBER <u> </u></p> <p>STREET <u> </u></p> <p>CITY <u> </u> STATE <u> </u> ZIP <u> </u></p> <p>NEW YORK, NEW YORK 10022</p>	<p>1. Complete all necessary boxes.</p> <p>2. Sign your application in space 10.</p> <p>3. Attach a fee of \$10 (non-refundable) in cash or money order payable to Registrar of Copyrights.</p> <p>4. Submit original.</p> <p>5. Register of Copyrights, Library of Congress, Washington, DC 20540.</p>

FORM TX

For a Literary Work
UNITED STATES COPYRIGHT OFFICE

REC

TX 4-268-621

EFFECTIVE DATE

4
Month18
Day96
Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1 TITLE OF THIS WORK ▼

ASKING FOR LOVE

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼

Number ▼

Issue Date ▼

On Pages ▼

2 NAME OF AUTHOR ▼

a Roxanna Robinson

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Unknown

Was this contribution to the work a
"work made for hire"?☐ Yes☒ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR

Citizen of ▼ USA

Domiciled in ▼ USA

WAS THIS AUTHOR'S CONTRIBUTION TO
THE WORKAnonymous? ☐ Yes ☒ NoPseudonymous? ☐ Yes ☒ NoIf the answer to either
of these questions is
"Yes," see detailed
instructions.NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼
Entire work excluding previously published portions

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼Was this contribution to the work a
"work made for hire"?☐ Yes☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR

Citizen of ▼

Domiciled in ▼

WAS THIS AUTHOR'S CONTRIBUTION TO
THE WORKAnonymous? ☐ Yes ☐ NoPseudonymous? ☐ Yes ☐ NoIf the answer to either
of these questions is
"Yes," see detailed
instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼Was this contribution to the work a
"work made for hire"?☐ Yes☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR

Citizen of ▼

Domiciled in ▼

WAS THIS AUTHOR'S CONTRIBUTION TO
THE WORKAnonymous? ☐ Yes ☐ NoPseudonymous? ☐ Yes ☐ NoIf the answer to either
of these questions is
"Yes," see detailed
instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

3 YEAR IN WHICH CREATION OF THIS
WORK WAS COMPLETED

1995

This information
must be given
in all cases.

b DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK

Month ▼

Day ▼

29

Year ▼

96

USA

Nation

4 COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as
the author given in space 2. ▼Roxanna Robinson c/o
Sterling Lord Literistic Inc
One Madison Ave
NY NY 10010
Attn: Elizabeth Grossman

APPLICATION RECEIVED

APR 18 1996

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED

APR 18 1996

REMITTANCE NUMBER AND DATE

TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in
space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

MORE ON BACK ►

• Complete all applicable spaces (numbers 5-11) on the reverse side of this page.
• See detailed instructions.
• Sign the form at line 10.

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Page 1 of 2 pages

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FORM TX

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☐ CORRESPONDENCE☐ YesFOR
COPYRIGHT
OFFICE
USE
ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼a. ☐ This is the first published edition of a work previously registered in unpublished form.b. ☐ This is the first application submitted by this author as copyright claimant.c. ☐ This is a changed version of the work, as shown by space 6 on this application.

If your answer is "Yes," give: Previous Registration Number ▼

Year of Registration ▼

5

DERIVATIVE WORK OR COMPILATION Complete both space 6a and 6b for a derivative work; complete only 6b for a compilation.

a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ▼

Portions of this work have been previously published

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼

Entire work excluding previously published portions

See instructions
before completing
this space.

6

—space deleted—

7

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☐ a Copies and Phonorecords☒ b Copies Only☐ c Phonorecords Only

See instructions.

8

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ▼

Account Number ▼

Random House Inc

59757

9

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt/City/State/ZIP ▼

Michael Greaves, Random House Inc

201 East 50th Street

New York NY 10022

Area Code and Telephone Number ▶ 212-572-2783

Be sure to
give your
daytime phone
number

10

CERTIFICATION* I, the undersigned, hereby certify that I am the

Check only one ▶

- ☐ author
☐ other copyright claimant
☐ owner of exclusive right(s)
☒ authorized agent of

Random House Inc

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Typed or printed name and date ▼ If this application gives a date of publication in space 3, do not sign and submit it before that date.

Michael Greaves

date ▶ 4-3-96



Handwritten signature (X) ▼

MAIL
CERTIFI-
CATE TOCertificate
will be
mailed in
window
envelope

Name ▼

Michael Greaves, Random House Inc

Number/Street/Apartment Number ▼

201 East 50th Street

City/State/ZIP ▼

New York, NY 10022

YOU MUST:

- Complete all necessary spaces
- Sign your application in space 10

SEND ALL 3 ELEMENTS
IN THE SAME PACKAGE:

1. Application form
2. Nonrefundable \$20 filing fee in check or money order payable to Register of Copyrights
3. Deposit material

MAIL TO:

Register of Copyrights
Library of Congress
Washington, D.C. 20559

The Copyright Office has the authority to adjust fees at 5-year intervals, based on changes in the Consumer Price Index. The next adjustment is due in 1996. Please contact the Copyright Office after July 1995 to determine the actual fee schedule.

11

FEE CHANGES

Case 1:11-cv-06351-HB Document 94 Filed 06/29/12 Page 18 of 23

Fees are effective through June 30, 2002. After that date, check the Copyright Office Website at

31 (202) 707-

137894311



FORM TX

For a Nondramatic Literary Work
UNITED STATES COPYRIGHT OFFICE

TX 5-905-727



EFFECTIVE DATE OF REGISTRATION

JAN 27 2004

Month Day Year

DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE USE A SEPARATE CONTINUATION SHEET

1

TITLE OF THIS WORK ▼

SWEETWATER

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give Volume ▼

Number ▼

Issue Date ▼

On Pages ▼

2

a NAME OF AUTHOR ▼

Roxana Robinson

DATES OF BIRTH AND DEATH

Year Born ▼

Year Died ▼

Was this contribution to the work a
'work made for hire'?

☐ Yes☒ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR { Citizen of ► USA

Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☒ NoPseudonymous? ☐ Yes ☒ No

If the answer to either
of these questions is
"Yes" see detailed
instructions

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼
Entire text

b NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH

Year Born ▼

Year Died ▼

Was this contribution to the work a
'work made for hire'?

☐ Yes☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR { Citizen of ►

Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☐ NoPseudonymous? ☐ Yes ☐ No

If the answer to either
of these questions is
"Yes" see detailed
instructions

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼

c NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH

Year Born ▼

Year Died ▼

Was this contribution to the work a
'work made for hire'?

☐ Yes☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country

OR { Citizen of ►

Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☐ NoPseudonymous? ☐ Yes ☐ No

If the answer to either
of these questions is
"Yes" see detailed
instructions

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼
Introduction

3

a YEAR IN WHICH CREATION OF THIS
WORK WAS COMPLETED
2002 Year

This information
must be given
in all cases

b DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
Complete this information
ONLY if this work
has been published
Month ► May Day ► 13 Year ► 2003
USA Nation

4

4 COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2 ▼

Roxana Robinson c/o Janklow & Nesbit Associates
445 Park Avenue
New York, NY 10022

TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright ▼

APPLICATION RECEIVED
JAN 27 2004

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED

JAN 27 2004

FUNDS RECEIVED

DO NOT WRITE HERE
OFFICE USE ONLY

MORE ON BACK ►

Complete all applicable spaces (numbers 5-9) on the reverse side of this page.
See detailed instructions Sign the form at line 8

DO NOT WRITE HERE

Page 1 of 2 pages

NOTE

Under the law the author of a work made for hire is generally the employer, not the employee (see instructions). For any part of this work that was made for hire, check "Yes" in the space provided give the employer (or other person for whom the work was prepared) as "Author" of that part and leave the space for dates of birth and death blank.

See instructions before completing this space

EXAMINED BY <u><i>[Signature]</i></u>	FORM TX
CHECKED BY _____	
<input type="checkbox"/> CORRESPONDENCE	FOR
<input type="checkbox"/> Yes	COPYRIGHT
	OFFICE
	USE
	ONLY

DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE USE A SEPARATE CONTINUATION SHEET

PREVIOUS REGISTRATION Has registration for this work or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is Yes why is another registration being sought? (Check appropriate box) ▼a ☐ This is the first published edition of a work previously registered in unpublished formb ☐ This is the first application submitted by this author as copyright claimantc ☐ This is a changed version of the work, as shown by space 6 on this application.

If your answer is Yes give Previous Registration Number ►

Year of Registration ►

5

DERIVATIVE WORK OR COMPILATION

Preexisting Material Identify any preexisting work or works that this work is based on or incorporates ▼

a

6

See instructions
before completing
this space

Material Added to This Work Give a brief general statement of the material that has been added to this work and in which copyright is claimed ▼

b

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office give name and number of Account

Name ▼

Account Number ▼

a

7

Random House Inc

59757

CORRESPONDENCE Give name and address to which correspondence about this application should be sent Name/Address/Apt/City/State/ZIP ▼

b

Deborah Foley, Random House, Inc

1745 Broadway, 3rd Floor

New York, NY 10019

Area code and daytime telephone number ► 212 572-2603

Fax number ► 212-572-6066

Email ► dfoley@randomhouse.com

CERTIFICATION* I the undersigned hereby certify that I am the

Check only one ►

☐ author☐ other copyright claimant☐ owner of exclusive right(s)☒ authorized agent of Random House, Inc

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

8

Typed or printed name and date ▼ If this application gives a date of publication in space 3 do not sign and submit it before that date

Deborah Foley

Date ► January 16 2004



Handwritten signature (X) ▼

X

Certificate
will be
mailed in
window
envelope
to this
address

Name ▼	Deborah Foley Random House Inc
Number/Street/Apt ▼	1745 Broadway 3rd Floor
City/State/ZIP ▼	New York, NY 10019

YOU MUST:

Complete all necessary spaces
Sign your application in space 8SEND ALL 3 ELEMENTS
IN THE SAME PACKAGE:

- 1 Application form
- 2 Nonrefundable filing fee in check or money order payable to Register of Copyrights
- 3 Deposit material

MAIL TO:
Library of Congress
Copyright Office
101 Independence Avenue, S E
Washington D C 20559-6000

9

As of
July 1
1999
the
filing
fee for
Form TX
is \$30

Registration Number
TX 7-502-287

Effective date of
registration:
March 13, 2012

Title _____

Title of Work: A Glimpse of Scarlet: And Other Stories

Completion/ Publication _____

Year of Completion: 1991

Date of 1st Publication: May 1, 1991

Nation of 1st Publication: United States

International Standard Number: ISBN

0060163313

Author _____

■ **Author:** Roxana Robinson

Author Created: text, compilation, Compilation of short stories

Citizen of: United States

Year Born: 1946

Copyright claimant _____

Copyright Claimant: Roxana Robinson

c/o Lynn Nesbit, Janklow & Nesbit Assoc., 445 Park Ave., New York, NY,
10022, United States

Certification _____

Name: Anita Fore

Date: March 9, 2012

Registration #: TX0007502287

Service Request #: 1-722961820

Anita Fore
The Authors Guild
31 E. 32nd Street, 7th Floor
New York, NY 10016 United States

Registration Number
TX 7-502-294

Effective date of
registration:
March 13, 2012

Title _____

Title of Work: A Perfect Stranger: And Other Stories

Completion/ Publication _____

Year of Completion: 2005

Date of 1st Publication: April 26, 2005

Nation of 1st Publication: United States

International Standard Number: ISBN

9780375509186

Author _____

■ **Author:** Roxana Robinson

Author Created: text, compilation, Compilation of short stories

Citizen of: United States

Year Born: 1946

Copyright claimant _____

Copyright Claimant: Roxana Robinson

c/o Lynn Nesbit, Janklow & Nesbit Assoc., 445 Park Ave., New York, NY,
10022, United States

Certification _____

Name: Anita Fore

Date: March 9, 2012

Registration #: TX0007502294

Service Request #: 1-722961413

Anita Fore
The Authors Guild
31 E. 32nd Street, 7th Floor
New York, NY 10016 United States

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X	
THE AUTHORS GUILD, INC., et al.,	:
	:
Plaintiffs,	:
	:
- against -	:
	:
HATHITRUST, et al.,	:
	:
Defendants.	:
	:
-----X	

Index No. 11 Civ. 6351 (HB)

DECLARATION OF HELGE RØNNING

I, Helge Rønning, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I have been a full professor in the Department of Media and Communication at the University of Oslo in Norway since 1987, where I have worked in various academic capacities since 1971. Over the course of my academic career I have authored over 400 non-fiction academic articles for journals, reports, newspapers, and magazines. I have also served as Chairman of the Board of Kopinor, a collective body for Norwegian authors' and publishers' rights organizations that administers blanket licenses for photocopying and digital reproduction rights to works protected by the Norwegian Copyright Act; Kopinor distributes remuneration to rights holders based on statistical surveys of licensee behavior. I also served on the Norwegian Board for Public Service Broadcasting from 1996 to 2004.

The Works At Issue

3. I am the sole author and copyright holder of the non-fiction works *Den Umulige Friheten: Henrik Ibsen Og Moderniteten* (*Impossible Freedom, Henrik Ibsen and Modernity*),

first published by Glydendal in 2006 and of *Doddsom Over Et Folk?: Imperialismen Og Biafrakonflikten* (*Death Sentence Over a People, Imperialism and the Biafra Conflict*), first published by Pax in 1969, (collectively the “Works”), and holder of a valid copyright to each under Norwegian law.

4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of my Works, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to my Works. I never assigned to any third party the copyright to the Works.

Unauthorized Uses Of My Work

5. It has come to my attention that print copies of my Works were copied without my permission when they were digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of my Works. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of my Works.

Harm Resulting From Defendants’ Use Of My Works

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of my Works has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. One difference between Mr. Stiles and me is that (as described below) my

Works are no longer in print and I have not yet chosen to make any of my Works available in electronic form. However, these differences do not change the fact that Defendants' actions are causing and threatening to cause damage to me and to the value of my Works.

8. While my Works are no longer in print, the harms articulated in the Declaration of T.J. Stiles nevertheless apply to me because my Works are still protected by copyright law and I still hold the copyrights. Whether my Works are in print or not, I have the right choose whether or not to engage in licensing agreements for emerging uses of my Works, be they digital archiving, non-consumptive research, full-text searching, or other derivative uses. By preempting my right to make a decision as to how I wish to exploit my Works, Defendants have narrowed the scope of my rights and lessened the strength of my control over my own work.

9. I believe that I also am entitled to determine whether, when and under what circumstances my Works are scanned, digitized, copied and used. Defendants' insistence that the new, complex, technologically-enabled uses they intend to make of my Works should be permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States, and it certainly is not the law in Norway.

10. Defendants argue that uses of my Works that do not allow individuals to read the text, such as non-consumptive research and full text searching, do not inhibit sales of my Works or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of my Works, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for

these uses if I do decide to allow them. Defendants could have asked my permission to digitize my work, or offered to purchase one or more additional copies for their library collections.

11. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how my Work may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a “dark archive” that is not accessible for viewing or further copying. I have no assurance that Defendants’ actual use of my Work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: Oslo, Norway
June 27, 2012

A handwritten signature in black ink, appearing to read 'Helge Rønning', with a stylized, cursive script.

HELGE RØNNING

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X	
THE AUTHORS GUILD, INC., et al.,	:
	:
Plaintiffs,	:
	:
- against -	:
	:
HATHITRUST, et al.,	:
	:
Defendants.	:
-----X	

Index No. 11 Civ. 6351 (HB)

DECLARATION OF ANDRÉ ROY

I, André Roy, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I am a poet, literary critic, film critic, and Vice President of the Plaintiff Union des Écrivaines et des Écrivains Québécois ("UNEQ"). I won the Canada Council for the Arts Governor General's Poetry Award for *Action Writing*, and I have twice been a finalist for that award.

The Work At Issue

3. I am the joint author and joint copyright owner of the non-fiction work *Marguerite Duras à Montréal*.
4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of *Marguerite Duras à Montréal*, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to *Marguerite Duras à Montréal*. I never assigned to any third party the copyright to *Marguerite Duras à Montréal*.

Unauthorized Uses Of My Work

5. It has come to my attention that a print copy of *Marguerite Duras à Montréal* was copied without my permission when it was digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of *Marguerite Duras à Montréal*. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of *Marguerite Duras à Montréal*.

Harm Resulting From Defendants’ Use Of My Work

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of *Marguerite Duras à Montréal* has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. Two differences between Mr. Stiles and me are that (as described below) *Marguerite Duras à Montréal* is out-of-print and I have not yet chosen to make it available in digital form. These differences do not, however, change the fact that Defendants’ actions are causing and threatening to cause damage to me and to the value of *Marguerite Duras à Montréal*.

8. While *Marguerite Duras à Montréal* is no longer in print, the harms articulated in the Declaration of T.J. Stiles nevertheless apply to me because *Marguerite Duras à Montréal* is still protected by copyright law and I still hold the copyright. Whether *Marguerite Duras à*

Montréal is in print or not, I have the right choose whether or not to engage in licensing agreements for emerging uses of *Marguerite Duras à Montréal*, be they digital archiving, non-consumptive research, full-text searching, or other derivative uses. By preempting my right to make a decision as to how I wish to exploit *Marguerite Duras à Montréal*, Defendants have narrowed the scope of my rights and lessened the strength of my control over my own work.

9. New technology is opening new possibilities in publishing and it is now possible, for the first time in history, to self-publish a book in print or digital forms without the aid of a publishing company. While I have not yet made a decision on how to proceed with my out-of-print Works, I am aware of this option and consider it a possibility for the future. This is why even my currently out-of-print book is affected by the Defendants' unauthorized copying.

10. I believe that I am entitled to determine whether, when and under what circumstances *Marguerite Duras à Montréal* is scanned, digitized, copied and used. Defendants' insistence that the new, complex, technologically-enabled uses they intend to make of *Marguerite Duras à Montréal* should be permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States. While *Marguerite Duras à Montréal* is not yet available in digital form, I reserve the right to license the creation of digital versions of *Marguerite Duras à Montréal* when I choose to.

11. Defendants argue that uses of *Marguerite Duras à Montréal* that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of *Marguerite Duras à Montréal* or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of

Marguerite Duras à Montréal, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize *Marguerite Duras à Montréal*, but did not do so.

12. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how *Marguerite Duras à Montréal* may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a “dark archive” that is not accessible for viewing or further copying. I have no assurance that Defendants’ actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

[The rest of this page intentionally left blank]

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: the 27th, Québec, Canada
June __, 2012



ANDRÉ ROY

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X		
THE AUTHORS GUILD, INC., et al.,	:	
	:	
Plaintiffs,	:	
	:	
- against -	:	Index No. 11 Civ. 6351 (HB)
	:	
HATHITRUST, et al.,	:	
	:	
Defendants.	:	
-----X		

DECLARATION OF JAMES SHAPIRO

I, James Shapiro, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I am a Professor of English and Comparative Literature at Columbia University specializing in Shakespeare and the Early Modern period.

The Work At Issue

3. I am the sole author and copyright owner of the non-fiction work *Oberammergau: The Troubling Story of the World's Most Famous Passion Play* (hereafter "*Oberammergau*"). A true and correct copy of the copyright registration for *Oberammergau* is attached hereto as Exhibit A.
4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of *Oberammergau*, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to *Oberammergau*. I never assigned to any third party the copyright to *Oberammergau*.

Unauthorized Uses Of My Work

5. It has come to my attention that a print copy of *Oberammergau* was copied without my permission when it was digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of *Oberammergau*. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of *Oberammergau*.

Harm Resulting From Defendants’ Use Of My Work

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of *Oberammergau* has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. *Oberammergau* is available for sale in digital form at online retailers such as Amazon.com and others.

8. I believe that I am entitled to determine whether, when and under what circumstances *Oberammergau* is scanned, digitized, copied and used. Defendants’ insistence that the new, complex, technologically-enabled uses they intend to make of *Oberammergau* should be permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States.

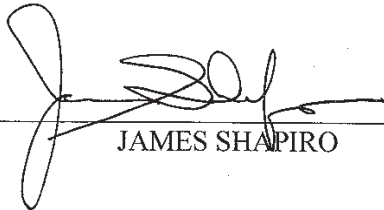
9. Defendants argue that uses of *Oberammergau* that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of *Oberammergau* or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of *Oberammergau*, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize *Oberammergau*, or offered to purchase one or more additional copies for their library collections.

10. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how *Oberammergau* may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a "dark archive" that is not accessible for viewing or further copying. I have no assurance that Defendants' actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

[The rest of this page intentionally left blank]

I declare under penalty of perjury that the foregoing is true and correct.

Dated: New York, New York
June 25, 2012



JAMES SHAPIRO

EXHIBIT A

FORM TXFor a Nondramatic Literary Work
UNITED STATES COPYRIGHT OFFICE

RE:

TX 5-234-556



EFFECTIVE DATE OF REGISTRATION

JUN 20 2000

Month Day Year

115010333



115010333

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

TITLE OF THIS WORK ▼

OBERAMMERGAU: THE TROUBLING STORY OF THE WORLD'S MOST FAMOUS PASSION PLAY

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

NAME OF AUTHOR ▼

James Shapiro

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼
1955Was this contribution to the work a
"work made for hire"?
☐ Yes
☒ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country
OR { Citizen of ► USA
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No
If the answer to either of these questions is "Yes," see detailed instructions.NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼
Entire text excluding brief quotes from other sources and one photograph

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼

Was this contribution to the work a
"work made for hire"?
☐ Yes
☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country
OR { Citizen of ►
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☐ No
Pseudonymous? ☐ Yes ☐ No
If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼

Was this contribution to the work a
"work made for hire"?
☐ Yes
☐ No

AUTHOR'S NATIONALITY OR DOMICILE

Name of Country
OR { Citizen of ►
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☐ No
Pseudonymous? ☐ Yes ☐ No
If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED

1999

This information must be given in all cases.

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK

Complete this information ONLY if this work has been published.

Month ► May Day ► 23 Year ► 2000

USA

Nation

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. ▼

James Shapiro, c/o Anne Edelstein Literary Agency,
404 Riverside Drive
New York, NY 10025

APPLICATION RECEIVED

JUN 20 2000
ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED

JUN 20 2000
FUNDS RECEIVED

TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

MORE ON BACK ►

- Complete all applicable spaces (numbers 5-9) on the reverse side of this page.
- See detailed instructions.
- Sign the form at line 8.

DO NOT WRITE HERE

Page 1 of 2 pages

NOTE

Under the law, the "author" of a "work made for hire" is generally the employer, not the employee (see instructions). For any part of this work that was "made for hire" check "Yes" in the space provided, give the employer (or other person, whom the work was prepared) as "Author" of that part, and leave the space for dates of birth and death blank.

See instructions before completing this space

EXAMINED BY *dh*

FORM TX

CHECKED BY

☐ CORRESPONDENCE

Yes

FOR
COPYRIGHT
OFFICE
USE
ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box.) ▼a. ☐ This is the first published edition of a work previously registered in unpublished form.b. ☐ This is the first application submitted by this author as copyright claimant.c. ☐ This is a changed version of the work, as shown by space 6 on this application.

If your answer is "Yes," give: Previous Registration Number ►

Year of Registration ►

DERIVATIVE WORK OR COMPILATION

Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ▼

Brief quotes from poetry; all photographs and illustrations except one are preexisting

Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼

Entire text excluding brief quotes from poetry and one photograph

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ▼

Account Number ▼

Pantheon Books, a division of Random House, Inc.

19429

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt/City/State/ZIP ▼

Rebecca Heisman, Pantheon Books
299 Park Ave., 7th Floor
New York, NY 10171

Area code and daytime telephone number ► (212) 572-2706

Fax number ► (212) 572-6066

Email ►

rheisman@randomhouse.com

CERTIFICATION* I, the undersigned, hereby certify that I am the

Check only one ►

☐ author☐ other copyright claimant☐ owner of exclusive right(s)☒ authorized agent of Pantheon Books

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

Typed or printed name and date ▼ If this application gives a date of publication in space 3, do not sign and submit it before that date.

Rebecca Heisman

Date ► June 19, 2000

Handwritten signature (X) ▼

X *Rebecca Heisman*Certificate
will be
mailed in
window
envelope
to this
address:Name ▼
Rebecca Heisman, Pantheon BooksNumber/Street/Apt ▼
299 Park Ave., 7th FloorCity/State/ZIP ▼
New York, NY 10171

YOU MUST

- Complete all necessary spaces
- Sign your application in space 8

SEND ALL ELEMENTS
IN THE SAME PACKAGE:

1. Application form
2. Nonrefundable filing fee in check or money order payable to Register of Copyrights
3. Deposit material

MAIL TO:
Library of Congress
Copyright Office
101 Independence Avenue, S.E.
Washington, D.C. 20559-6000As of
July 1,
1999,
the
filing
fee for
Form TX
is \$30.*17 U.S.C. § 506(e) Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.
June 1999—200,000
WEB REV: June 1999

PRINTED ON RECYCLED PAPER

☆ U.S. GOVERNMENT PRINTING OFFICE: 1999-454-879/49

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X	
THE AUTHORS GUILD, INC., et al.,	:
	:
Plaintiffs,	:
	:
- against -	:
	:
HATHITRUST, et al.,	:
	:
Defendants.	:
-----X	:

Index No. 11 Civ. 6351 (HB)

DECLARATION OF DANIELE SIMPSON

I, Danièle Simpson, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I am a children's book author, novelist, short-story writer and poet. I am also the President of the Plaintiff Union des Écrivaines et des Écrivains Québécois ("UNEQ").

The Work At Issue

3. I am the sole author and copyright owner of the collection of poetry *Je Cours Plus Vite Que La Lycose: Poèmes* (hereafter "*Poèmes*").
4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of *Poèmes*, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to *Poèmes*. I never assigned to any third party the copyright to *Poèmes*.

Unauthorized Uses Of My Work

5. It has come to my attention that a print copy of *Poèmes* was copied without my permission when it was digitized by one the defendant universities (collectively referred to

herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of *Poèmes*. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of *Poèmes*.

Harm Resulting From Defendants’ Use Of My Work

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of *Poèmes* has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. Two differences between Mr. Stiles and me are that (as described below) *Poèmes* is no longer in print and I have not yet chosen to make *Poèmes* available in digital form. This difference does not, however, change the fact that Defendants’ actions are causing and threatening to cause damage to me and to the value of *Poèmes*.

8. New technology is opening new possibilities in publishing and it is now possible, for the first time in history, to self-publish a book in print or digital forms without the aid of a publishing company. While I have not yet made a decision on how to proceed with *Poèmes*, I am aware of this option and consider it a possibility for the future. This is why even my currently out-of-print work is affected by the Defendants’ unauthorized copying.

9. I believe that I am entitled to determine whether, when and under what circumstances *Poèmes* are scanned, digitized, copied and used. Defendants’ insistence that the new, complex, technologically-enabled uses they intend to make of *Poèmes* should be permitted

without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States. While my *Poèmes* is not yet available in digital form, I reserve the right to license the creation of digital versions of *Poèmes* if and when I choose to.

10. Defendants argue that uses of *Poèmes* that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of *Poèmes* or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of *Poèmes*, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize my work, but did not do so. 11. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how *Poèmes* may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a "dark archive" that is not accessible for viewing or further copying. I have no assurance that Defendants' actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: Montreal, Québec, Canada
June 25, 2012

Danièle Simpson
DANIELE SIMPSON

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X		
THE AUTHORS GUILD, INC., et al.,	:	
	:	
Plaintiffs,	:	
	:	
- against -	:	Index No. 11 Civ. 6351 (HB)
	:	
HATHITRUST, et al.,	:	
	:	
Defendants.	:	
-----X		

DECLARATION OF FAY WELDON

I, Fay Weldon, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs' motion for summary judgment.
2. I am a novelist, short-story writer, essayist and playwright. I am also a professor of creative writing at Brunel University in London and have served as the Chair of Judges for the Booker Prize for Fiction.

The Works At Issue

3. I am the sole author and copyright owner of each work listed on Exhibit A hereto (hereafter referred to as the "Works"). True and correct copies of the copyright registrations for the twenty-two of my Works that are registered with the U.S. Copyright Office are attached hereto as Exhibit B.
4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of my Works, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to my Works. I never assigned to any third party the copyright to the Works.

Unauthorized Uses Of My Works

5. It has come to my attention that print copies of my Works were copied without my permission when they were digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of my Works. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of my Works.

Harm Resulting From Defendants’ Use Of My Works

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of my Works has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. One difference between Mr. Stiles and me is that (as described below) I have not yet chosen to make certain of my available in digital form, as shown in the attached Exhibit A. This difference does not, however, change the fact that Defendants’ actions are causing and threatening to cause damage to me and to the value of my Works. Moreover, certain of my Works are available for sale in digital form at online retailers such as Amazon.com and others, as shown in the attached Exhibit A.

8. I believe that I am entitled to determine whether, when and under what circumstances my Works are scanned, digitized, copied and used. Defendants’ insistence that the new, complex, technologically-enabled uses they intend to make of my Works should be

permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States. While many of my Works are not yet available in digital form, I reserve the right to license the creation of digital versions of these Works when I choose to.

9. Defendants argue that uses of my Works that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of my Works or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of my Works, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize my work, or offered to purchase one or more additional copies for their library collections.

10. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. When I have licensed the digital rights in certain of my works, for example to Amazon for sale on the Kindle, my representatives have been careful to ensure that I obtained appropriate financial benefits and other contractual protections. When Defendants make digital copies without my consent and without a contract, I am rendered powerless to dictate terms as to how my Work may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a "dark archive" that is not accessible

for viewing or further copying. I have no assurance that Defendants' actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

[The rest of this page intentionally left blank]

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: Swansea, Dorset, England
June 25, 2012



FAY WELDON

EXHIBIT A

A-440

EXHIBIT A

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	A hard time to be a father: a collection of short stories	1998-12-07/London: Flamingo (HarperCollins)	January 1999/New York: Bloomsbury 2000/New York: Bloomsbury	Hardcopy	N/A – Foreign Work
Weldon, Fay	Affliction	1993/London: HarperCollins	1993-10-19/New York: Penguin Books (under title “Trouble”) 1994-10-01/New York: Penguin Books	Hardcopy	TX0003682496 1993-12-13
Weldon, Fay	Auto da fay	2001-12-31/New York: Grove Press (electronic)	2002-06-24/London: Flamingo May 2003/New York: Grove Press June-2004/New York: Grove Press 2011-06-30/ London: Flamingo	Electronic	TX0005741087 2003-05-30
Weldon, Fay	Big women	1997-11-10/London: Flamingo (HarperCollins)	1997/Canada (under title “Big Girls Don't Cry”) 1998/New York: Grove Press/Atlantic Monthly Press (under	Electronic	TX0004843655 1998-09-09, supplemented by TX0004943195 1999-04-15

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			title "Big Girls Don't Cry") July 1998/London: Flamingo (HarperCollins) 1999-01-01/London: Harper Collins 1999-09-02/ Atlantic Monthly Press (under title "Big Girls Don't Cry") 2007-12-01/ Atlantic Monthly Press		
Weldon, Fay	Darcy's utopia	1990-09-20/London: Collins	1991/New York: Viking 1992-07-01/New York: Penguin June 1993/Oxford: Isis Audio	Audio	TX0002931605 1990-10-11
Weldon, Fay	Flood warning: a play	2003-07-22/London: Samuel French		Hardcopy	PA0001247989 2003-08-15
Weldon, Fay	Godless in Eden: a book of essays	1999-09-20/London: Flamingo (HarperCollins)	2000/Canada: Limited	Hardcopy	N/A – Foreign Work
Weldon, Fay	Growing rich	1992-02-20/London: Flamingo		Hardcopy	N/A – Foreign Work

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	Leader of the band	(HarperCollins) 1988-07-07/London: Hodder & Stoughton	1989-06-19/New York: Viking 1990-11-01/New York: Viking	Hardcopy	TX0002560295 1989-05-09
Weldon, Fay	Life force	1992/London: HarperCollins	1992-02-03/New York: Viking 1993-02-01/New York: Viking 1994/London: HarperCollins October 1994/Oxford: Isis Audio	Audio	TX0003274167 1992-03-18
Weldon, Fay	Little sisters	1977/Bath: Chivers Press	1977/New York: Random House. (under title "Words of Advice") 1978-06-12 / New York: Random House. (under title "Words of Advice") 1978, Hodder & Stoughton 1985-09-12/ New York: Random House.	Hardcopy	N/A -- Foreign Work

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			(under title "Words of Advice") 1987-12-01/Coronet Books/Hodder & Stoughton		
Weldon, Fay	Mantrapped	2004/London: Fourth Estate (an imprint of HarperCollins)	2004-09-06/New York: Grove Press (electronic) 2005-10-04/ New York: Grove Press November 2005/ Sound Library Audio 2011-06-30/New York: HarperPerennial	Electronic	N/A – Foreign Work
Weldon, Fay	Moon over Minneapolis, or, Why she couldn't stay	1991-01-02/ London: HarperCollins	1992-02-01/New York: Penguin Books 1996-08-01/London: Flamingo	Hardcopy	TX0003114513 1991-07-26
Weldon, Fay	Nothing to wear and nowhere to hide: stories	2002-09-16/London: Flamingo	2003-09-15/London: Flamingo 2011-06-30/London: Flamingo	Electronic	N/A – Foreign Work
Weldon, Fay	Praxis: a novel	1978/London: Hodder and Stoughton	1978-10-11/New York: Summit Books	Hardcopy	TX0000161661 1978-11-20

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S)- YORK: PUBLICATION	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	Puffball: a novel	1980-02-01/London: Hodder & Stoughton	1990-11-01/New York: Penguin Books	Electronic	TX0000550383 1980-09-22
Weldon, Fay	Remember me	1976/London: Hodder & Stoughton	1980-07-21/New York: Summit Books 1985-06-01/New York: Pocket Books 1987-12-01/London: Sceptre 1990/London: Coronet Books 1990-03-01/New York: Penguin Books 1994/London: Sceptre 2011-06-30/London: Flamingo 1976 /New York: Random House 1976/New York: Ballantine 1978/New York: Random House 1981/ London: Coronet Books 1988/ London: Sceptre	Hardcopy	N/A – Foreign Work

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			1990/London: Hodder & Stoughton 1994 London: Seepire 2003-06-16/London: Flamingo		
Weldon, Fay	Rhode Island blues	2000/London: Flamingo	2000/New York: Atlantic Monthly Press 2001/North Kingstown: Chivers Press 2002-02-09/New York: Grove Press January 2001/Waterville: Thorndike Press 2007-12-01/New York: Grove Press 2011-06-30/London: Flamingo	Electronic	TX0005335279 2001-04-26
Weldon, Fay	Sacred cows	1989/London: Chatto & Windus	October 1990/London: Chatto & Windus	Hardcopy	N/A – Foreign Work
Weldon, Fay	She may not leave	2005-12-01/London: Fourth Estate	2005/New York: Grove Press	Electronic	TX0006444289 2006-06-20

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			2006-05-11/New York: Atlantic Monthly 2006/New York: Harper & Row 2007/New York: Harper Collins 2007-12-01/New York: Grove Press 2011-06-30/ London: Fourth Estate		
Weldon, Fay	Splitting	1995/London: Flamingo	1995/New York: Atlantic Monthly 1996-05-01/New York: Atlantic Monthly 1997-12-01/New York: Atlantic Monthly 2007-12-01/New York: Atlantic Monthly	Electronic	TX0004084784 1995-07-07
Weldon, Fay	The Bulgari connection	2001, c2000/London: Flamingo	2001/New York: Atlantic Monthly 2001-10-01:New	Electronic	N/A – Foreign Work

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	The cloning of Joanna May	1989/London: Collins	York: Grove Press January 2002/Waterville: Thorndike Press January 2002/North Kingstown: Chivers 2005-01-01/Hamburg: Hoffman & Campe 2002-09-12/New York: Grove 2007-12-01/New York: Grove/Atlantic, Inc. 2011-06-30/ London: Flamingo	Hardcopy	TX0002728206 1990-01-16
			1989-05-04/New York: Penguin Books 1990-03-26/New York: Viking 1993/London: Flamingo June 1993/Oxford: Isis Audio 1996/02/19/London:		

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	The fat woman's joke	1967/United Kingdom: MacGibbon & Kee	Harper Collins 1968/U.S.: David MacKay Company, Inc. (under title "...and the wife ran away") 1981/ London: Hodder & Stoughton 1982/ London: Coronet Books 1986, c1987/Chicago, IL: Academy Chicago 1993/London: Flamingo 2003/London: Flamingo	Hardcopy	N/A – Foreign Work
Weldon, Fay	The heart of the country	1987/London: Hutchinson	1987-02-05/New York: Viking 1988-01-21/Chicago: Trafalgar Square 1988-11-14/New York: Viking 1988-12-06 North Kingstown: Chivers	Hardcopy	TX0002580673 1988-12-15

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			1990-03-01/New York: Penguin 1992-02-19/ New York: Random House 1992/New York: Vintage 1995-08-14/New York: Vintage		
Weldon, Fay	The hearts and lives of men	1987-09-07/London: Heinemann	1987/New York: Viking 1988/New York: Viking 1990-02-06/ New York: Random House 1992/ London: Flamingo 1997/London: Heinemann	Hardcopy	TX0002176563 1987-10-01
Weldon, Fay	The rules of life	1987/London: Hutchinson	1987-06-03/New York: Harper & Row 1988/New York: Vintage	Hardcopy	TX0002139543 1987-08-20
Weldon, Fay	The Shrapnel Academy	1986/London: Hodder & Stoughton	1986-07-07/New York: Viking	Hardcopy	TX0001967142 1986-12-23

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	The spa decameron	2007-09-15/London: Quercus	1987/London: Coronet Books 1988-04-01/New York: Penguin 1988/London: Coronet Books 1989/New York: Viking February 1989/ Waterville: Thorndike Press 1994/London: Sceptre	Hardcopy	TX0007138911 2010-01-20
			2009-02-03/New York: Grove Press (under title "The Spa") 2008-02-18/New York: Grove Press 2008-02-18/New York: Grove Press 2009-02-03/ New York: Grove Press		
Weldon, Fay	Watching me, watching you	1981/London:Hodder & Stoughton	1981-11-11/New York: Summit Books 1981-11-23/ New	Electronic	TX0000907715 1981-12-24

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
Weldon, Fay	Wicked women: stories	1995-12-04 /London: Flamingo	York: Simon & Schuster 1982/ London: Coronet Books 1983-10-27/ North Kingstown: Chivers Press 1988-11-01/ London: Coronet Books 1988/London: Sceptre 1993-05-06/London: Sceptre 2003-07-21/London: Flamingo 2011-06-30/ London: Flamingo	Hardcopy	TX0004578645 1997-07-14
			1995-12-04/New York: Atlantic Monthly (electronic) 1996/London: Flamingo 1997-06-23/New York: Atlantic Monthly Press		

AUTHOR	TITLE	FIRST PUBLICATION	SUBSEQUENT PUB(S).	MOST RECENT PUB. HARDCOPY OR ELECTRONIC?	U.S. COPYRIGHT REGISTRATIONS OR RENEWALS
			1997/New York: Atlantic Monthly Press 1999-01-08/New York: Atlantic Monthly Press		
Weldon, Fay	Worst fears	1996/London: Flamingo	1996-06-20/New York: Atlantic Monthly Press 1996-05-31/New York: Atlantic Monthly 1997-05-07/New York: Atlantic Monthly 1997/London: Flamingo 1998/London: Flamingo 2000/New York: Atlantic Monthly 2007-12-01/New York: Atlantic Monthly	Electronic	TX0004405886 1996-08-30

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1 TITLE OF THIS WORK			
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PREVIOUS OR ALTERNATIVE TITLES			
PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work			
If published in a periodical or serial give: Volume Number Issue Date On Pages			
2 a NAME OF AUTHOR			
Fay Weldon			
DATES OF BIRTH AND DEATH			
Year Born Year Died			
Was this contribution to the work a "work made for hire"? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
AUTHOR'S NATIONALITY OR DOMICILE			
Name of Country U.K.			
OR <input type="checkbox"/> Citizen of <input type="checkbox"/> Domiciled in			
WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK			
Anonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Pseudonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed			
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Fay Weldon			
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Was this contribution to the work a "work made for hire"? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
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NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed			
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c NAME OF AUTHOR			
Fay Weldon			
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AUTHOR'S NATIONALITY OR DOMICILE			
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Anonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Pseudonymous? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed			
entire text			
3 YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED			
1986			
DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK			
Month Day Year 1987			
Country of First Publication England			
4 COPYRIGHT CLAIMANT'S Name and address must be given even if the claimant is the same as the author given in space 2			
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c/o Viking Penguin Inc.			
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New York, New York 10010			
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☐ DEPOSIT ACCOUNT FUNDS USED

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PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ☐

☐ This is the first published edition of a work previously registered in unpublished form.

☐ This is the first application submitted by this author or copyright claimant.

☐ This is a changed version of the work, as shown by space 6 on this application.

If your answer is "Yes," give Previous Registration Number ☐ Year of Registration ☐

DERIVATIVE WORK OR COMPILATION Complete both space 6a & 6b for a derivative work, complete only 6b for a compilation.

a. Presenting Material Identify any presenting work or works that this work is based on or incorporates. ☐

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ☐

MANUFACTURERS AND LOCATIONS If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.

Names of Manufacturers ☐ Place of Manufacture ☐

Richard Clay Limited Bungay Suffolk, England

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DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ☐ Account Number ☐

Viking Penguin Inc. DA 018074

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt./City/State/Zip ☐

T. Uehara

AS BELOW

Area Code & Telephone Number ☐ 212/337-5433

CERTIFICATION I, the undersigned, hereby certify that I am the ☐ author ☐ other copyright claimant ☐ owner of exclusive right(s) ☒ authorized agent of Fay Waldon

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Name of author or other copyright claimant or owner of exclusive right(s) ☐

Typed or printed name and date If this is a published work, this date must be the same as or later than the date of publication given in space 3.

Tsuyako Uehara date 9/23/87

Handwritten signature (X) ☐ [Signature]

MAIL CERTIFICATE TO

Certificate will be mailed in window envelope

VIKING PENGUIN INC. (Attn: T. Uehara)

40 West 23rd Street

New York, New York 10010

City/State/Zip ☐

Steps you must take:

- Completed all necessary spaces?
- Signed your application in space 10?
- Enclosed check or money order for \$10 payable to Register of Copyrights?
- Enclosed your deposit material with the application and fee?

805, 9th Register of Copyrights, Library of Congress, Washington D.C. 20540

* 17 U.S.C. § 1092. Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 405, or in any written statement filed in connection with the application, shall be fined not more than \$2,000.

* U.S. GOVERNMENT PRINTING OFFICE: 1985-461-584-15,159

May 1985 — 250 000

FORM TX
 UNITED STATES COPYRIGHT OFFICE
 REGISTRATION NUMBER
 TX 2 560 295
 TXU
 EFFECTIVE DATE OF REGISTRATION
 MAY 09 1989

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1 TITLE OF THIS WORK **V**

LEADER OF THE BAND

PREVIOUS OR ALTERNATIVE TITLES **V**

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work **V**

It published in a periodical or serial, give Volume **V** Number **V** Issue Date **V** On Page **V**

2 NAME OF AUTHOR **V**

Fay Weldon

DATE OF BIRTH AND DEATH
 Year Born **V** Year Died **V**

Was this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
 Name of Country **V** or Citizen of **V** UK

Did this author's contribution to this work: ☐ Yes ☒ No If the answer to either of these questions is "Yes," see question 3.

NATURE OF AUTHORSHIP Fully describe nature of the material claimed by this author in which copyright is claimed. **V**
 entire text excluding short stories on pp. 161 - 162

NAME OF AUTHOR **V**

DATE OF BIRTH AND DEATH
 Year Born **V** Year Died **V**

Was this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
 Name of Country **V** or Citizen of **V** UK

Did this author's contribution to this work: ☐ Yes ☒ No If the answer to either of these questions is "Yes," see question 3.

NATURE OF AUTHORSHIP Fully describe nature of the material claimed by this author in which copyright is claimed. **V**

NAME OF AUTHOR **V**

DATE OF BIRTH AND DEATH
 Year Born **V** Year Died **V**

Was this contribution to the work a "work made for hire"? ☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
 Name of Country **V** or Citizen of **V** UK

Did this author's contribution to this work: ☐ Yes ☒ No If the answer to either of these questions is "Yes," see question 3.

NATURE OF AUTHORSHIP Fully describe nature of the material claimed by this author in which copyright is claimed. **V**

3 YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED **V** 1987

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
 Date **V** 7/77 Nation **V** UK

4 COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. **V**
 Fay Weldon
 c/o Viking Penguin Inc.
 40 W. 23 St.
 New York, NY 10010

TRANSFER If the claimant(s) named here to space 4 do not own the copyright, in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. **V**

RECEIVED
 MAY 09 1989
 MAY 09 1989
 MAY 09 1989
 MAY 09 1989

REMITTANCE NUMBER AND DATE

MORE ON BACK **V**

TX 2 563 295

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EXAMINED BY Vg

CHECKED BY Vg

☐ CORRESPONDENCE

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PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ☐

☐ This is the first published edition of a work previously registered in unpublished form.

☐ This is the first application submitted by the author as copyright claimant.

☐ This is a changed version of the work, as shown by space in this application.

If your answer is "Yes," give Previous Registration Number ☐ Year of Registration ☐

DERIVATIVE WORK OR COMPILATION Complete both space 5a & 5b for a derivative work; complete only 5b for a compilation.

a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ☐

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ☐

MANUFACTURERS AND LOCATIONS If this is a published work consisting predominantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.

Names of Manufacturers ☐ Places of Manufacture ☐

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a. ☐ Copies and Phonorecords b. ☐ Copies Only c. ☐ Phonorecords Only

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ☐ Account Number ☐

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt./City/State/Zip ☐

Viking Penguin Inc. 018074

P. Velazquez

as below

212-337-5431

CERTIFICATION* I, the undersigned, hereby certify that I am the

Check one ☐ author

☐ other copyright claimant

☐ owner of exclusive rights

☒ authorized agent of Fay Weldon

through power of attorney or other copyright document, or owner of exclusive rights

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge

Typed or printed name and date ☐ If this is a published work, this date must be the same as or later than the date of publication given in space 3.

P. Velazquez 5/4/89

Handwritten signature (X) ☐

P. Velazquez

MAIL CERTIFICATE TO

Name ☐

Number Street Apartment Number ☐

City/State/Zip ☐

NEW YORK

VIRING PENGUIN INC.

40 W. 23rd ST.

NEW YORK, N.Y. 10011

MAIL CERTIFICATE TO

• Completed at necessary space

• Signed your application in space 10

• Enclosed check or money order for \$10 payable to Register of Copyrights

• Enclosed your deposit material with the application and fee

• Sent to the Register of Copyrights, Library of Congress, Washington, D.C. 20540

17 U.S.C. § 108 Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 108, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.

U.S. GOVERNMENT PRINTING OFFICE: 1987-181 551 00 008

August 1987 - 100,000

FORM TX
UNITED STATES COPYRIGHT OFFICE

REGISTRATION NUMBER
TX 2 58 673

EFFECTIVE DATE OF REGISTRATION
TX 15 8
Day

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTRIBUTION SHEET.

1
TITLE OF THIS WORK
THE HEART OF THE COUNTRY
PREVIOUS OR ALTERNATIVE TITLES

PUBLICATION AS A CONTRIBUTION If the work was published as a contribution to a periodical, serial, or other publication, give information about the publication in which the contribution appeared. Title of Collective Work
If published in a periodical or serial give: Volume Number Year Date On Pages

2
NAME OF AUTHOR
Fay Weldon
DATE OF BIRTH AND DEATH
Year Born Year Died

NOTE Under the law
Was this contribution to the work a work made for hire? ☒ No ☐ Yes
AUTHOR'S NATIONALITY OR DOMICILE
Name of Country: **UK**
OR
Citizen of ☒ UK
Domiciled in ☐
NATURE OF AUTHORSHIP
Briefly describe nature of the material created by this author in which copyright is claimed: **entire text**

3
NAME OF AUTHOR
Fay Weldon
DATE OF BIRTH AND DEATH
Year Born Year Died

Was this contribution to the work a work made for hire? ☒ No ☐ Yes
AUTHOR'S NATIONALITY OR DOMICILE
Name of Country: **UK**
OR
Citizen of ☒ UK
Domiciled in ☐
NATURE OF AUTHORSHIP
Briefly describe nature of the material created by this author in which copyright is claimed: **entire text**

4
NAME OF AUTHOR
Fay Weldon
DATE OF BIRTH AND DEATH
Year Born Year Died

Was this contribution to the work a work made for hire? ☒ No ☐ Yes
AUTHOR'S NATIONALITY OR DOMICILE
Name of Country: **UK**
OR
Citizen of ☒ UK
Domiciled in ☐
NATURE OF AUTHORSHIP
Briefly describe nature of the material created by this author in which copyright is claimed: **entire text**

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information must be given in all cases.
1986 ☒ Year ☐ Month ☐ Day

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
Suggests this information ONLY if the work has been published.
UK ☒ Year ☐ Month ☐ Day

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2.
**Fay Weldon
c/o Viking Penguin Inc.
40 W. 23 St.
New York, NY 10010**

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.
**APPLICATION RECEIVED
DEC 15 1988
JUL 15 1988
NO DEPOSITS REQUIRED
REMARKS (OPTIONAL):**

MORE ON BACK • Complete or sign with space numbers 5-11 on the reverse side of this page.
• See related instructions.
• Sign the form at the top.

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Page 1 of 1

Spec. of Reg. 202.20 (d) of the C. O. Reg.

TX 2 580 673

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CHECKED BY

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☐ DEPOSIT ACCOUNT FUNDS USED

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PREVIOUS REGISTRATION Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

☐ Yes ☒ No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ☐ This is the first published edition of a work previously registered in unpublished form ☐ This is the first application submitted by this author as copyright claimant ☐ This is a changed version of the work, as shown by space 6 on this application If your answer is "Yes," give Previous Registration Number ☐ Year of Registration ☐

DERIVATIVE WORK OR COMPILATION Complete both space 6a & 6b for a derivative work; complete only 6b for a compilation

a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ☐

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ☐

MANUFACTURERS AND LOCATIONS If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.

Names of Manufacturers ☐ Places of Manufacture ☐ UK

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☐ Copies and Phonorecords ☐ Copies Only ☐ Phonorecords Only

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ☐ Account Number ☐

Viking Penguin Inc. DA 018074

CORRESPONDENCE Give name and address to which correspondence about this application should be sent. Name/Address/Apt. City State/Zip ☐

P. Velazquez as below

Area Code & Telephone Number ☐

212-337-5431

CERTIFICATION I, the undersigned, hereby certify that I am the

Check one ☐ author ☐ other copyright claimant ☐ owner of exclusive right(s) ☒ authorized agent of

Name of author or other copyright claimant or owner of exclusive right(s) ☐ Name of author or other copyright claimant or owner of exclusive right(s) ☐

Fay Waldon

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Typed or printed name and date ☐ If this is a published work, this date must be the same as or later than the date of publication given in space 3

P. Velazquez date ☐ 12/12/88

Handwritten signature (X) ☐

V. Velazquez

NAME CERTIFICATE TO

Certificate will be mailed in window envelope

Name ☐

Number/Street Apartment Number ☐

City/State/Zip ☐

VIKING PENGUIN INC.
40 W. 23rd ST.
NEW YORK, N.Y. 10010

Have you:

- Completed all necessary spaces?
- Signed your application in space 10?
- Enclosed check or money order for \$10 payable to Registrar of Copyrights?
- Enclosed your deposit material with the application and fee?

MAIL TO: Registrar of Copyrights, Library of Congress, Washington, D.C. 20540

17 U.S.C. § 205(a): Any person who knowingly makes a false representation of a material fact in the application for copyright registration (provided for by section 405), or in any written statement filed in connection with the application, shall be fined not more than \$2,500.

U.S. GOVERNMENT PRINTING OFFICE: 1987-181-821/80,007

August 1987-200,000

FORM TX
UNITED STATES COPYRIGHT OFFICE
REGISTRATION NUMBER

TX 2 728 206

TX
EFFECTIVE DATE OF REGISTRATION
1 16 90
Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

TITLE OF THIS WORK

THE GLORING OF JOANNA MAY

PREVIOUS OR ALTERNATIVE TITLES

PUBLICATION AS A CONTRIBUTION

If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. **Title of Collective Work**

If published in a periodical or serial give **Volume** **Number** **Issue Date** **On Pages**

NAME OF AUTHOR

Fay Weldon

DATES OF BIRTH AND DEATH
Year Born Year Died

Was this contribution to the work a "work made for hire"?
☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country **UK**
OR ☐ Citizen of ☐ Domiciled in

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP
Entire Work

Briefly describe nature of the material created by this author in which copyright is claimed.

NAME OF AUTHOR

DATES OF BIRTH AND DEATH
Year Born Year Died

Was this contribution to the work a "work made for hire"?
☐ Yes ☒ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country
OR ☐ Citizen of ☐ Domiciled in

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Year Born Year Died

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Name of Country
OR ☐ Citizen of ☐ Domiciled in

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Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No

NATURE OF AUTHORSHIP

Briefly describe nature of the material created by this author in which copyright is claimed.

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED
1988

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
Complete this information ONLY if this work has been published.
Month Day Year 1989
UK

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2.

Fay Weldon
c/o Viking Penguin
40 W. 23rd St.
New York, NY 10010

APPLICATION RECEIVED
JAN 16 1990
ONE DEPOSIT RECEIVED
JAN 16 1990
TWO DEPOSITS RECEIVED

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.

REMITTANCE NUMBER AND DATE

MORE ON BACK

* Complete all applicable sections Chapters 5-7 on the reverse side of this page.
* See detailed instructions. * Sign the form at line 10.

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Page 1 of 2