In the

United States Court of Appeals For the Seventh Circuit

No. 23-1312

QUINTIN SCOTT,

Plaintiff-Appellant,

v.

THOMAS J. DART, Sheriff of Cook County, and COOK COUNTY,
ILLINOIS,

Defendants-Appellees.

Appeal from the United States District Court for the
Northern District of Illinois, Eastern Division.
No. 1:17-cv-07135 — Martha M. Pacold, Judge.

Argued December 7, 2023 — Decided April 29, 2024

Before WOOD, KIRSCH, and JACKSON-AKIWUMI, Circuit Judges.

WOOD, *Circuit Judge*. Quintin Scott, a former pretrial detainee at the Cook County Jail, filed this class action more than six years ago. Invoking 42 U.S.C. § 1983, Scott asserts that Cook County and its sheriff (collectively, the "County") provided him and other pretrial detainees inadequate dental care in violation of the Fourteenth Amendment. The district court

refused to certify the class, and soon after, Scott voluntarily settled his individual claim. But the settlement reserved his right to appeal the adverse class ruling and to seek an incentive award for his role as named plaintiff. Scott followed through with this timely appeal.

The County contends that Scott lacks Article III standing to pursue the class aspects of this case. It asserts that Scott no longer has a live interest in the litigation, and that even if he did, we could not redress his injury because nineteenth-century Supreme Court precedent forbids courts from granting "incentive awards." We find these arguments unpersuasive, largely because we do not agree with the County's reading of the Supreme Court's decisions. We see no reason to stray from nearly half a century of case law in which courts across the country have granted incentive awards to named plaintiffs in class actions.

We also conclude that the district court abused its discretion in denying class certification, as it misapplied our decision in *McFields v. Dart*, 982 F.3d 511 (7th Cir. 2020), and based its decision on too strict a standard. If the district court's approach were correct, it would never be possible to certify a class of detainees alleging that they were denied adequate medical care because medical care, by its nature, is individualized. We therefore vacate the district court's order and remand for further proceedings.

¹ The original named plaintiff in this case was Montrell Carr. Scott joined the case in an amended complaint filed on July 13, 2018. After the district court denied class certification, Carr settled his claim and accepted an unconditional offer of judgment. Carr's settlement did not reserve his right to appeal; this leaves Scott as the sole named plaintiff.

Ι

Cook County Jail (the "Jail") is one of the nation's largest single-site jails, housing approximately 9,500 detainees at any given time. As custodian of the Jail, the County has a constitutional obligation to provide its detainees with adequate medical care. See Daniel v. Cook County, 833 F.3d 728, 733 (7th Cir. 2016). But unfortunately, it has not always met that obligation. In 2008, the U.S. Department of Justice ("DOJ") concluded after an investigation that the Jail maintained grossly deficient policies and practices that denied constitutionally adequate medical care to detainees.² The DOJ's extensive findings have since served as the basis for an onslaught of litigation brought by detainees challenging various aspects of the Jail's policies and practices. See, e.g., United States v. Cook *County, 761 F.Supp.2d 794 (N.D. Ill. 2011). In 2010 the County* and the DOJ entered into a consent order, in which the County agreed to allow regular monitoring by the federal government and to ensure adequate medical staff at the Jail.

That brings us to this lawsuit, which takes aim at the County's refusal for more than a decade to keep an oral surgeon on staff at the Jail. Back in 2006, the County employed four dentists and one oral surgeon to serve the Jail's detainees. The oral surgeon performed a variety of procedures that general dentists do not perform, including difficult extractions and diagnoses of other complex dental cases. In 2007, however, the County reduced the dental staff to just one dentist,

² See Letter from Grace Chung Becker, Acting Assistant Att'y Gen., Civil Rights Div., U.S. Dep't of Just., to Thomas Dart, Cook County Sheriff, and to Todd H. Stroger, Cook County Board President (Jul. 11, 2008) (available at https://www.justice.gov/sites/default/files/crt/leg-acy/2011/04/13/CookCountyJail_findingsletter_7-11-08.pdf).

whose services were limited to extractions. Although the County eventually hired more dentists, as part of its effort to comply with the DOJ's consent order, it did not fill the oral surgeon position at the Jail. By March 12, 2020—the end date of the proposed class—the County still had not hired an oral surgeon for the Jail. (The record does not indicate whether this remains the case today.) With no on-site oral surgeon, the County adopted a new practice: if an on-site dentist examined a detainee and determined that the detainee required treatment from an oral surgeon, the dentist would then refer the detainee to the oral surgery clinic at John H. Stroger, Jr., Hospital of Cook County ("Stroger Hospital").

Scott alleges that the lack of an on-site oral surgeon has caused him and other detainees to experience unnecessary pain and significant delays in receiving treatment. He has presented evidence to show that County officials were aware of the need for an on-site oral surgeon but turned a blind eye to the suffering of detainees, many of whom waited months before being transported to Stroger Hospital for treatment. For example, the Jail's Chief of Dental Services submitted a budget request in June 2011, urging that the County hire an oral surgeon to address the "constant[] suffer[ing]" of detainees who waited "anywhere from 2 to 3[] months to be treated" at Stroger Hospital. The Jail's Director of Oral Health echoed these concerns in an email in April 2016, stating that the Jail was "in DESPERATE need for a part-time oral surgeon" (emphasis in original).

Scott was housed at the Jail from June 23, 2013, to May 22, 2014. He began to experience severe tooth pain during that period. On August 6, 2013, Scott submitted a Health Service Request Form explaining that he had difficulty eating, that his

tooth "throbbed all night long," and that it "hurts like hell." An on-site dentist examined Scott two days later, determined that he needed surgery to have his wisdom teeth removed, and referred him to Stroger Hospital. Three months later, Scott still had not received treatment. He submitted a grievance on November 18, 2013, complaining: "I am suffering!!! I am in pain, can't lie down and eat properly, and have frequent headaches." On December 8, 2013, Scott submitted a second grievance stating: "I have yet to see the oral surg[eon] and the pain is getting to be unbearable. I am suffering!!!" Scott finally received the treatment he needed from an oral surgeon on March 28, 2014, seven months after the dentist had referred him for treatment.

As further support for his allegations, Scott has submitted the written grievances of 11 detainees who also endured significant delays in receiving oral surgery. Each of these detainees was examined by an on-site dentist, was referred to Stroger Hospital for treatment by an oral surgeon, and experienced delays ranging from four to 19 weeks in receiving that treatment. Because these detainees' experiences are relevant to the issues on appeal, we recount a few of them. (We refer to each grievant by the initials of their first and last names because the County produced the underlying documents subject to a confidentiality order.)

J.C. submitted the following grievance on April 29, 2014:

I have wires on my mouth that I have been asking the Doctor and nurses if I can go to Stroger Hospital to have them remove because they are cutting my gums and make them bleed, and they causing me pain every time I eat. ... On April 17, 2014, Dr. Martinez told me

that he was going to send me to the specialist in Stroger but I still haven't gone.

J.C. was not taken to Stroger Hospital until August 15, 2014, more than 17 weeks after his referral was entered.

T.P. submitted a grievance on March 17, 2015, complaining:

Today I went and seen Dental, Dr. Montgomery, because I have a rotten tooth that needs to be pulled. She agreed it needs to be pulled. Yet she did not do it. She said I was being referred to a oral surgeon which would 4-6 months, which is unethical as well as deliberate medical neglect. I do not have enough pain medicine for pain. As well as I can't drink anything cold. To drink water it has to be hot. I'm having problems sleeping due to pain

T.P. was not taken to the oral surgeon until May 19, 2015, nine weeks after he was referred to Stroger Hospital.

Similarly, C.O. submitted a grievance on January 2, 2018, complaining that he was "still in tremendous pain" as he had been waiting weeks to have his wisdom tooth removed. An official at the Jail responded to C.O.'s grievance a few weeks later, telling C.O that he should continue to take the acetaminophen that he had been prescribed for his pain. C.O. appealed, reiterating that he was "still in terrible pain." On February 15, 2018, a grievance officer at the Jail issued a response stating that the "Decision stands. Appts for oral surgery can take 90 days or more."

After discovery, Scott sought to certify the following class under Federal Rule of Civil Procedure 23(b)(3):

All persons who were detained at the Cook County Jail at any time between November 1, 2013 and March 12, 2020 and, after having been referred to an oral surgeon by a dentist at the Jail, awaited treatment at the Stroger Hospital Oral Surgery Clinic, excluding those persons who are members of the subclass certified in *Whitney v. Khan*, 18-cv-4475, N.D. Ill., Mem. Op. March 25, 2020, ECF No. 175.³

Although Scott has not pinpointed a definite number of plaintiffs in the proposed class, he asserts that we can estimate its size based on two spreadsheets the County provided during discovery. The first indicates that dentists at the Jail made 2,080 referrals to Stroger Hospital between February 20, 2014, and July 7, 2017; the second identifies 2,186 detainees who were scheduled to be transported from the Jail to the oral surgery clinic at Stroger Hospital between January 3, 2013, and October 9, 2019. Adding these figures together, the district court assumed for purposes of assessing class certification that the class contains anywhere from 2,080 to 4,266 members.

Despite those numbers, the district court refused to certify the class. Relying heavily on our decision in *McFields*, it found

³ Whitney v. Khan was a similar classwide challenge in which detainees at the Jail's Residential Treatment Unit ("RTU") alleged that they had received inadequate dental care. The district court certified a class of detainees who "submitted a written 'Health Service Request Form' processed as 'urgent' by the RTU dental assistant and who did not receive an evaluation by a dentist for at least 14 days after submitting the request." Relevant here, the district court later certified a subclass of detainees "who were subsequently referred by the RTU dentist to the Stroger Hospital Oral Surgery Clinic." The district court approved a class settlement in Whitney on August 5, 2021. See Whitney, 18-cv-4475, ECF No. 318.

that the proposed class failed to meet the commonality, typicality, predominance, and superiority requirements of Rule 23. It first observed that given the nature of the plaintiffs' claims, they could not prevail unless they established that the County's policy decision not to keep an oral surgeon on staff at the Jail was objectively unreasonable. It then jumped to the question whether each class member received objectively unreasonable care. The second point, it thought, turns on individualized factors such as the type of dental problem, the seriousness of the condition, and the urgency of the need for treatment. The necessity of moving to the person-by-person level defeated the effort to show a common question capable of classwide resolution, in the court's view. The same problem afflicted the plaintiffs' efforts to establish the elements of typicality, predominance, and superiority.

Scott accepted a conditional offer of judgment following the district court's ruling. See FED. R. CIV. P. 68. In that agreement, Scott agreed to settle his individual claim for \$7,500 but, as we noted earlier, he expressly reserved his right to appeal the denial of class certification and to seek an incentive award for his role as a named plaintiff.

II

Before turning to the principal issue on appeal, we must ensure that we have Article III jurisdiction over this matter. Article III confines the federal courts to resolving "the legal rights of litigants in actual controversies." *Genesis Healthcare Corp. v. Symczyk*, 569 U.S. 66, 71 (2013). Standing doctrine gives effect to this limitation. To establish standing, the plaintiff must "have (1) suffered an injury in fact, (2) that is fairly traceable to the challenged conduct of the defendant, and (3) that is likely to be redressed by a favorable judicial decision."

Spokeo, Inc. v. Robins, 578 U.S. 330, 338 (2016). These elements must be present at all stages of the litigation, not just at its inception. *Genesis Healthcare Corp.,* 569 U.S. at 71.

The County urges that Scott lacks standing for two reasons. First, it asserts that Scott no longer has a live interest in the case because he settled his individual claim and can obtain only an incentive award if the class ultimately prevails. Second, the County contends that to the extent Scott has an injury, it is an incentive award banned by two Supreme Court cases (decided well before the advent of Rule 23): *Internal Improvement Fund Trustees v. Greenough*, 105 U.S. 527 (1881), and *Central Railroad & Banking Co. v. Pettus*, 113 U.S. 116 (1885). We find neither point persuasive.

A. Injury in fact

As the County concedes, we already have concluded that the prospect of an incentive award is enough to support the named plaintiff's concrete interest in the litigation. See *Espen*scheid v. DirectSat USA, LLC, 688 F.3d 872, 876-77 (7th Cir. 2012). In *Espenscheid*, the named plaintiffs voluntarily settled their claims with the defendants after the district court denied class certification. When the named plaintiffs later appealed that ruling, the defendants argued that they no longer had a cognizable interest in the continuation of the suit. We rejected that argument because a provision of the settlement agreement permitted the named plaintiffs to seek an incentive award for their services as class representatives. *Id.* at 874. "The prospect of [an incentive] award," we concluded, "is akin to a damages payment agreed in a settlement to be contingent upon the outcome of the appeal; and the prospect of such a payment, though probabilistic rather than certain, suffices to confer standing." Id. at 875.

In reaching that conclusion, we explained that incentive awards are designed to compensate named plaintiffs for the costs incurred in performing their role as class representatives—costs above and beyond what they would bear as ordinary class members. *Id.* at 876–77. These costs include the time and effort that named plaintiffs must spend learning about the case (class members must have enough familiarity with the case to satisfy the "adequacy" requirement of Rule 23(a)(4)); sitting for depositions; complying with discovery requests; monitoring class counsel; and reviewing and approving any proposed settlement agreements. 5 WILLIAM B. RUBENSTEIN, NEWBERG AND RUBENSTEIN ON CLASS ACTIONS § 17:3 (6th ed., Nov. 2023 update); Theodore Eisenberg & Geoffrey P. Miller, *Incentive Awards to Class Action Plaintiffs: An Empirical Study*, 53 UCLA L. REV. 1303, 1305–06 (2006).

Incentive awards compensate the named plaintiff for bearing certain risks inherent in stepping forward to represent the class: "should the suit fail, [the named plaintiff] may find himself liable for the defendant's costs or even, if the suit is held to have been frivolous, for the defendant's attorneys' fees." Espenscheid, 688 F.3d at 876 (first citing Katz v. Household Int'l, Inc., 91 F.3d 1036, 1040 (7th Cir. 1996); and then citing Blue v. United States Dep't of the Army, 914 F.2d 525, 534 (4th Cir. 1990)). And in certain contexts, such as employment discrimination actions, incentive awards may also recognize the added risks of retaliation or stigmatization that named plaintiffs assume in participating in a lawsuit against their current or former employers. See Eisenberg & Miller, supra, at 1305; see also Rubenstein § 17:3 n.17 (collecting district court cases awarding incentive fees on this basis).

We further emphasized in *Espenscheid* that preventing the settling plaintiff from appealing would undermine judicial economy, "since if the named plaintiffs settle after denial of class certification and then exit the scene another member of the class can step forward and take the quitters' place." 688 F.3d at 877–78. Along the same lines, the Supreme Court has held that defendants cannot moot an appeal from a denial of class certification by simply buying off the individual claims of the named plaintiffs. *Deposit Guar. Nat'l Bank v. Roper*, 445 U.S. 326, 339 (1980). "Requiring multiple plaintiffs to bring separate actions," the Court reasoned, "obviously would frustrate the objectives of class actions; moreover it would invite waste of judicial resources by stimulating successive suits brought by others claiming aggrievement." *Id.*

Since *Espenscheid*, we have reaffirmed that the "the possibility of an incentive award ... is enough of an interest to keep the claim justiciable." *Weil v. Metal Technologies, Inc.*, 925 F.3d 352, 357 (7th Cir. 2019); see also *Wright v. Calumet City*, 848 F.3d 814, 819 (7th Cir. 2017). To be sure, we clarified in *Wright* that the settlement agreement must expressly reserve the rights of the named plaintiffs to pursue an incentive award on appeal in order to secure standing. See 848 F.3d at 819–20. But that is not a problem here, as the settlement agreement did just that.

The County nonetheless argues that Scott has not suffered an injury in fact because he has not provided "any services to the class" that would entitle him to an incentive award. The record dispels that assertion. Scott joined the case as a named plaintiff nearly six years ago. Since then, Scott has prepared for and sat for a deposition, monitored and conferred with class counsel, and assisted with discovery. Though these

services may "not [be] onerous," and though "the risk of incurring liability [should the suit fail] is small," we have held that even modest services justify an incentive award. See *Espenscheid*, 688 F.3d at 877; see also *Phillips v. Asset Acceptance*, *LLC*, 736 F.3d 1076, 1080 (7th Cir. 2013) (noting that incentive awards compensate class representatives "for what usually are minimal services"). This is enough to show that Scott has an ongoing stake in the litigation.

B. Redressability

We also are unpersuaded by the County's view of redressability. The County argues that the Supreme Court's decisions in *Greenough* and *Pettus* forbid federal courts from granting incentive awards to named plaintiffs in class actions. It finds support for this argument in a recent decision from the Eleventh Circuit, which extended those late-nineteenth-century cases to reach the surprising conclusion that incentive awards are *per se* unlawful. See *Johnson v. NPAS Solutions, LLC*, 975 F.3d 1244 (11th Cir. 2020).

To make sense of *Johnson*, we must first look carefully at *Greenough* and *Pettus*. These two cases recognized the "common-fund doctrine." *Boeing Co. v. Van Gemert*, 444 U.S. 472, 478 (1980). Under this doctrine, which is rooted in restitution principles, "a litigant or a lawyer who recovers a common fund for the benefit of persons other than himself or his client is entitled to a reasonable attorney's fee from the fund as a whole." *Id.*

In *Greenough*, the Supreme Court identified limits on the type of fee that a litigant may recover from a common fund. The case involved a creditor, Francis Vose, who filed a suit on behalf of himself and other bondholders for alleged

mismanagement of a common fund on the part of the trustees. 105 U.S. at 528. Vose, the Court said, carried on the litigation "with great vigor and at much expense" and ultimately "secured and saved" much of the trust fund, to the benefit of the other bondholders. *Id.* at 529. He then asked the district court for an "allowance out of the fund for his expenses and services." *Id.* The district court awarded Vose attorneys' fees, litigation expenses, an allowance of \$2,500 a year for ten years for "personal services," and "personal expenditures" of \$15,003.35 for his travel expenses incurred while litigating the case. *Id.* at 530.

On appeal, the Supreme Court affirmed the district court's award of attorneys' fees and litigation expenses, explaining that forcing Vose to bear those costs "would not only be unjust to him," but would confer "an unfair advantage" on all the bondholders who had reaped the benefits of his efforts. *Id.* at 532. The Court further reasoned that these expenses were recoverable because common-law trust cases had established "a general principle that a trust estate must bear the expenses of its administration." Id. at 532–33. But the Court reversed the award of "personal services and private expenses," which it saw as akin to a "salary." *Id.* at 537–38. The Court explained that, unlike the attorneys' and litigation fees, "no authority whatever" permitted the award of a salary to a named plaintiff, and such awards would "present too great a temptation to parties to intermeddle in the management of valuable property or funds in which they have only the interest of creditors[.]" *Id.* at 537–38.

The Court decided *Pettus* a few years later. There, it confirmed that attorneys could recover expenses for their efforts on behalf of clients from the common fund. 113 U.S. at 127–

28. *Pettus* said nothing about what private plaintiffs could recover, and so its relevance to this case is minimal.

Fast forward about 140 years to 2020. That was the year in which the Eleventh Circuit's Johnson decision muddied the waters by unexpectedly declaring that the modern-day incentive award is "roughly analogous" to the "salary" (or "personal services and private expenses") that were prohibited in Greenough. 975 F.3d at 1244. "If anything," it said, "modernday incentive awards present even more pronounced risks than the salary and expense reimbursements disapproved in *Greenough*" because "[i]ncentive awards are intended not only to compensate class representatives for their time (i.e., as a salary), but also to promote litigation by providing a prize to be won (i.e., as a bounty)." Id. at 1258. Although the court acknowledged that incentive awards are virtually ubiquitous in class-action cases today, it found that this "state of affairs is a product of inertia and inattention, not adherence to law." *Id.* at 1259. The County now urges us to adopt this application of *Greenough* and *Pettus*.

We decline that invitation. As the court in *Johnson* admitted, there is a long-standing practice of awarding incentive fees to named plaintiffs in class actions. Indeed, up until *Johnson*, we and the rest of our sister circuits accepted the fact that district courts have the authority to grant incentive awards to named plaintiffs. See, *e.g.*, *Cook v. Niedert*, 142 F.3d 1004, 1016 (7th Cir. 1998) (affirming a class representative's \$25,000 incentive award); *Bezdek v. Vibram USA*, *Inc.*, 809 F.3d 78, 82 (1st Cir. 2015); *Melito v. Experian Mktg. Sols.*, *Inc.*, 923 F.3d 85, 96 (2d Cir. 2019); *Sullivan v. DB Invs.*, *Inc.*, 667 F.3d 273, 333 n.65 (3d Cir. 2011) (*en banc*); *Berry v. Schulman*, 807 F.3d 600, 613–14 (4th Cir. 2015); *Jones v. Singing River Health Servs. Found.*,

865 F.3d 285 (5th Cir. 2017) (vacating a class-action settlement with an incentive award on other grounds and affirming the same settlement after the district court provided further explanation in 742 F. App'x 846 (5th Cir. 2018)); *Pelzer v. Vassalle*, 655 F. App'x 352, 361 (6th Cir. 2016) (unpublished); *Caligiuri v. Symantec Corp.*, 855 F.3d 860, 867–68 (8th Cir. 2017); *Roes*, 1–2 *v. SFBSC Mgmt.*, *LLC*, 944 F.3d 1035, 1057 (9th Cir. 2019); *Tennille v. Western Union Co.*, 785 F.3d 422, 434–35 (10th Cir. 2015); *Cobell v. Salazar*, 679 F.3d 909, 922–23 (D.C. Cir. 2012).

The opinions in these cases demonstrate that this consensus was not a "product of inertia and inattention." *Johnson*, 975 F.3d at 1259. It instead reflects a significant historical development. As the Second Circuit recently explained, "*Greenough* and *Pettus* have been superseded, not merely by practice and usage, but by Rule 23, which creates a much broader and more muscular class action device than the common law predecessor that spawned nineteenth-century precedents." *Moses v. New York Times Company*, 79 F.4th 235, 254–55 (2d Cir. 2023); see also *Johnson v. NPAS Solutions*, *LLC*, 43 F.4th 1138, 1144–50 (11th Cir. 2022) (mem.) (Jill Pryor, J., dissenting from denial of rehearing *en banc*) (explaining that the panel decision in *Johnson* "fail[ed] to account for the historical development of incentive awards").

Recall that the *Greenough* Court distinguished attorneys' fees and litigation expenses from "personal services and private expenses" in part by noting that there was "no authority whatever" that allowed for litigants to be compensated in common-fund cases. 105 U.S. at 537. At the time, "courts were confined to the application of federal general common law and equitable principles." *Moses*, 79 F.4th at 254. Today, there is no general federal common law, see *Erie R. Co. v. Tompkins*,

304 U.S. 64, 78 (1938), and Rule 23 creates the framework for modern class actions. *Moses*, 79 F.4th at 254–55.

Although Rule 23 does not use the phrase "incentive award," courts have long recognized that named plaintiffs may receive compensation for shouldering the time-consuming burdens of litigation and assuming risks of financial, and potentially reputational, harm. See RUBENSTEIN § 17:3. In 2018, Rule 23(e) was amended to ensure that a district court may approve a settlement only if it "treats class members equitably relative to each other." FED. R. CIV. P. 23(e)(2)(D). Incentive awards are consistent with this mandate because the named plaintiffs invest in the case more heavily than their unnamed counterparts. *Moses*, 79 F.4th at 253.

The County would have us overlook the changes that accompanied the historical shift from common-law to Rule 23 class actions. In its view, the common-fund cases look enough like class actions that we should simply extend the common-law doctrine to this wholly new context. But we are unwilling to divorce those cases from the context in which they were decided. As the Supreme Court has reminded us, courts must "read general language in judicial opinions ... as referring in context to circumstances similar to the circumstances then before the Court and not referring to quite different circumstances that the Court was not then considering." See *Illinois v. Lidster*, 540 U.S. 419, 424 (2004).

This is not the first time we have rejected the argument that the Supreme Court's common-fund-doctrine cases prohibit incentive awards. In *In re Continental Illinois Securities Litigation*, 962 F.2d 566, 571 (7th Cir. 1992), the named plaintiff appealed the district court's refusal to award him a \$10,000 fee for "modest services" as class representative. We began

our analysis of that issue by considering "whether a named plaintiff is ever entitled to [such] a fee" in light of the Supreme Court's common-fund cases:

The usual formulations of the common-fund doctrine describe the plaintiff rather than his lawyer as the person entitled to be compensated for the expenses he has incurred in conferring a benefit on the (other) beneficiaries of the common fund. See, e.g., Trustees of the Internal Improvement Fund v. Greenough, 105 U.S. 527 (1882); Sprague v. Ticonic National Bank, 307 U.S. 161 (1939); Boeing Co. v. Van Gemert, 444 U.S. at 478 (1980). The principal expense is the attorney's fee, but there can be others, provided they are not personal. Greenough, 105 U.S. at 537–38; Granada Investments, Inc. v. DWG Corp., No. 91–3297, slip op. at 9 (6th Cir. April 30, 1992). Since without a named plaintiff there can be no class action, such compensation as may be necessary to induce him to participate in the suit could be thought the equivalent of the lawyers' nonlegal but essential case-specific expenses, such as long-distance phone calls, which are reimbursable.

In re Continental Illinois Securities Litigation, 962 F.2d at 571 (citations cleaned up).

In other words, we concluded that the modern-day incentive award is akin to the kind of monetary award that the Supreme Court blessed in *Greenough*, not the "personal expenses" it disapproved.

The County dismisses our conclusion in *In re Continental Illinois Securities Litigation* as *dicta*, but even if we take a fresh look at the issue, we find the proposed analogy between

"personal services and private expenses" and incentive awards to be faulty. The County argues that incentive awards are problematic because the "personal interests [of named plaintiffs] will make them willing to compromise the interests of the class for their own personal gain." But the Greenough Court had in mind a much different concern—that awarding the creditor "personal services and private expenses" would "present too great a temptation to parties to intermeddle in the management of valuable property or funds in which they have only the interest of creditors[.]" 105 U.S. at 538. Put another way, "the [Greenough] Court was concerned that such awards would induce creditors to interfere with the management of funds that had already been entrusted to trustees charged with fiduciary duties to act in the best interests of the creditors." Murray v. Grocery Delivery E-Servs. USA Inc., 55 F.4th 340, 352-53 (1st Cir. 2022). The problem was not that the creditor would not adequately represent the interests of the other creditors but rather that the creditor "was not a trustee." *Greenough,* 105 U.S. at 537.

The drafters of Rule 23 were well aware that conflicts of interest might arise between class representatives and class members. The rule contains significant safeguards against those risks. It prohibits a court from approving a settlement agreement unless it is "fair, reasonable, and adequate." That directive applies with full force to incentive awards. FED. R. CIV. P. 23(e)(2). In addition, courts have developed tests for assessing the appropriateness of an incentive award on a case-by-case basis. See RUBENSTEIN § 17:13 (collecting tests used by different circuits). In the Seventh Circuit, for example, "relevant factors include the actions the plaintiff has taken to protect the interests of the class, the degree to which the class has benefitted from those actions, and the amount of time and

effort the plaintiff expended in pursuing the litigation." *Cook,* 142 F.3d at 1016. Rule 23(e) thus ensures that an incentive award cannot be so large that it amounts to a "salary." *Greenough,* 105 U.S. at 538.

These safeguards are not just theoretical. The most recent empirical study on incentive awards reviewed approximately 1,200 class actions from 2006 to 2011 and found that the median incentive award per named plaintiff was \$5,250 (or \$7,125 in 2023 dollars). See RUBENSTEIN § 17:8. In contrast, the 10-year allowance of \$2,500 for "personal services" and award of \$15,003.35 for "personal expenditures" that the Supreme Court disapproved of in *Greenough* in 1881, 105 U.S. at 530, are equivalent to more than \$1.4 million today.

The Eleventh Circuit also expressed concern that incentive awards may act as a "bounty" for bringing litigation. Johnson, 975 F.3d at 1258. But in so doing, it brushed aside the fact that incentive awards are consistent with the core purpose of Rule 23—"to encourage claimants with small claims to vindicate their rights and to hold unlawful behavior to account." Murray, 55 F.4th at 353; see also Moses, 79 F.4th at 253 (explaining that class-action lawsuits are "designed to provide a mechanism by which persons, whose injuries are not large enough to make pursuing their individual claims in the court system cost efficient, are able to bind together with persons suffering the same harm and seek redress for their injuries." (first quoting S. Rep. No. 109-14, at 5; and then citing 1 JOSEPH MCLAUGHLIN, MCLAUGHLIN ON CLASS ACTIONS § 1:1 (19th ed. 2022))). A categorical ban on incentive awards would undermine that purpose.

We are not alone in rejecting efforts to extend *Greenough* and *Pettus* to the class-action context. In the wake of *Johnson*,

three of our sister circuits have expressly rejected the Eleventh Circuit's interpretation of those cases. See *Murray*, 55 F.4th at 352–53; *In re Apple Inc. Device Performance Litig.*, 50 F.4th 769, 785–87 (9th Cir. 2022); *Moses*, 79 F.4th at 253–55. Moreover, the Supreme Court recently noted (albeit in a case that did not squarely present the issue) that "[t]he class representative might receive a share of class recovery above and beyond her individual claim." See *China Agritech*, *Inc. v. Resh*, 584 U.S. 732, 747 n.7 (2018) (citing *Cook*, 142 F.3d at 1016). Against all of this, the Eleventh Circuit's blanket approach to incentive awards is anomalous.

Consistent with historical practice, our precedent, and the majority view on the issue, we conclude that incentive awards to named plaintiffs are permitted so long as they comply with the requirements of Rule 23. Such an award can redress the injury asserted in this case, and so standing is secure.

Ш

We now turn at last to the main event: the denial of class certification. We review such a decision only for abuse of discretion, "which can occur when a district court commits legal error or makes clearly erroneous factual findings." *Bell v. PNC Bank, Nat. Ass'n,* 800 F.3d 360, 373 (7th Cir. 2015). Though our review is deferential, it "must also be exacting" because "[a] decision to deny or grant certification can have a considerable impact on the playing field of litigation." *Red Barn Motors, Inc. v. NextGear Capital, Inc.,* 915 F.3d 1098, 1101 (7th Cir. 2019).

A plaintiff seeking to certify a class must satisfy the four requirements of Rule 23(a)—numerosity, typicality, commonality, and adequacy of representation—as well as one of the categories in Rule 23(b). *Orr v. Shicker*, 953 F.3d 490, 497 (7th

Cir. 2020). When certification is sought under Rule 23(b)(3), as it is here, the plaintiff must show that "questions of law or fact common to class members predominate over any questions affecting only individual members, and that a class action is superior to other available methods for fairly and efficiently adjudicating the controversy." FED. R. CIV. P. 23(b)(3). The party seeking class treatment bears the burden of showing that each requirement is met by a preponderance of the evidence. *Bell*, 800 F.3d at 373.

The district court rested its denial on four grounds: commonality, typicality, predominance, and superiority. We address each in turn.

A. Commonality

Rule 23(a)(2) requires the existence of "questions of law or fact common to the class." FED. R. CIV. P. 23(a)(2). The Supreme Court has clarified that "even a single common question will do." *Wal-Mart Stores, Inc. v. Dukes,* 564 U.S. 338, 359 (2011) (quotation marks and alteration omitted). But merely showing that the class members "have all suffered a violation of the same provision of law" is not enough to satisfy commonality. *Id.* at 350. The claims must depend on a common contention that is "capable of classwide resolution—which means that determination of its truth or falsity will resolve an issue that is central to the validity of each one of the claims in one stroke." *Id.* The "critical point is the need for *conduct* common to members of the class." *Suchanek v. Sturm Foods, Inc.,* 764 F.3d 750, 756 (7th Cir. 2014) (quotation marks and citations omitted).

In this case, the claims of the proposed class members all arise from the same course of conduct by the same defendant:

the County's decade-long refusal to have an oral surgeon on staff at the Jail. With no oral surgeon readily available, the class members all suffered the same alleged injury: unreasonable delays in receiving treatment for their acknowledged serious dental conditions.

The same legal standards govern every class member's claim. To recover against the County under section 1983, the class members must show that they "(1) suffered a deprivation of a federal right; (2) as a result of either an express municipal policy, widespread custom, or deliberate act of a decision-maker with final policy-making authority; which (3) was the proximate cause of his injury." See King v. Kramer, 763 F.3d 635, 649 (7th Cir. 2014) (alterations omitted) (quoting Ienco v. City of Chicago, 286 F.3d 994, 998 (7th Cir. 2002)). See generally Monell v. New York City Dep't of Soc. Servs., 436 U.S. 658 (1978). Because the class members are pretrial detainees, their claims of inadequate medical care arise under the Fourteenth Amendment's Due Process Clause, which is governed by an objective-reasonableness standard. See Miranda v. County of Lake, 900 F.3d 335, 351-54 (7th Cir. 2018). "This standard requires courts to focus on the totality of facts and circumstances faced by the individual alleged to have provided inadequate medical care and to gauge objectively—without regard to any subjective belief held by the individual—whether the response was reasonable." McCann v. Ogle County, 909 F.3d 881, 886 (7th Cir. 2018). A delay in medical treatment may be objectively unreasonable "if the delay exacerbated the injury or unnecessarily prolonged an inmate's pain." See McGowan v. Hulick, 612 F.3d 636, 640 (7th Cir. 2010). With these standards in mind, there is one common question of liability that will yield a common answer: whether the

County's decision not to keep an oral surgeon on the Jail's medical staff was objectively unreasonable.

As we alluded to earlier, the district court was mistaken in reading our decision in McFields to suggest that this question could not be resolved for the class as a whole. McFields is similar to this case, in that it too involved a proposed class of detainees who alleged that Cook County Jail had provided inadequate dental care in violation of the Fourteenth Amendment. The plaintiffs in McFields, however, challenged the Jail's "paper triage policy," whereby detainees who had dental pain were required to submit a written complaint, staff would categorize that complaint as "routine," "priority," or "urgent," and the detainee would then be referred to a dentist in anywhere from three to 30 days. 982 F.3d at 513. The plaintiffs alleged that the standard of care required the Jail to provide all detainees who submitted a complaint with a face-to-face assessment by a nurse within 48 hours. The nurse could then identify serious medical issues and dispense over-the-counter pain medication. Id. at 513–14. In reality, not every detainee received a face-to-face assessment within 48 hours of submitting a complaint, and so the McFields plaintiffs alleged that the paper triage policy was objectively unreasonable. *Id.*

On those facts, we affirmed the district court's decision to deny class certification. As relevant here, we rejected the plaintiffs' proposed common question of "whether [the paper triage policy] exposed detainees to a substantial risk of harm in violation of the Constitution." *Id.* at 515. "Answering this question in the affirmative," we reasoned, "requires McFields to prove that the policy was objectively unreasonable, but that is, by its nature, an inquiry not suitable for resolution as to all class members in one fell swoop. Rather, it is an

individualized inquiry that depends in large part on what is disclosed on each detainee's [written complaint]—when it was submitted, what type of grievance and what level of pain it reveals, and so forth." *Id.* at 516 (citations omitted).

In applying *McFields* to this case, the district court observed that whether each detainee in the proposed class received objectively unreasonable care requires us to look at individualized factors, such as the type of dental issue, degree of pain, and how long each detainee waited before receiving treatment. It then concluded that "the objective reasonableness of [the challenged] policy will depend upon circumstances unique to each individual class member."

But the district court did not grapple with (or even recognize) the salient differences between the paper triage policy challenged in *McFields* and the policy challenged here. In *McFields*, there was no uniform policy being challenged (despite the plaintiffs' contention otherwise). The plaintiffs argued that "most" detainees did not receive a timely face-to-face assessment, yet some detainees did receive such an assessment. *Id.* at 513. Their claims thus could not be construed as a systemic challenge to the County's provision of dental care. Rather, they were individualized claims of inadequate medical care that could be answered only by examining facts unique to each plaintiff.

In contrast, the Jail's decision not to put an oral surgeon on staff is a uniform policy that applies to every detainee at the Jail. And the record contains evidence showing that this policy causes systemic treatment delays for detainees who have been referred by a dentist to receive treatment from an oral surgeon. These delays were far longer than the 48-hour period at issue in *McFields*; several detainees in the proposed

class submitted grievances while awaiting treatment and received responses from Jail officials stating that appointments for oral surgery "can take 90 days or more." Scott has also presented evidence showing that high-up officials in the Jail's dental staff knew that the lack of an on-site oral surgeon caused detainees to suffer significant delays, worsening medical conditions, and gratuitous pain. In 2011, the Jail's Chief of Dental Services submitted a budget request practically begging the County to hire an oral surgeon to address the "constant[] suffer[ing]" of detainees who waited "anywhere from 2 to 3[] months to be treated" at Stroger Hospital. And in 2016, the Jail's Director of Oral Health stated in an email that the Jail was "in DESPERATE need for a part-time oral surgeon" (emphasis in original). Thus, the central question in this litigation is whether, based on this evidence, the County's decision not to hire an oral surgeon is objectively unreasonable for any detainee who has a professionally identified need for oral surgery.

We have distinguished between challenges to confinement conditions that allege gross and systemic deficiencies (which may proceed on a classwide basis) and those that allege individual claims of inadequate medical care (which may not). For example, in *Phillips v. Sheriff of Cook County*, we affirmed the district court's denial of class certification where "proof of a systemic practice" that "would lead to a finding that all detainees are effectively denied treatment" was absent, yet we noted that "Cook County's decision to staff the Jail with only one dentist might reflect a common policy of systemic deliberate indifference." 828 F.3d 541, 557–58 (7th Cir. 2016) (cleaned up) (distinguishing *Parsons v. Ryan*, 754 F.3d 657, 676 (9th Cir. 2014)). And in *Orr*, we found commonality among a class of inmates who alleged that they received inadequate

medical care where "the specified policies and practices to which all ... inmates are subjected ... are the 'glue' that holds together the putative class; either each of the policies and practices is unlawful as to every inmate or it is not." 953 F.3d at 499–500 (quotation and alterations omitted).

Moreover, the district court overlooked the fact that, unlike in *McFields*, the proposed class in this case is narrowly defined to limit the effect of any variation between its members. In *McFields*, we explained why the variation among the proposed class members' experiences "matter[ed] immensely" for purposes of commonality:

Suppose a detainee submits [a written complaint] of a toothache in the morning and is treated by a world-class dentist that afternoon. Or imagine that, for some reason, a perfectly healthy detainee falsely indicates extreme pain on his [complaint]. Both would fall comfortably into McFields's proposed class so long as neither was given a face-to-face assessment before receiving dental treatment, but obviously, these would-be plaintiffs have suffered no injury and have no colorable constitutional claim.

982 F.3d at 517.

These concerns are not implicated here. Like Scott, every member of the proposed class of detainees was examined by an on-site dentist who observed a serious medical issue and determined that each detainee required treatment from an oral surgeon. And all the class members experienced delays in receiving that treatment. Thus, "[t]he narrow way in which the district court defined the class[] here eliminates concern that the definitions are overbroad or include a great many

people who have suffered no injury." *Pella Corp. v. Saltzman*, 606 F.3d 391, 394 (7th Cir. 2010).

To be sure, each class member eventually will have to present individualized evidence to show that the harm she suffered was causally related to the inadequate care she received. Individualized evidence may also be used to determine the amount of damages to which each class member is entitled. But we repeatedly have stressed that the common question presented need not resolve every issue in the case. "It is routine in class actions to have a final phase in which individualized proof [is] submitted." Suchanek, 764 F.3d at 756. In Bell v. PNC Bank, for example, employees brought a classwide challenge alleging that their former employer had an unlawful, unofficial policy of failing to pay overtime wages. 800 F.3d at 374–79. We found that whether the employer had such an unofficial policy was a common question, even though a later portion of the suit would require assessing damages for each class member on an individualized basis, as damages depended on "how many hours of off-the-clock work each employee worked or the intent of [each employee's] manager." *Id.* at 379. Similarly, in *McReynolds v. Merrill Lynch, Pierce, Fen*ner & Smith, Inc., employees brought a classwide challenge to two employment policies that allegedly had a discriminatory impact. 672 F.3d 482, 488–89 (7th Cir. 2012). We observed that "should the claim of disparate impact prevail in the classwide proceeding, hundreds of separate trials may be necessary to determine which class members were actually adversely affected." Id. at 491. Yet we found commonality because "at least it wouldn't be necessary in each of those trials to determine whether the challenged practices were unlawful." Id.

The district court's analysis boils down to the fact that medical care is inherently individualized. But that alone is not enough to preclude class certification. The plaintiffs have taken aim at a specific policy (*i.e.*, the County's decision not to keep an oral surgeon at the Jail) that applies equally to all class members, and the plaintiffs have offered evidence to show that the challenged policy causes systemic delays across the entire class. That suffices to show commonality. See *Suchanek*, 764 F.3d at 756 ("Where the same conduct or practice by the same defendant gives rise to the same kind of claims from all class members, there is a common question."). The district court abused its discretion in concluding otherwise.

B. Typicality

For similar reasons, the district court erred in concluding that individual variations among the proposed class members destroyed typicality. "[C]ommonality and typicality tend to merge." *Priddy v. Health Care Serv. Corp.*, 870 F.3d 657, 660 (7th Cir. 2017). Typicality requires that "the claims or defenses of the representative parties are typical of the claims or defenses of the class." FED. R. CIV. P. 23(a)(3). That requirement may be satisfied "even if there are factual distinctions between the claims of the named plaintiffs and those of other class members[;]" it "primarily directs the district court to focus on whether the named representatives' claims have the same essential characteristics as the claims of the class at large." *Muro v. Target Corp.*, 580 F.3d 485, 492 (7th Cir. 2009) (quoting *De La Fuente v. Stokely-Van Camp, Inc.*, 713 F.2d 225, 232 (7th Cir. 1983)).

There is some variation among the claims of the proposed class members in this case. We can see this in the sample of detainee grievances that Scott has presented: the class

members experienced a range of dental issues (*e.g.*, Scott needed his wisdom tooth removed, whereas J.C. needed wires removed from his mouth and T.P. needed a rotten tooth extracted); the class members experienced different periods of delay before receiving treatment (*e.g.*, Scott waited nearly 33 weeks, while others waited anywhere between four and 19 weeks); and the class members experienced different degrees of pain.

But unlike *McFields*—where the proposed class members included detainees who had submitted complaints of dental pain that had never been vetted by a professional, 982 F.3d at 517—every proposed class member here was evaluated by an on-site dentist who observed a serious medical condition and concluded that treatment from an oral surgeon was necessary. Every proposed class member then experienced a treatment delay that is allegedly attributable to the lack of an on-site oral surgeon. These are the "essential characteristics" that knit the proposed class members together. *De La Fuente*, 713 F.2d at 232.

C. Predominance and Superiority

Finally, we address Rule 23(b)(3)'s requirements that common questions of law or fact "predominate" over individual ones and that a class action be "superior to other available methods for fairly and efficiently adjudicating the controversy." FED. R. CIV. P. 23(b)(3). "The guiding principle behind predominance is whether the proposed class's claims arise from a common nucleus of operative facts and issues." *Beaton v. SpeedyPC Software*, 907 F.3d 1018, 1029 (7th Cir. 2018). "This requires more than a tally of common questions; the district court must consider their relative importance." *Id.*

The district court's misstep with respect to predominance and superiority flows from the same error we noted earlier: its conclusion that the question whether the County's decision with respect to an on-site oral surgeon is objectively reasonable could not be answered without individualized assessments. But, as we have explained, that question can be resolved on a classwide basis. And where a common issue exists such that its resolution "is unlikely to be enhanced by repeated proceedings, then it makes good sense, especially when the class is so large, to resolve th[at] issue[] in one fell swoop while leaving the remaining, claimant-specific issues to individual follow-on proceedings." *Pella Corp.*, 606 F.3d at 394 (quoting *Mejdrech v. Met-Coil Sys. Corp.*, 319 F.3d 910, 911 (7th Cir. 2003)).

The County reminds us that if the class prevails on that common issue, the class members would need to proceed in individualized trials to prove causation and to seek damages. Probably so. But that fact does not necessarily preclude class certification. *McReynolds*, 672 F.3d at 491; *Bell*, 800 F.3d at 377–79. If the district court were to determine on the merits that the County's refusal to staff an oral surgeon at the Jail passes muster, then all the class members' claims would fail together. If the plaintiffs prevail on the common issue, it will not need to be revisited in each individual proceeding. That is enough to show predominance and superiority.

IV

We recognize that Scott's estimate of the size of the proposed class is quite large—possibly more than 2,000 members. It may be that not every member of the proposed class experienced a delay in treatment that was significant enough to amount to the denial of care; it may also be that not every

member of the proposed class submitted a grievance reporting the pain and suffering experienced while awaiting treatment. We have cautioned, however, that "[i]n circumstances such as these, involving minor overbreadth problems that do not call into question the validity of the class as a whole, the better course is not to deny class certification entirely but to amend the class definition as needed to correct for the overbreadth." *Messner v. Northshore Univ. HealthSys.*, 669 F.3d 802, 826 n.15 (7th Cir. 2012). The district court is free to revise the class definition as it sees fit upon remand to address this issue.

We note, too, that the district court did not address whether the proposed class meets Rule 23(a)'s requirements of numerosity and adequacy of representation, which must be satisfied before the class may be certified. The parties have not briefed these issues, and so we express no view on them.

We therefore VACATE the district court's order denying class certification and REMAND for further proceedings consistent with this opinion.