

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

No. 10-3546

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,
Plaintiff - Appellee,**FILED**
Apr 04, 2013
DEBORAH S. HUNT, Clerk

v.

SIERRA BROKERAGE SERVICES, INC.,
c/o Jeffrey A Richardson, President, et al.
Defendants,AARON TSAI,
Defendant - Appellant.Before: BATCHELDER, Chief Judge; GIBBONS, Circuit Judge;
ROSENTHAL, District Judge.**JUDGMENT**On Appeal from the United States District Court
for the Southern District of Ohio at Columbus.

THIS CAUSE was heard on the record from the district court and was argued by counsel.

IN CONSIDERATION WHEREOF, it is ORDERED that the district court's granting of
summary judgment and permanent injunction against Tsai is AFFIRMED.**ENTERED BY ORDER OF THE COURT**

Deborah S. Hunt, Clerk