

# Exhibit 3

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

KRISTIN M PERRY, SANDRA B STIER, NO C 09-2292 VRW  
PAUL T KATAMI and JEFFREY J  
ZARRILLO, ORDER

**Plaintiffs,**

**CITY AND COUNTY OF SAN FRANCISCO,**

**Plaintiff-Intervenor,**

V

ARNOLD SCHWARZENEGGER, in his official capacity as governor of California; EDMUND G BROWN JR, in his official capacity as attorney general of California; MARK B HORTON, in his official capacity as director of the California Department of Public Health and state registrar of vital statistics; LINETTE SCOTT, in her official capacity as deputy director of health information & strategic planning for the California Department of Public Health; PATRICK O'CONNELL, in his official capacity as clerk-recorder of the County of Alameda; and DEAN C LOGAN, in his official capacity as registrar-recorder/county clerk for the County of Los Angeles.

## Defendants.

DENNIS HOLLINGSWORTH, GAIL J  
KNIGHT, MARTIN F GUTIERREZ,  
HAKSHING WILLIAM TAM, MARK A  
JANSSON and PROTECTMARRIAGE.COM —  
YES ON 8, A PROJECT OF  
CALIFORNIA RENEWAL, as official  
proponents of Proposition 8.

### Defendant-Intervenors.

1 Defendant-intervenors, the official proponents of  
2 Proposition 8 ("proponents") move for a limited stay of discovery  
3 pending resolution of a purported appeal or mandamus petition in  
4 the alternative. Doc #220. Plaintiffs oppose any delay in  
5 discovery in light of the upcoming trial date and ask the court to  
6 compel proponents to respond to their discovery requests in seven  
7 days. Doc #225.

8                   To obtain a stay, proponents "must establish that [they  
9 are] likely to succeed on the merits, that [they are] likely to  
10 suffer irreparable harm in the absence of preliminary relief, that  
11 the balance of equities tips in [their] favor, and that an  
12 injunction is in the public interest." Winter v Natural Resources  
13 Defense Council, Inc, -- US --, 129 SCt 365, 374 (2008). A  
14 "possibility" of success is "too lenient." Id at 375; see also  
15 American Trucking Associations, Inc v City of Los Angeles, 559 F3d  
16 1046, 1052 (9th Cir 2009). Because, for the reasons explained  
17 below, proponents have met no part of this test, proponents' motion  
18 for a stay is DENIED.

四

21 Proponents are unlikely to succeed on their appeal or  
22 mandamus petition because (1) the court of appeals lacks  
23 jurisdiction over the appeal and mandamus petition and (2) the  
24 appeal lacks merit.

25 \\\  
26 \\\  
27 \\\  
28 \\\

1  
A

2 Proponents have noticed an appeal of the court's October  
3 order, Doc #214, "to the extent it denies [proponents'] Motion  
4 for a Protective Order (Doc #187)." Doc #222. The motion for a  
5 protective order cites to National Ass'n for the A of C P v  
6 Alabama, 357 US 449 (1958) ("NAACP") (invoking a qualified First  
7 Amendment privilege to protect NAACP rank-and-file membership lists  
8 against disclosure), and its progeny to claim a qualified First  
9 Amendment privilege against discovery of any of proponents'  
10 communications with third parties. Doc #187. Proponents'  
11 docketing statement in the Ninth Circuit describes the October 1  
12 order as an "INTERLOCUTORY DECISION APPEALABLE AS OF RIGHT." Id at  
13 5. However proponents may characterize the October 1 order, it is  
14 manifestly not a final judgment appealable as of right under 28 USC  
15 § 1291, nor did proponents seek, or the court find suitable, an  
16 interlocutory appeal under 28 USC § 1292(b). Proponents' right to  
17 seek review of the October 1 order must therefore rest on the  
18 collateral order doctrine or on grounds warranting mandamus by the  
19 court of appeals. Neither of these, however, provides an adequate  
20 foundation for the instant appeal or mandamus petition.

21

22  
1

23 The collateral order doctrine allows appeal under section  
24 1291 of "a narrow class of decisions that do not terminate the  
25 litigation but must, in the interest of achieving a healthy legal  
26 system, nonetheless be treated as final." Digital Equipment Corp v  
27 Desktop Direct, Inc, 511 US 863, 867 (1994). The October 1 order  
28 was not such a decision.

1                   Ordinarily, of course, the court of appeals lacks  
2 jurisdiction to review discovery orders before entry of judgment.  
3 Truckstop.net, LLC v Sprint Corp, 547 F3d 1065, 1067 (9th Cir  
4 2008). As interpreted by the Ninth Circuit, the collateral order  
5 doctrine allows the court of appeals to exercise jurisdiction over  
6 interlocutory appeals of certain orders denying application of a  
7 discovery privilege, but only when the order: "(1) conclusively  
8 determine[s] the disputed question; (2) resolve[s] an important  
9 issue completely separate from the merits of the action; and (3)  
10 [is] effectively unreviewable on appeal from final judgment."  
11 United States v Austin, 416 F3d 1016, 1020 (9th Cir 2005)  
12 (citations omitted). As long as the question remains "tentative,  
13 informal or incomplete, there may be no intrusion by appeal." *Id*  
14 (citing Cohen v Beneficial Loan Corp, 337 US 541, 546 (1949)).

15                   In Austin, the Ninth Circuit found that it lacked  
16 jurisdiction to review the district court's order that "statements  
17 made during discussions between inmates in their cells with no  
18 lawyers present are not covered as confidential communications  
19 under the joint defense privilege." 416 F3d at 1019. The court  
20 held that the third prong of the jurisdictional test was not  
21 satisfied because defendants had not "raised any specific privilege  
22 claims" over specific communications. *Id* at 1023.

23                   Here, the October 1 order was not a conclusive  
24 determination because proponents had not asserted the First  
25 Amendment privilege over any specific document or communication.  
26 Proponents' blanket assertion of privilege was unsuccessful, but  
27 whether the privilege might apply to any specific document or  
28 information was not finally determined in the October 1 order.

1 Moreover, because the First Amendment qualified privilege that  
2 proponents seek to invoke requires the court to balance the harm of  
3 disclosure against the relevance of the information sought, the  
4 applicability of the qualified privilege cannot be determined in a  
5 vacuum but only with reference to a specific document or particular  
6 information.

7 Proponents have made no effort to identify specific  
8 documents or particular information to which the claim of qualified  
9 privilege may apply. Notably, proponents have failed to serve and  
10 file a privilege log, a prerequisite to the assertion of any  
11 privilege. See Burlington North & Santa Fe Ry Co v United States  
12 Dist Court for Dist of Mont, 408 F3d 1142, 1149 (9th Cir 2005).  
13 Furthermore, the balancing required to apply the qualified  
14 privilege must consider whether any injury or risk to the producing  
15 party can be eliminated or mitigated by a protective order. The  
16 October 1 order directed the parties to discuss the terms of a  
17 protective order and expressed the court's willingness to assist  
18 the parties in fashioning such an order. Doc #214 at 17.

19 The cases proponents cite to support appellate  
20 jurisdiction under the collateral order doctrine deal with absolute  
21 privileges, like the attorney-client privilege. See Doc #220 at 5  
22 n3 (citing In re Napster, Inc Copyright Litigation, 479 F3d 1078  
23 (9th Cir 2007) (attorney-client privilege); Bittaker v Woodford,  
24 331 F3d 715 (9th Cir 2003) (attorney-client privilege); United  
25 States v Griffin, 440 F3d 1138 (9th Cir 2006) (marital privilege)).  
26 These cases allow a collateral appeal at least in part because an  
27 order denying a claim of absolute privilege usually resolves a  
28 question independent from the merits of the underlying case. See

In re Napster, 479 F3d at 1088-89.

An order denying a claim of qualified privilege, which balances the harm of production against the relevance of the discovery sought, is not so easily divorced from the merits of the underlying proceeding. The question whether discovery is relevant is necessarily enmeshed in the merits, as it involves questions concerning "the substance of the dispute between the parties." Van Cauwenberghe v Biard, 486 US 517, 528 (1988). Here, for example, the question of relevance is related to the merits of plaintiffs' claims, as the relevance of the information sought would be greater were the court to apply an exacting level of scrutiny to plaintiffs' Equal Protection claims. Doc #214 at 12-13.

2

Proponents also apparently seek mandamus if the appellate court does not accept their interlocutory appeal. Mandamus is a "drastic" remedy that is appropriately exercised only when the district court has failed to act within the confines of its jurisdiction, amounting to a "judicial 'usurpation of power.'"

Kerr v United States District Court, 426 US 394, 402 (1976) (citing Will v United States, 389 US 90, 95-96 (1967)). A party seeking mandamus must show that he has "no other adequate means to attain the relief he desires" and that "his right to issuance of the writ is clear and indisputable." Kerr, 426 US at 403 (citations omitted).

In Kerr, petitioners sought a writ of mandamus to vacate the district court's order that petitioners produce personnel files and prisoner files after plaintiffs sought the discovery as part of

1 their class action against the California Department of  
2 Corrections. 426 US at 396-97. Petitioners had asserted that the  
3 discovery sought was both irrelevant and privileged. *Id.* The  
4 Court denied mandamus at least in part because petitioners'  
5 privilege claim had not been asserted with "requisite specificity."  
6 *Id* at 404.<sup>1</sup> Petitioners therefore had a remedy remaining in the  
7 district court: petitioners could assert their privilege claim  
8 over a specific document or set of documents and allow the district  
9 court to make the privilege determination in the first instance.

10 *Id.*

11 Here, the court might yet apply proponents' purported  
12 privilege in the manner described in *Kerr*. Proponents have not  
13 identified specific documents they claim are privileged and have  
14 not given the court an opportunity to determine whether any claim  
15 of privilege might apply to a specific document. Additionally, as  
16 the court explained in its October 1 order, it is not "clear and  
17 indisputable" that proponents should succeed on their First  
18 Amendment claim of privilege. Doc #214 at 4-11. Proponents, as  
19 the official supporters of a California ballot initiative, are  
20 situated differently from private citizen advocates. Cf *McIntyre v*  
21 *Ohio Elections Comm'n*, 514 US 334, 351 (1995) (distinguishing  
22 between "individuals acting independently and using only their own  
23 modest resources" and official campaigns). *McIntyre* determined  
24 whether an individual who distributed leaflets in opposition to a  
25

---

26 <sup>1</sup>Under quite different, and indeed rather unique, circumstances,  
27 the Court has directed an appellate court to consider a writ of  
28 mandamus even when petitioners had not asserted privilege claims over  
specific discovery. See *Cheney v United States Dist Court for D C*,  
542 US 367, 390-391 (2004).

1 local tax levy could be forced to disclose her identity on the  
2 leaflet pursuant to an Ohio statute. Id at 338. In this case,  
3 plaintiffs' discovery requests do not appear to call for disclosure  
4 of identities of persons "acting independently and using their own  
5 modest resources," but simply the individuals acting as, or in  
6 coordination with, the official sponsors of the Yes on 8 campaign.  
7 Plainly, there is a difference between individuals or groups who  
8 have assumed the privilege of enacting legislation or  
9 constitutional provisions and individuals who merely favor or  
10 oppose the enactment. To the extent that plaintiffs' discovery  
11 might disclose the identity of individuals entitled to some form of  
12 anonymity, an appropriate protective order can be fashioned. A  
13 blanket bar against plaintiffs' discovery is unwarranted.  
14 Proponents case for mandamus relief is therefore tenuous at best.  
15

## B

17 Having determined that the court of appeals is unlikely  
18 to accept proponents' appeal<sup>2</sup> or order mandamus relief, the court  
19 turns more specifically to the merits of proponents' motion to stay  
20 discovery pending the court of appeals' consideration of  
21 proponents' proceedings in that court. For the reasons previously  
22 noted and discussed further below, proponents are unlikely to  
23 succeed on the merits of their resort to the court of appeals, and  
24 their case for irreparable harm is weak.

25 \\  
26 \\

---

28 <sup>2</sup> The court of appeals has issued an order to show cause why the  
appeal should not be dismissed. Ct Apps Docket #09-17241, Doc #8.

2                   In its October 1 order, the court declined proponents'  
3 invitation to impose a blanket bar against plaintiffs' discovery of  
4 proponents' communications with third parties. Doc #214 at 4-11.  
5 Proponents contend that a blanket bar against such discovery was  
6 required by the First Amendment. Doc #187 at 15 (citing NAACP, 357  
7 US at 460; Bates v City of Little Rock, 361 US 516, 523 (1960);  
8 Gibson v Florida Legislative Comm, 372 US 539 (1963)). Proponents  
9 misread the October 1 order as foreclosing any application of a  
10 First Amendment qualified privilege to the discovery plaintiffs  
11 seek. The court simply decided that proponents had not established  
12 the grounds necessary to invoke the First Amendment qualified  
13 privilege while also sustaining in part proponents' objection to  
14 the scope of plaintiffs' eighth document request.

15                   At the risk of repetition, proponents are not likely to  
16 succeed on the merits of their appeal for the following reasons:  
17 (1) proponents have not put forth a strong case that the entirety  
18 of discovery sought by plaintiffs in the eighth document request is  
19 protected by a qualified First Amendment privilege when plaintiffs  
20 do not seek disclosure of ProtectMarriage.com's rank-and-file  
21 membership lists, Doc #214 at 4-11; (2) McIntyre, 514 US 334  
22 (1995), does not support the application of a First Amendment  
23 qualified privilege because McIntyre was acting independently, not  
24 legislating, and because McIntyre dealt with the constitutionality  
25 of an Ohio statute, not the application of a qualified privilege in  
26 the context of civil discovery, Doc #214 at 8-9; and (3) proponents  
27 have not properly preserved their privilege claim in light of both  
28 the numerous disclosures already made surrounding the Yes on 8

1 campaign and of proponents' failure to produce a privilege log.  
2 Doc #214 at 10-11.

3 It simply does not appear likely that proponents will  
4 prevail on the merits of their appeal.

5

6 2

7 The question whether proponents are likely to suffer  
8 irreparable harm if a stay is not entered is difficult to answer in  
9 a vacuum. The court does not know at this juncture exactly what  
10 documents or information would be disclosed in the absence of a  
11 stay. Generally, the threat of a constitutional violation suggests  
12 the likelihood of irreparable harm. Community House, Inc v City of  
13 Boise, 490 F3d 1041 (9th Cir 2007). But it does not appear that  
14 the entirety of communications responsive to plaintiffs' eighth  
15 document request is covered by the First Amendment qualified  
16 privilege. Doc #214 at 4-11.

17 As the court explained in its October 1 order, Prop 8  
18 supporters claim to have faced threats, harassment and boycotts  
19 when their identities were revealed; however, proponents have not  
20 made a showing that the discovery sought in this case would lead to  
21 further harm to any Prop 8 supporter. Doc #214 at 6. Proponents  
22 offer nothing new in the instant motion to support their claim that  
23 disclosure would lead to irreparable harm. See Doc #220 at 5.

24 A protective order provides a means by which discovery  
25 could continue without the threat of harm proponents seek to avoid.  
26 But proponents have not sought a protective order directed to  
27 specific disclosures. The possibility that harm could be  
28 eliminated or substantially minimized through a protective order

1 suggests that a stay of discovery is not required.

2  
3  
3

4 In light of the court's determination that proponents  
5 have neither demonstrated a likelihood of success on the merits nor  
6 shown that they are likely to suffer irreparable harm if the stay  
7 is not issued, it is unnecessary to address the remaining factors  
8 required for proponents to obtain a stay. Nevertheless, the court  
9 will touch on them briefly.

10 Whether the balance of equities tips in proponents' favor  
11 depends upon a comparison of the harm proponents claim they would  
12 face if a stay were not granted with the harm plaintiffs would face  
13 if a stay were granted. Winter, 129 Sct at 376. As just  
14 explained, proponents' projected harm could be remedied through a  
15 protective order. Plaintiffs assert they too face harm as they  
16 seek to vindicate what they claim is a violation of their  
17 constitutional rights. Doc #225 at 13. A stay would serve to  
18 delay discovery and potentially postpone the scheduled January 2010  
19 trial. A "mere assertion of delay does not constitute substantial  
20 harm." United States v Phillip Morris Inc, 314 F3d 612, 622 (9th  
21 Cir 2003). But because proponents have not articulated any  
22 meaningful harm, the balance of equities nevertheless tips in  
23 plaintiffs' favor in light of the potential for delay.

24  
25  
4

26 Finally, the court must determine whether a stay is in  
27 the public interest. Proponents assert that the denial of a stay  
28 will "curtail the First Amendment freedoms surrounding voter-

1 initiated measures." Doc #220 at 7. Plaintiffs counter that  
2 citizens have an interest in seeing plaintiffs' constitutional  
3 claims determined on the merits as quickly as possible. Doc #225  
4 at 14. It appears that a protective order would likely remedy any  
5 harm to the public identified by proponents. It also appears that  
6 a limited discovery stay would not significantly affect the public  
7 interest in a prompt resolution of plaintiffs' claims. Thus, the  
8 public interest does not appear to weigh strongly in favor of any  
9 party's position.

10

11

## II

12

13

Even in the unlikely event that the court of appeals  
exercises jurisdiction over proponents' appeal or mandamus  
petition, a discovery stay is inappropriate. Proponents have not  
demonstrated that they are likely to succeed on the merits of their  
claims or that they face irreparable harm in the absence of a stay.  
The balance of equities appears to tip in favor of denying a stay,  
and the public interest does not point clearly one way or another.  
Accordingly, proponents' motion to stay discovery is DENIED.

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Plaintiffs seek an order compelling discovery within  
seven days. Doc #225. But it is not clear whether the discovery  
sought can practically be produced within the next seven days.  
While it is imperative to proceed promptly with discovery to keep  
these proceedings on schedule, the court prefers to look to the  
good faith and professionalism of proponents' able counsel to  
respond to plaintiffs' modified eighth document request in a timely  
manner. The court stands ready to assist the parties.

\\\

1           Accordingly, the parties are directed to contact the  
2 clerk within five days to schedule a telephone conference to  
3 discuss the progress of their efforts.

4

5           IT IS SO ORDERED.

6

7



8           VAUGHN R WALKER  
9           United States District Chief Judge

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28