

UNITED STATES COURT OF APPEALS  
FOR THE SIXTH CIRCUIT

No. 10-3546

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION,  
Plaintiff - Appellee,

v.

SIERRA BROKERAGE SERVICES, INC.,  
c/o Jeffrey A Richardson, President, et al.  
Defendants,AARON TSAI,  
Defendant - Appellant.Before: BATCHELDER, Chief Judge; GIBBONS, Circuit Judge;  
ROSENTHAL, District Judge.**JUDGMENT**On Appeal from the United States District Court  
for the Southern District of Ohio at Columbus.

THIS CAUSE was heard on the record from the district court and was argued by counsel.

IN CONSIDERATION WHEREOF, it is ORDERED that the district court's granting of  
summary judgment and permanent injunction against Tsai is AFFIRMED.**ENTERED BY ORDER OF THE COURT**

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Deborah S. Hunt, Clerk